

Experience with Incorporating GRADE-Based Evidence Integration Frameworks into Environmental Health Evaluations

Kris Thayer, National Center for Environmental Assessment (NCEA) Integrated Risk Information System (IRIS) Division Director

NAS Workshop "Evidence integration in Chemical Assessments: Challenges Faced in Developing and Communicating Human Health Effect Conclusions" June 3-4, 2019 (Washington DC)



Outline

- Examples
- Overview of areas of method development most pertinent to environmental and occupational health.
 - Epidemiological evidence
 - Animal evidence
 - Mechanistic evidence
 - Evidence Integration

Many methodological issues identified in applying GRADE to evidence integration in environmental health generally fall under GRADE's directness domain



UCSF Navigation Guide: PFOA and Fetal Growth (2014)

human reproduction and development





NTP-OHAT Handbook (2015, Updated 2019)



Handbook for Conducting a Literature-Based Health
Assessment Using OHAT Approach for Systematic Review and
Evidence Integration

March 4, 2019

Office of Health Assessment and Translation (OHAT)

Division of the National Toxicology Program

National Institute of Environmental Health Sciences



NTP-OHAT Handbook (2015, Updated 2019)



Handbook for Conducting a Assessment Using OHAT Approa Evidence In

Table 6. Evidence Profi	le of the	e Main	Finding	s for PF	OA Im	munoto	xicity			
	"" if no	concerr	g confiden; "↓" if so	erious		Factors in "" if not sufficient				
INITIAL CONFIDENCE for each body of evidence (# of studies)	Risk of Bias	Unexplained Inconsistency	Indirectness	Imprecision	Publication Bias	Large Magnitude	Dose Response	Residual Confounding	Consistency Species/Model	FINAL CONFIDENCE RATING
Immunotoxicity Based on Evidence for Suppression of the Antibody Response										
Human										
Initial Moderate (4 prospective studies) ^a										Moderate
Initial Low (2 cross-sectional studies) ^b										Low
Confidence Across Human Bodies of Evidence	No chang	ge for cor	nsidering	across st	udy desi	gns				Moderate
Animal										
Initial High (7 mammal studies)	+						1			High

March 4,

Office of Health Assessment

Division of the National

References:
Human: Granum (2013)^a, Grandjean (2012)^a, Kielsen (2016)^b, Looker (2014)^a, Mogensen (2015)^a, Stein (2016)^b

Animal: DeWitt (2008, 2009a, 2016), Hu (2010), Loveless (2008), Vetvicka (2013), Yang (2002a)

National Institute of Environ

NTP (National Toxicology Program). 2016. *Monograph on Immunotoxicity Associated with Exposure to Perfluorooctanoic acid (PFOA) and perfluorooctane sulfonate (PFOS)*. Research Triangle Park, NC: National Toxicology Program.

http://ntp.niehs.nih.gov/ntp/ohat/pfoa_pfos/pfoa_pfosmonograph_508.pdf
4



NAS (2017) Low Dose Toxicity From Endocrine Active Chemicals

The National Academies of SCIENCES - ENGINEERING - MEDICINE CONSENSUS STUDY REPORT APPLICATION OF SYSTEMATIC REVIEW METHODS IN AN OVERALL STRATEGY FOR EVALUATING LOW-DOSE TOXICITY FROM ENDOCRINE ACTIVE CHEMICALS



NAS (2017) Low Dose Toxicity From Endocrine Active Chemicals

The National Academies of SCIENCES - ENGINEERING - MEDICINE

CONSENSUS STUDY REPOR

TABLE 3-3 Profile of the Confidence in the Body of Evidence on DEHP and AGD in Animals

		·	ors Decrea If No Con ern to Dov	cern; "↓'	' If Serio		"—" I		sing Confi esent; "†" i dence		nt to	
Phthalate	INITIAL CONFIDENCE RATING (# of studies)	Risk of Bias	Unexplained Inconsistency	Indirectness	Imprecision	Publication Bias	Large Magnitude	Dose Response	Residual Confounding	Consistency Across Species/Models	Rare Outcome	FINAL CONFIDENCE RATING
DEHP	High (16 rat, ^a 3 mouse ^b)	Ţ	_	_	_	_	1	1	_	_	_	High

⁶Moore et al. (2001); Borch et al. (2004); Jarfelt et al. (2005); Wolfe and Layton (2005); Andrade et al. (2006); Culty et al. (2008); Lin et al. (2008, 2009); Christiansen et al. (2009, 2010); Gray et al. (2009); Martino-Andrade et

al. (2009); Vo et bLiu et al. (2008)

APPLICATION OF
SYSTEMATIC REVIEW METHODS
IN AN OVERALL STRATEGY
FOR EVALUATING LOW-DOSE TOXICITY
FROM ENDOCRINE ACTIVE CHEMICAL

TABLE 3-	9 Profile of the	Confidence in th	e Bod	y of E	videnc	e on D	EHP :	and A	GD in	Humans	1
			""	tors Dec If No Co ern to Do	ncern;	"↓" If S	erious	"_"	tors Incr Confider If Not I If Suffic ade Con	nce Present;	
Phthalate	Metabolite(s)	INITIAL CONFIDENCE RATING (# of studies)	Risk of Bias	Unexplained Inconsistency	Indirectness	Imprecision	Publication Bias	Large Magnitude	Dose Response	Residual Confounding	FINAL CONFIDENCE RATING
DEHP	MEHP; 5-oxo-MEHP; 5OH-MEHP; sumDEHP metabolites	Moderate (6 prospective) ^a	_	_	_	_	_	_	_	_	Moderate

FROM ENDOCRINE ACTIVE CHEMICAL aSwan et al. (2008); Bustamante-Montes et al. (2013); Bornehag et al. (2015); Swan et al. (2015); Jensen et al. (2016); Martino-Andrade et al. (2016).



Initial Level of Certainty Rating of Low for Observational Studies

8

Impact of ROBINS-I Risk of Bias Tool for Non-Randomized Studies of Interventions



Initial Certainty for Observational Studies

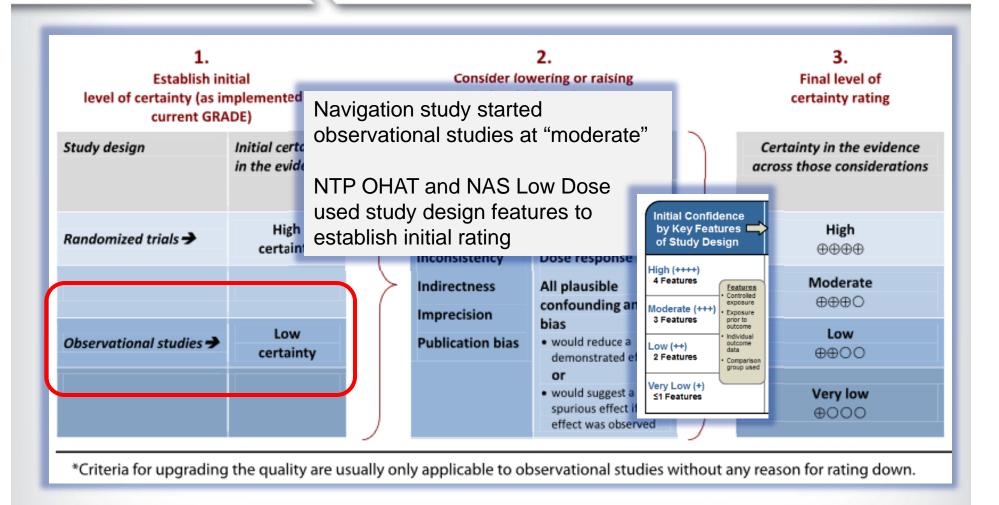
1. Establish in level of certainty (as in current GRA	mplemented in			2. wering or raising of certainty	3. Final level of certainty rating	
Study design	Initial certainty in the evidence			nsidering lowering ng certainty	Certainty in the evidence across those consideration	
			↓ Lower if	↑ Higher if*		
Randomized trials 🗲	High certainty		Risk of Bias Inconsistency	Large effect Dose response	High ⊕⊕⊕⊕	
		\rangle	Indirectness Imprecision	All plausible confounding and	Moderate ⊕⊕⊕⊖	
Observational studies 🗲	Low certainty		Publication bias	would reduce a demonstrated effect	Low ⊕⊕○○	
				would suggest a spurious effect if no effect was observed	Very low ⊕○○○	

*Criteria for upgrading the quality are usually only applicable to observational studies without any reason for rating down.

Schunemann, H. J., et al. (2018). "GRADE Guidelines: 18. How ROBINS-I and other tools to assess risk of bias in non-randomized studies should be used to rate the certainty of a body of evidence." J Clin Epidemiol.



Initial Certainty for Observational Studies



Schunemann, H. J., et al. (2018). "GRADE Guidelines: 18. How ROBINS-I and other tools to assess risk of bias in non-randomized studies should be used to rate the certainty of a body of evidence." J Clin Epidemiol.



RESEARCH METHODS AND REPORTING



ROBINS-I: a tool for assessing risk of bias in non-randomised studies of interventions



For numbered affiliations see end of article

Correspondence to: J A C Sterne ionathan.steme@bristol.ac.uk Additional material is published online only. To view please visit the Journal online.

Cite this as: BMJ 2016;355:i4919 http://dx.doi.org/10.1136/bmj.i4919 James R Carpenter. 11 An-Wen Chan. 12 Rachel Churchill. 13 Jonathan J Deeks. 14 Asbiørn Hróbiartsson. 15 Jamie Kirkham, 16 Peter Jüni, 17 Yoon K Loke, 18 Theresa D Pigott, 19 Craig R Ramsay, 20 Deborah Regidor, 21 Hannah R Rothstein, 22 Lakhbir Sandhu, 23 Pasqualina L Santaguida, 24 Holger J Schünemann, 25 Beverly Shea, 26 Ian Shrier, 27 Peter Tugwell, 28 Lucy Turner, 29 Jeffrey C Valentine, 30 Hugh Waddington, 31 Elizabeth Waters, 32 George A Wells, 33 Penny F Whiting, 34 Julian PT Higgins 35

Jonathan AC Sterne, Miguel A Hernán, Barnaby C Reeves, Jelena Savović, A Nancy D Berkman, 5 Meera Viswanathan, 6 David Henry, 7 Douglas G Altman, 8 Mohammed T Ansari, 9 Isabelle Boutron, 10

Non-randomised studies of the effects of interventions are critical to many areas of healthcare evaluation,

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such as cohort studies and case-control studies in which intervention groups are allocated during the course of usual treatment decisions, and quasi-ran-

"Evaluations of risk of bias in the results of NRSI are facilitated by considering each NRSI as an attempt to emulate (mimic) a "target" trial. This is the hypothetical pragmatic randomized trial, conducted on the same estimate participant group and without features putting it at risk of bias, whose results would answer the question not use addressed by the NRSI. Such a "target" trial need not be feasible or ethical..."

The tool will be particularly useful to those undertaking systematic reviews that include non-randomised studies.

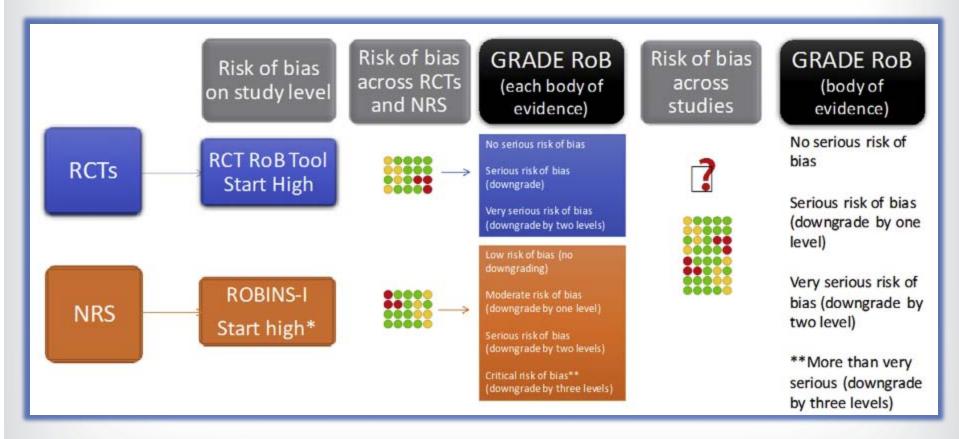
evidence.

Many tools to assess the methodological quality of observational studies in the context of a systematic review have been proposed.45 The Newcastle-Ottawa6 and Downs-Rlack? tools have been two of the most non



Initial Certainty for Observational Studies

 GRADE with use of ROBINS-I (or similar) tool to evaluate risk of bias in nonrandomized studies

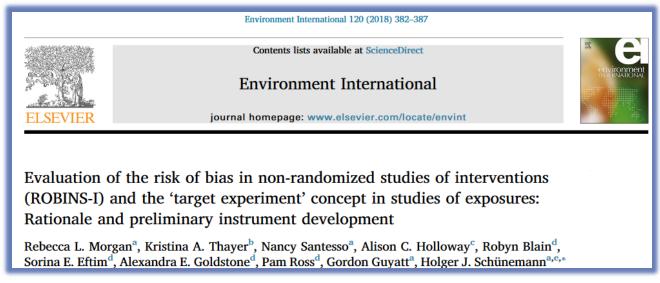


Schunemann, H. J., et al. (2018). "GRADE Guidelines: 18. How ROBINS-I and other tools to assess risk of bias in non-randomized studies should be used to rate the certainty of a body of evidence." J Clin Epidemiol.



ROBINS-E for Exposures

 The evaluation of exposures sufficiently different from interventions to warrant separate tool



- ROBINS-E Workshop: Developing ROBINS-I for studies of exposures (ROBINS-E).
 January 30-31, 2017
 - Currently revising based on comments and starting pilot testing
 - Future work: Assessing impact of potential confounding and other factors for an individual study (purview of systematic review) and across a body of evidence (GRADE purview)



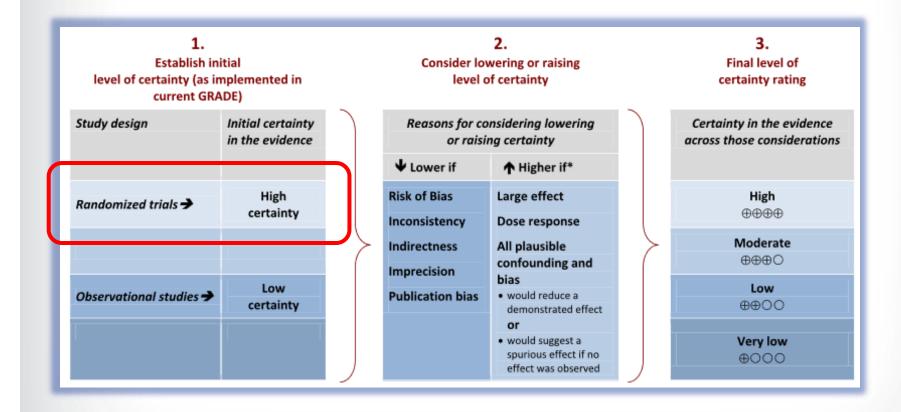
Animal Studies

Office of Research and Development NCEA, IRIS



Animal Studies in GRADE

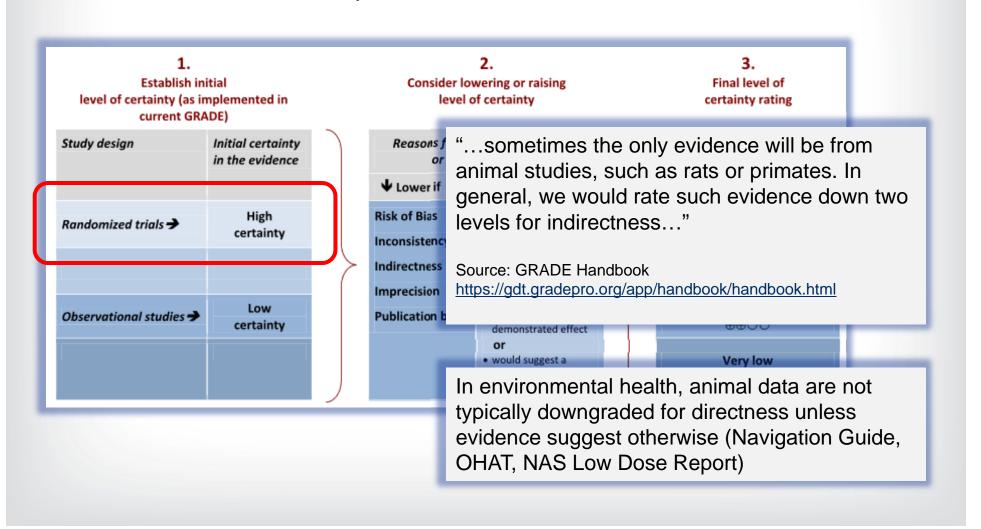
Animal studies are essentially treated like randomized trials in humans





Animal Studies in GRADE

Animal studies are essentially treated like randomized trials in humans





Animal Studies and Directness



RESEARCH ARTICLE

Facilitating healthcare decisions by assessing the certainty in the evidence from preclinical animal studies

Carlijn R. Hooijmans¹, Rob B. M. de Vries¹, Mere Mariska M. Leeflang², Joanna IntHout¹, Kimberl Ton Kuijpers⁵, Malcolm R. Macleod⁶, Emily S. S. L. Morgan^{8,9}, Kristina A. Thayer¹⁰, Andrew A. R. J. Schünemann^{8,9}, Miranda W. Langendam^{2*}, o

1 Systematic Review Centre for Laboratory Animal Exper Evidence, Radboud University Medical Center, Nijmegen, Epidemiology, Biostatistics and Bioinformatics, Academic Amsterdam, The Netherlands, 3 Cochrane Netherlands, Netherlands, 4 Guide2Guidance, Urecht, The Netherland Utrecht, The Netherlands, 6 Center for Clinical Brain Scie Kingdom, 7 Department of General Practice, Academic N Amsterdam, The Netherlands, 8 Department of Health Re University, Hamilton, ON, Canada, 9 Department of Medi 10 Division of the National Toxicology Program, National Institutes of Health, Department of Health and Human Ser

"In rating the certainty of the evidence we propose to assess—by outcome the GRADE downgrading factors a) risk of bias, imprecision, inconsistency and publication bias, followed by b) two layers of indirectness and c) considering upgrading. The last step is to rate the certainty in the effect taking all factors in conjunction. How indirectness should be weighted in the total rating remains a challenge."

Two layers of directness:

- Evidence from preclinical animal studies compared to preclinical PICO
- Evidence from preclinical animal studies compared to clinical PICO (also called translatability)

Hooijmans, C. R., et al. (2018) Facilitating healthcare decisions by assessing the certainty in the evidence from preclinical animal studies. *PloS one*, 13(1):e0187271.



Mechanistic Evidence

Office of Research and Development NCEA, IRIS

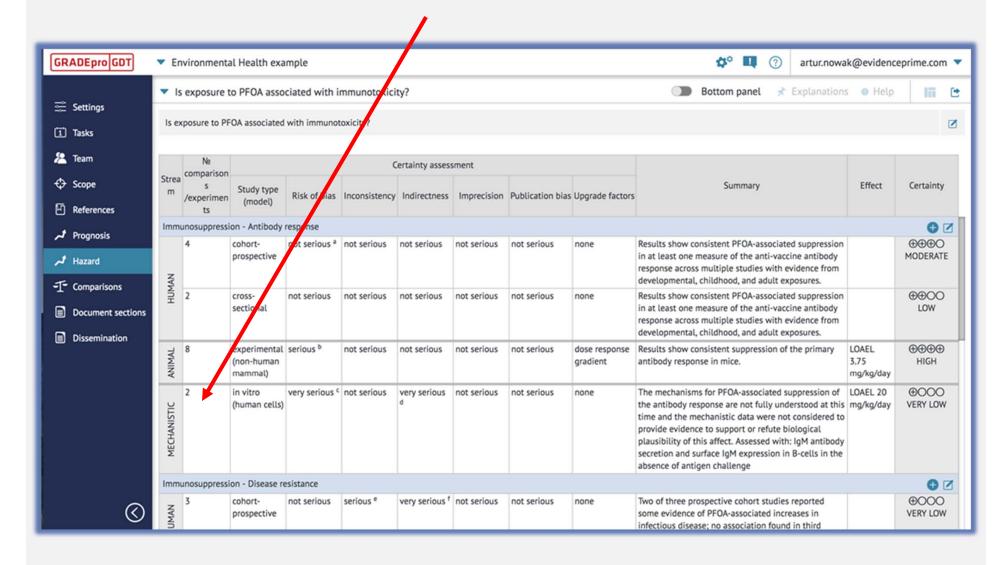


Current Discussion Areas

- Assessing risk of bias for mechanistic studies, both at the individual study level (which informs across body of evidence judgements)
 - Risk of bias ≠ reporting quality
 - Pragmatic considerations: the need to evaluate every mechanistic study versus studies assessing key topics, especially for large evidence base topics?
 - How evaluate risk of bias for -omic or high throughput screening data?
- Evaluation of directness
 - Mechanistic evidence as a stand alone evidence stream
 - "Gap filling" in the evaluation of directness of other types of evidence
 - How to communicate increased confidence based on a collection of separate indirect lines of evidence



Example of mechanistic evidence presented as a stand alone evidence stream in GRADEPro software



Courtesy of Brandy Beverly, NTP OHAT (also co-chair of GRADE Environmental Health Project Group, brandy,beverly@nih.gov)



Mechanistic Evidence and GRADE

Issue	Key Events/Activities	Status
Mechanistic Evidence	NAS workshop, December 10-11, 2018 Ottawa, December 17-18, 2018 NAS workshop, June 3-4, 2019 EBTC, June 12, 2019 GRADE, June 13-14, 2019	Examples needed to develop GRADE guidance
Modelled Evidence	Workshop: "GRADE for modelled evidence. May 15-16, 2017. McMaster University. Hamilton"	GRADE factors apply; additional examples and discussion needed to develop guidance

For more information contact co-chairs of GRADE Environmental Health Project Group: Rebecca Morgan (morganrl@mcmaster.ca) or Brandy Beverly (brandy.beverly@nih.gov)



Evidence Integration

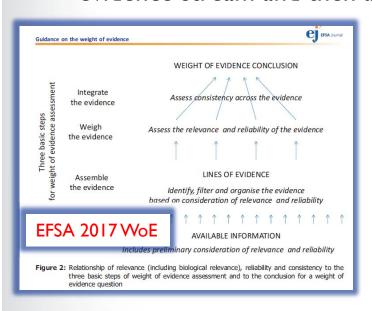
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- GRADE does not currently have guidance on integrating across different evidence streams (aka human, animal, in vitro)
- In environmental health, many approaches reach judgements within an evidence stream and then across evidence streams

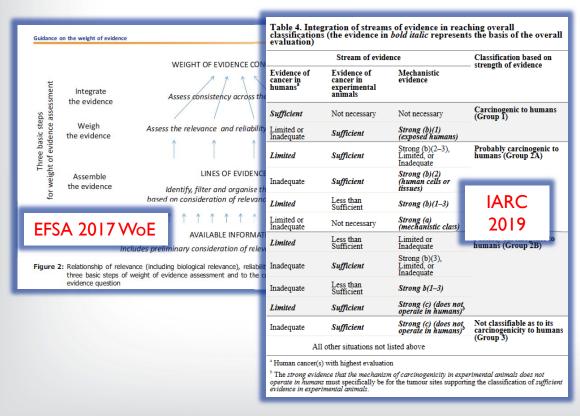


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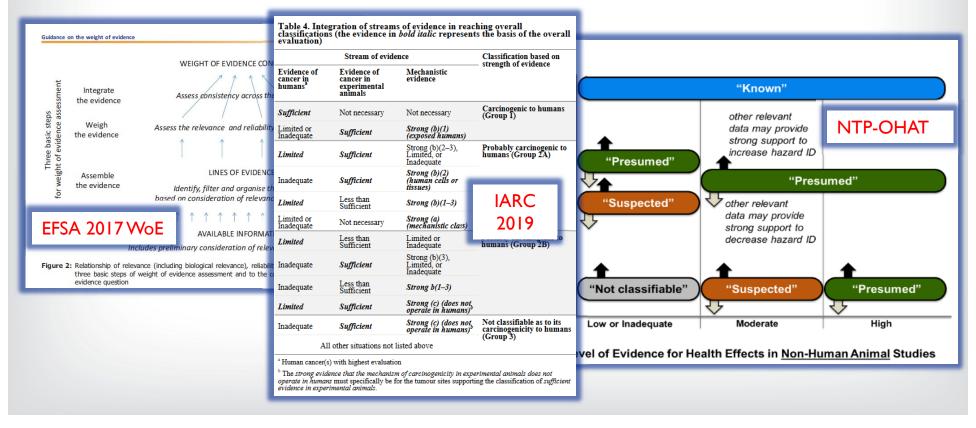


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US EPA IRIS Evidence Profile Table

evidence integration within a line of evidence (the step most analogous to GRADE CiE framework)

evidence integration across lines of evidence

Studies and interpretation	Factors that increase strength	Factors that decrease strength	Summary of findings	Human and anin evidence judgme	1	Inference across lines of evidence	Overall evidence integration conclusion
[Health effect or o	utcome grouping]			•			
References Study confidence (based on evaluation of risk of bias and sensitivity) Study design description Conce Conce *Coule	pt not explicitly o	ADE, but presen outlined in GRAI as part of directr	Results information (general endpoints affected/unaffected) across studies Human mechanistic evidence informing biological plausibility*: discuss how data influenced the human evidence judgment (e.g., evidence of precursors in exposed humans) Could be multiple rows (e.g., grouped by study confidence or population) if this informs heterogeneity of results ted differently in IRIS DE ness (Schünemann et and examples needed	Describe strength the evidence from human studies and primary basis for judgment:	ate	mechanistic data) o Information on susceptibility	Describe conclusion(s) and primary basis for the integration of all available evidence (across human, animal, and mechanistic): UHHHHHHHHHHHHHHHHHHHHHHHHHHHHHHHHHHH



IRIS Evidence Synthesis and Integration

IRIS structured framework reviewed by NAS in April 2018 report

Progress Toward Transforming the Integrated Risk Information System (IRIS) Program: A 2018 Evaluation

Committee to Review Advances Made to the IRIS Process

Board on Environmental Studies and Toxicology

Division on Earth and Life Studies

A Consensus Study Report of The National Academies of SCIENCES · ENGINEERING · MEDICINE

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IRIS Evidence Synthesis and Integration

IRIS structured framework reviewed by NAS in April 2018 report

Progress Toward Transforming the Integrated Risk Information System (IRIS) Program:

The major recommendation in Chapter 6 of the 2014 report guided IRIS to choose between making its current guided expert process more transparent and adopting a more structured, GRADE-like, 5 process along the line "The major recommendation in Chapter 6 of the 2014 report guided IRIS using structure les to choose between making its current guided expert process more toward more s nd transparent and adopting a more structured, GRADE-like...The IRIS D-5, Appendi ng human eviden program has explicitly chosen the first option using structured categories of evidence (see nd with criteria to guide expert judgment, and EPA has made substantial use mechanist mstrides toward more systematic and transparent evidence synthesis...the tegration in th on IRIS program has created a process for evidence synthesis that is and to build or ice scientifically consistent with the state of the art and that effectively synthesis,6 the ent with the state | leverages approaches of other programs, such as NTP, that face similar ice similar challer out challenges...The committee supports EPA's approach." (page 9) further transparency would be obtained with completion of a nandobok that provides more details about processes, reasoning behind decisions, and approaches for presenting results. In the interim, while EPA is completing its handbook, it is releasing protocols for each assessment that include a description of how evidence within each data stream will be synthesized and how evidence from multiple data streams will be integrated. The draft protocol for the IRIS assessment of chloroform (EPA 2018b) was provided as an example. The committee supports EPA's approach.



IRIS Evidence Synthesis and Integration

- Released for public comment in several protocols
 - Chloroform (January 2018), chromium (April 2019), arsenic (May 2019)
- Presented in peer-reviewed journals
 - Radke EG et al. (2018) Phthalate exposure and male reproductive effects: a systematic review of epidemiological studies. Environ Int. 2018 Dec; 121(Pt 1):764-793.
 - Yost EE et al. (2019) Hazards of diisobutyl phthalate (DIBP) exposure: A systematic review of animal toxicology studies. Environ Int. 2019 Apr;125:579-594.
 - Radke EG et al. (2019, accepted Enviro Int) Phthalate exposure and metabolic effects: a systematic review of the human epidemiological evidence.
 - Radke EG et al. (2019, accepted Enviro Int) Phthalate exposure and female reproductive and developmental outcomes: a systematic review of the human epidemiological evidence
- See posters for additional examples, software and discussion
- Continued engagement in method development and refinement with GRADE, NTP, EBTC, EPA Systematic Review Community of Practice, others



Conclusions

- Engagement between the environmental health community and GRADE has increased in the past several years
 - Consideration of mechanistic evidence on the agenda for the June 13-14,
 2019 GRADE meeting (Hamilton, ON)
- The GRADE domain of indirectness appears to be most relevant to mechanistic evidence and evidence integration (?)