# Informed Decision Making and Patient Perceptions of VCA

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## Disclosures

- Department of Defense (RT180041 Gordon EJ, Initiating Investigator)
- I (and my coauthors) have nothing to disclose.
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# Background

- Upper extremity (UE) Vascularized Composite Allotransplantation (VCA) offers a unique restorative treatment option for patients with devastating tissue defects who otherwise do not have conventional reconstructive options available. VCA aims to restore form and function (i.e., motor and mobility function, and sensation of the hand/arm).
- UE transplantation raises multiple ethical issues, particularly, about informed consent, patient selection, and definitions of VCA success.
- Examining the informed consent and decision-making process for UE VCA is important for ensuring patient self-determination.
- Ensuring that the clinical evaluation process accounts for patients' perceptions is essential for making UE VCA treatment patient-centered and increasing patient success.

# **Study Aims**

#### Aim 1

Qualitatively assess the decision making and informed consent processes for UE VCA

#### Aim 2

- Develop prototype educational materials that provide patient-centered information to enhance understanding and reduce undue influence to pursue upper extremity VCA, and that are sensitive to different levels of dysfunction, residual limbs, health literacy levels, and different racial/ethnic groups.
  - Video, website, and question prompt sheet

#### Aim 3

• Formatively evaluate the educational materials through usability testing on amputees' and candidates' understanding, satisfaction, and usability

### **Methods**

- Multidisciplinary Research Team: VCA transplant surgeons, hand surgeons, occupational therapists, social scientists, ethicists
- Design: Cross-sectional, sequential mixed-methods study
- Setting:
  - Northwestern University, Chicago, IL
  - Johns Hopkins University, Baltimore, MD 

    NYU, New York, NY
  - Walter Reed National Military Medical Center, Bethesda, MD
- Participants: Adults (18+ yrs) with UE amputations,
   UE VCA Candidates/Participants, and UE VCA Recipients
- Data Collection: In-depth telephone interviews, semi-structured interviews, focus groups, usability testing interviews
- IRB approval, HRPO approval, verbal consent

# Methods

**Table 1. Study Participants Across Data Collection Activities** 

	N (%)
Cognitive Interviews	5 (3.0)
In-Depth Interviews	50 (29.6)
2 Online Focus Groups	7 (4.1)
9 Telephone Focus Groups	37 (21.9)
Semi-Structured Interviews	56 (33.1)
Usability Testing Interviews	14 (8.3)
TOTAL	169

Willingness to Receive an UE Transplant, N=46				
Not At All Willing %	A Little Willing %	Somewhat Willing %	A Lot Willing %	Completely Willing %
17.4	21.7	26.1	10.9	23.9

<sup>\*</sup>Included: people with UE amputations, and UE VCA candidates and participants (n=46). Excluded n=4 UE recipients.

Gordon EJ, et al. Ethical and Psychosocial Factors in the Decision-Making and Informed Consent Process for Upper Extremity Vascularized Composite Allotransplantation. <u>Transplant Direct</u> 2023;9(8):e1515.

# Results: Decision-Making about Pursuing UE VCA: Pro

- Dissatisfaction with current treatment options
- Desire to regain hand functioning
- Desire to be made "whole" or "full" again
- Improve appearance & social integration
- Engage in family interactions "hold kids"
- Regain independence

"Just the ability to do those things I talked about earlier, because I don't like being a burden, and it makes me feel bad about myself when I have to ask all the time for people to help me, and if I could be more independent that would be awesome." (J013-A)

# Results: Decision-Making about Pursuing UE VCA: Con

 Concerns about jeopardizing current health and becoming "worse off"

- Psychosocial factors regarding:
  - Adapted to life without UE
  - Commitment to rehabilitation
  - Logistical burdens
  - Interrupting family and work life
  - Need more research studies

"Just the antirejection meds and the possibility of it getting rejected and causing medical issues in my arm that I currently don't have."

(WR012-A)

# **Results: Voluntariness** (in-depth interviews n=50)

- 18% "a little", "somewhat", "a lot", or "completely" pressured to get an UE VCA:
  - Healthcare provider encouraged UE VCA despite one individual's reticence
- Recognized sources of <u>potential</u> pressure to pursue UE VCA:
  - Family pressure so that individuals look "normal" again, become independent
  - Societal pressures to fit in, maintain a body image with 2 upper limbs, restore a sense of wholeness, and avoid being labeled as disabled
  - Cultural pressure Latino community being perceived as less of a man due to missing limb
  - Medical community pressure to advance VCA field, 
     û # of UE VCAs performed

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# **Results: Timing of Information Disclosure**

### **Early disclosure**

- 1. Before or days/weeks after surgery
- After physically
   psychologically healed, off pain meds

3. Few months to 1 year

4. 1-2 years

"As soon as possible, as soon as they're able to think clearly and right."

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### **Results: Format of Information Disclosure**

(n=10/50 interviews with UE VCA recipients/participants/candidates)

- Information commonly provided as pamphlets or verbally with followup emails
- Disliked being provided paper packets:
  - difficulty "turning pages"
  - information being "overwhelming"
- Suggested providing information:
  - "digital form" i.e., a website
  - "little sections" to be digestible

## **Results: Perception of Alternatives**

(n=8/10 interviews with UE VCA recipients/participants/candidates)

- Sentiment of no acceptable treatment options
- For some, prosthetics were not considered a legitimate option:
  - Poor functionality
  - Lack of fine motor skills and sensation
  - High financial cost

### **UE VCA Information Needs by Major Theme and Subtheme**

#### **Broad and Contextual Information about UE VCA**

- Everything about it
- UE VCA history and current state

#### **Pre-UE VCA**

- Eligibility, waiting list, and evaluation process
- Donor and matching process
- Transplant team and transplant clinic

#### **Risks of UE VCA**

- Risks in general
- Effect on life and lifespan
- Infection and Rejection

#### **UE VCA Procedure**

- Surgical procedure
- Hospital stay and recovery period

#### **Post-UE VCA**

#### Living with a UE VCA

- Rehabilitation
- Medication and medication side effects
- Lifestyle changes

#### Outcomes of UE VCA

- Functionality, sensation, and other outcomes
- Success rate
- Experiences of UE VCA recipients

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Gacki-Smith J, et al. Information needs and development of a question prompt sheet for upper extremity vascularized composite allotransplantation. <u>Front Psychol</u>: Special Issue on VCA 2022;13:960373.

# 35-Item UE VCA-QPS - Sample Questions

#### **TOPICS AND QUESTIONS**

#### The Hand/Arm Transplant Field

- 1. What is the success rate of upper limb transplantation?
- 2. How many upper limb transplants have been performed in the US, and how many are still working?

#### **Eligibility and Evaluation Process**

- 3. How do I find out if I am eligible, and what makes someone a good candidate?
- 4. What are the steps in the evaluation process?
- 5. How long does it take to get evaluated and put on the waitlist?

#### Donor-Recipient Matching and Appearance of Hand/Arm Transplant

- 6. How do doctors decide if the donor is a good match?
- 7. How do we choose the size, skin tone, gender, etc. of the donor hand(s)/arm(s)?
- 8. How long will I wait for a donor?
- 9. How will my new hand/arm look different from the rest of my body?

Gacki-Smith J, Kuramitsu BR, Downey M, Vanterpool KB, Nordstrom MJ, Luken M, Riggleman T, Altema W, Fichter S, Cooney CM, Dumanian GA, Jensen SE, Brandacher G, Tintle S, Levan M, Gordon EJ. Information needs and development of a question prompt sheet for upper extremity vascularized composite allotransplantation: A mixed methods study. <u>Front Psychol: Special Issue on VCA</u> 2022;13:960373.

Within \*Reach

Home Hand/Arm Transplant Process Risks Recovery Decision-Making Options Resources About Us Q

This educational website was developed for all people with upper limb amputations based on a collaboration between Northwestern University, Johns Hopkins University, and Walter Reed National Military Medical Center, funded by the US Department of Defense.



### Inform Yourself About Hand/Arm Transplantation

Learn More



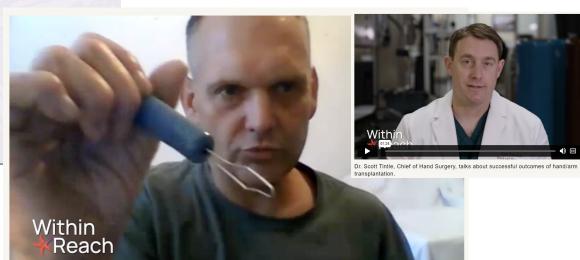
Was. Carter, with bilateral, below-elbow limb loss (11 years after amputation), talks about her concerns about taking anti-rejection medication.

Vanterpool KB, Gacki-Smith J, Kuramitsu B, Downey M, Nordstrom MJ, Luken M, Riggleman T, Fichter S, Altema W, Brucker JB, Cooney CM, Dumanian G, Jensen S, Levan M, Tintle SM, Brandacher G, Gordon EJ. Within Reach -- A Patient-Centered Website to Foster Informed Decision Making about Upper Extremity Vascularized Composite Allotransplantation: Development and Usability Testing. JMIR Form Res. 2023:7:e44144.





Angie Duckworth, Transplant Social Worker, talks about the risk imie Shores, Hand/Arm Transplant Surgeon, explains of re-amputation.

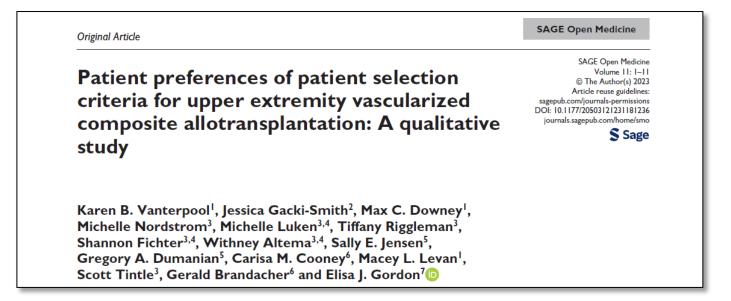


Mr. Pollock, a bilateral, upper limb transplant recipient (11 ½ years after transplantation), demonstrates and explains how his hands function.



ability to grip and to pinch.<sup>3</sup> tolleting, as well as writing, driving, cooking, and cleaning.<sup>3,5</sup>

www.WithinReach.info



### Preferred criteria for selection of UE VCA candidates:

- Younger age
- Good physical health
- Mental stability
- Willing to "put in the work"
- Specific amputation characteristics (e.g., unilateral limb loss)
- Social support

SAGE Open Medicine Original Article Volume II: I-II Patient definitions of transplant success in © The Author(s) 2023 Article reuse guidelines: upper extremity vascularized composite sagepub.com/journals-permissions DOI: 10.1177/20503121231184677 allotransplantation: A mixed-methods journals.sagepub.com/home/smo S Sage study Max C Downey<sup>1</sup>, Jessica Gacki-Smith<sup>2</sup>, Brianna Kuramitsu<sup>2</sup>, Karen B Vanterpool<sup>1</sup>, Michelle Nordstrom<sup>3</sup>, Michelle Luken<sup>3,4</sup>, Whitney Langlee<sup>5</sup>, Tiffany Riggleman<sup>3,4</sup>, Shannon Fichter<sup>3,4</sup>, Withney Altema<sup>3,4</sup>, Sally E Jensen<sup>6</sup>, Gregory A Dumanian<sup>6</sup>, Carisa M Cooney<sup>7</sup>, Macey L Levan<sup>1,8</sup>, Scott Tintle<sup>3</sup>, Gerald Brandacher and Elisa I Gordon 9

### Patients defined UE VCA success as:

- Restoring function and sensation to enable new activities
- Accepting the transplanted limb into one's identity and appearance
- Not having transplant rejection
- Attaining greater quality of life compared to prosthetics
- Ensuring benefits outweigh risks

### **Discussion**

- People with UE amputations desired extensive information about UE VCA, primarily on risks, the rehabilitation process, and functional outcomes
- Our UE VCA-QPS can be used by people with UE amputations and UE VCA programs to:
  - Address information needs, foster patient-provider communication about UE VCA, facilitate patient-centered care, and enhance informed decision-making, and empower people with UE amputations
- Future research should assess the impact of the UE VCA-QPS on patientprovider communication and informed decision-making about UE VCA

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# Recommendations

Improve Patient Education & Informed Consent Process

Clinical Practice

Inform OPTN Policy

### **Future Research**

Evaluate the impact of Within Reach and QPS on patients' informed treatment decision making about UE VCA (e.g., decisional conflict, willingness, satisfaction, etc.)

# **Research Team**

Max Downey, BS

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Thank You.

Questions?



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