

# Quantitative Approaches to Summarizing the Benefits and Risks of Screening



---

Carolyn Rutter, PhD, RAND Corporation

---



National Cancer Policy Forum Workshop

Advancing Progress in the Development and Implementation  
of Effective, High-Quality Cancer Screening

# Which risks and benefits?

## Risks of screening

- Deaths & complications
- False-positive results
- Patient burden:
  - total tests,
  - total invasive test/biopsies
- Costs

## Timescale:

- Mostly short-term

# Which risks and benefits?

## Risks of screening

- Deaths & complications
- False-positive results
- Patient burden:
  - total tests,
  - total invasive test/biopsies
- Costs

## Timescale:

- Can be short-term

## Benefits of screening

- Cancers prevented,  
Late-stage cancers prevented,  
Cancer deaths prevented
- Life years gained
- Quality adjusted life years gained
- Long term or lifetime
- The end-user matters



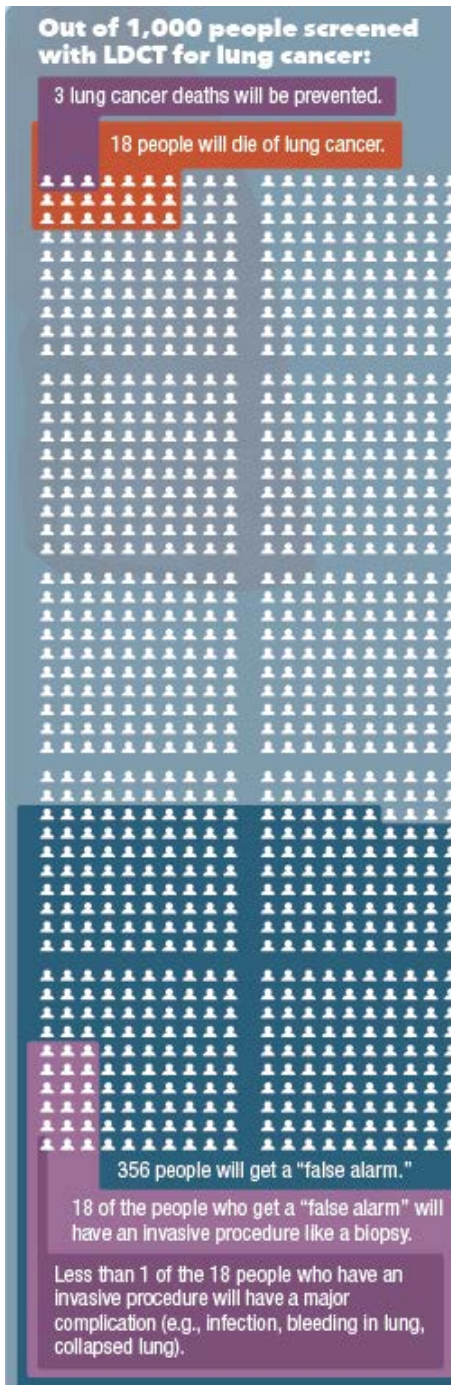
# Patient decision-aids



This [decision aid](#) from the Agency for Healthcare Research and Quality will help prepare you to talk with your doctor about whether lung cancer screening is right for you.



<https://www.cdc.gov/cancer/dcpc/prevention/screening.htm>



# Patient decision-aids



This [decision aid](#) from the Agency for Healthcare Research and Quality will help prepare you to talk with your doctor about whether lung cancer screening is right for you.

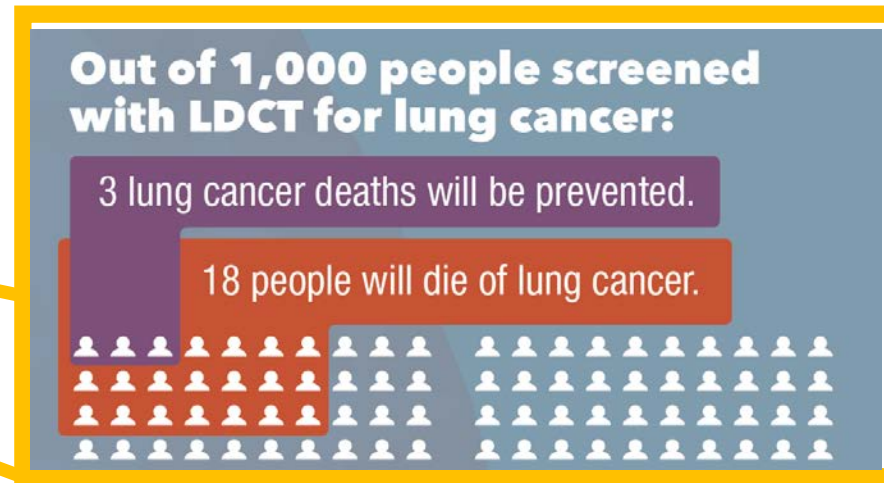
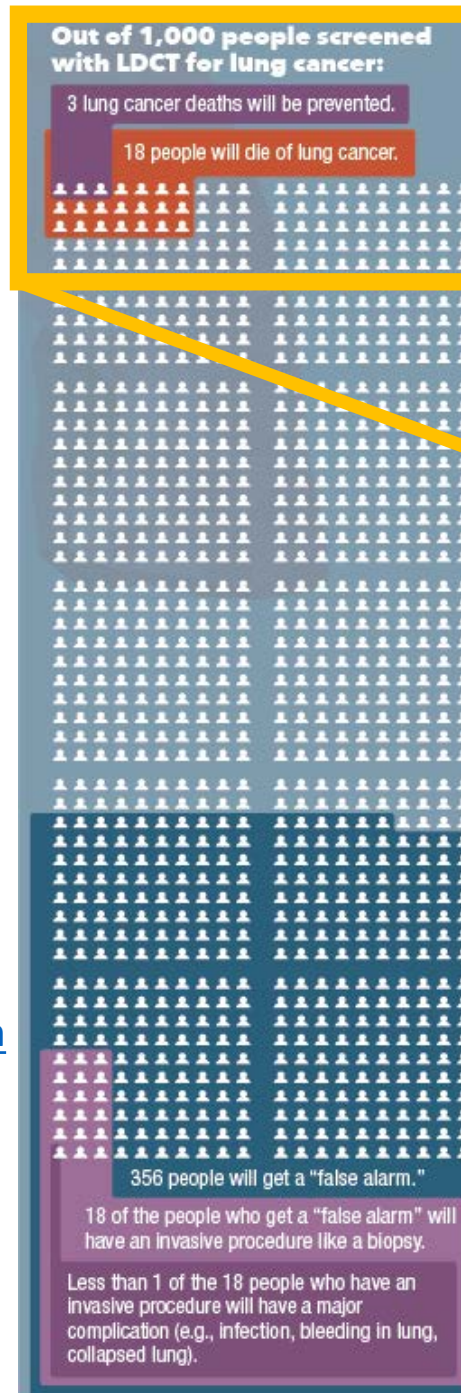


<https://www.cdc.gov/cancer/dcpc/prevention/screening.htm>



<https://effectivehealthcare.ahrq.gov/decision-aids/lung-cancer-screening/patient.html>

3/2/20





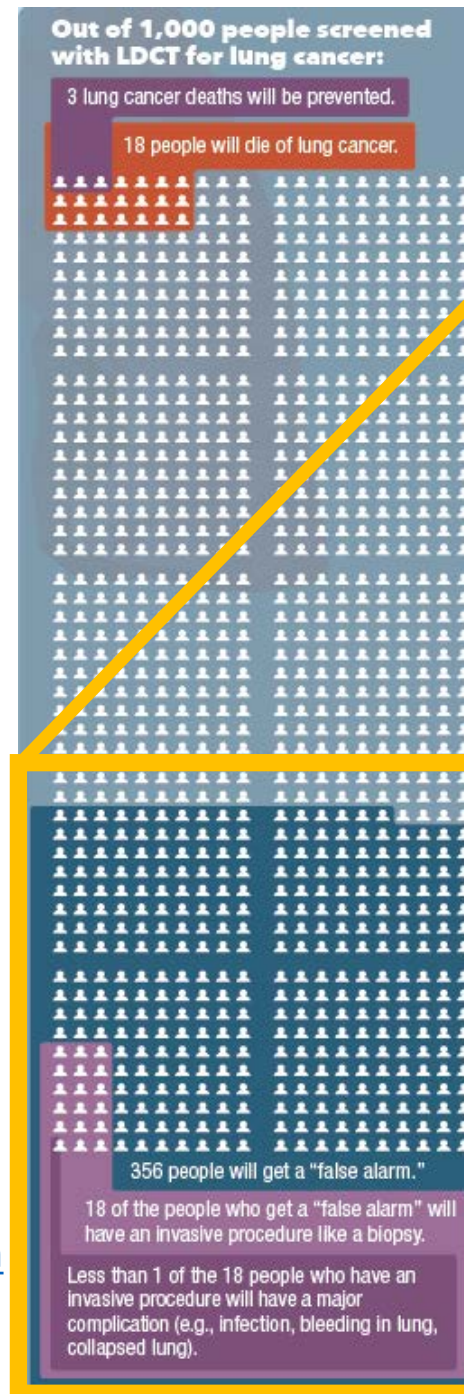
# Patient decision-aids



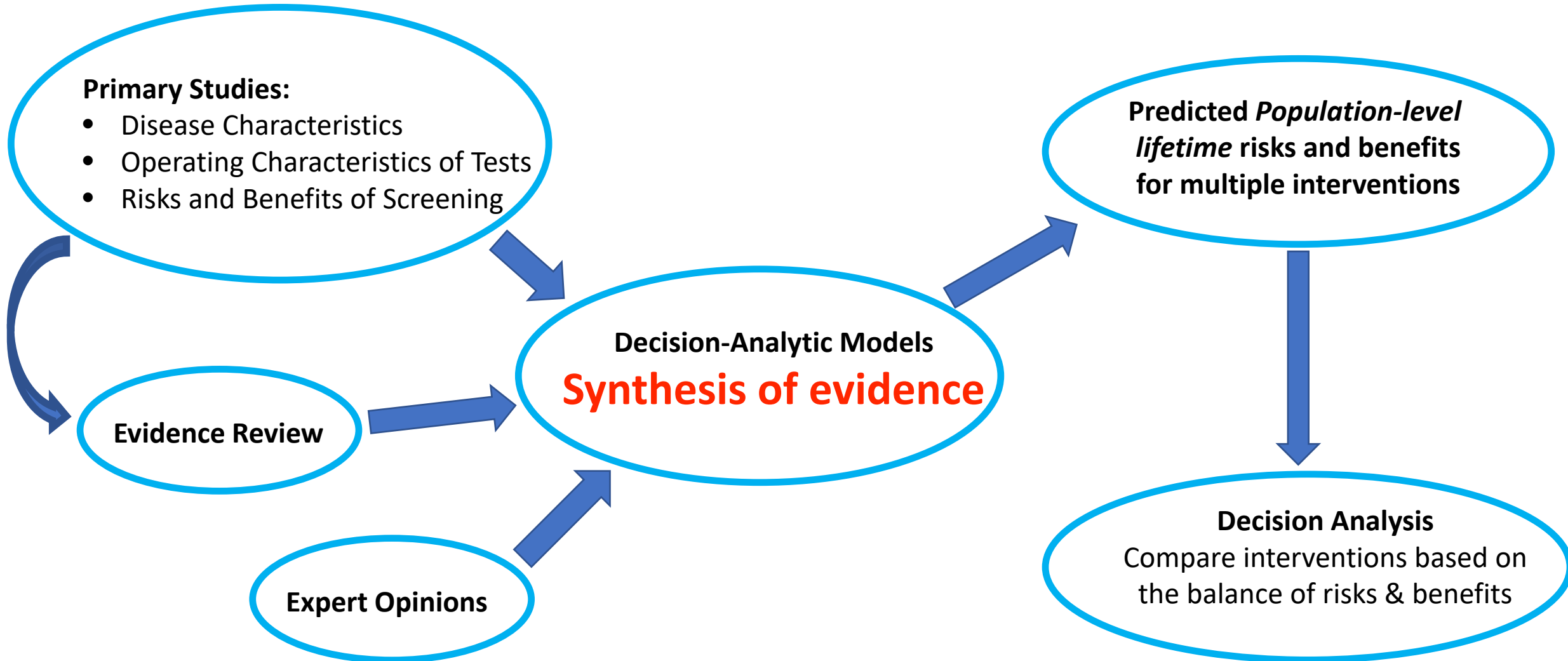
This [decision aid](#) from the Agency for Healthcare Research and Quality will help prepare you to talk with your doctor about whether lung cancer screening is right for you.



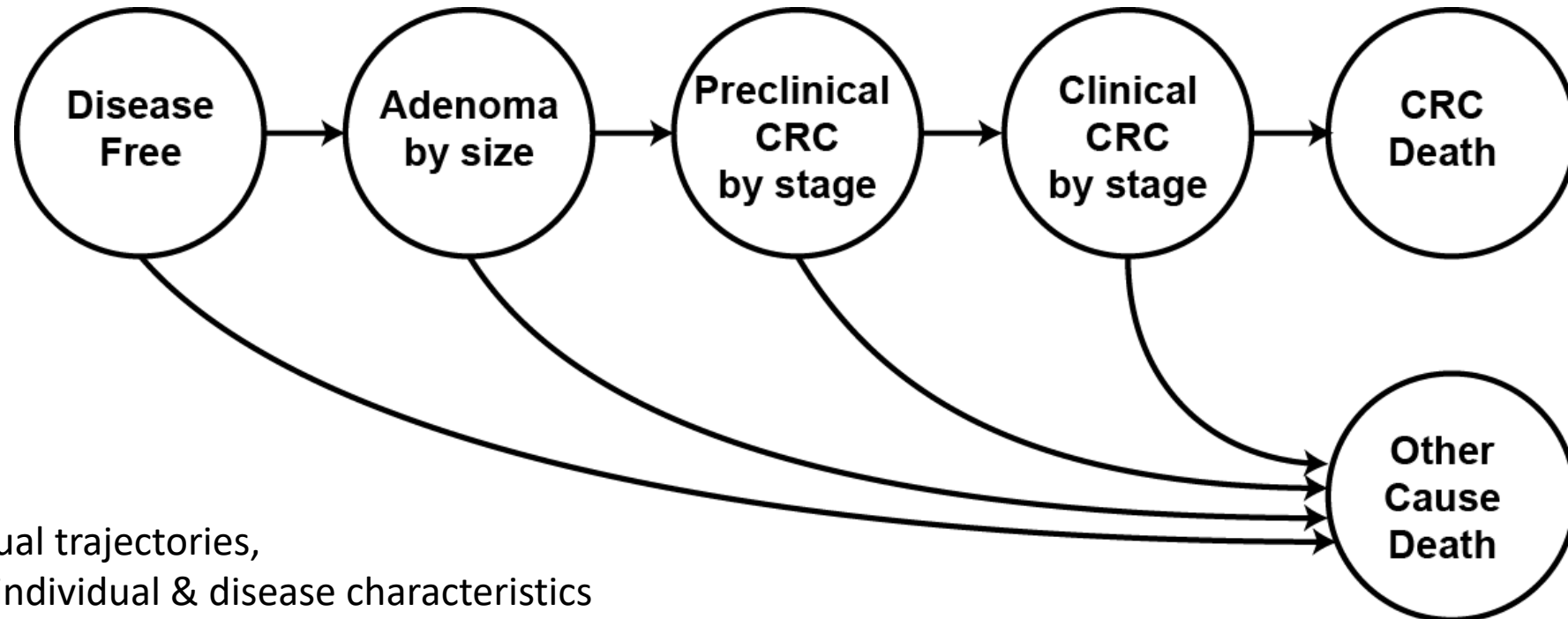
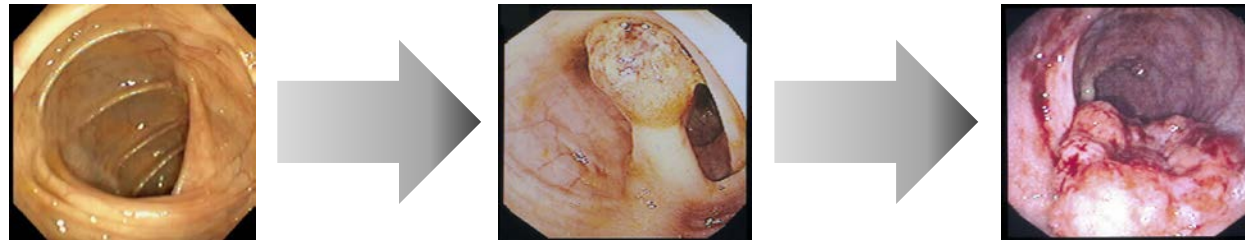
<https://www.cdc.gov/cancer/dcpc/prevention/screening.htm>



# Modeling to Estimate Risks & Benefits



# Natural History Model for Colorectal Cancer

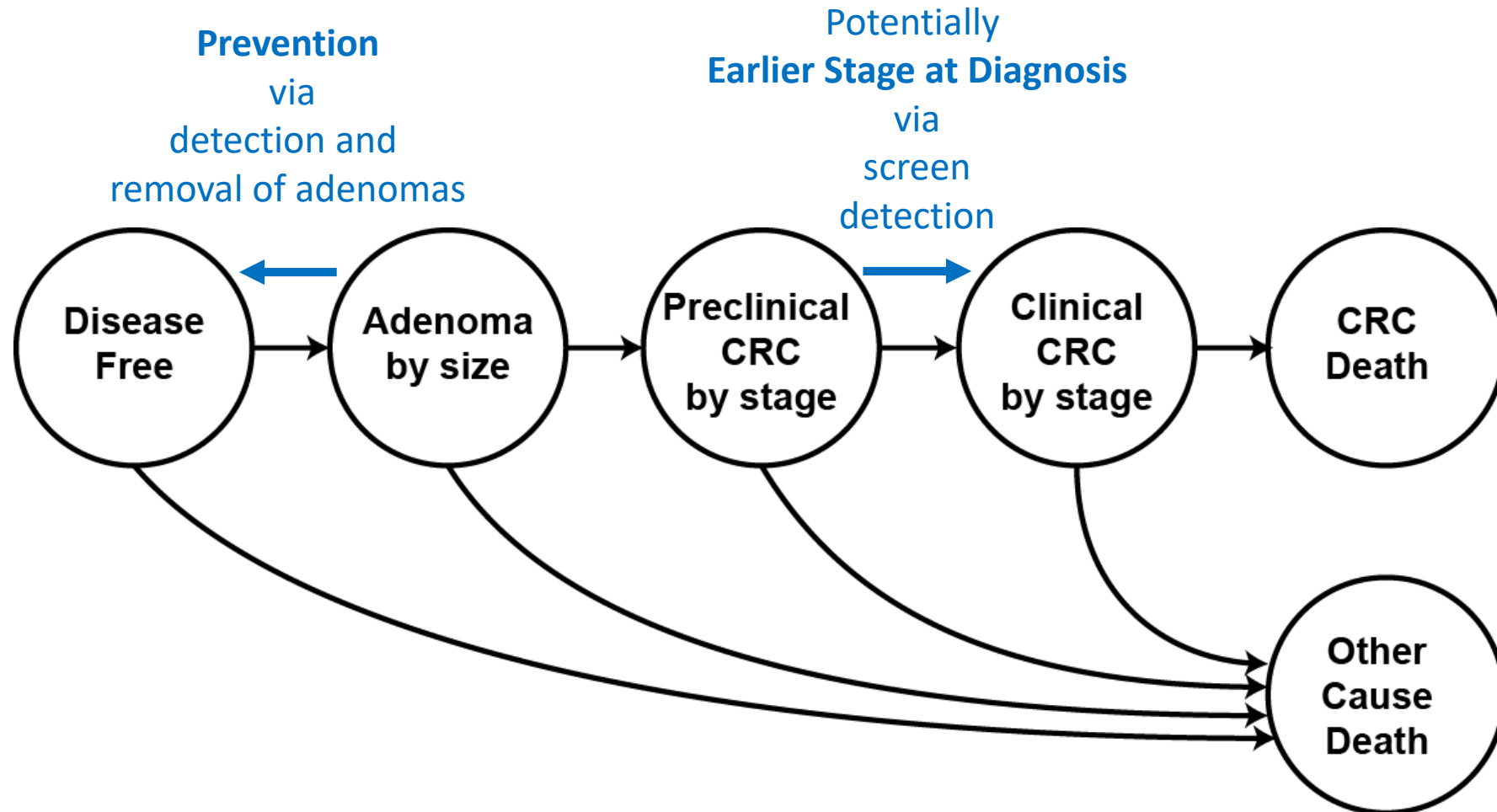


**Microsimulation:**

Simulation of individual trajectories, to describe multiple individual & disease characteristics



# Natural History Model + Screening Model



# Screening Model

## Optimal screening

- What is the best you can expect?



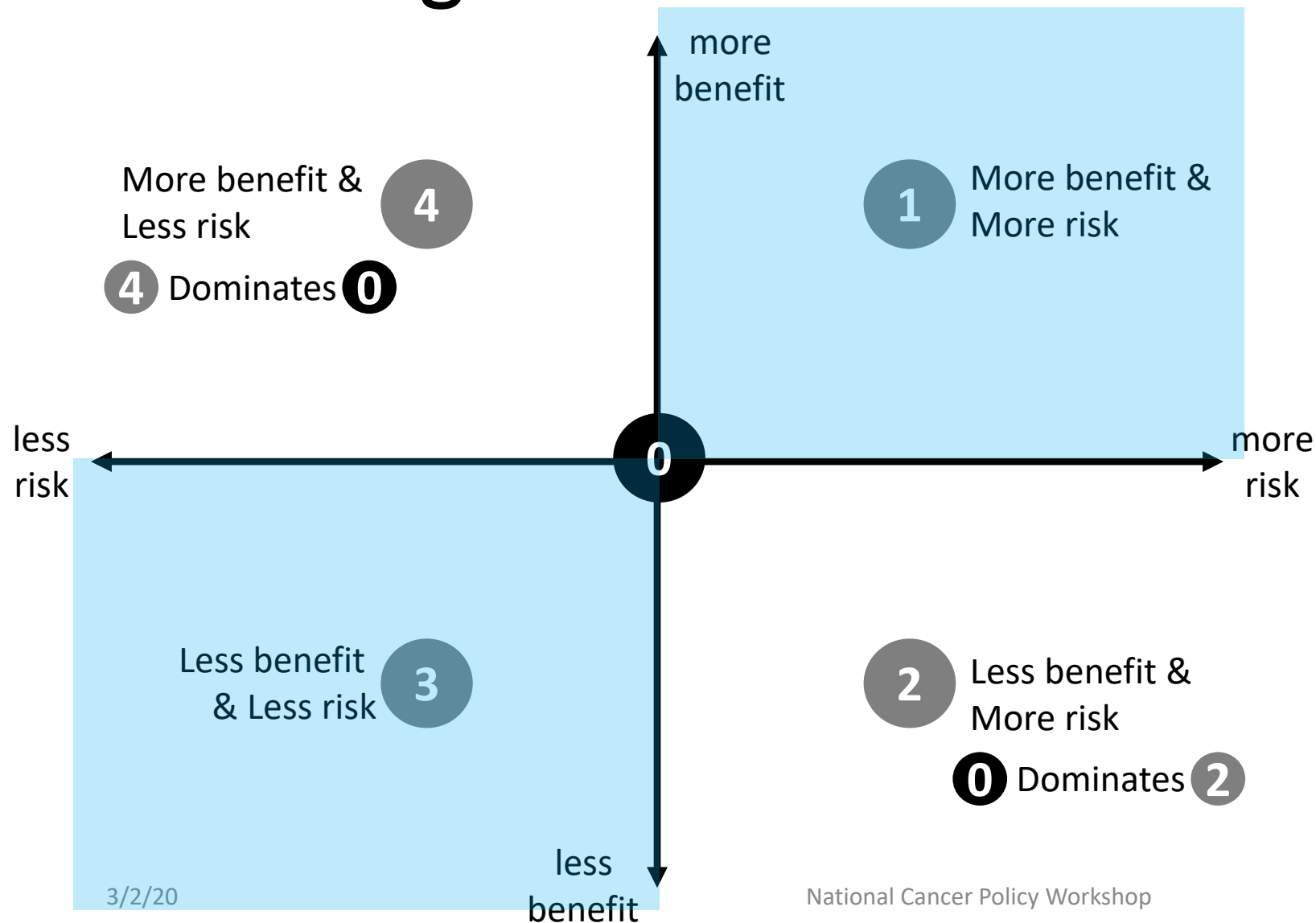
## Realistic screening

- What do we really expect?
- What do we make realistic?
  - Test operating characteristics
  - Screening behaviors



*Modeling human behavior is hard*

# Balancing benefits and risk

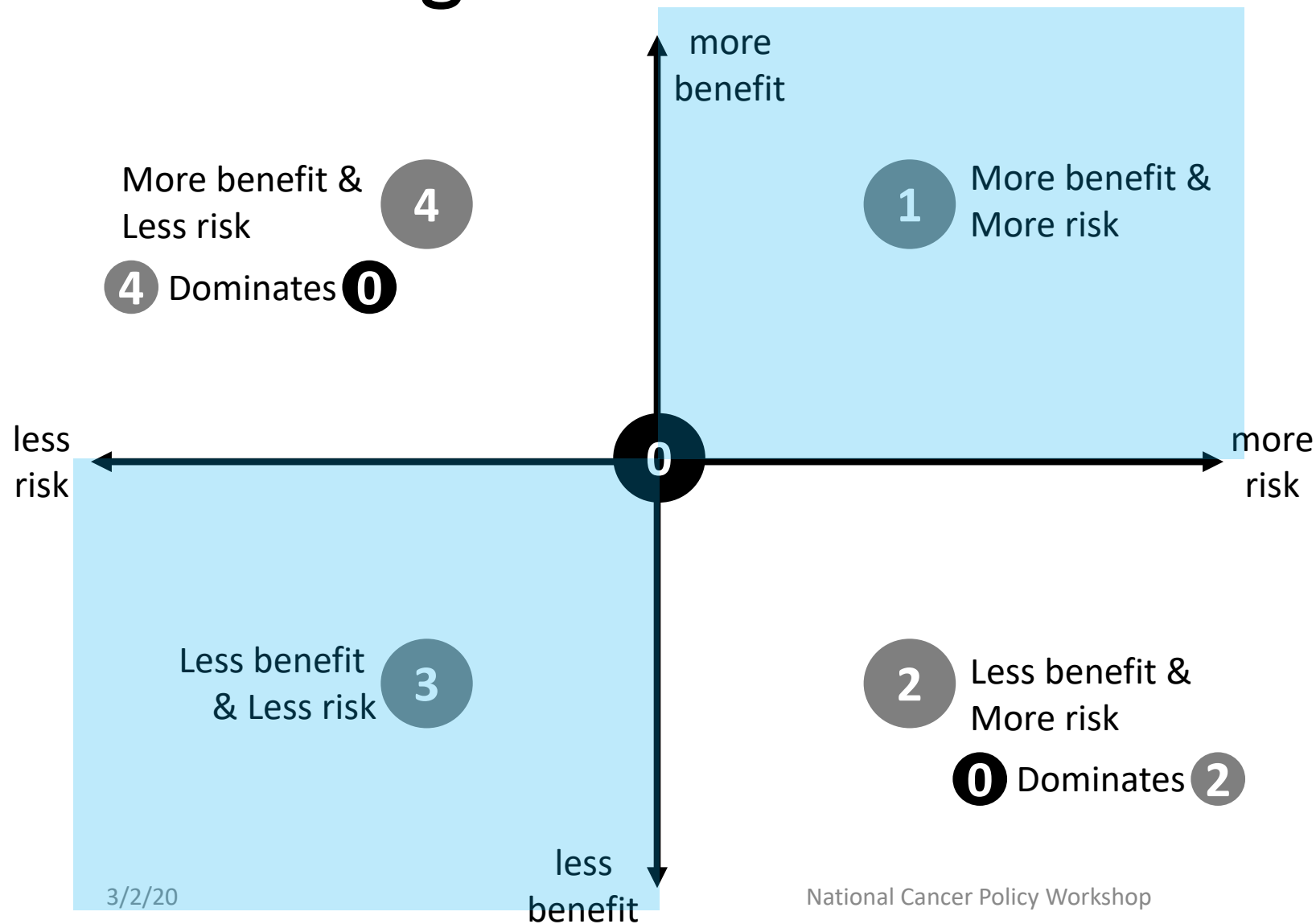


Incremental cost effectiveness (or risk benefit) ratio

$$ICER = \frac{\Delta risk}{\Delta benefit}$$

ICER may be large because of large differences in risk or small differences in benefit

# Balancing benefits and risk



Incremental cost effectiveness (or risk benefit) ratio

$$ICER = \frac{\Delta risk}{\Delta benefit}$$





# Balancing risk and benefit: Frontier Curve

**c** CRC-SPIN: Colonoscopy strategies

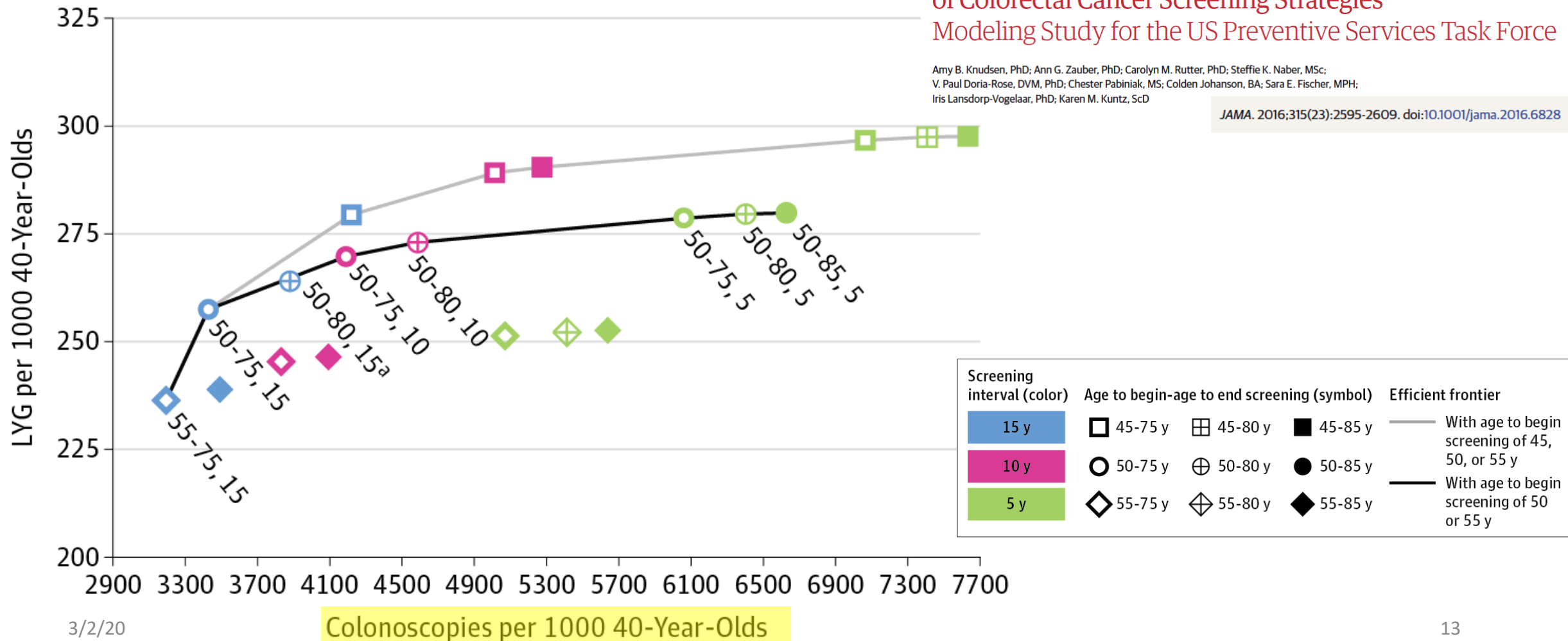
US Preventive Services Task Force | **MODELING STUDY**

Estimation of Benefits, Burden, and Harms  
of Colorectal Cancer Screening Strategies

Modeling Study for the US Preventive Services Task Force

Amy B. Knudsen, PhD; Ann G. Zauber, PhD; Carolyn M. Rutter, PhD; Steffie K. Naber, MSc;  
V. Paul Doria-Rose, DVM, PhD; Chester Pabiniak, MS; Colden Johanson, BA; Sara E. Fischer, MPH;  
Iris Lansdorp-Vogelaar, PhD; Karen M. Kuntz, ScD

*JAMA*. 2016;315(23):2595-2609. doi:10.1001/jama.2016.6828



# Balancing risk and benefit: Frontier Curve

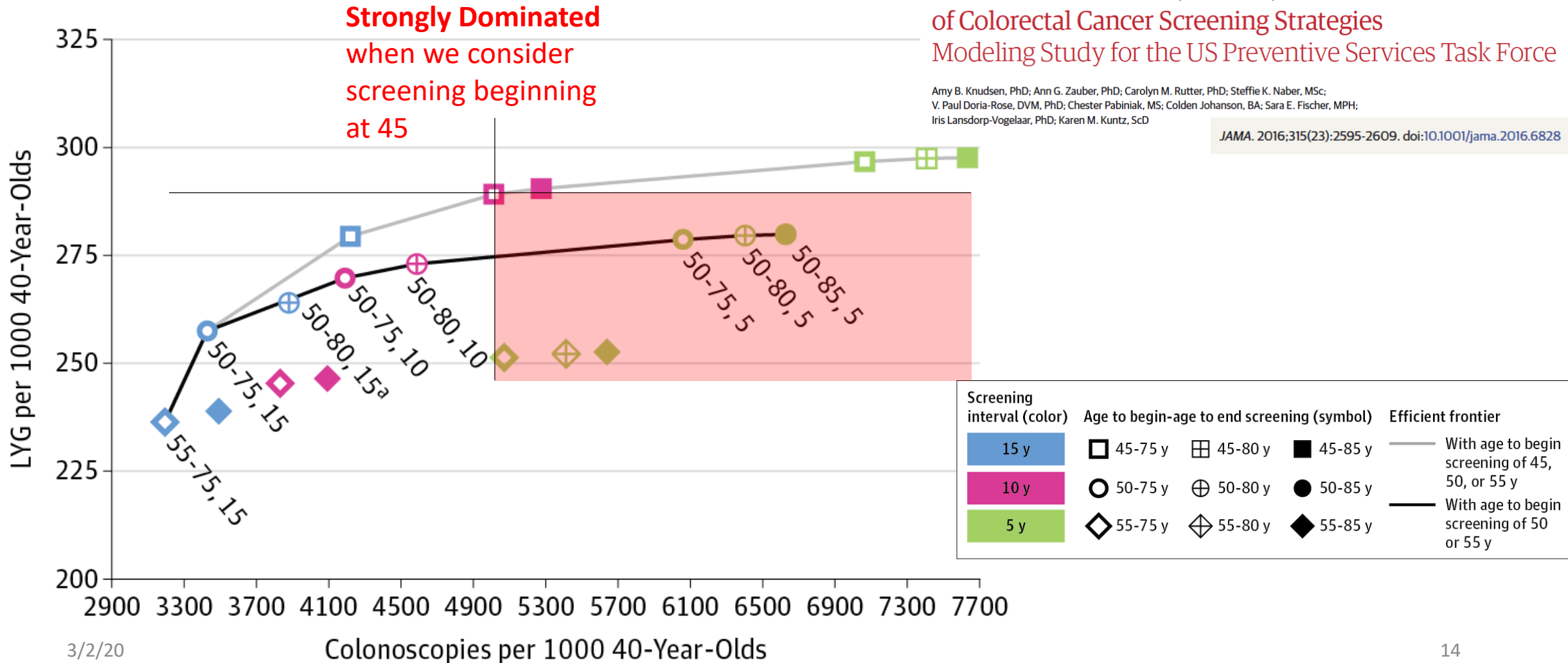
**c** CRC-SPIN: Colonoscopy strategies

US Preventive Services Task Force | **MODELING STUDY**

Estimation of Benefits, Burden, and Harms of Colorectal Cancer Screening Strategies  
Modeling Study for the US Preventive Services Task Force

Amy B. Knudsen, PhD; Ann G. Zauber, PhD; Carolyn M. Rutter, PhD; Steffie K. Naber, MSc;  
V. Paul Doria-Rose, DVM, PhD; Chester Pabiniak, MS; Colden Johanson, BA; Sara E. Fischer, MPH;  
Iris Lansdorp-Vogelaar, PhD; Karen M. Kuntz, ScD

JAMA. 2016;315(23):2595-2609. doi:10.1001/jama.2016.6828



# Balancing risk and benefit: Frontier Curve

**c** CRC-SPIN: Colonoscopy strategies

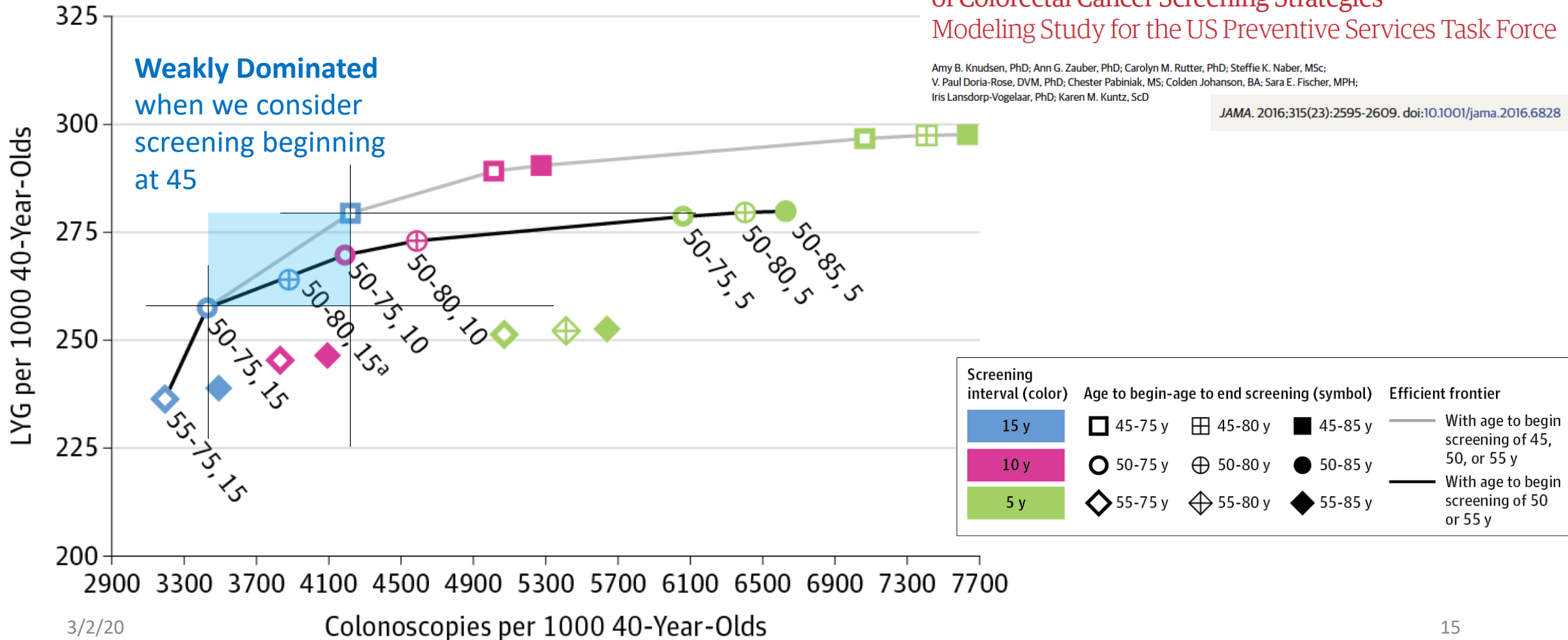
US Preventive Services Task Force | **MODELING STUDY**

Estimation of Benefits, Burden, and Harms  
of Colorectal Cancer Screening Strategies

Modeling Study for the US Preventive Services Task Force

Amy B. Knudsen, PhD; Ann G. Zauber, PhD; Carolyn M. Rutter, PhD; Steffie K. Naber, MSc;  
V. Paul Doria-Rose, DVM, PhD; Chester Pabiniak, MS; Colden Johanson, BA; Sara E. Fischer, MPH;  
Iris Lansdorp-Vogelaar, PhD; Karen M. Kuntz, ScD

*JAMA*. 2016;315(23):2595-2609. doi:10.1001/jama.2016.6828



# Balancing risk and benefit: Frontier Curve

**c** CRC-SPIN: Colonoscopy strategies

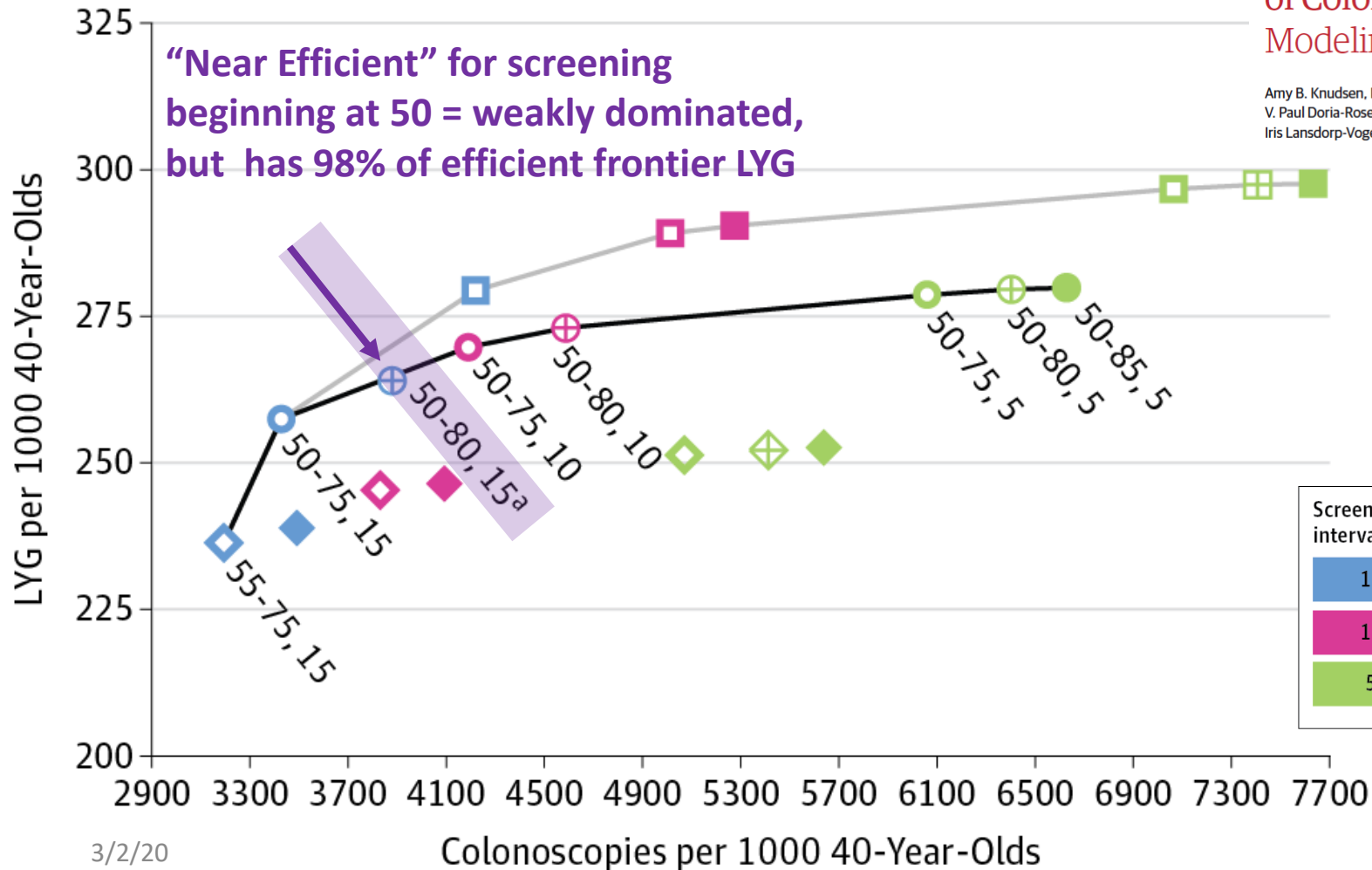
US Preventive Services Task Force | **MODELING STUDY**

Estimation of Benefits, Burden, and Harms  
of Colorectal Cancer Screening Strategies

Modeling Study for the US Preventive Services Task Force

Amy B. Knudsen, PhD; Ann G. Zauber, PhD; Carolyn M. Rutter, PhD; Steffie K. Naber, MSc;  
V. Paul Doria-Rose, DVM, PhD; Chester Pabiniak, MS; Colden Johanson, BA; Sara E. Fischer, MPH;  
Iris Lansdorp-Vogelaar, PhD; Karen M. Kuntz, ScD

JAMA. 2016;315(23):2595-2609. doi:10.1001/jama.2016.6828





# Balancing risk and benefit: Frontier Curve

**c** CRC-SPIN: All stool-based strategies

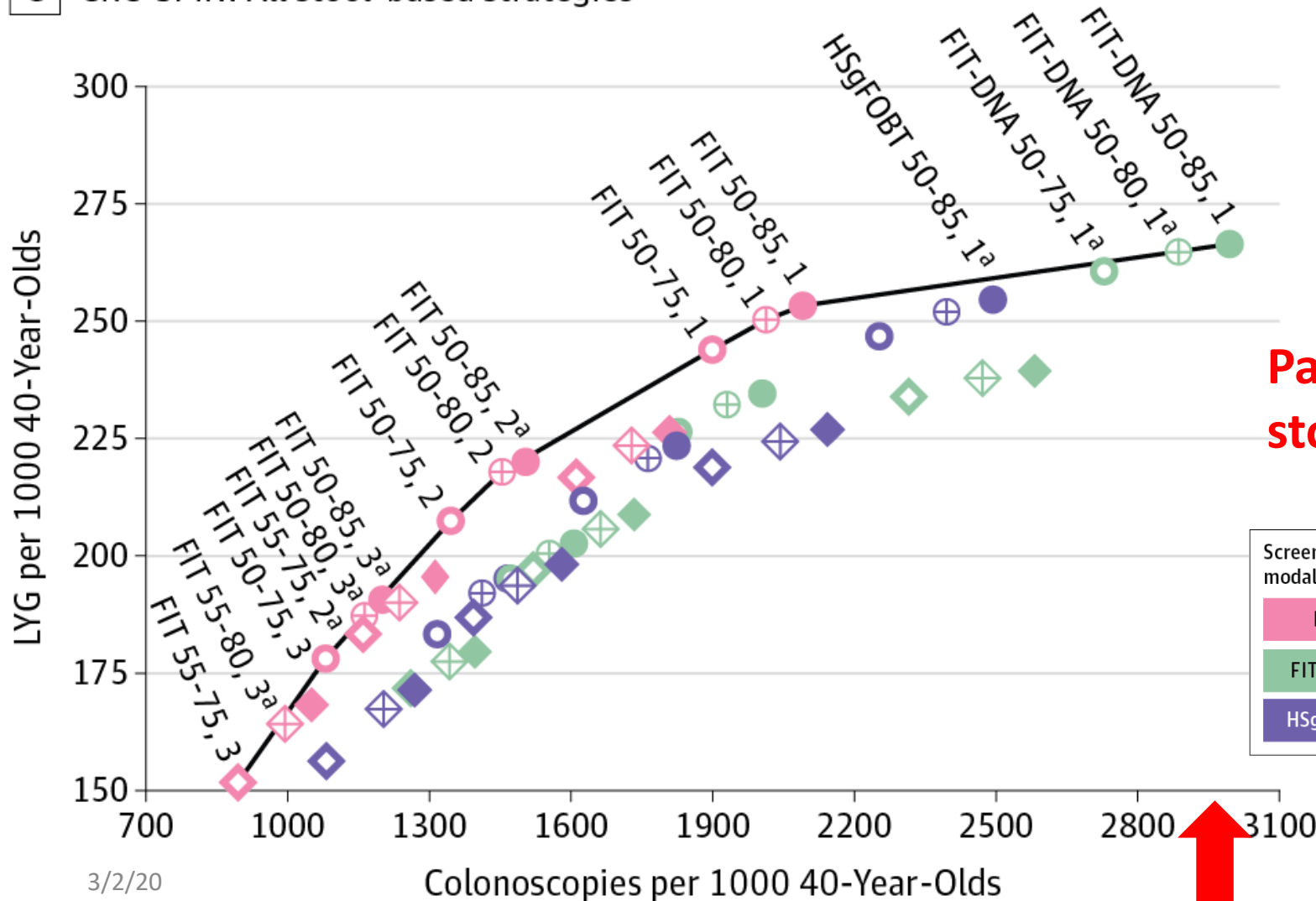
US Preventive Services Task Force | MODELING STUDY

Estimation of Benefits, Burden, and Harms of Colorectal Cancer Screening Strategies

Modeling Study for the US Preventive Services Task Force

Amy B. Knudsen, PhD; Ann G. Zauber, PhD; Carolyn M. Rutter, PhD; Steffie K. Naber, MSc; V. Paul Doria-Rose, DVM, PhD; Chester Pabiniak, MS; Colden Johanson, BA; Sara E. Fischer, MPH; Iris Lansdorp-Vogelaar, PhD; Karen M. Kuntz, ScD

JAMA. 2016;315(23):2595-2609. doi:10.1001/jama.2016.6828



**Patient burden is different for stool-based tests & colonoscopy**

Screening modality (color)	Age to begin-age to end screening (symbol)	Efficient frontier
FIT	○ 50-75 y    ⊕ 50-80 y    ● 50-85 y	— With age to begin screening of 50 or 55 y
FIT-DNA	◇ 55-75 y    ⊕ 55-80 y    ◆ 55-85 y	
HSgFOBT		

# Types of Uncertainty



Sculpher, Mark J., Anirban Basu, Karen M. Kuntz, & David O. Meltzer. Chapter 11: Reflecting uncertainty in cost-effectiveness analysis. In *Cost-Effectiveness in Health and Medicine* (2016).

1. Uncertainty in model **predictions**
2. Uncertainty in model **structure**
3. Uncertainty about unknown model **parameters**
4. Uncertainty about model **inputs** (often treated as fixed), e.g., test characteristics & screening behaviors

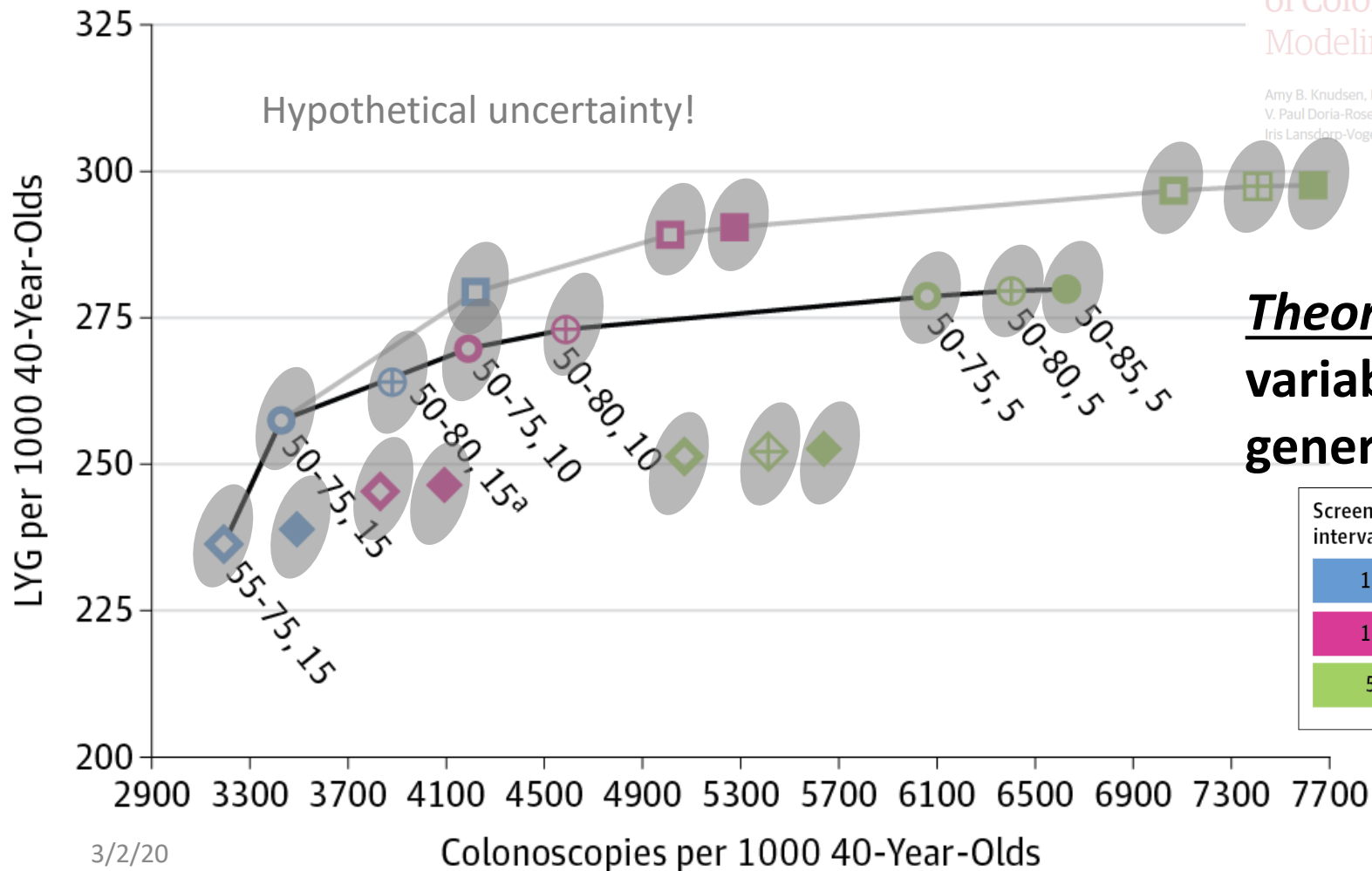
# Prediction Uncertainty: variability given the model, parameters and inputs

**c** CRC-SPIN: Colonoscopy strategies

US Preventive Services Task Force | MODELING STUDY  
 Estimation of Benefits, Burden, and Harms of Colorectal Cancer Screening Strategies  
 Modeling Study for the US Preventive Services Task Force

Amy B. Knudsen, PhD; Ann G. Zauber, PhD; Carolyn M. Rutter, PhD; Steffie K. Naber, MSc;  
 V. Paul Doria-Rose, DVM, PhD; Chester Pabiniak, MS; Colden Johanson, BA; Sara E. Fischer, MPH;  
 Iris Lansloot-Vogelaar, PhD; Karen M. Kuntz, ScD

JAMA. 2016;315(23):2595-2609. doi:10.1001/jama.2016.6828



**Theoretically we can incorporate variability in predictions, but we generally try to drive this down**

# Prediction Uncertainty

- When using simulation models, the population size can be increased until the risks and benefits are estimated precisely
  - However, the ICER could remain uncertain when there are small differences in benefit for two interventions.
  - Is there a logical limit to the simulated population size?

$$ICER = \frac{\Delta risk}{\Delta benefit}$$



# Prediction Uncertainty

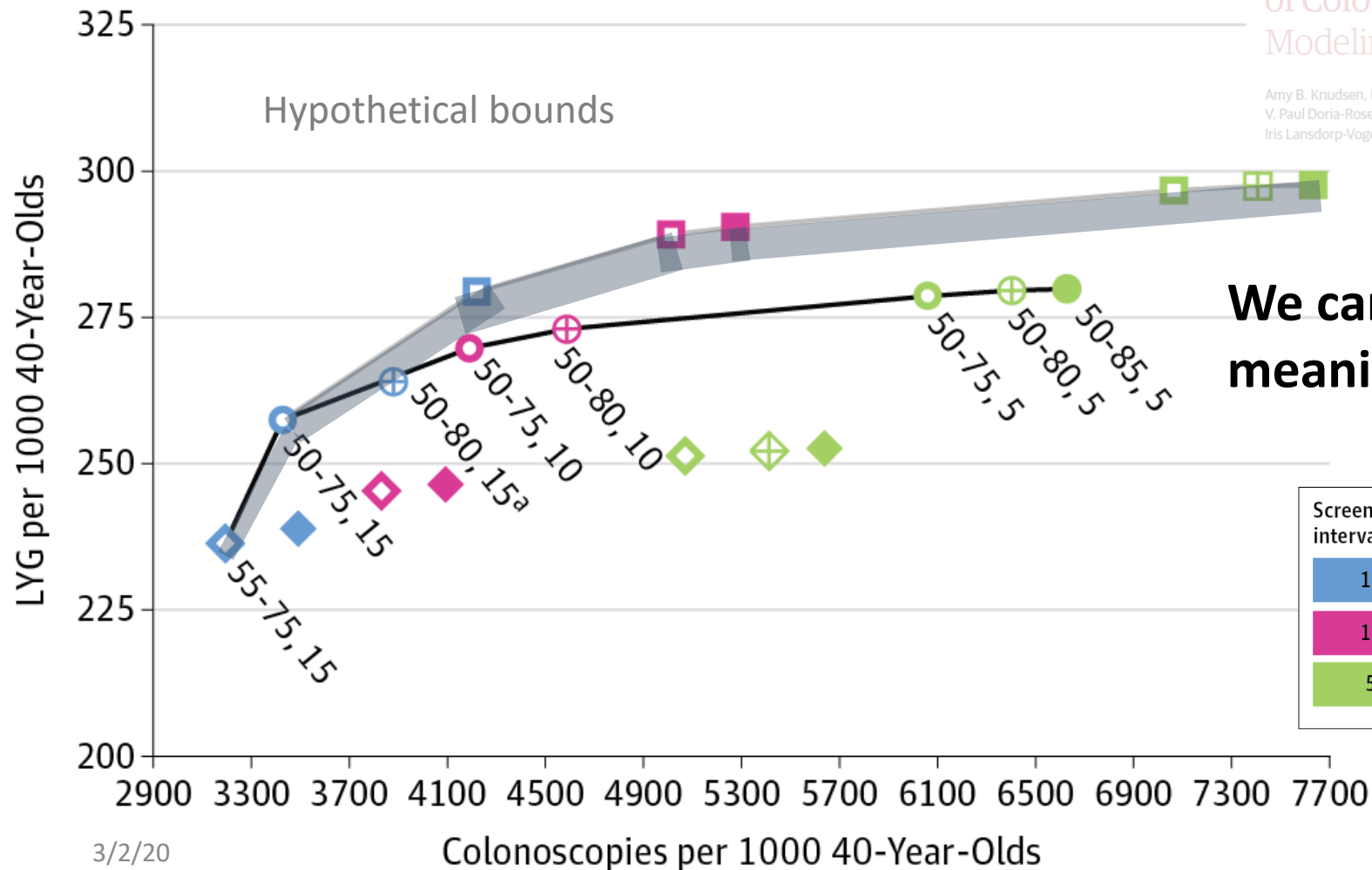
## c CRC-SPIN: Colonoscopy strategies

US Preventive Services Task Force | MODELING STUDY

Estimation of Benefits, Burden, and Harms of Colorectal Cancer Screening Strategies  
Modeling Study for the US Preventive Services Task Force

Amy B. Knudsen, PhD; Ann G. Zauber, PhD; Carolyn M. Rutter, PhD; Steffie K. Naber, MSc;  
V. Paul Doria-Rose, DVM, PhD; Chester Pabiniak, MS; Colden Johanson, BA; Sara E. Fischer, MPH;  
Iris Lansdorp-Vogelaar, PhD; Karen M. Kuntz, ScD

JAMA. 2016;315(23):2595-2609. doi:10.1001/jama.2016.6828



**We can define similarity based on a meaningful risk & benefit differences**

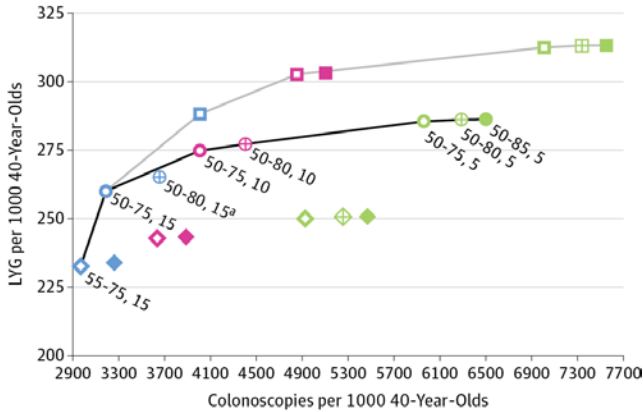
Screening interval (color)	Age to begin-age to end screening (symbol)	Efficient frontier		
15 y	45-75 y (Square)	45-80 y (Square with cross)	45-85 y (Square)	— With age to begin screening of 45, 50, or 55 y
10 y	50-75 y (Circle)	50-80 y (Circle with cross)	50-85 y (Circle)	— With age to begin screening of 50 or 55 y
5 y	55-75 y (Diamond)	55-80 y (Diamond with cross)	55-85 y (Diamond)	

# Structural Uncertainty

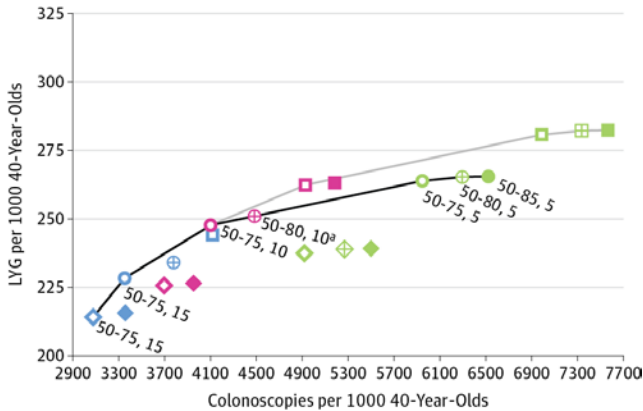
Uncertainty about model assumptions & structure

Can be addressed using collaborative modeling.  
 Look for consistency in results – a *qualitative* rather than quantitative comparison.

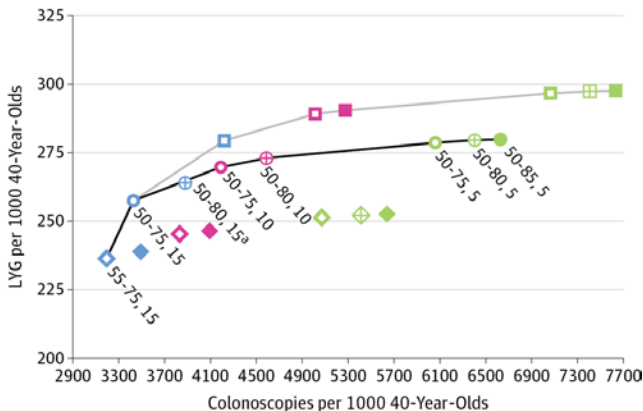
**A** SimCRC: Colonoscopy strategies



**B** MISCAN: Colonoscopy strategies



**C** CRC-SPIN: Colonoscopy strategies



US Preventive Services Task Force | **MODELING STUDY**

## Estimation of Benefits, Burden, and Harms of Colorectal Cancer Screening Strategies

### Modeling Study for the US Preventive Services Task Force

Amy B. Knudsen, PhD; Ann G. Zauber, PhD; Carolyn M. Rutter, PhD; Steffie K. Naber, MSc;  
 V. Paul Doria-Rose, DVM, PhD; Chester Pabiniak, MS; Colden Johanson, BA; Sara E. Fischer, MPH;  
 Iris Lansdorp-Vogelaar, PhD; Karen M. Kuntz, ScD

JAMA. 2016;315(23):2595-2609. doi:10.1001/jama.2016.6828

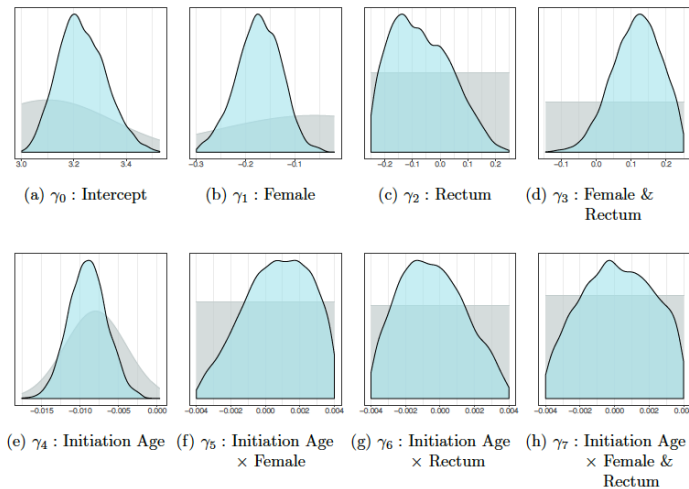
Screening interval (color)	Age to begin-age to end screening (symbol)	Efficient frontier
15 y	□ 45-75 y    ⊞ 45-80 y    ■ 45-85 y	— With age to begin screening of 45, 50, or 55 y
10 y	○ 50-75 y    ⊕ 50-80 y    ● 50-85 y	— With age to begin screening of 50 or 55 y
5 y	◇ 55-75 y    ⊖ 55-80 y    ◆ 55-85 y	

# Parameter Uncertainty: variability of calibrated model parameters

## Address with probabilistic sensitivity analysis (PSA)

- Estimate (or specify) a distribution for unknown model parameters
- Sample from these distributions and predict risks and benefits

### Estimated distributions of model parameters



### Parameters are not independent

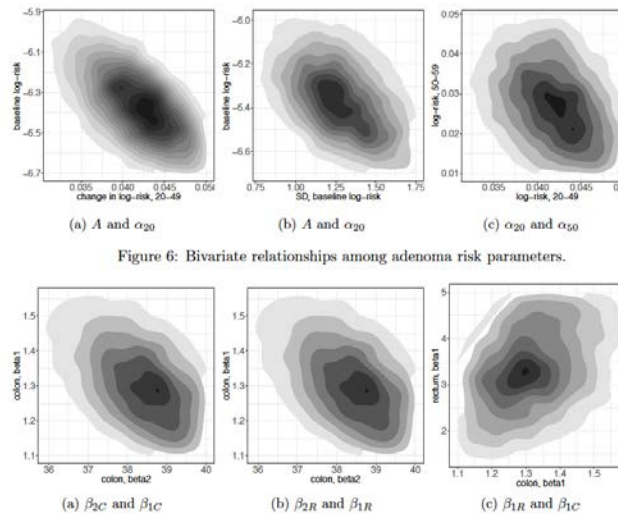


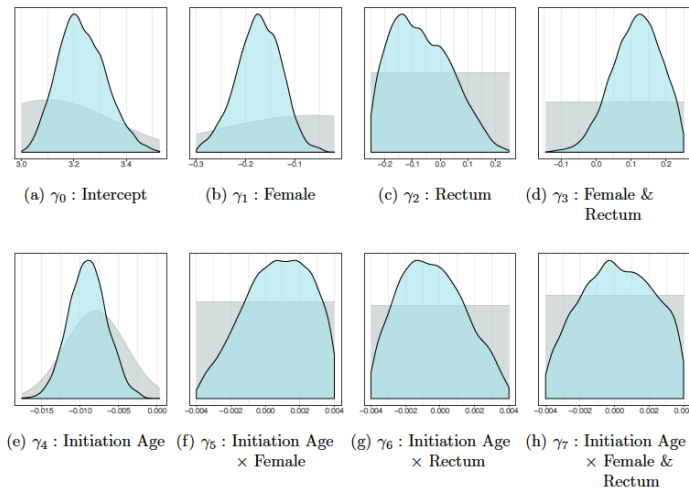
Figure 6: Bivariate relationships among adenoma risk parameters.

For each parameter vector sampled, we get different predicted risks & benefits

# Parameter Uncertainty

Use PSA to get a distribution of risks, benefits & risk: benefit ratios.

## Estimated distributions of model parameters



## Summarize results:

- Average benefit, risk, risk:benefit
- Percentage of the time each screening scenario is on the efficient frontier

# Probabilistic Sensitivity Analysis

- Limited by computational burden
  - Estimation of distribution of model parameters
  - Prediction of risk and benefit for multiple parameter sets
- Computation – and research – is catching up (stay tuned)

# Parameter & Input Uncertainty

Many layers of uncertainty

Parameters × test operating characteristics × screening behaviors





# Acknowledgement

We've had many discussions within the CISNET-CRC team that have touched on topics in this presentation. Thanks to:

SimCRC Team: Amy Knudsen, Karen Kuntz

MISCAN-CRC Team: Iris Lansdorp-Vogelaar, Elleke Peterse

Coordinating Center: Ann Zauber

Supported by National Cancer Institute U01-CA-199335



Thank You