

Machine learning for ocean exploration

Peter Gerstoft, UCSD NoiseLab
University of California San Diego

Website: noiselab.ucsd.edu

Machine learning is based on

- Statistical principles (Bayesian)
- Linear algebra
- **Data**
- Further, there is low-hanging fruit

- **Machine Learning (ML)** and **Artificial Intelligence (AI)** are closely related fields
- ML methods combine **statistics** and computer science principles to obtain models that describe phenomena, or accomplish specific tasks
- ML is based on theory for model identification, control, and estimation. Neural network approximations are solved rapidly on modern architecture, leading to great excitement
- E.g., I taught a class on data assimilation with 10 graduate students, In 2016 I changed it to “Machine learning for physical applications” with 65 graduate students, 245 students in 2020.
=> to attract students, do some ML

ML Principles: Learning from data

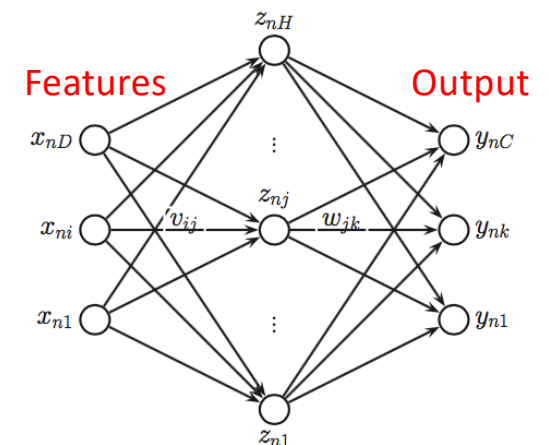
In ML, we are often interested in training a model to produce a desired output given inputs

$$\mathbf{y} = \mathbf{f}(\mathbf{x}) + \epsilon$$

Input $\mathbf{x} \in \mathbb{R}^N$, N **features**

output $\mathbf{y} \in \mathbb{R}^P$, P **outputs**

- **Supervised learning:** the P **outputs** have labelled examples (response variables \mathbf{y})
- **Unsupervised learning:** there are no labels. The goal is to find interesting properties from \mathbf{x} , as an autoencoder $\tilde{\mathbf{x}} = \mathbf{f}(\mathbf{x})$
- and we **train** the model **on data**
-and **test** the model on **new** data.
- Testing measure the ability to **generalize**
- Most relevant when data can't be explained by **existing models**



Much more than neural networks

Dictionary learning

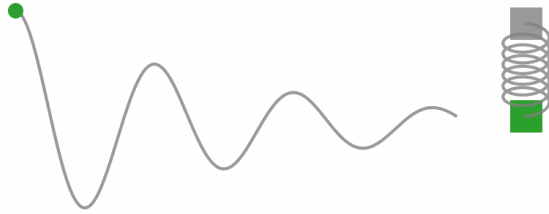
Gaussian Processes

Uncertainty prediction

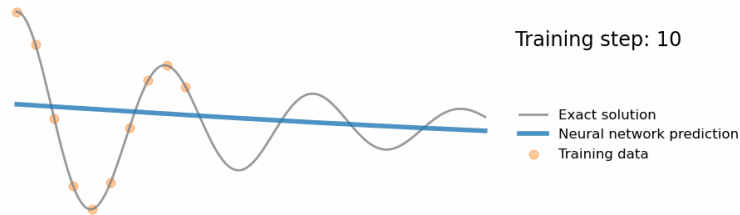
Graph processing

We need physics in the ML, e.g. Physics-Informed NN

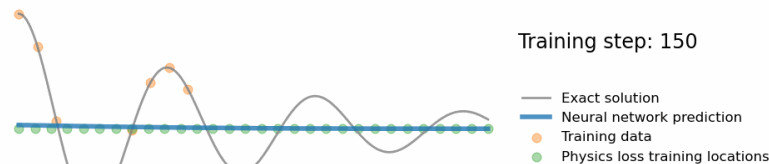
PHYSICS !



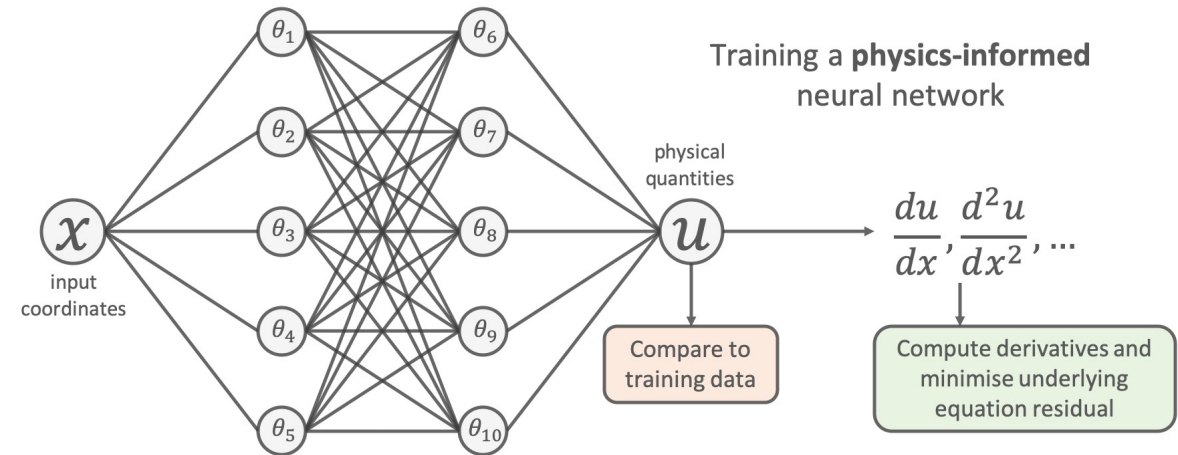
- **input** training locations
- **output** displacement
- **gradients** of NN output with respect to its input are computed (analytically available for NN).
- **Residual** of the PDE using these gradients is added to the loss function.



Doesn't generalize



Generalize well



$$\min \frac{1}{N} \sum_i^N (u_{\text{NN}}(x_i; \theta) - u_{\text{true}}(x_i))^2 + \frac{1}{M} \sum_j^M \left(\left[m \frac{d^2}{dx^2} + \mu \frac{d}{dx} + k \right] u_{\text{NN}}(x_j; \theta) \right)^2$$

Uncertainty quantification

In predicting a value, the uncertainty is equally important

- Bayesian methods such as Markov Chain Monte Carlo can be slow, but give the full PDF

- Gaussian processes

- UQ often just **characterize the uncertainty, not a PDF**

Conformal prediction guarantees a confidence interval

Related topics:

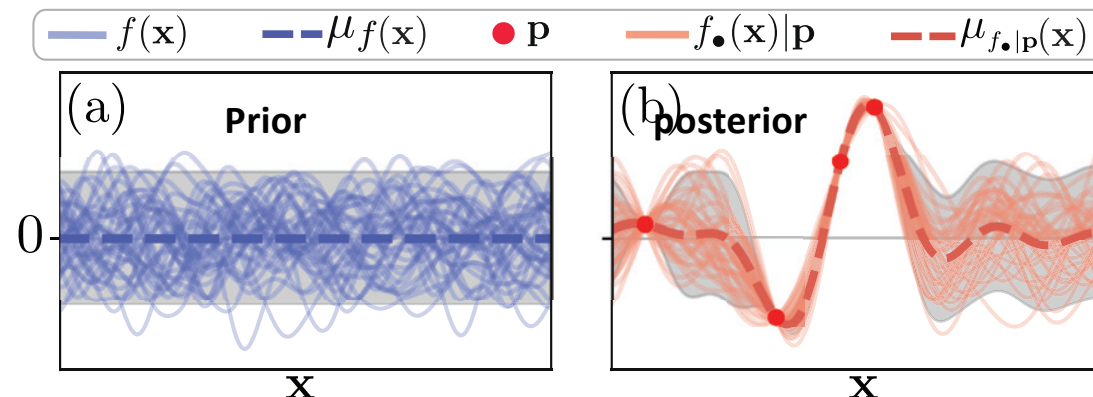
- Propagation of uncertainty
- Maybe by uncertainty for the latent variable
- Explainable models
- Pattern detection
- Unsupervised learning

Gaussian process:

$$f(x) \sim GP(m(x), k(x, x')),$$

The kernel depend on distance between the points

$$\kappa(r_i, r_j) = \sigma_f \exp \left(\frac{-\|r_i - r_j\|^2}{2\ell^2} \right),$$



ML for Ocean + Climate sciences

Modelling:

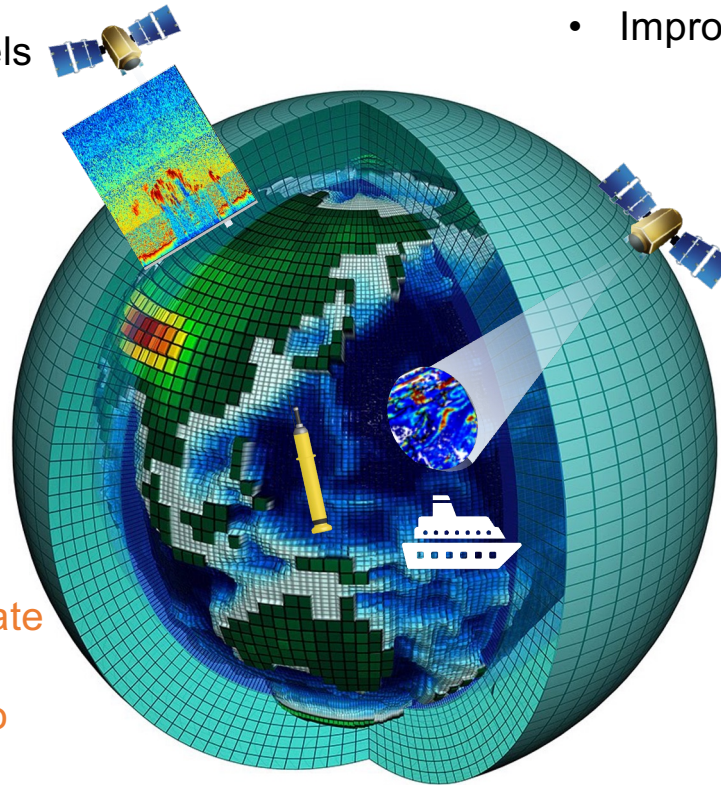
- **Learning** emulators and surrogates
- Both within and across models, for:
 - Higher resolution
 - Better physics (surrogate models for unresolved processes)
 - Faster compute (NN)

Classical Data assimilation:

- Produce reanalyses to **train** surrogate models
- Analysis residuals are input to ML to find patterns to improve the models

Observations:

- Feature detection
- Unsupervised clustering and outlier detection
- Finer grained classifications
- Improved trend analysis / event attribution



Data assimilation **with ML:**

- **Can** ingest more observational data
- **Can** learn **observational operators**
- **Currently UQ needs improvement**

Many of the things we already do, **but faster and more precise!**

ML in next decade

AI assistants for scientists to perform tasks that can be specified:
Literature survey, gather data, write code to implement the analysis, create draft papers, review papers, ...

- Goals: Human learning, problem solving (e.g., improved forecasts)
- Manual labelling could be reduced with unsupervised or semi-supervised learning
- Combine **multimodal sensing** with AI
 - Video and sound In observatories
 - Satellite could predict ocean background noise. Observing rain, waves and chlorophyll
 - Assimilation of SAR images with complicated physics
- Predict turbulence
- Hyperspectral sensing
- Climate change
- Ocean modelling
- Humans working closely with AI to vet the output and to learn
- Reduce the US *Academic Research Fleet (ARF)* with robots or wave gliders and AUVs all controlled by ML
- **Risk of AI:** Fake papers, Too many papers, replace apprenticeships (grad students), perhaps scientists



None of these people exist! With GAN



Machine learning in acoustics: Theory and applications

J Acoustical Soc. Am. Nov 2019

Freely available @

<https://doi.org/10.1121/1.5133944>

42 pages, 350 citations

Michael J. Bianco,^{1,a)} Peter Gerstoft,¹ James Traer,² Emma Ozanich,¹ Marie A. Roch,³ Sharon Gannot,⁴ and Charles-Alban Deledalle⁵

¹*Scripps Institution of Oceanography, University of California San Diego, La Jolla, California 92093, USA*

²*Department of Brain and Cognitive Sciences, Massachusetts Institute of Technology, Cambridge, Massachusetts 02139, USA*

³*Department of Computer Science, San Diego State University, San Diego, California 92182, USA*

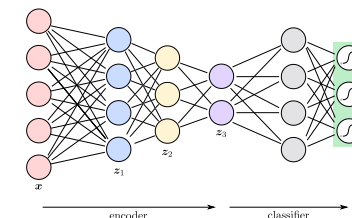
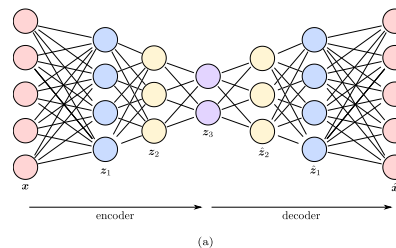
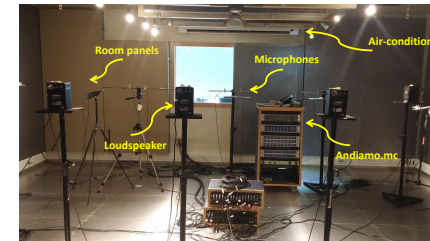
⁴*Faculty of Engineering, Bar-Ilan University, Ramat-Gan 5290002, Israel*

⁵*Department of Electrical and Computer Engineering, University of California San Diego, La Jolla, California 92093, USA*

(Received 9 May 2019; revised 23 September 2019; accepted 14 October 2019; published online 27 November 2019)

Comprehensive review, including:

- *Introduction to ML theory*
- *Deep learning*
- *Source localization in speech processing*
- *Source localization in ocean acoustics*
- *Bioacoustics*
- *Human perception of sound*



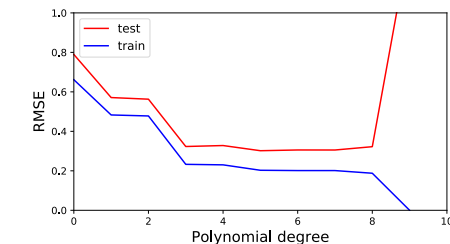
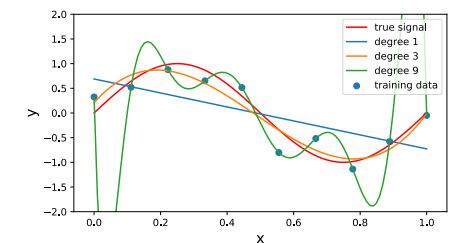
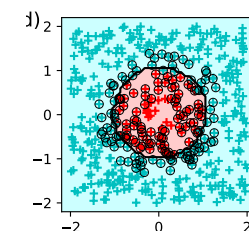
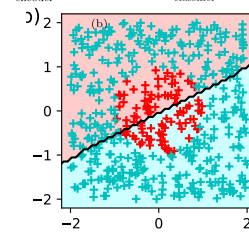
Much more than neural networks

Dictionary learning

Gaussian Processes

Uncertainty prediction

Graph processing



Discussion (red text is questions posed)

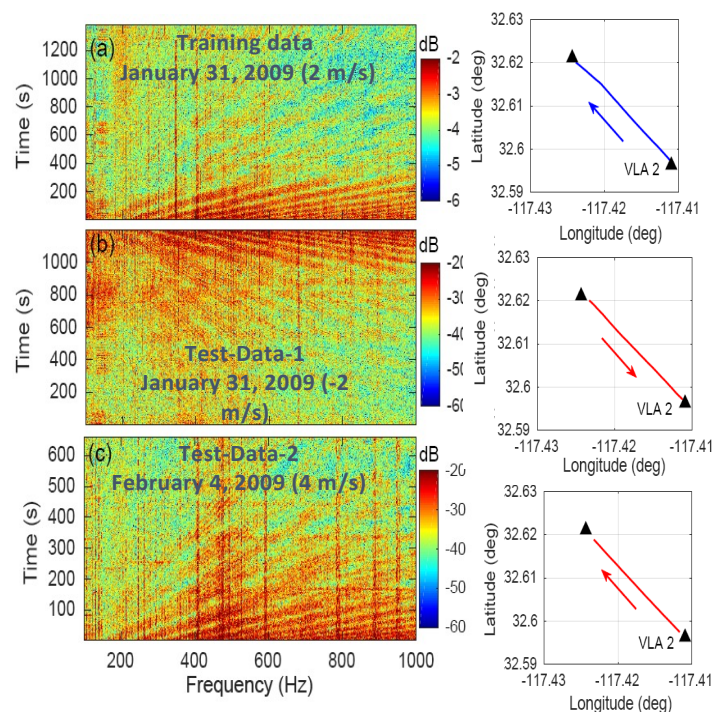
- *What sort of new capabilities are on the horizon and what might the next 10 years look like and beyond?*
 - a fleet of ocean robots
 - continuous high-resolution Climate and Ocean assimilation models
 - AI assistants for scientists to perform tasks that can be specified:
Literature survey, gather data, write code to implement the analysis, create draft papers, review papers, ...
- Discussion session with these questions:
- *What new discoveries in ocean science does AI/ML enable?*
 - See previous slides
 - Chat GPT for Climate Data, Ocean, no advisor needed!
- *What data are required for these discoveries?*
 - UQ
 - Careful test-data / cross-validation for generalization
 - Explainability
 - Expertise in the peer-review community
 - Data server, similar to Iris. NSF or maybe some ONR data
- *What are challenges for AI/ML research (computing, data aggregation, access, training)?*
 - A web portal for all data
 - seismic data are on iris.edu
 - Today each PI stores his own data. Ocean data (NSF) and acoustics (ONR)
 - we need all data stored together
 - Meta data too, but just start with any data
 - "Ocean Chat GPT" , might make this easier
 - Increase availability of ocean data, especially acoustic data
- *Risk of AI*
 - Larger critical mass
 - Too many papers,
 - Adversarial review!
 - 8 • Replace students and postdocs?

- Not Used

Which DOA?
Sound source

ML source range classification

Array Data: 300–950Hz with 10Hz increment, i.e., 66 frequencies.
16 hydrophones with 1 m spacing



Niu 2017a, JASA

3 hidden layers with 512 nodes

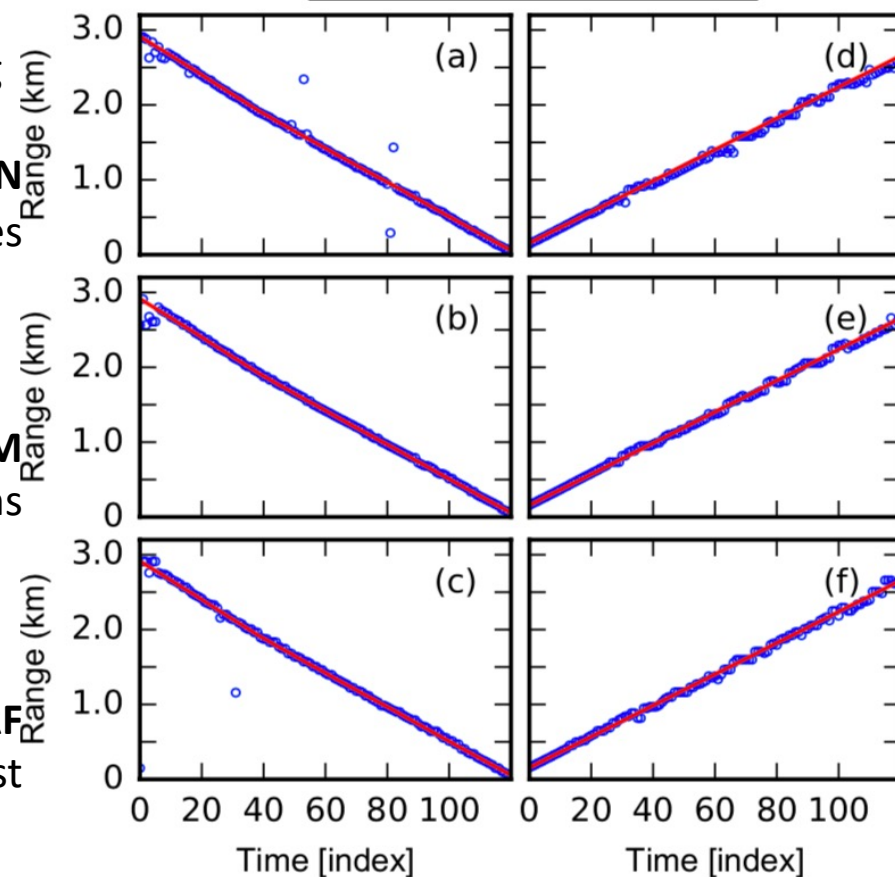
FNN

SVM
Radial basis Functions

RF
Random forest

First NN is trained with one source

Test-Data-1 Test-Data-2
○ ○ predictions — GPS ranges



Summary

- Machine learning, big data, data science, artificial intelligence are similar.
- We need explainable artificial intelligence. We want the ML algorithm to provide a line of reasoning together with the calculated result / fit / decision.

Can ML (Peter's 2019 list)

- Replace CTBTO processing chain?
- Discover PDE (Partial differential equation) in video?
- Find sea mines?
- Design metamaterials?
- Predict earthquakes?
- Replace 50 years of array processing?
- Source location in the ocean waveguide w/o training.