

# Thermal transport beyond the quasiparticle paradigm

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Frontiers in Thermal Transport and Energy Conversion @ NAS  
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# Quasiparticle formulae

- Diffusion invented to describe thermal transport.

“Heat, like gravity, penetrates all substances in the universe.”

[Fourier, 1822]

- Quasiparticle picture:

$$D \sim v_{\text{qp}}^2 \tau_{\text{qp}} \sim v_{\text{qp}} \ell_{\text{qp}}$$

[Maxwell,  
Boltzmann,  
Einstein ...]

- If the same quasiparticles carry charge as well as heat then

$$L \equiv \frac{\kappa}{\sigma T} \sim \frac{k_B^2}{e^2}$$

[Wiedemann-Franz,  
1853]

# Quasiparticles challenged

- The emergence of well-defined quasiparticles from a quantum many body system is nontrivial (eg. in Fermi Liquid theory) and may not occur.
- Discuss evidence for non-quasiparticle physics:
  - Short mean free paths:  $\ell_{\text{qp}} \lesssim a, \lambda_{\text{qp}}$
  - Strong violation of the WF law:  $L \ll L_0$
- Non-quasiparticle physics may potentially lead to e.g. high figures of merit.

# Quasiparticles challenged

PHYSICAL REVIEW B

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1 APRIL 1994-I

## Thermal conductivity of insulating $\text{Bi}_2\text{Sr}_2\text{YCu}_2\text{O}_8$ and superconducting $\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_8$ : Failure of the phonon-gas picture

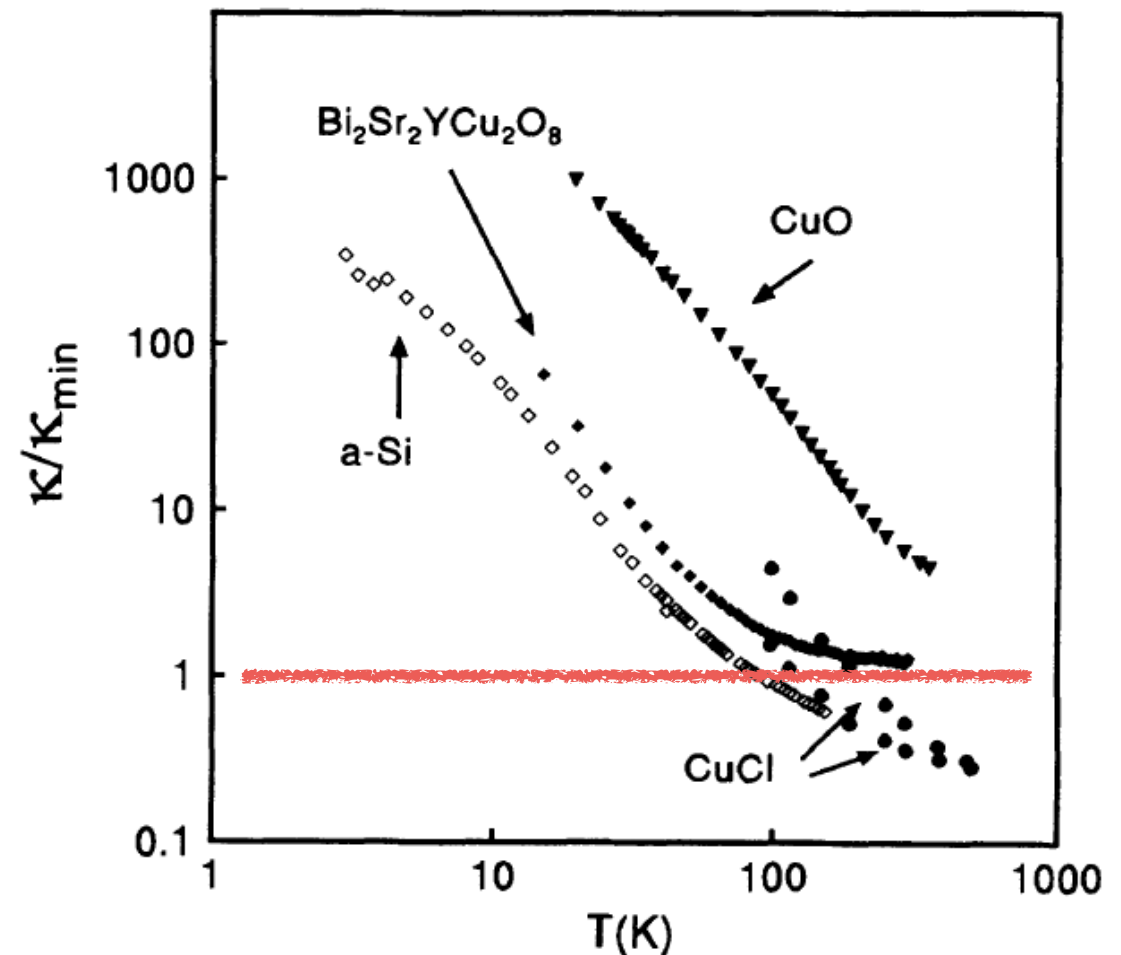
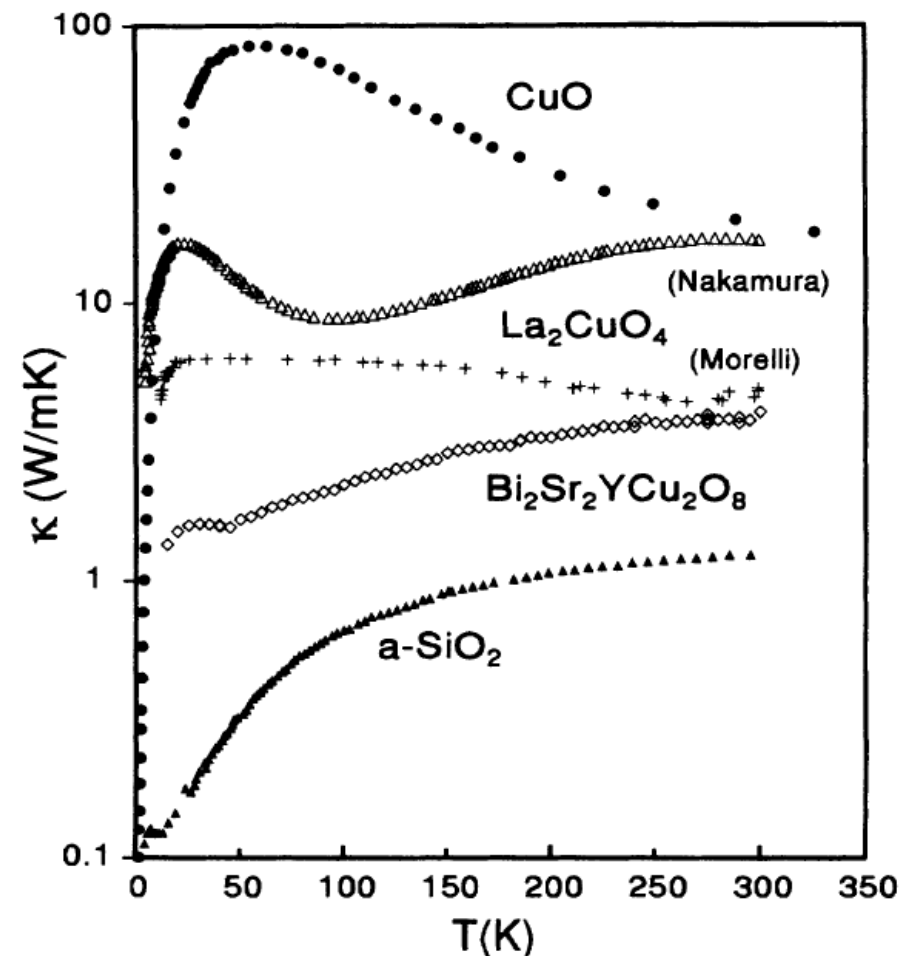
Philip B. Allen, Xiaoqun Du, and Laszlo Mihaly

*Department of Physics, State University of New York at Stony Brook, Stony Brook, New York 11794-3800*

Laszlo Forro\*

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(Received 28 October 1993)



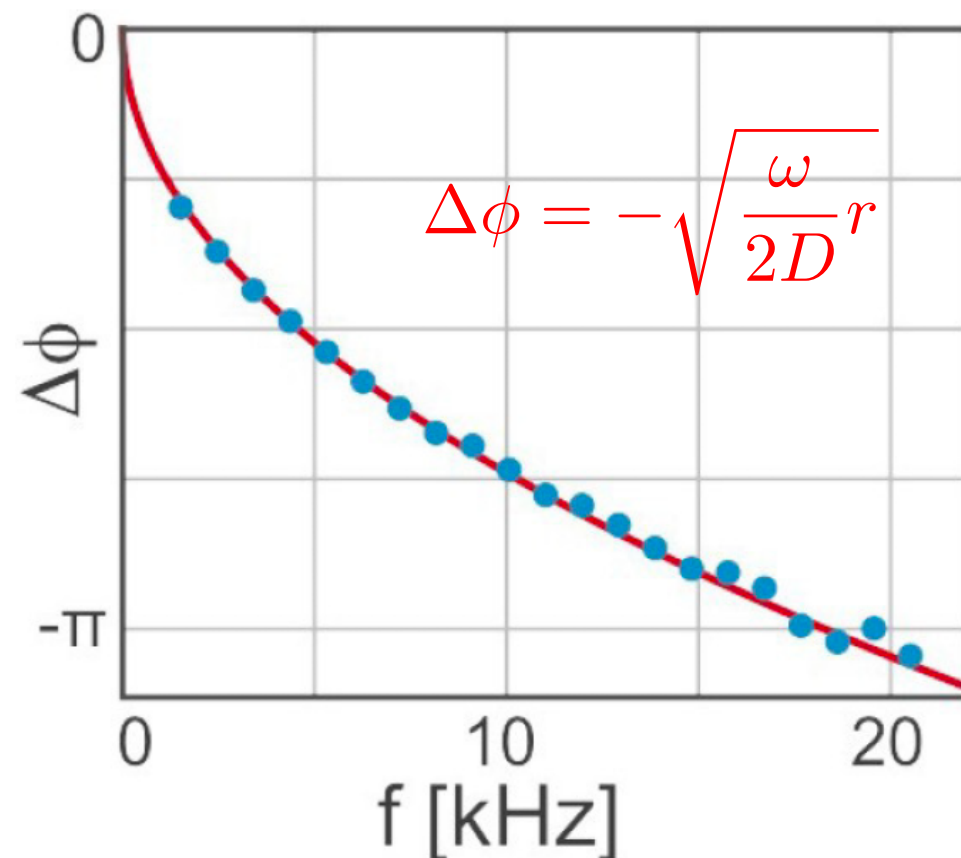
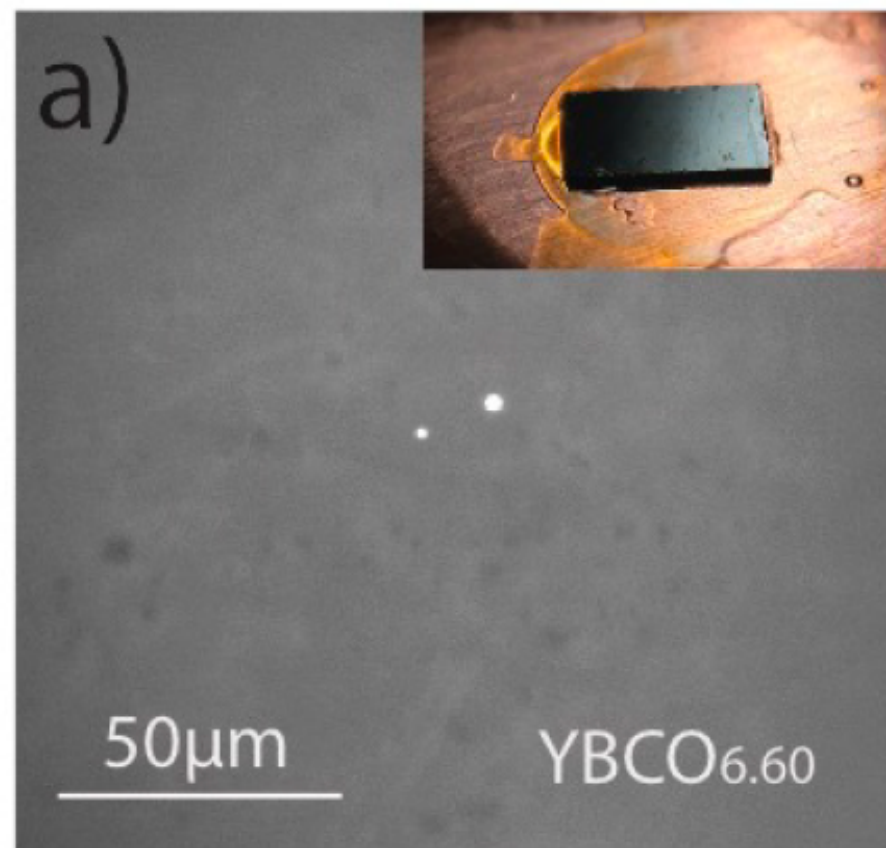
# Quasiparticles challenged

## Anomalous thermal diffusivity in underdoped $\text{YBa}_2\text{Cu}_3\text{O}_{6+x}$

Jiecheng Zhang<sup>a,b</sup>, Eli M. Levenson-Falk<sup>a,b</sup>, B. J. Ramshaw<sup>c</sup>, D. A. Bonn<sup>d,e</sup>, Ruixing Liang<sup>d,e</sup>, W. N. Hardy<sup>d,e</sup>, Sean A. Hartnoll<sup>b</sup>, and Aharon Kapitulnik<sup>a,b,f,1</sup>

<sup>a</sup>Geballe Laboratory for Advanced Materials, Stanford University, Stanford, CA 94305; <sup>b</sup>Department of Physics, Stanford University, Stanford, CA 94305; <sup>c</sup>Laboratory of Atomic and Solid State Physics, Cornell University, Ithaca, NY 14853; <sup>d</sup>Department of Physics and Astronomy, University of British Columbia, Vancouver, BC, Canada V6T 1Z1; <sup>e</sup>Canadian Institute for Advanced Research, Toronto, ON, Canada M5G 1Z8; and <sup>f</sup>Department of Applied Physics, Stanford University, Stanford, CA 94305

Contributed by Aharon Kapitulnik, April 12, 2017 (sent for review February 28, 2017; reviewed by Kamran Behnia, Andrey Chubukov, and Andrew Mackenzie)

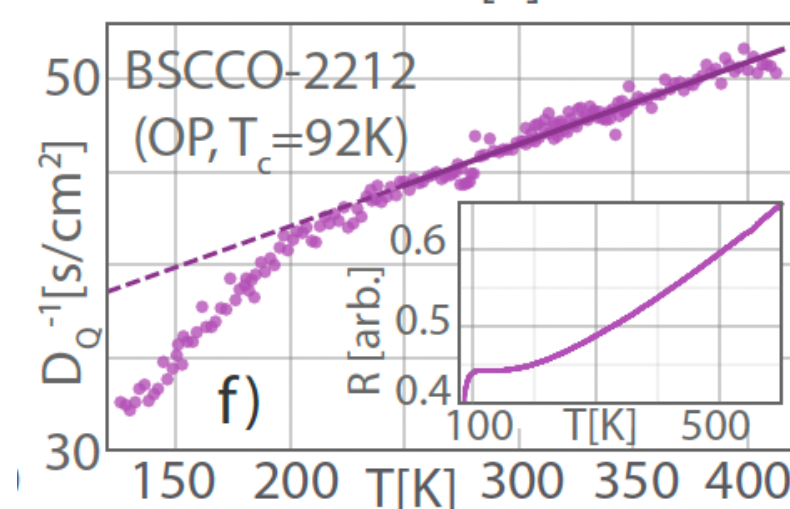
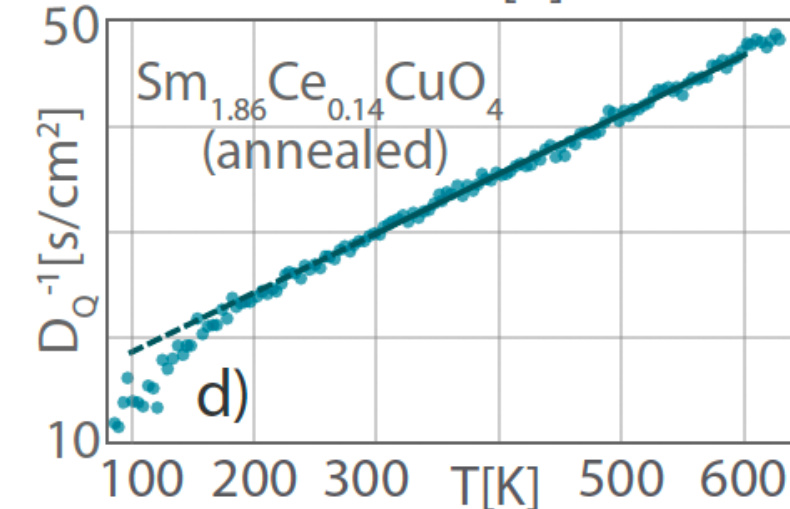
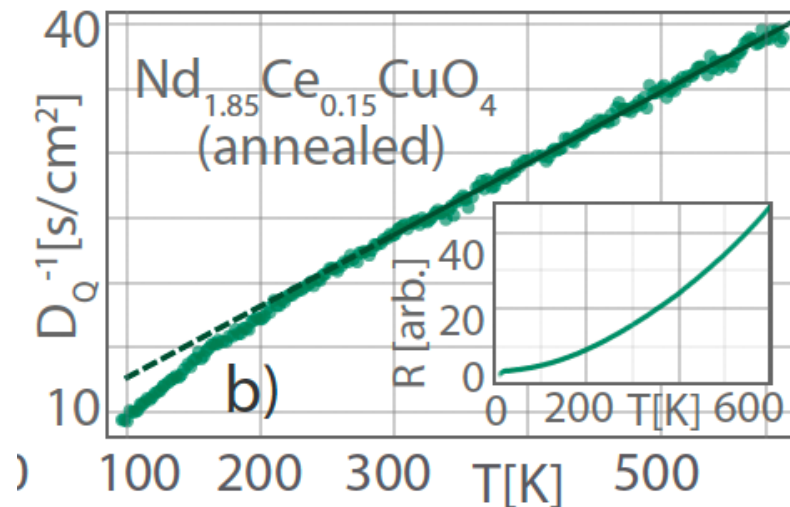


# Quasiparticles challenged

## Thermal Diffusivity Above the Mott-Ioffe-Regel Limit

Jiecheng Zhang,<sup>1,2,\*</sup> Erik D. Kountz,<sup>1,2</sup> Eli M. Levenson-Falk,<sup>3</sup>  
Dongjoon Song,<sup>4</sup> Richard L. Greene,<sup>5,6</sup> and Aharon Kapitulnik<sup>1,2,7</sup>

arXiv:1808.07564



$$D^{-1} \sim \frac{1}{v_s^2} \frac{k_B T}{\hbar}$$

Strongly reminiscent of widely observed  
“bad metal” T-linear resistivity:

## ARTICLES

PUBLISHED ONLINE: 23 DECEMBER 2014 | DOI: 10.1038/NPHYS3174

nature  
physics

## Theory of universal incoherent metallic transport

Sean A. Hartnoll

$$D^{-1} \sim \frac{1}{v_F^2} \frac{k_B T}{\hbar}$$



# Quasiparticles challenged

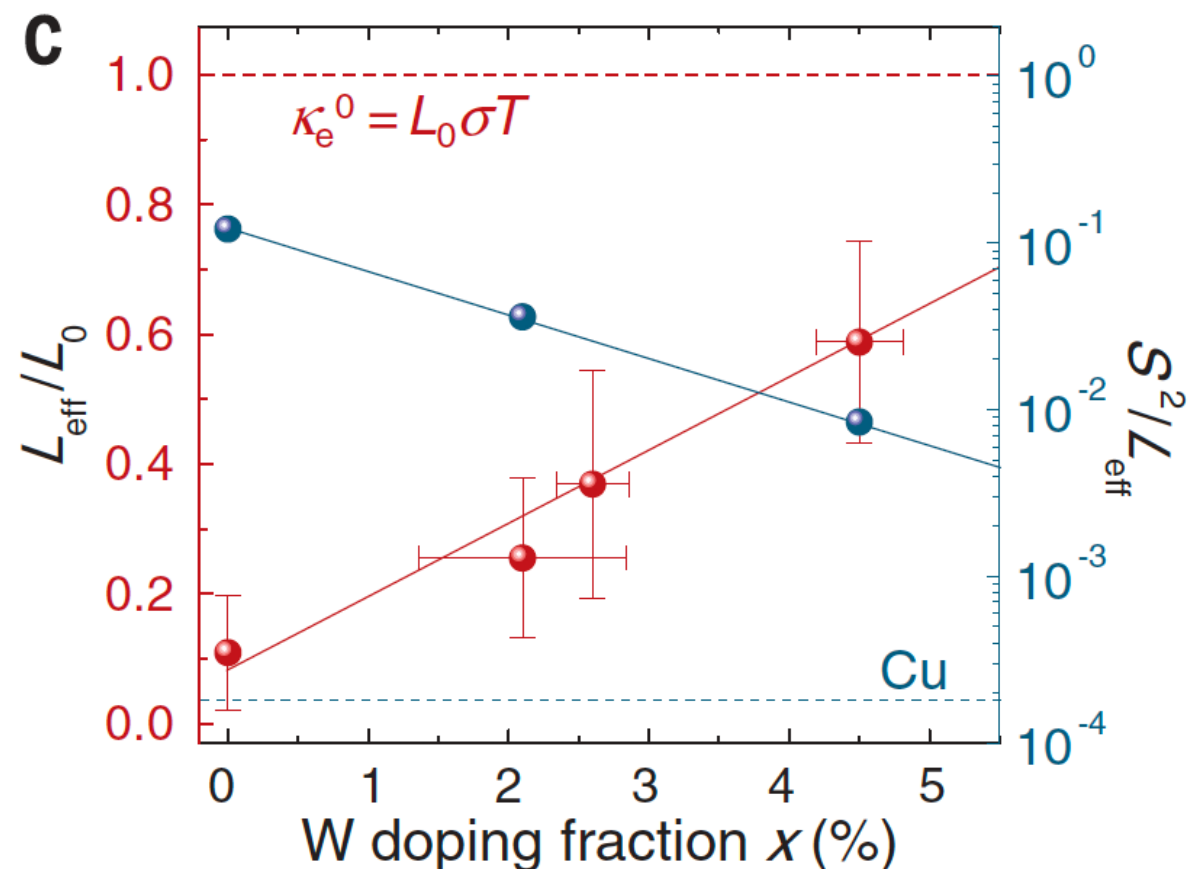
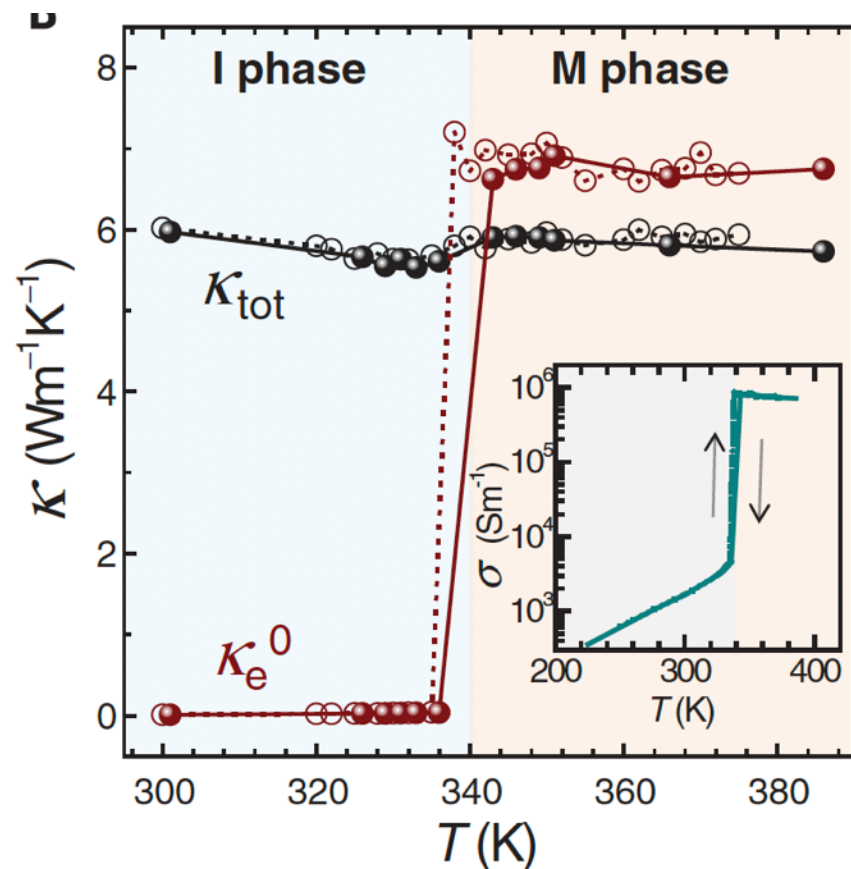
SOLID-STATE PHYSICS

*Science* **355**, 371–374 (2017)

## Anomalously low electronic thermal conductivity in metallic vanadium dioxide

Sangwook Lee,<sup>1,2\*</sup> Kedar Hippalgaonkar,<sup>3,4\*</sup> Fan Yang,<sup>3,5\*</sup> Jiawang Hong,<sup>6,7\*</sup> Changhyun Ko,<sup>1</sup> Joonki Suh,<sup>1</sup> Kai Liu,<sup>1,8</sup> Kevin Wang,<sup>1</sup> Jeffrey J. Urban,<sup>5</sup> Xiang Zhang,<sup>3,8,9</sup> Chris Dames,<sup>3,8</sup> Sean A. Hartnoll,<sup>10</sup> Olivier Delaire,<sup>7,11†</sup> Junqiao Wu<sup>1,8†</sup>

$$\frac{L_{\text{el}}}{L_0} \sim 0.1, \quad \frac{S^2}{L_{\text{el}}} \sim 0.1$$



# Beyond quasiparticles

- What determines the diffusivity in the absence of quasiparticles?
- What concepts replace the quasiparticle velocity, lifetime and mean free path?
- Now discuss:
  - A general bound on diffusivity.
  - A concrete model for non-quasiparticle diffusion.



# Bounding diffusion

PRL **119**, 141601 (2017)

PHYSICAL REVIEW LETTERS

week ending  
6 OCTOBER 2017



## Upper Bound on Diffusivity

Thomas Hartman,<sup>1</sup> Sean A. Hartnoll,<sup>2</sup> and Raghu Mahajan<sup>2</sup>

<sup>1</sup>*Department of Physics, Cornell University, Ithaca, New York 14850, USA*

<sup>2</sup>*Department of Physics, Stanford University, Stanford, California 94305, USA*

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PHYSICAL REVIEW LETTERS **121**, 170601 (2018)

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## Locality Bound for Dissipative Quantum Transport

Xizhi Han (韩希之) and Sean A. Hartnoll

*Department of Physics, Stanford University, Stanford, California 94305, USA*

A **bound** can identify fundamental constraints  
(cf. bound on efficiency of heat engines)

Key ingredients: **Conservation law** + **locality**

# Diffusion recap

- Correlations functions of conserved densities about thermal equilibrium are strongly constrained.  
[Kadanoff-Martin 63]
- At long times  $t > \tau_{\text{th}}$ , excitations of non-conserved quantities have decayed. Details of their ‘fast’ dynamics control the transport coefficients.
- For example a single conserved density must diffuse at the longest times and distances:

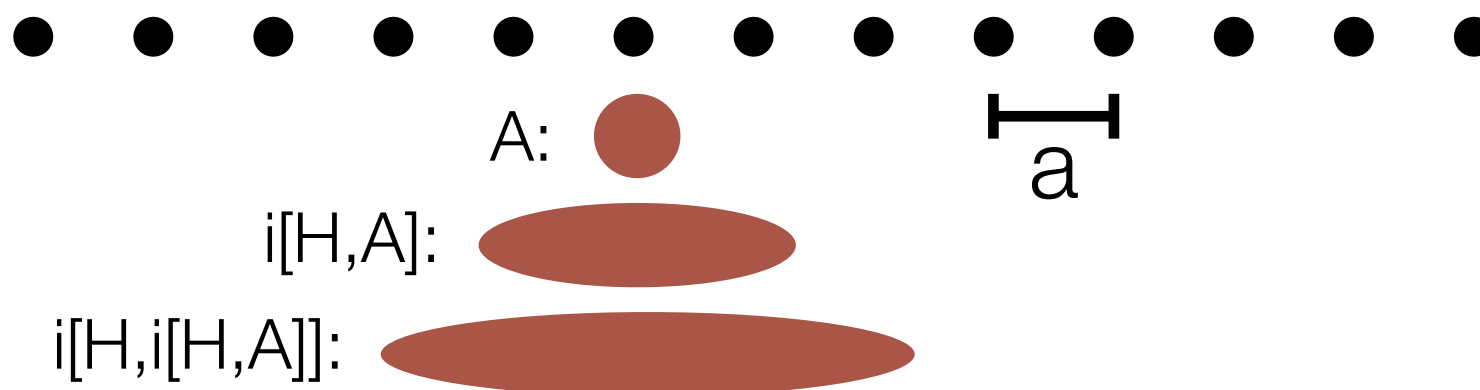
$$\omega = -iDk^2$$

# Lieb-Robinson velocity

- Even non-relativistic systems have a ‘**lightcone**’: bounded propagation of signals from locality.

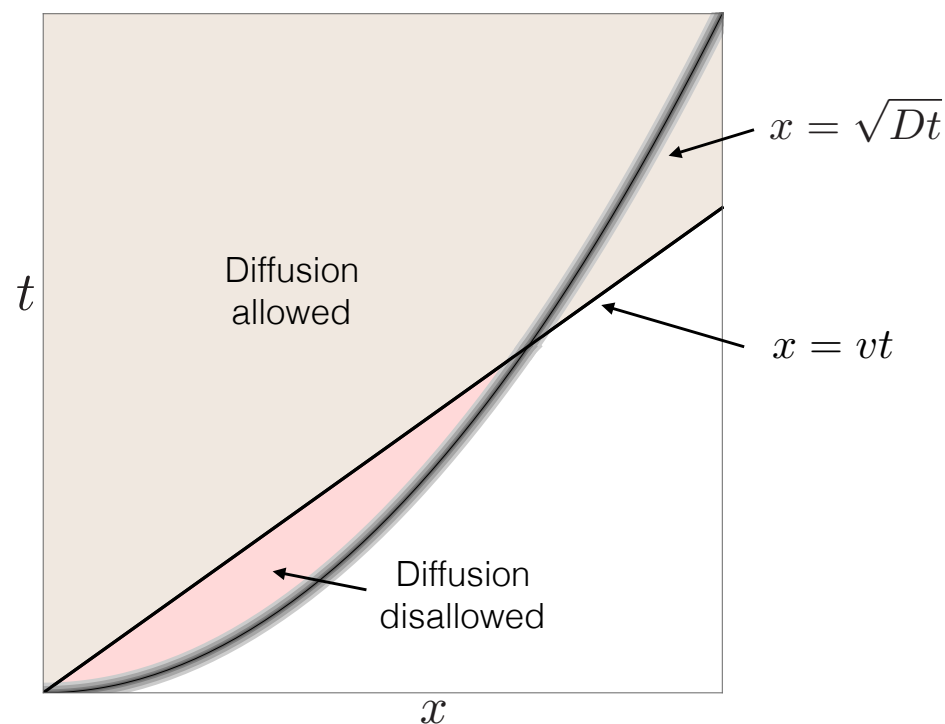
$$|[A(t, x), B(0, 0)]| \lesssim \|A\| \|B\| e^{-\mu(|x| - vt)} \quad [\text{Lieb-Robinson 72}]$$

- The “**Lieb-Robinson**” velocity:  $v \sim \frac{J a}{\hbar}$
- This is a microscopic, state-independent velocity. It describes the growth of operators under time evolution.



# Bounding diffusion

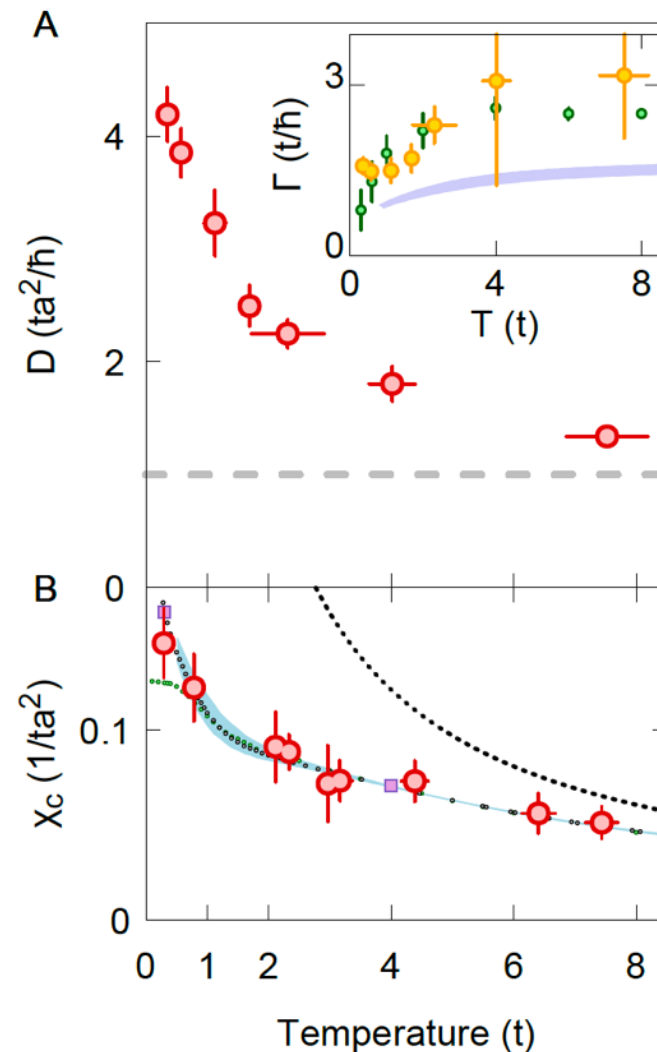
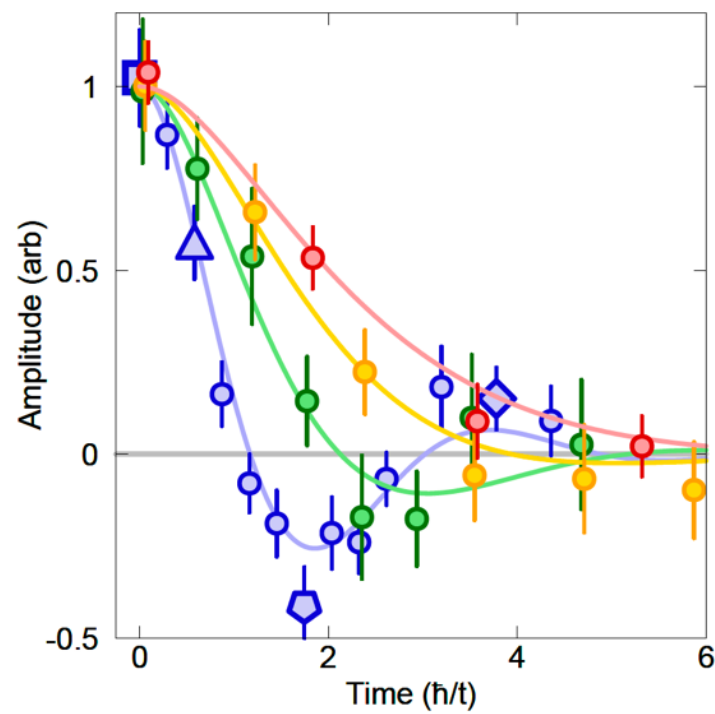
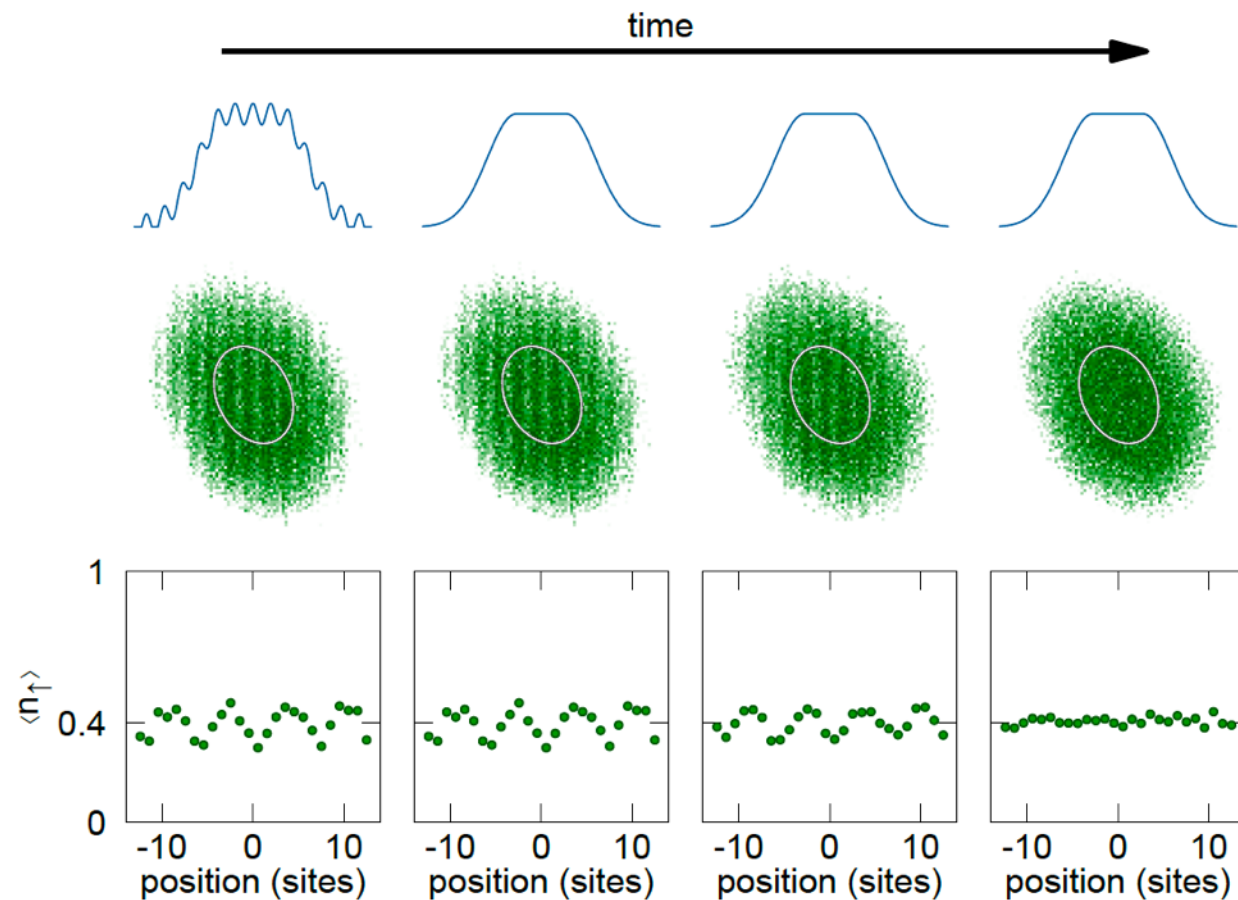
- The LR velocity clearly **bounds ballistic transport** (e.g. in ordered phases:  $v_{\text{spin wave}} < v_{\text{LR}}$ ).
- It also **bounds diffusivity**: [Inspired by [\[Blake PRL 16\]](#)]



LR causality implies **disallowed region must not be diffusive** — i.e. must occur before local thermalization, so that:

$$D \lesssim v_{\text{LR}}^2 \tau_{\text{th}}$$

# Measuring diffusion



Obeys bound:

$$D \lesssim \frac{(ta)^2}{\hbar^2} \frac{1}{\Gamma}$$

$\nearrow v_{\text{LR}}^2$ 
 $\nearrow \tau_{\text{th}}$

QUANTUM SIMULATION

*Science* **363**, 379–382 (2019)

## Bad metallic transport in a cold atom Fermi-Hubbard system

Peter T. Brown<sup>1</sup>, Debayan Mitra<sup>1</sup>, Elmer Guardado-Sanchez<sup>1</sup>, Reza Nourafkan<sup>2</sup>, Alexis Reymbaut<sup>2</sup>, Charles-David Hébert<sup>2</sup>, Simon Bergeron<sup>2</sup>, A.-M. S. Tremblay<sup>2,3</sup>, Jure Kokalj<sup>4,5</sup>, David A. Huse<sup>1</sup>, Peter Schauf<sup>1\*</sup>, Waseem S. Bakr<sup>1†</sup>

# Beyond quasiparticles

- In systems with a finite on-site Hilbert space (spins, fermions), **diffusion is bounded by**:
  - The **Lieb-Robinson velocity**
  - The **local thermalization time**
- These concepts do not make reference to quasiparticles.
- Next: see this at work in an explicit model. **Solvable, realistic model of non-Boltzmann transport.**



# High T transport

A handle on non-quasiparticle transport: **High temperatures in electron systems** (e.g.  $t \ll T, U$  in Hubbard model)

[cf. Beni PRB 74, Mukerjee-Oganesyan-Huse PRB 06, Mukerjee-Moore APL 07]

Perturbation in small  $t$  in the Hubbard model doesn't work, because the  $t=0$  model is extensively degenerate.

## Bad metallic transport in a modified Hubbard model

Connie H. Mousatov, Ilya Esterlis, Sean A. Hartnoll

arXiv:1803.08054

**Restore exponential interactions:**

$$H = t \sum_{\langle ij \rangle, s} c_{is}^\dagger c_{js} + U \sum_i n_{i\uparrow} n_{i\downarrow} + \frac{V}{2} \sum_{i \neq j} e^{-|\vec{x}_i - \vec{x}_j|/\ell} n_i n_j .$$

$\ell > \ell_\star \approx 1.76a$

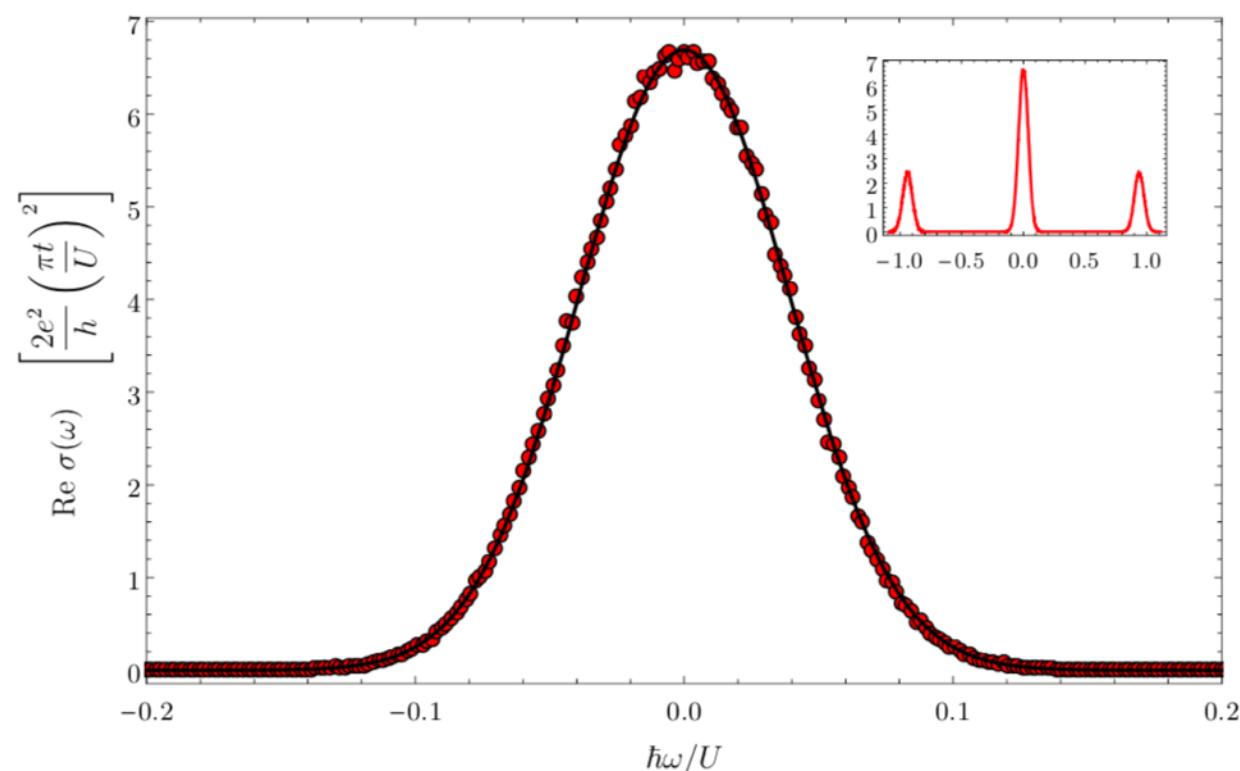
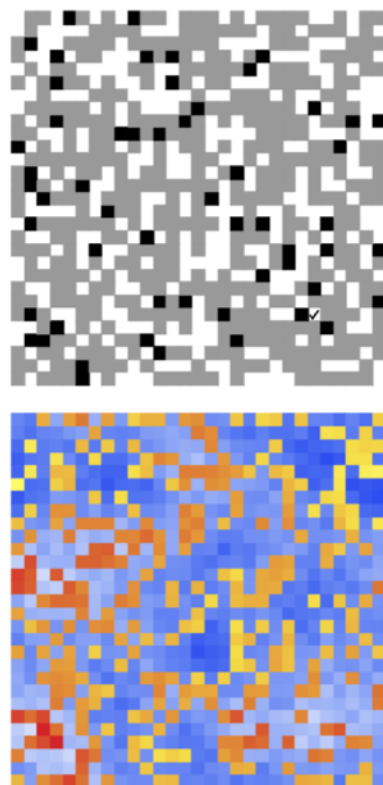
# Beyond quasiparticles

Transport: Hopping in an emergent disordered landscape:

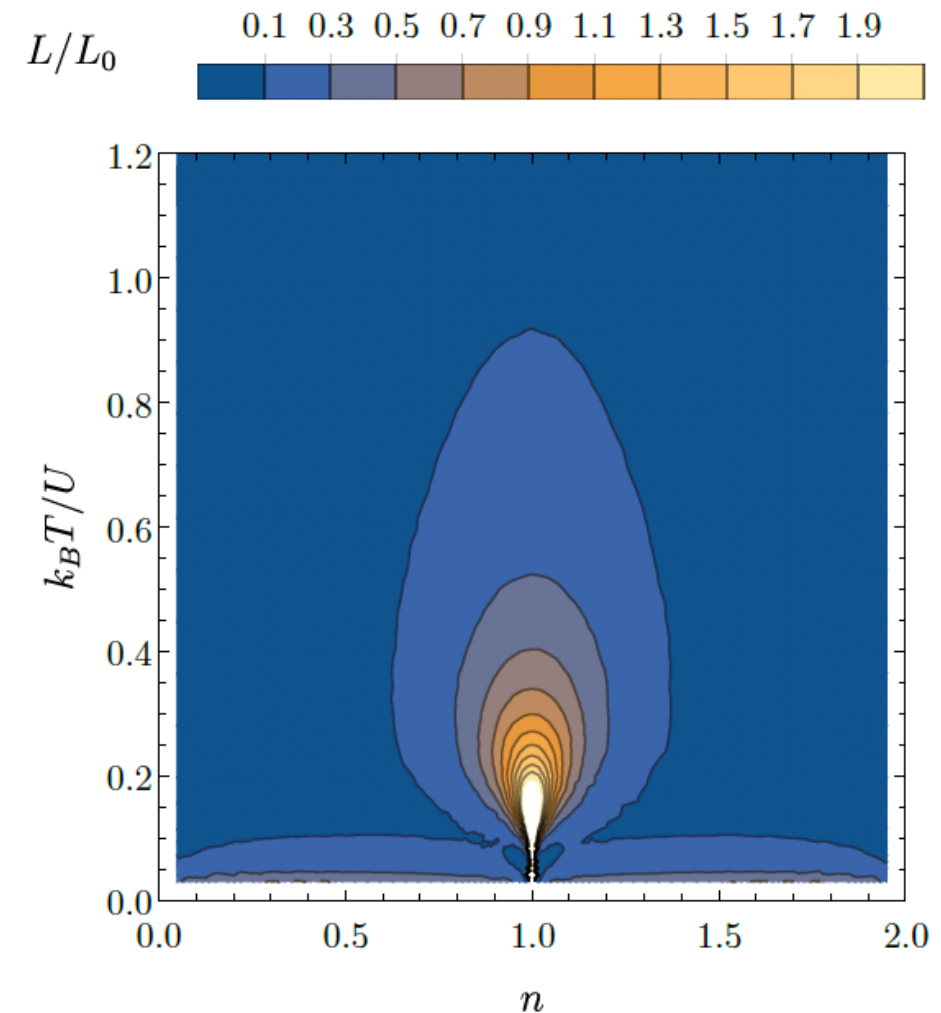
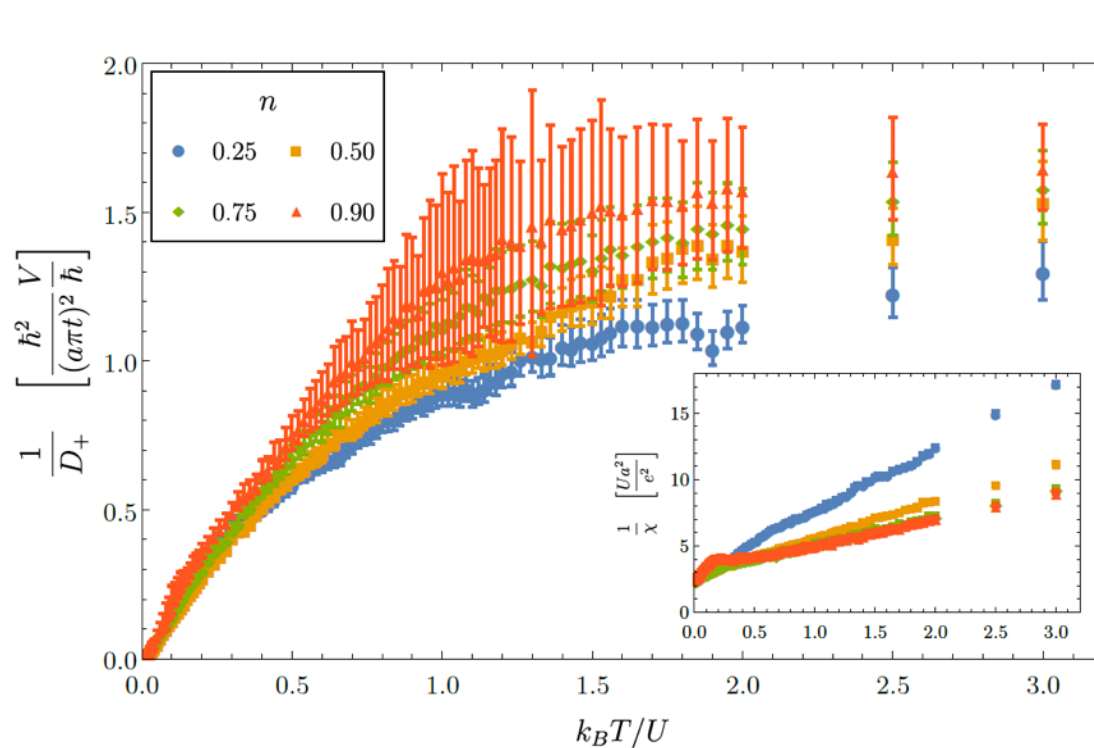
$$\text{Re } \sigma(\omega) = \frac{2e^2}{h} \frac{(\pi t)^2}{\hbar} \frac{1 - e^{-\beta \hbar \omega}}{\hbar \omega} \sum_{\{n\}} \frac{e^{-\beta(E_{\{n\}} - \mu N_{\{n\}})}}{\mathcal{Z}} \frac{a^2}{\text{vol}} \sum_{i,s} \Delta_{is}(\omega)$$

*Classical* Monte-Carlo:

[cf. Beni 74]



# Beyond quasiparticles



At high T:  $D \sim \frac{(at)^2}{\hbar^2} \frac{\hbar}{V}$

Reason for  $L \ll L_0$ : at high T, chemical potential  $\mu \sim T$

$$\Rightarrow \vec{Q} = \vec{J}_E - \mu \vec{J} \sim T \vec{J}$$

Heat and charge currents proportional:  $\kappa = \bar{\kappa} - \frac{\alpha^2 T}{\sigma} \ll \bar{\kappa}$

# Future challenges

- Phonons absent from theoretical discussion above:
  - Lieb-Robinson velocity not defined for infinite on-site Hilbert-space. Use butterfly velocity instead? (connection to quantum chaos).
  - High T expansion for transport more complicated.
- The bound does not tell you what the thermalization time  $\tau_{\text{th}}$  actually is. Is the value  $\tau_{\text{th}} \sim \hbar/k_B T$  special?

# Two remarks

1. The **cancellation**  $\kappa = \bar{\kappa} - \frac{\alpha^2 T}{\sigma} \ll \bar{\kappa}$ , leading to dramatic violation of the WF law, occurs whenever the electric and heat currents become proportional.

A further circumstance where this **occurs naturally is deep in a hydrodynamic regime**, where both electric and heat currents are proportional to a **collective velocity field**:

$$\vec{j} = n\vec{v} + \dots, \quad \vec{q} = s\vec{v} + \dots$$

PHYSICAL REVIEW B **88**, 125107 (2013)

## **Non-Fermi liquids and the Wiedemann-Franz law**

Raghu Mahajan, Maissam Barkeshli, and Sean A. Hartnoll

*Department of Physics, Stanford University, Stanford, California 94305-4060, USA*

# Two remarks

2. There exist **fluctuation corrections** to classical diffusion.  
Nonlinear because thermal diffusivity  $D$  itself is a function of  $T$ .

Full consistent theory of these fluctuations:

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PHYSICAL REVIEW LETTERS **122**, 091602 (2019)

## **Theory of Diffusive Fluctuations**

Xinyi Chen-Lin, Luca V. Delacrétaz, and Sean A. Hartnoll  
*Department of Physics, Stanford University, Stanford, California 94305-4060, USA*

The magnitude of the fluctuations is controlled by  $1/(c\ell_{\text{th}}^d)$

In non-quasiparticle diffusion,  $\ell_{\text{th}} \rightarrow a$  or possibly smaller

Fluctuation effects may become significant.



# Conclusions

- **Non-quasiparticle concepts** are likely necessary to get a handle on quantum materials, and suggest the possibility of exotic material properties.
- The **Lieb-Robinson velocity** and the local **thermalization time** constrain diffusion (with or without quasiparticles).
- **High temperature expansions** can give an intuitive, tractable model of non-Boltzmann transport.
- Need to extend these ideas to **phonons** and understand **constraints on thermalization time**.