# Equity is not a checklist: One path to conceptualize implementation science and equity

ANA A. BAUMANN, PHD

ASSISTANT PROFESSOR OF SURGERY
DIVISION OF PUBLIC HEALTH SCIENCES/DEPARTMENT OF SURGERY
WASHINGTON UNIVERSITY IN ST. LOUIS
EMAIL: ABAUMANNWALKER@.WUSTL.EDU
TWITTER: @BAUMANNANA

### Acknowledgements

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### Please engage in the conversation!

Twitter: @BaumannAna

#impsci #equity

# My context (why am I talking about these things?

- Latina (Brazilian), recently received U.S. Citizenship, able-bodied, cisgender woman
- Parent interventions, in the U.S. and globally, for Latino populations

Rodriguez, M. M. D., Baumann, A. A., & Schwartz, A. L. (2011). Cultural adaptation of an evidence based intervention: From theory to practice in a Latino/a community context. *American journal of community psychology*, 47(1-2), 170-186.

Baumann, A. N. A., Domenech Rodríguez, M., & Parra-Cardona, J. R. (2011). Community-based applied research with Latino immigrant families: Informing practice and research according to ethical and social justice principles. *Family process*, 50(2), 132-148.

Baumann, A. A., Mejia, A., Lachman, J. M., Parra-Cardona, R., López-Zerón, G., Buenabad, N. G. A., ... & Rodríguez, M. M. D. (2019). Parenting programs for underserved populations in low-and middle-income countries: issues of scientific integrity and social justice. *Global Social Welfare*, *6*(3), 199-207.

Domenech Rodríguez, M. M., Baumann, A. A., Vázquez, A. L., Amador-Buenabad, N. G., Franceschi Rivera, N., Ortiz-Pons, N., & Parra-Cardona, J. R. (2018). Scaling out evidence-based interventions outside the US mainland: Social justice or Trojan horse?. *Journal of Latina/o Psychology*, *6*(4), 329.

### Implementation science, adaptation, equity

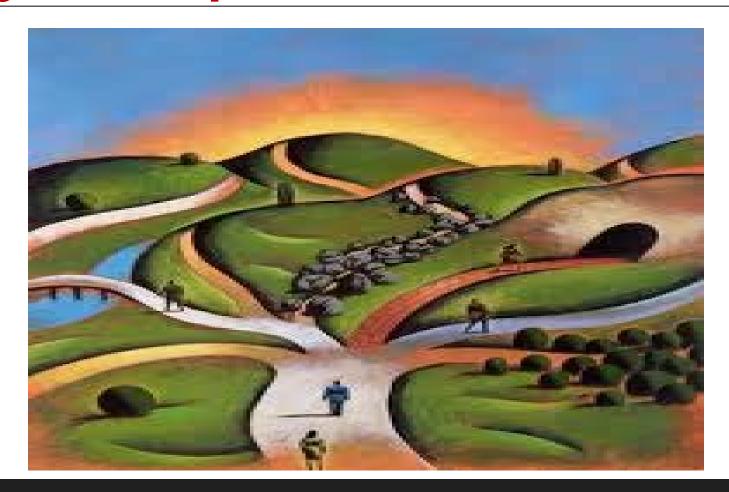
Cabassa, L. J., & Baumann, A. A. (2013). A two-

Baumann, A. A., & Cabassa, L. J. (2020). Reframing implementation science to address inequities in healthcare delivery. *BMC health services research*, 20(1), 1-9.way street: bridging implementation science and cultural adaptations of mental health treatments. *Implementation Science*, 8(1), 1-14.

Miller, C. J., Wiltsey-Stirman, S., & Baumann, A. A. (2020). Iterative Decision-making for Evaluation of Adaptations (IDEA): A decision tree for balancing adaptation, fidelity, and intervention impact. *Journal of community psychology*, 48(4), 1163-1177.

Stirman, S. W., Baumann, A. A., & Miller, C. J. (2019). The FRAME: an expanded framework for reporting adaptations and modifications to evidence-based interventions. *Implementation Science*, 14(1), 1-10.

# **Equity and Implementation Science**



Twitter: @BaumannAna

# Implementation science and healthcare equity: A path

Baumann and Cabassa BMC Health Services Research https://doi.org/10.1186/s12913-020-4975-3

(2020) 20:190

**BMC Health Services Research** 

#### DEBATE

**Open Access** 

Reframing implementation science to address inequities in healthcare delivery



Ana A. Baumann<sup>†</sup> and Leopoldo J. Cabassa<sup>\*†</sup>

## **Key Point**

Infusing implementation science with an equity approach can produce valuable knowledge to help reduce inequities in healthcare delivery

# Be careful with the silent assumptions: our research questions are not neutral

CULTURAL ADAPTATION FIELD: "All that is needed is to culturally adapt interventions"

HEALTHCARE DISPARITIES FIELD: "Focus on testing the efficacy and effectiveness of interventions in minority communities"

IMPLEMENTATION SCIENCE FIELD: "One size fits all: Just scale up interventions, it will improve the quality of care for everyone"



#### CONTEXT **Implementation Service Patient Outcomes Outcomes\* Outcomes** Feasibility Efficiency What? How? **Fidelity** Safety Satisfaction Qls Penetration Effectiveness **Function** CONTEXT Implementation Acceptability Health status/ Equity **ESTs** Strategies Sustainability Patientsymptoms Uptake centeredness Costs **Timeliness Processes Outcomes** \*Institute of Medicine Standards of Care **CONTEXT**

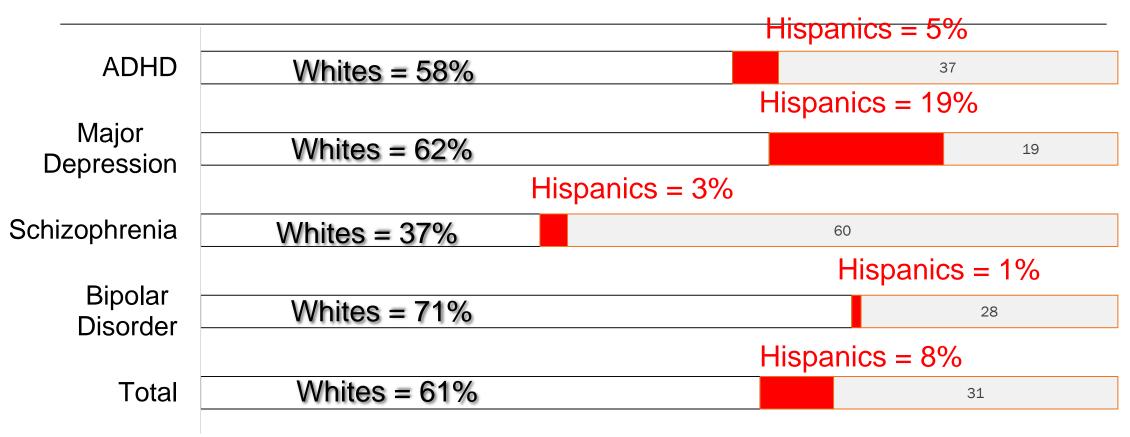
Proctor, E. K., Landsverk, J., Aarons, G., Chambers, D., Glisson, C., & Mittman, B. (2009). Implementation research in mental health services: an emerging science with conceptual, methodological, and training challenges. *Administration and Policy in Mental Health and Mental Health Services Research*, 36(1), 24-34.

- 1. Focus on reach from the very beginning
- 2. Design and select interventions with implementation in mind
- 3. Implement what works
- Develop the science of adaptations
- 5. Use an equity lens for implementation outcomes



# Focus on reach from the very beginning

# Underrepresentation of Hispanics in Clinical Trials for Common Mental Disorders (2001-2010)



Santiago, C. D., & Miranda, J. (2014). Progress in improving mental health services for racial-ethnic minority groups: A ten-year perspective. *Psychiatric Services*, *65*(2), 180-185.

# **Underrepresentation and Assumptions Matter**

- Consequences for:
  - Measurement development
  - Intervention efficacy
  - Intervention sustainability

Psychology in the Schools, Vol. 44(6), 2007 Published online in Wiley InterScience (www.interscience.wiley.com). © 2007 Wiley Periodicals, Inc. DOI: 10.1002/pits.20247

TOWARD REDUCED BIAS AND INCREASED UTILITY IN THE ASSESSMENT OF SCHOOL REFUSAL BEHAVIOR: THE CASE FOR DIVERSE SAMPLES AND EVALUATIONS OF CONTEXT

AARON R. LYON AND SHELDON COTLER

DePaul University

What do I think about inclusive education? It depends on who is asking. Experimental evidence for a social desirability bias in attitudes towards inclusion

Timo Lüke & Michael Grosche



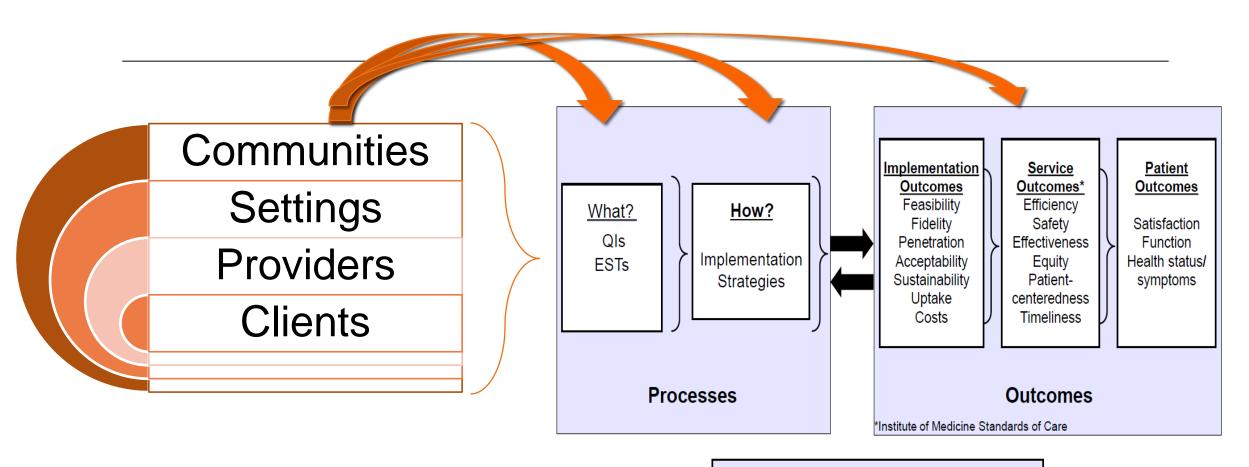


Article

The Myth That Only Brilliant People Are Good at Math and Its Implications for Diversity

Eleanor K. Chestnut 1,\*, Ryan F. Lei 1, Sarah-Jane Leslie 2 and Andrei Cimpian 1,\*

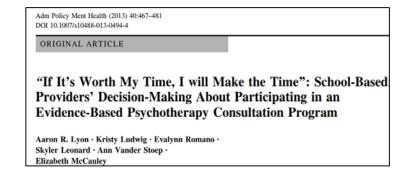
# Focus On Reach From The Beginning



Implementation Research Methods

# **Attention to Reach and Engagement**

- Who recruits: Use of peer specialists to deliver intervention. They bring trust, credibility, hope, and feasibility
- Where you recruit: issues of trust, safety, access
- How you recruit: word of mouth, using technology
- How are you engaging the community in your studies







The Journal of Negro Education, 76 (4), 555-581

Effective Strategies to Increase Diversity in STEM Fields: A Review of the Research Literature

Lisa Tsui The Urban Institute, Washington DC

# Design and select interventions with implementation in mind

### WHAT?

QIs ESTs

# Design and Select Interventions with Implementation in Mind

Partner with stakeholders from the very beginning

Focus on end-users at all levels

Consider the ecology of practice

# Design and select interventions with implementation in mind

- conduct the intervention development process with, for, and in the community
- User-center design: grounding the development of the intervention in the ultimate individuals and settings that will use the intervention
  - Attention to:
    - Flexibility and complexity
    - Cost
    - Feasibility

#### CLINICAL PSYCHOLOGY SCIENCE AND PRACTICE

User-Centered Design for Psychosocial Intervention Development and Implementation

Aaron R. Lyon, University of Washington Kelly Koerner, Evidence-Based Practice Institute, LLC

BMJ Open Optimising patient active role with a user-centred eHealth platform (CONCERTO+) in chronic diseases management: a study protocol for a pilot cluster randomised controlled trial

Marie-Pierre Gagnon, 1,2 Mame Awa Ndiaye, 1 Alain Larouche, 2 Guylaine Chabot, 5 Christian Chabot, 4 Ronald Buyl, 2 Jean-Paul Fortin, 1,6 Anik Giguère, 1,6 Annie Leblanc, 1,6 France Légaré, 1,6 Aude Motulsky, 7 Claude Sicotte, 7 Holly O Witteman, 8 Eric Kavanagh, 6 Frédrick Lépinay, 3 Jacynthe Roberge, 9

Cabassa et al. Implementation Science 2014, 9:178



#### RESEARCE

Using the collaborative intervention planning framework to adapt a health-care manager intervention to a new population and provider group to improve the health of people with serious mental illness

Leopoldo J Cabassa<sup>1,2\*</sup>, Arminda P Gomes<sup>1</sup>, Quisqueya Meyreles<sup>2</sup>, Lucia Capitelli<sup>2</sup>, Richard Younge<sup>3</sup>, Dianna Dragatsi<sup>2</sup>, Juana Alvarez<sup>2</sup>, Yamira Manrique<sup>1</sup> and Roberto Lewis-Fernández<sup>2,3</sup>

#### RESEARCH ARTICLE

Community-based participatory design of a community health worker breast cancer training intervention for South Florida Latinx farmworkers

Natalia M. Rodriguezo<sup>1</sup>\*, Felicia Casanovao<sup>2,3</sup>, Gabriela Pages<sup>3</sup>, Layla Claure<sup>3</sup>, Marian Pedreira<sup>3</sup>, Michael Touchton<sup>3</sup>, Felicia Knaul<sup>3</sup>

1 Department of Public Health, College of Health and Human Sciences, Purdue University, West Lafayette, Indiana, United States of America, 2 Department of Sociology, College of Arts and Sciences, University of Miami, Florida, United States of America, 3 Institute for Advanced Study of the Americas, University of Miami, Florida, United States of America

#### Disability & Society

ISSN: 0968-7599 (Print) 1360-0508 (Online) Journal homepage: https://www.tandfonline.com/loi/cdso20

'Whose agenda? Who knows best? Whose voice?' Co-creating a technology research roadmap with autism stakeholders

Sarah Parsons, Nicola Yuill, Judith Good & Mark Brosnan

# Implement what works and develop strategies to help reduce inequities in care

# Implement what works

We have interventions that decrease disparities

**BUT** 

They are not being implemented in usual care

Current Psychiatry Reports (2019) 21: 35 https://doi.org/10.1007/s11920-019-1017-0

PUBLIC POLICY AND PUBLIC HEALTH (G NORQUIST, SECTION EDITOR)



#### Community Interventions to Promote Mental Health and Social Equity

Enrico G. Castillo <sup>1,2,3</sup> · Roya Ijadi-Maghsoodi <sup>1,4,5</sup> · Sonya Shadravan <sup>1</sup> · Elizabeth Moore <sup>1</sup> · Michael O. Mensah III <sup>1</sup> · Mary Docherty <sup>6</sup> · Maria Gabriela Aguilera Nunez <sup>1</sup> · Nicolás Barcelo <sup>1</sup> · Nichole Goodsmith <sup>1</sup> · Laura E. Halpin <sup>1</sup> · Isabella Morton <sup>1</sup> · Joseph Mango <sup>1,7</sup> · Alanna E. Montero <sup>1,7</sup> · Sara Rahmanian Koushkaki <sup>1,7</sup> · Elizabeth Bromley <sup>1,7,8,9,10</sup> · Bowen Chung <sup>1,7,9,11,12</sup> · Felica Jones <sup>12</sup> · Sonya Gabrielian <sup>1,5</sup> · Lillian Gelberg <sup>10,13,14</sup> · Jared M. Greenberg <sup>1,5</sup> · Ippolytos Kalofonos <sup>1,2,15</sup> · Sheryl H. Kataoka <sup>1,7,16</sup> · Jeanne Miranda <sup>1,7,14</sup> · Harold A. Pincus <sup>9,17</sup> · Bonnie T. Zima <sup>1,7,16</sup> · Kenneth B. Wells <sup>1,7,9,14</sup>

Bastable, E., Meng, P., Falcon, S., & McIntosh, K. (2019). Using an embedded mixed methods design to assess and improve intervention acceptability of an equity-focused intervention: A methodological demonstration. *Behavior Disorders*. Advance online publication. doi: 10.1177/0198740919880486

From evidence to impact: Joining our best school mental health practices with our best implementation strategies

Aaron R. Lyon, Eric J. Bruns
University of Washington School of Medicine

# Implement What Works: The Role of Implementation Strategies

### HOW?

Implementation Strategies

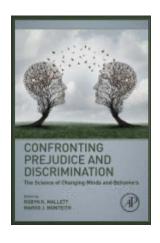
Increase trust, partnerships and ownership

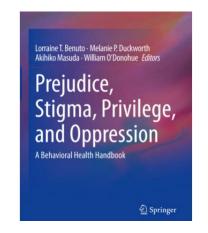
Build capacity, resources collaborative networks

Advocacy, Allyship, Cultural Humilty

# Which strategies could help

# promote equitable education?





Rehabilitation Psychology. 64(2):119-129, MAY 2019

DOI: 10.1037/rep0000256, PMID: 30489124 Issn Print: 0090-5550 Publication Date: May 2019





Disability Identity and Allyship in Rehabilitation Psychology: Sit, Stand, Sign, and Show Up

Anjali Forber-Pratt; Carlyn Mueller; Erin Andrews;

#### PEDIATRICS PERSPECTIVES

Advocating for Children During the COVID-19 School Closures

The current issue and full text archive of this journal is available on Emerald Insight at: https://www.emerald.com/insight/2040-7149.htm

Anti-racist

Anti-racist actions and accountability: not more empty promises

C. Malik Bovkin

#### Microresearch: Promoting Scholarly Activity That Addresses Health Disparities in **Rural Health Professional Education Programs**

Lynn Engelberg Glenn, PhD APRN Lisa Simon, MD, DMD Veronica S. Smith, MSEE Randall L. Longenecker, MD David Schmitz, MD Davis G. Patterson, PhD

#### How to provide anti-racist mental health care



Black communities in the USA and western countries health services and professionals, self-stigmatisation, and has led the American Psychological Association to poor experiences with care services, including attitudes 52215-0366(20)30309-6

The recent turmoil in response to police brutality against instead associated with negative perceptions of mental

# Develop the science of adaptation

### Adaptation

Can we all agree that adaptation happens?

#### **AND**

Adaptation is important if we are to address disparities

Because of the unique sociocultural context;
Threat to social validity

Prevention Science (2020) 21:820–829 https://doi.org/10.1007/s11121-020-01120-8

The User-Program Interaction: How Teacher Experience Shapes the Relationship Between Intervention Packaging and Fidelity to a State-Adopted Health Curriculum

Andria B. Eisman <sup>1</sup> · Amy M. Kilbourne <sup>2,3</sup> · Dana Greene Jr <sup>1</sup> · Maureen Walton <sup>2,4</sup> · Rebecca Cunningham <sup>4,5</sup>

Journal of Autism and Developmental Disorders (2019) 49:924–934 https://doi.org/10.1007/s10803-018-3795-3

#### **ORIGINAL PAPER**

Therapists' Adaptations to an Intervention to Reduce Challenging Behaviors in Children with Autism Spectrum Disorder in Publicly Funded Mental Health Services

Margaret W. Dyson<sup>1,2</sup> · Colby Chlebowski<sup>1,2</sup> · Lauren Brookman-Frazee<sup>1,2</sup>

Cabassa and Baumann Implementation Science 2013, 8:90 http://www.implementationscience.com/content/8/1/90



#### DEBATE

**Open Access** 

A two-way street: bridging implementation science and cultural adaptations of mental health treatments

Leopoldo J Cabassa<sup>1,2\*</sup> and Ana A Baumann<sup>3</sup>

### Adaptation

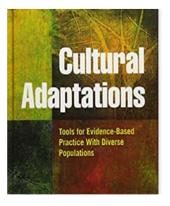
- Decision and Process Frameworks
- Methods



Qualitative Reports of How and When Therapists Adapt Children's Evidence-Based Practices during **Community Implementation** 

Miya L. Barnett, Lauren Brookman-Frazee, Juan Carlos Gonzalez, Chanel Zhan, Adriana Rodriguez, Nicole A. Stadnick & Anna S. Lau





#### A scoping study of frameworks for adapting public health evidence-based interventions

Cam Escoffery, Erin Lebow-Skelley, Hallie Udelson, Elaine A. Böing, Richard Wood, Maria E. Fernandez. Patricia D. Mullen

Cabassa et al. Implementation Science 2014, 9:178



#### RESEARCH

Using the collaborative intervention planning framework to adapt a health-care manager intervention to a new population and provider group to improve the health of people with serious mental illness

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#### CLINICAL PSYCHOLOGY SCIENCE AND PRACTICE

Parent Management Training-Oregon Model (PMTO™) in Mexico City: Integrating Cultural Adaptation Activities in an Implementation Model

Ana A. Baumann, Brown School of Social Work, Washington University in St. Louis Melanie M. Domenech Rodríguez, Utah State University Nancy G. Amador, Instituto Mexicano de Psiquiatria Ramón de la Fuente Muniz Marion S. Forgatch, Oregon Social Learning Center J. Rubén Parra-Cardona, Michigan State University

Received: 30 May 2019 Revised: 23 October 2019 Accepted: 26 October 2019 DOI: 10.1002/jcop.22279

SPECIAL ISSUE ARTICLE

Iterative Decision-making for Evaluation of Adaptations (IDEA): A decision tree for balancing adaptation, fidelity, and intervention impact

Ana A. Baumann PhD3 00

Christopher J. Miller PhD<sup>1</sup> | Shannon Wiltsey-Stirman PhD<sup>2</sup> |

Implementation Science

Towards a comprehensive model for understanding adaptations' impact: the model for adaptation design and impact (MADI)

M. Alexis Kirk<sup>1\*</sup>, Julia E. Moore<sup>2</sup>, Shannon Wiltsey Stirman<sup>3</sup> and Sarah A. Birken<sup>4</sup>

# Science of Adaptation

**Adaptation** 



# Use an equity lens for implementation outcomes

### Using an equity lens

The same intervention and implementation strategy has different acceptability & feasibility depending on the context

Scaling Out Evidence-Based Interventions Outside the U.S. Mainland: Social Justice or Trojan Horse?

Melanie M. Domenech Rodríguez

Ana A. Baumann Utah State University Washington University in St. Louis

Alejandro L. Vázquez Utah State University

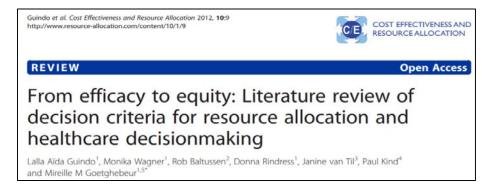
Nancy G. Amador-Buenabad Instituto Nacional de Psiquiatría Ramón de la Fuente Muñíz, Mexico City, Mexico

Natalie Franceschi Rivera Ponce, Puerto Rico

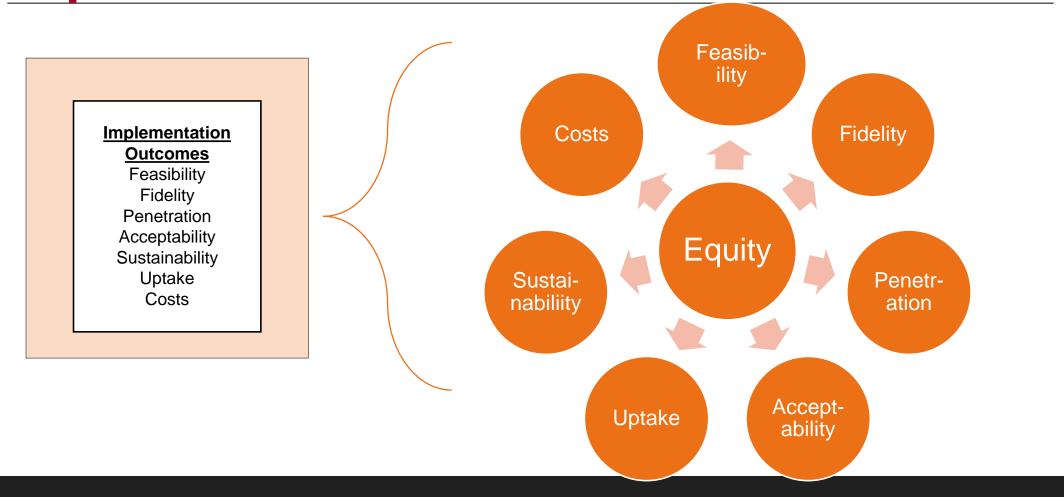
Nydia Ortiz-Pons Ponce Health Sciences University

J. Rubén Parra-Cardona University of Texas at Austin





# **Use an Equity Lens for Implementation Outcomes**



# In summary: Future Areas of Inquiries

#### Achieve

Achieve inclusion and representation

### Reconfigure

Reconfigure the intervention development and refinement process

### Expand

Expand the science of adaptation

Place equity at the center of implementation studies

### Invest in

Invest in implementation trials that focus on enhancing equity in healthcare deliver

Thank You!
Ana A. Baumann, PhD
Washington University in St. Louis
abaumannwalker@wustl.edu
Twitter: @BaumannAna