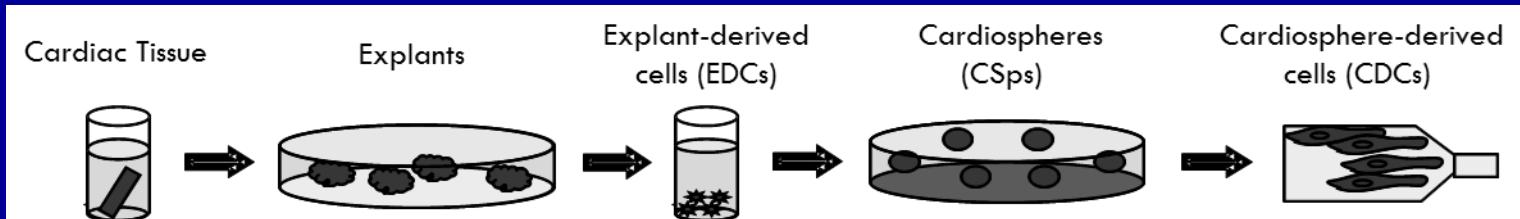


Exosomes as next-generation therapeutic candidates

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Disclosure: Founder's equity in, and
unpaid advisor to, Capricor Inc.

Cardiosphere-derived cells (CDCs)

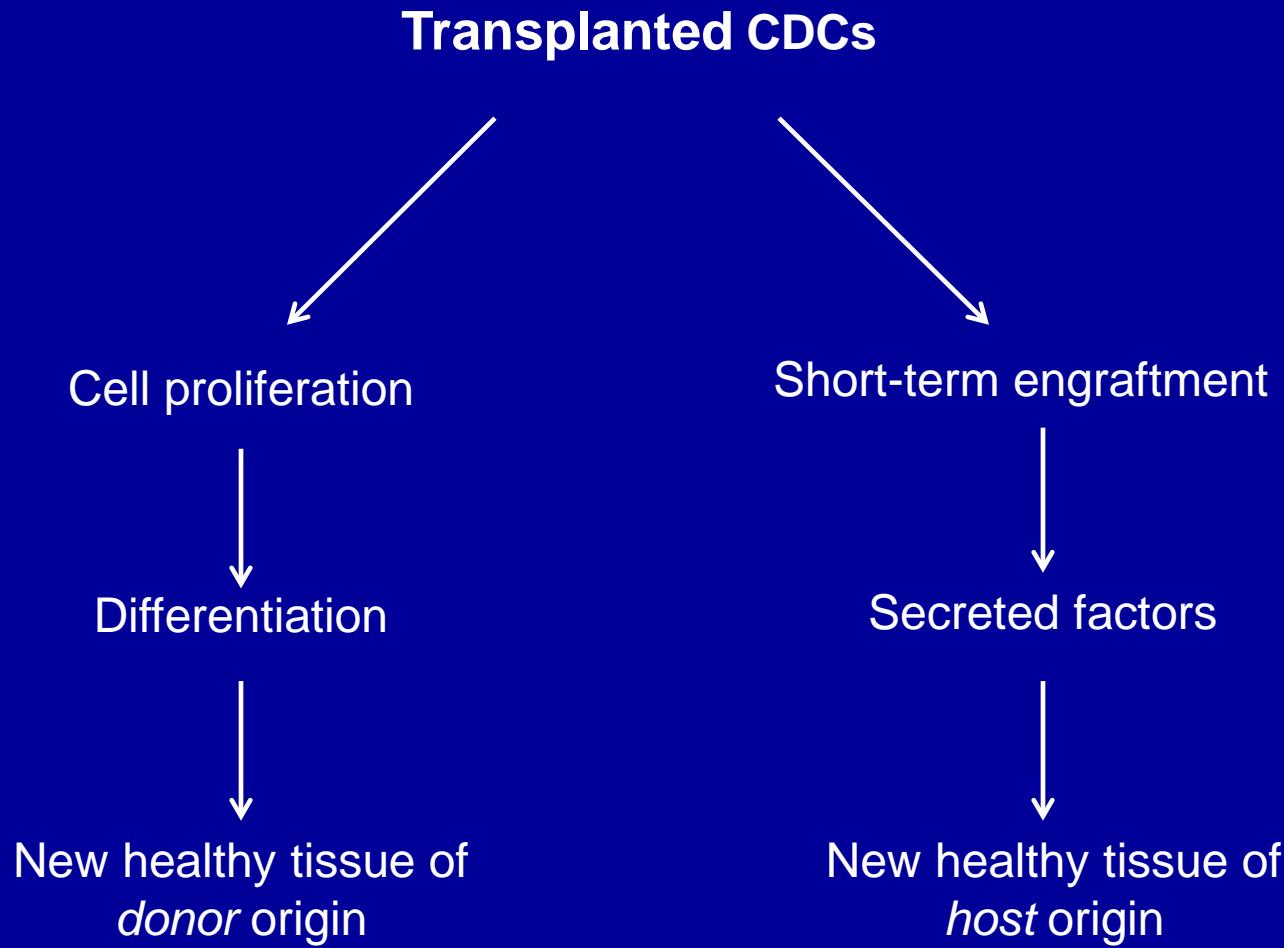


First described by RR Smith et al., *Circulation* 2007; methods and bioactivity reproduced by at least 26 labs worldwide

Cell Type	Human heart stem cell/cardiac stromal cell
Characteristics	CD105+, CD45-; secreted SDF-1 and exosomes containing distinctive miRs
Clinical Trials	CADUCEUS -completed-autologous phase 1 (n=25). Evidence of regeneration in CDC-treated post-MI subjects with mild heart failure (<i>Lancet</i> , 2012) ALLSTAR -phase 1 (n=14) completed; phase 2 (n=134), enrollment complete-allogeneic CDCs post-MI with mild heart failure
	DYNAMIC -ongoing-phase 2a (n=14)- allogeneic CDCs in patients with advanced heart failure
	HOPE-Duchenne -allo CDCs for DMD cardiomyopathy, enrollment complete
Mechanism of action	Paracrine regenerative effects <ul style="list-style-type: none">■ Promote cardiomyomyogenesis■ Prevent cardiomyocyte apoptosis■ Anti-fibrotic■ Anti-inflammatory



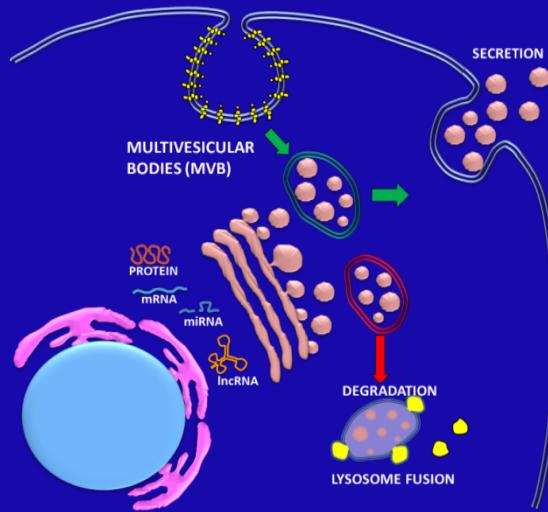
Mechanism



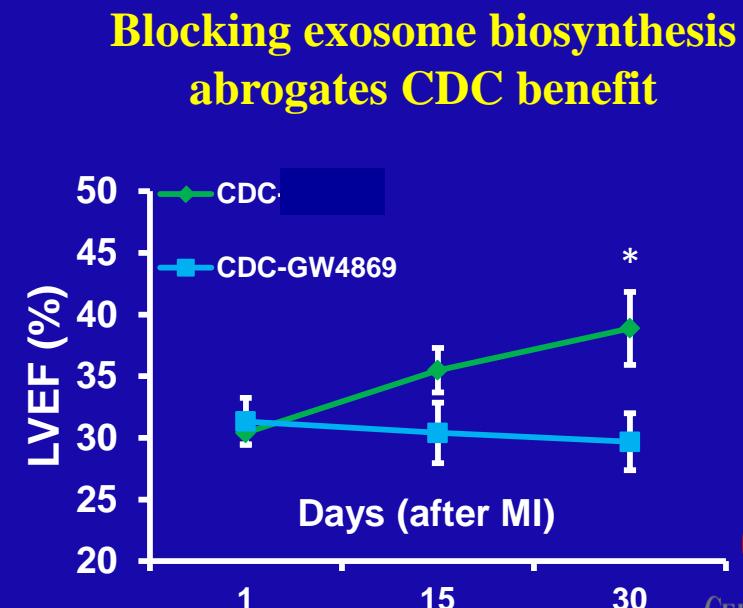
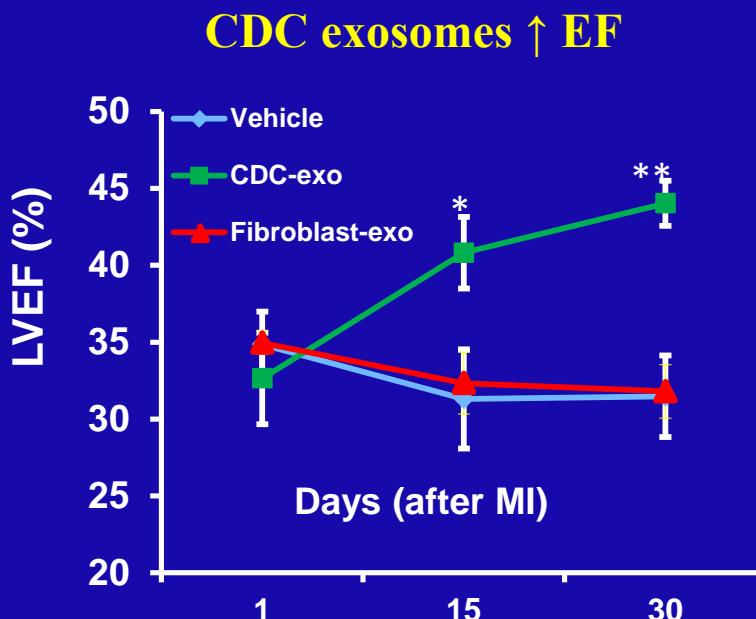
Cell-free therapeutics

Is there a single entity that can mimic all the salient benefits?

Exosomes are bioactive nanoparticles



- 30-150 nm particles
- Present in all body fluids
- Released by nearly all cell types
- Loaded with miRs and other bioactive contents
- Payload very cell-specific



Exosomes mimic CDC effects on multiple biological processes

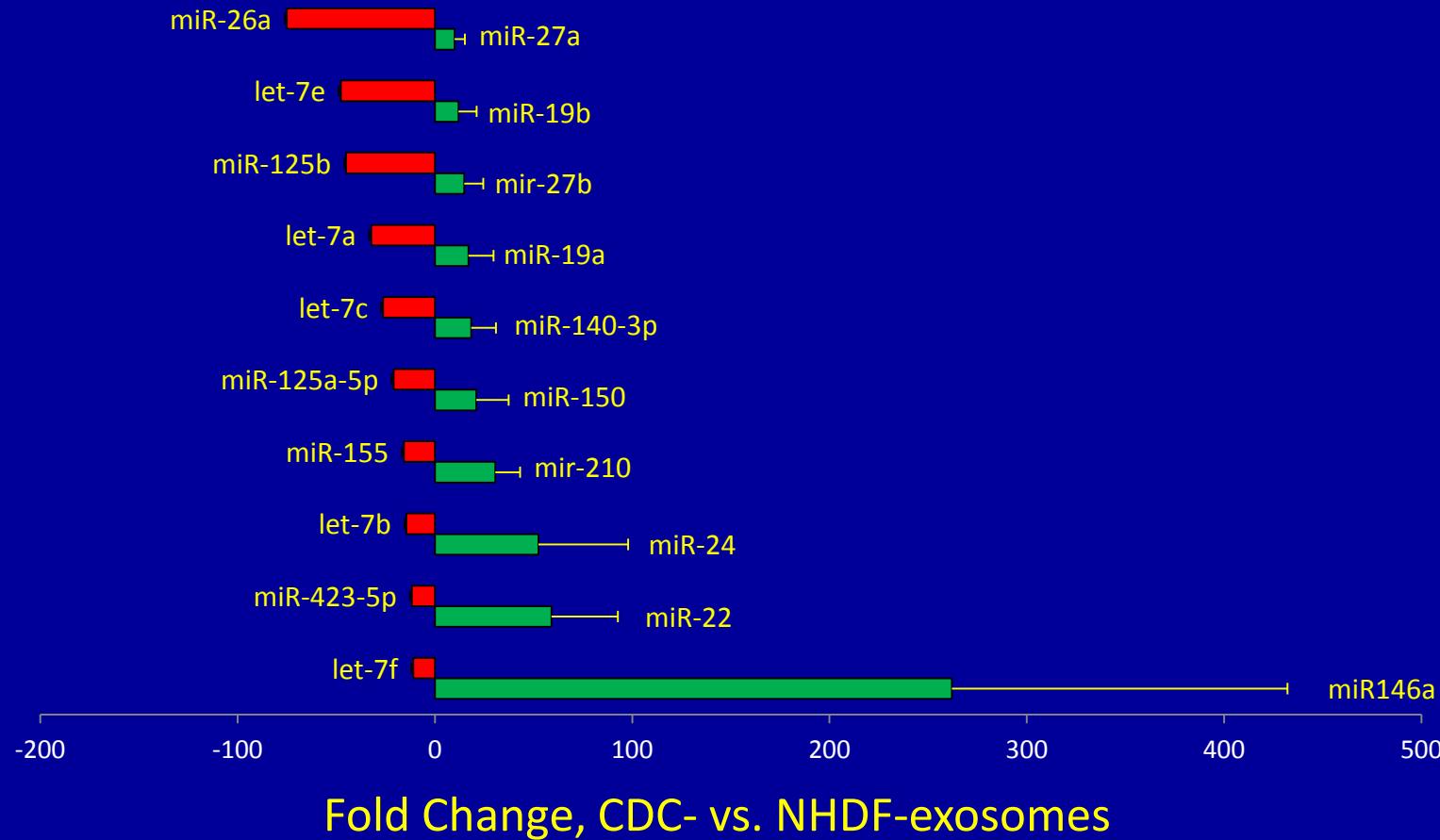
	CDCs	CDC-XO
• Regenerative	✓ 1,2	✓ 11,12
• Antifibrotic	✓ 1-4	✓ 11,12
• Anti-apoptotic	✓ 3-5	✓ 11,13
• Angiogenic	✓ 1,6	✓ 11
• Anti-inflammatory	✓ 9	✓ 12,13
• Immunomodulatory	✓ 9,10	✓ 12

1. RR Smith et al, *Circ* 2007; 2. Makkar et al., *Lancet* 2012; 3. E. Tseliou et al., *PLoS One* 2014; 4. E. Tseliou et al., *BRIC* 2014; 5. T-S Li et al, *JACC* 2012; 6. I. Chimenti et al, *Circ Res* 2010; 7. K. Malliaras et al, *Circ* 2012; 8. K. Malliaras et al., *EMBO Mol Med* 2013; 9. M. Aminzadeh et al., *EJH* 2014; 10. L. Lauden et al, *Circ Res* 2013; 11. A. Ibrahim et al. *Stem Cell Reports* 2014; 12. M. Aminzadeh et al., *Circulation AHA abstracts* 2015; 13. G. DeCouto et al., *Circulation AHA abstracts* 2015



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CDC-exosomes exhibit a distinctive miR profile



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Working hypotheses

- No single RNA species can account for all the benefits of exosomes
- Individual miRs or other RNA species may prevail in any given setting
- The totality of exosomal contents required for full manifestation of bioactivity

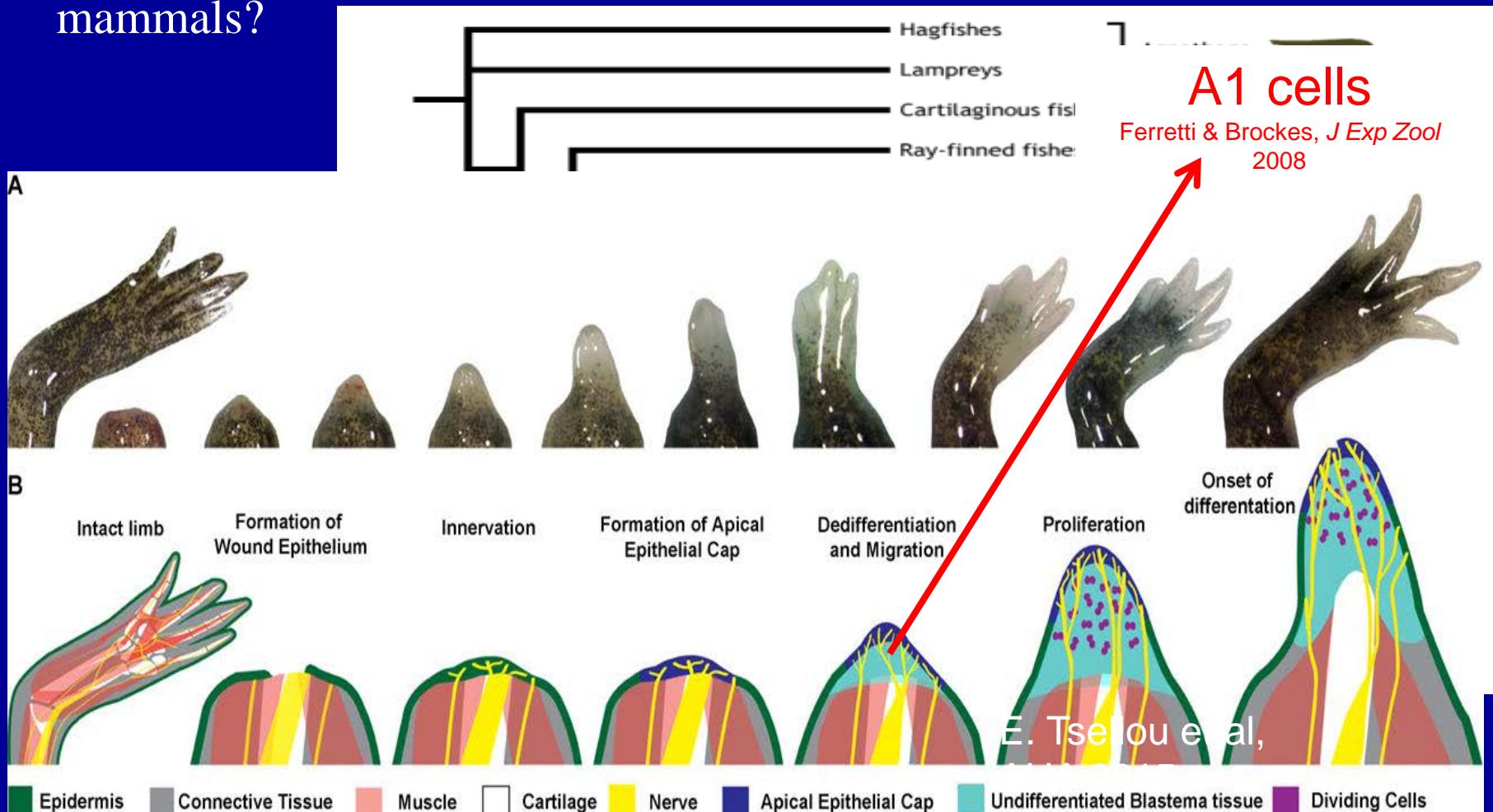
Regeneration in newts>>in mammals



Chiba lab, Tsukuba University, Japan

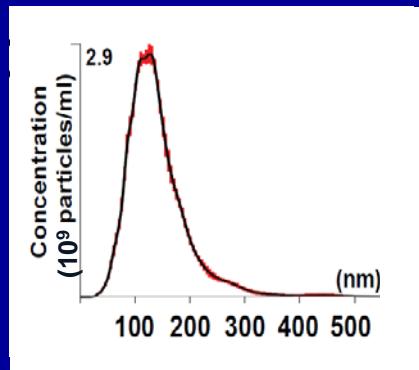
Is newt biology translatable to mammals?

- Separated from mammalian lineage ~300 million years ago
- Can newt cells make exosomes? Are the exosomes biologically active in mammals?

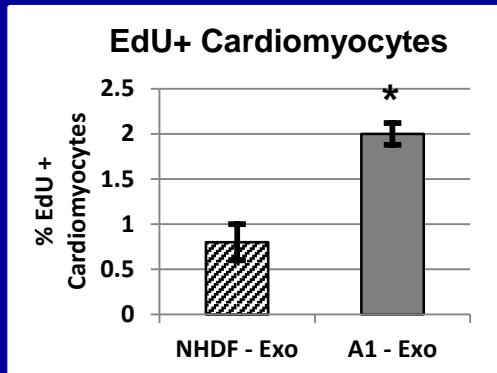
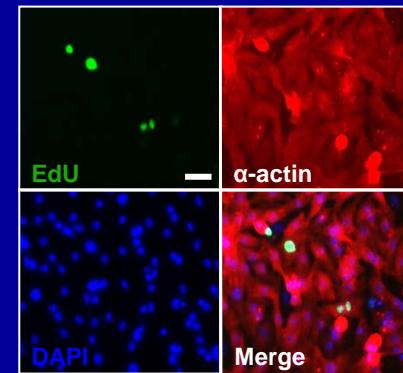


Newt A1 cells make exosomes that are regenerative in rats

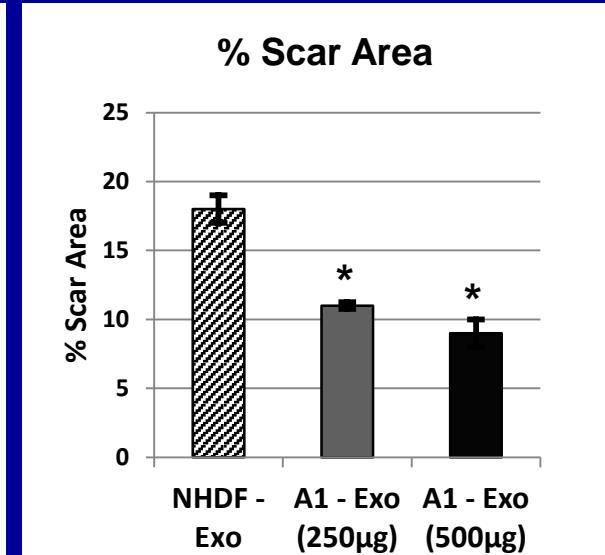
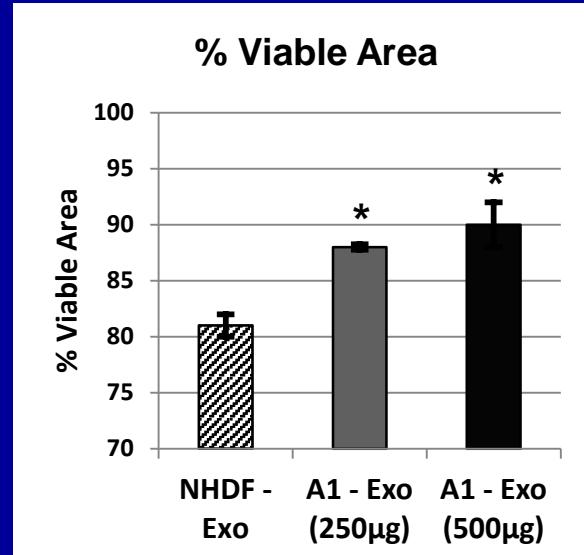
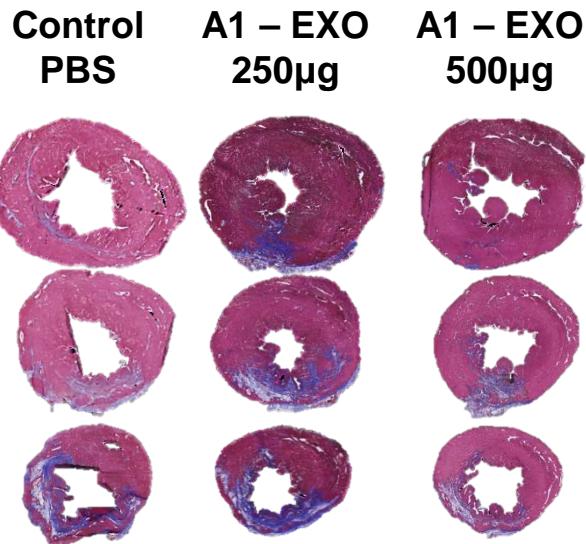
Newt exosome size



Rat cardiomyocyte proliferation *in vitro*



Rat heart morphology two weeks post-infarction: Newt A1- vs. NHDF-exosomes

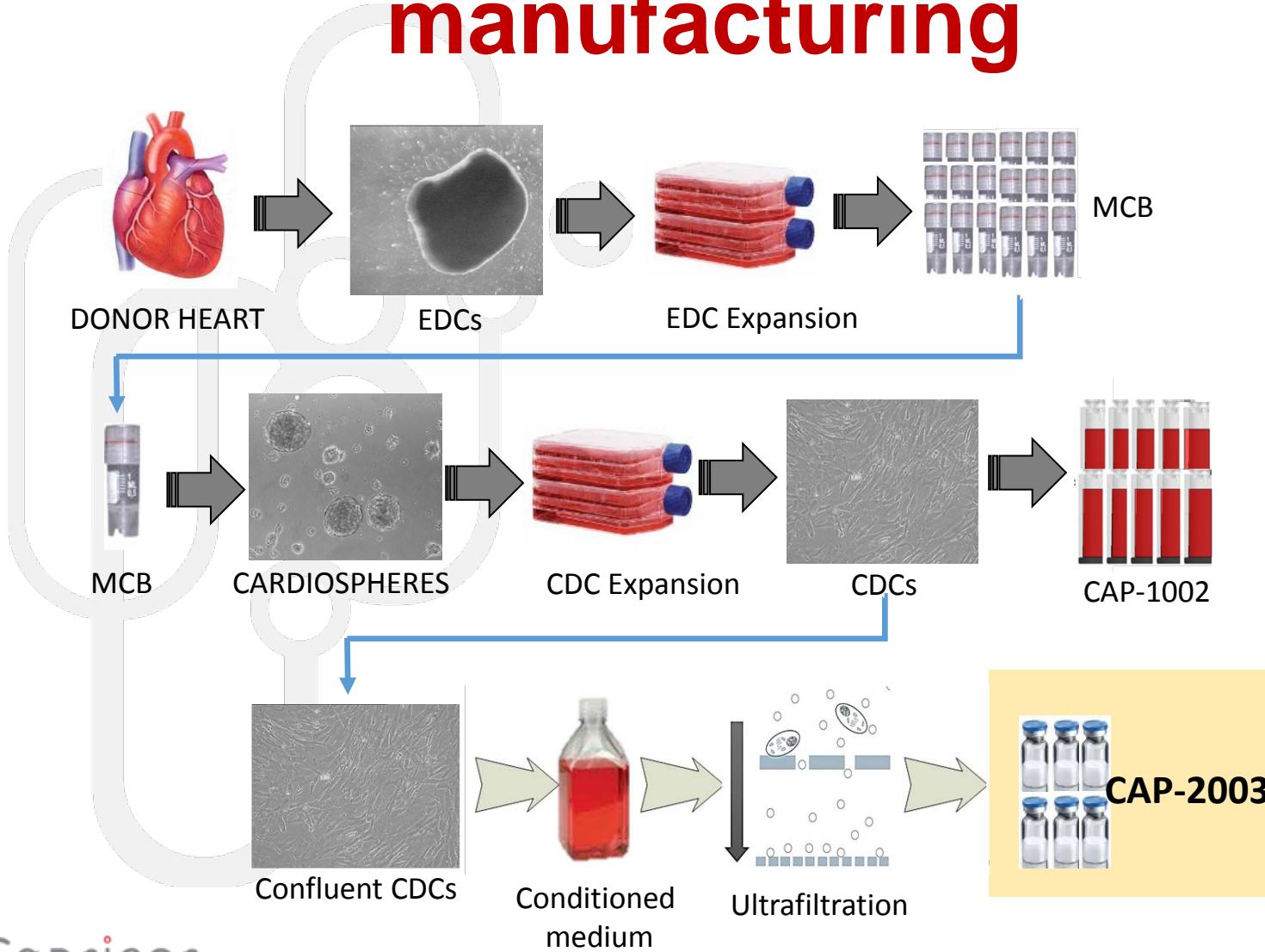


Will therapeutic exosomes ever reach the clinic?

Capricor Overview

- Founded in 2005 (JHU spinoff); NASDAQ listed (CAPR) in 2015
- Employees: 40 FTEs
- Distinctive features:
 - No VC money
 - 100% scientific/medical management
 - Extensive peer-reviewed grant support (CIRM, NIH, DoD)
 - Soup-to-nuts scope: Discovery, development, manufacturing, regulatory and clinical trials design/management

CDC-exosome clinical manufacturing



Summary

- Cardiosphere-derived cells: viable therapeutic candidates
- Exosomes mediate, and reproduce, benefits of CDCs
- Newt exosomes bioactive in mammalian injury
- Clinical development for diseases with prominent fibrosis, inflammation

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