

Moving Forward to P5

J. Hewett

EPP2024

Irvine, CA November 2022

P5 Pre-Charge

Considerations for Next P5

- Grand, long-term, and global vision for U.S. particle physics
- Realistic budget scenarios
- Balanced portfolio of small/mid-scale/large projects
- Must consider a holistic view of program
 - Project costs
 - Operations costs
 - Research program to deliver the science
 - Technology R&D for the future
- Community engagement, including this week's Snowmass study process, remains critical to success

H. Kung

P5 Charge (dated November 2, 2022)



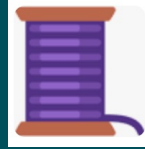
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Dear Dr. Hewett:

The 2014 report of the Particle Physics Project Prioritization Panel (P5), developed under the auspices of the High Energy Physics Advisory Panel (HEPAP), successfully laid out a compelling scientific program that recommended world-leading facilities with exciting new capabilities, as well as a robust scientific research program. That report was well received by the community, the U.S. Department of Energy (DOE) and the National Science Foundation (NSF), and Congress as a well-thought-out and strategic plan that could be successfully implemented. HEPAP's 2019 review of the implementation of this plan demonstrated that many of the report's recommendations are being realized, and the community has made excellent progress on the P5 science drivers.

As the landscape of high-energy physics continues to evolve and the decadal timeframe addressed in the 2014 P5 report nears its end, we believe it is timely to initiate the next long-range planning guidance to the DOE and NSF. To that end, we ask that you constitute a new P5 panel to develop an updated strategic plan for U.S. high-energy physics that can be executed over a 10-year timeframe in the context of a 20-year, globally aware strategy for the field.

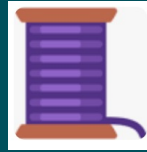
- The 2014 report was successful
- 2019 implementation review by HEPAP showed progress on the plan
- 2023 P5 to update strategic plan over 10-yr timeframe in 20-yr context



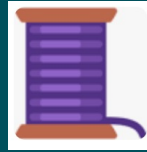
A critical element of this charge is to assess the continued importance of the science drivers identified by the 2014 P5 report and, if necessary, to identify new science drivers that have the potential to enable compelling new avenues of pursuit for particle physics. Specifically, we request that HEPAP 1) evaluate ongoing projects and identify potential new projects to address these science drivers; 2) make the science case for new facilities and capabilities that will advance the field and enhance U.S. leadership and global partnership roles; and 3) recommend a program portfolio that the agencies should pursue in this timeframe, along with any other strategic actions needed to ensure the broad success of the program in the coming decades.

In developing the plan, we would like the panel to take into consideration several particularly relevant aspects of constructing a compelling and well-balanced portfolio:

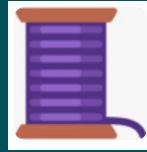
- Re-evaluate the 2014 science drivers
- Evaluate ongoing projects
- Identify new projects
- Make science case for new facilities and capabilities
- Recommend program portfolio



- A core tenet of the 2014 P5 Report is that particle physics is fundamentally a global enterprise. Thus far, the U.S. program has achieved high impact through U.S. researchers participating in the programs at world-class facilities outside the U.S. and international researchers working at world-class U.S. facilities. The recommendations developed for this report should carefully consider the current and future international landscape for particle physics. The panel's report should include an explicit discussion of the choices made in this context, including the extent to which it is necessary to construct, maintain, and/or upgrade leading U.S.-hosted high-energy physics facilities so that our leadership position in the global scientific arena continues, while at the same time preserving the essential roles of, and contributions by, the National Laboratories and universities to global collaboration on large-scale initiatives.
- A number of the projects recommended by the 2014 P5 report are still being built, and the agencies take their commitments to complete them very seriously. Understanding the continued strength of the science case for these projects is quite valuable, and the panel should provide its assessment of these projects in this context.
- Remember HEP is a global field
- Support decisions to retain US leadership as a global partner
- Preserve essential roles of Universities and National Labs
- Assess science case for on-going projects



- A successful plan should maintain a balance of large, medium, and small projects that can deliver scientific results throughout the decadal timeframe. We do not expect the panel to consider the large number of possible small-scale projects individually, but advice on research areas where focused investments in smallscale projects can have a significant impact is welcome.
- There are elements of DOE HEP-operated infrastructure that are a stewardship responsibility for HEP. Investments to maintain that infrastructure in a safe and reliable condition are an HEP responsibility and are outside the scope of the panel. Major infrastructure upgrades that create new science capabilities are within the scope of the charge and should be considered by the panel.
- Successfully exploiting a newly built project requires funding for the commissioning and operation of the project and to support the researchers who will use these new capabilities to do world-leading science. Funding is also needed for research and development (R&D) that develops new technologies for future projects. Scientists and technical personnel working in experimental particle physics often contribute to all these project phases, while theoretical physics provides both the framework to evolve our fundamental understanding of the known universe as well as the innovative concepts that will expand our knowledge into new frontiers. The panel should deliver a research portfolio that will balance all these factors and consider related issues such as training and workforce development.
- Maintain balance of large, medium & small projects
- Advise on science topics to focus small projects
- Assess infrastructure upgrades that create new science capabilities
- Remember costs of R&D, commissioning, and operations for future projects
- Remember that a balanced core research budget is paramount to producing science from current projects and developing ideas for new ones



- Both NSF and DOE are deeply committed to diversity, equity, inclusion, and accessibility principles in all the scientific communities they support. Creating a more diverse and inclusive workforce in particle physics will be necessary to implement the plan that this panel recommends, and the panel may further recommend strategic actions that could be taken to address or mitigate barriers to achieving these goals.
- Broad national initiatives relevant to the science and technology of particle physics have been developed by the administration and are being implemented by the funding agencies. These include, but are not limited to, investments in advanced electronics and instrumentation, artificial intelligence and machine learning, and quantum information science. Potential synergies between these initiatives and elements of the recommended portfolio should be considered.
- Remember that a diverse workforce results in improved science
- Address synergies with broad national initiatives

P5 Charge - budget scenarios



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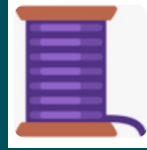
We request that the panel include these considerations in their deliberations and discuss how they affect their recommendations in the report narrative.

The panel's report should identify priorities and make recommendations for an optimized particle physics program over 10 years, FY 2024–FY 2033, under the following budget scenarios:

- 1) Increases of 2.0 percent per year during fiscal years 2024 to 2033 with the FY 2024 level calculated from the FY 2023 President's Budget Request for HEP.
- 2) Budget levels for HEP for fiscal years 2023 to 2027 specified in the Creating Helpful Incentives to Produce Semiconductors and Science Act of 2022, followed by increases of 3.0 percent per year from fiscal years 2028 to 2033.

The recommended projects and initiatives should be implementable under reasonable assumptions and be based on generally accepted estimates of science reach and capability. Estimated costs for future projects and facility operations should be given particular scrutiny and may be adjusted if the panel finds it prudent to do so. Given the long timescales for realizing these initiatives, we expect the funding required to enable the priorities the panel identifies may extend well past the 10-year budget profile, but any recommendation should be technically and fiscally plausible to execute in a 20-year timeframe.

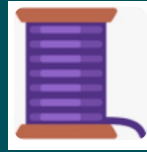
- Scenario A: 2% increase per year
- Scenario B: Budgets in Chips and Science Act, followed by 3% increase per year
- Evaluate projected project costs
- Plan should be executable in 20-yr timeframe



In addition to articulating the scientific opportunities that can and cannot be pursued in the various scenarios, the panel may provide their opinions on the approximate overall level of support that is needed for core particle physics research and advanced technology R&D programs to be successful in the context of the science goals of the recommended plan.

We expect the “Snowmass” community planning reports and HEPAP’s 2022 study on international benchmarking of scientific resources and capabilities will be useful inputs and that the panel will make efforts to maximize community input and participation in the overall process. Coordination and congruence with the National Academies of Sciences, Engineering, and Medicine’s recent and ongoing decadal studies in astronomy, astrophysics, and particle physics are also important considerations.

- Evaluate level of core research budget and technology R&D programs
- Include Snowmass report and Benchmarking subpanel report in deliberations
- Strive towards coordination and congruence with EPP2024



Finally, effective communication about the excitement, impact, and vitality of particle physics that can be shared with a general audience and other disciplines continues to be critical when advocating the strategic plan. It would be particularly valuable if the panel could re-state the key scientific questions that drive the field so that they are accessible to non-specialists and crisply articulate the value of basic research and the broader benefits of particle physics on other sciences and society.

We would appreciate the panel's preliminary comments by August 2023 and a final report by October 2023. We recognize that this is a challenging task; nevertheless, your assessments will be an essential input to planning at both the DOE and NSF.

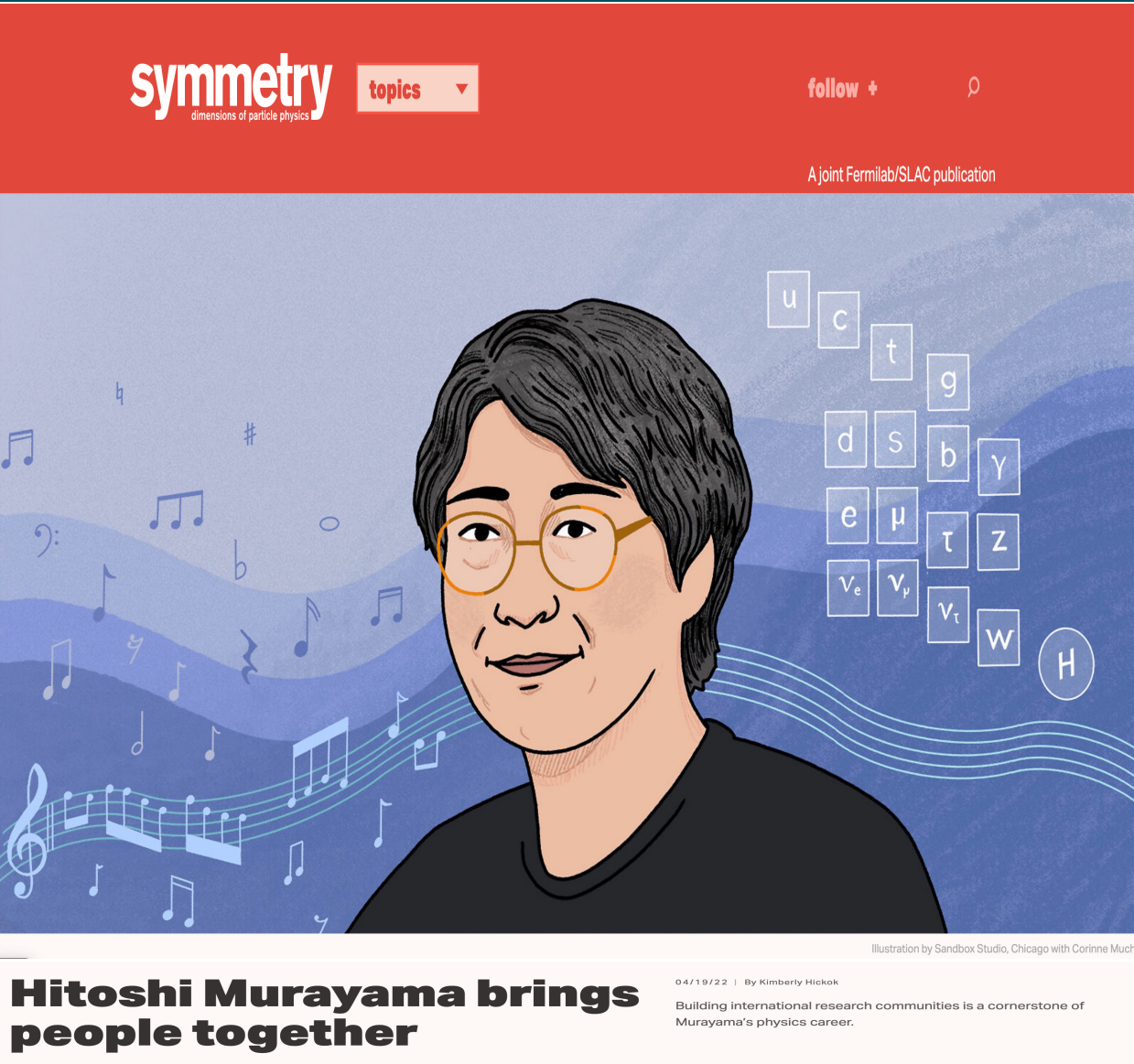
Sincerely,

Asmeret Asefaw Berhe
Director, Office of Science
U.S. Department of Energy

Sean L. Jones
Assistant Director
Directorate for Mathematical and
Physical Sciences
National Science Foundation

- Effectively communicate the 2023 P5 plan once it's finished
- Preliminary comments in August 2023
- Report due by October 2023

Hitoshi Murayama is P5 Chair



The image is a screenshot of a web page from 'symmetry dimensions of particle physics'. The header is red with the 'symmetry' logo and a 'topics' dropdown menu. Below the header, it says 'A joint Fermilab/SLAC publication'. The main content area features a stylized illustration of Hitoshi Murayama, a man with dark hair and glasses, wearing a black shirt. He is surrounded by musical notes and particle physics symbols like u , c , t , g , d , s , b , γ , e , μ , τ , z , ν_e , ν_μ , ν_τ , w , and H . The background is blue with wavy lines. At the bottom, there is a caption: 'Hitoshi Murayama brings people together' and a small text block: '04/19/22 | By Kimberly Hickok Building international research communities is a cornerstone of Murayama's physics career. Illustration by Sandbox Studio, Chicago with Corinne Mucha'.

symmetry
dimensions of particle physics

topics ▾

follow +

A joint Fermilab/SLAC publication

Illustration by Sandbox Studio, Chicago with Corinne Mucha

Hitoshi Murayama brings people together

04/19/22 | By Kimberly Hickok
Building international research communities is a cornerstone of Murayama's physics career.

- MacAdams Professor of Physics at the University of California, Berkeley
- Faculty Senior Staff at Lawrence Berkeley National Lab
- University Professor, Kavli Institute for the Physics and Mathematics of the Universe, University of Tokyo
 - Member, American Academy of Arts and Sciences
 - Fellow, American Association for the Advancement of Science
 - Fellow, American Physical Society
 - Humboldt Research Prize
 - Breakthrough Prize (KamLAND)
 - Yukawa Commemoration Prize
 - Sloan Research Fellowship
 - Served on SLAC Policy Committee, HEPAP & subpanels, Fermilab Physics Advisory Committee, CERN Scientific Policy Committee, CEPC/SppC International Advisory Committee

Karsten Heeger is P5 Deputy Chair



Yale University

- Chair, physics department
- Director, Wright Laboratory

Focus on Neutrino experimental science

Served on HEPAP, NSAC, DPF executive cmtte, APS Cmtte on International Scientific Affairs, 2015 Nuclear Physics Long Range Planning Study, and US ATLAS project advisory group

Co-Chair of DPF CPAD (Coordinating Panel of Advanced Detectors)

2003 APS Dissertation Award, DOE Outstanding Junior Investigator award, Sloan Fellowship, Kavli Fellow, APS Fellow, 2016 Breakthrough Prize in Fundamental Physics as a member of SNO, KamLAND and Daya Bay

Blueprint for P5 process

Preliminary timeline

- Form panel by end of 2022
 - Call for nominations for P5 members in early Aug 2022
 - Panel members wear a community hat
- Hold hybrid in-person/virtual townhalls in Fall 2022
 - Aim for further community input and further information on potential future projects
 - Opportunity for each panel member to start with equal footing covering all frontiers
- Deliberations Winter/Spring 2023
 - Will provide ample opportunity for further community input
- Aim for report late Spring/Early Summer 2023 for HEPAP to approve and submit to DOE/NSF

Process to select a chair

- Developed a set of criteria/attributes
 - Broad understanding of the field scientifically
 - Well respected
 - Understanding of the agencies and how they work
 - Experience with both universities and labs, and projects
 - Responsible
 - No bias or major project/institution in the race – wears a “big hat” for the good of the whole field
 - Helps people to work together
 - Will see the program through and able to follow up after the report.
- Agreement between DOE, NSF, HEPAP Chair

Anticipated, Preliminary, DRAFT P5 process timeline

- Form panel by end of 2022
 - Received 780 nominations from 320 community members
 - Panel members wear a community hat
- Hold 3-4 hybrid in-person/virtual townhalls in Winter 2023: Jan-March 2023
 - Aim for further community input and further information on potential future projects
 - Opportunity for each panel member to start with equal footing covering all frontiers
- Deliberations Spring/Summer 2023
 - Will provide ample opportunity for further community input with interspersed virtual townhalls
- Aim for report October 2023 for HEPAP to approve and submit to DOE/NSF

