# Pain Biomarkers: Current progress and challenges for the future

Tor D. Wager

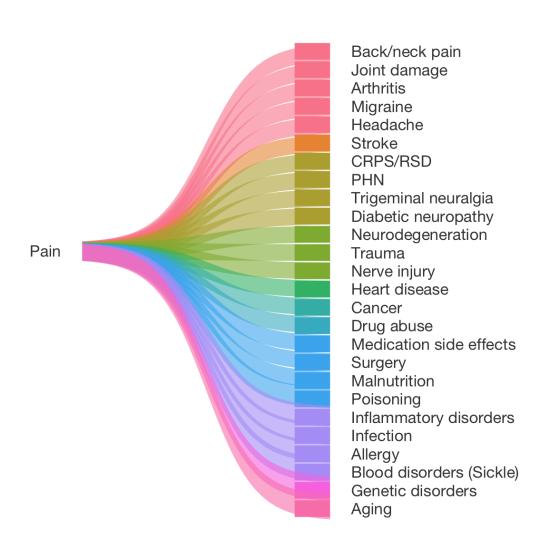
Department of Psychology and Neuroscience And the Institute for Cognitive Science

The University of Colorado, Boulder

### Pain: A root problem



A primary reason people seek medical attention, and primary impact on wellbeing and function





edicine 2011: Relieving chronic pain in America

## Pain: A root problem



#### 100 million adults

suffer from chronic pain in the U.S.

#### Legal issues:

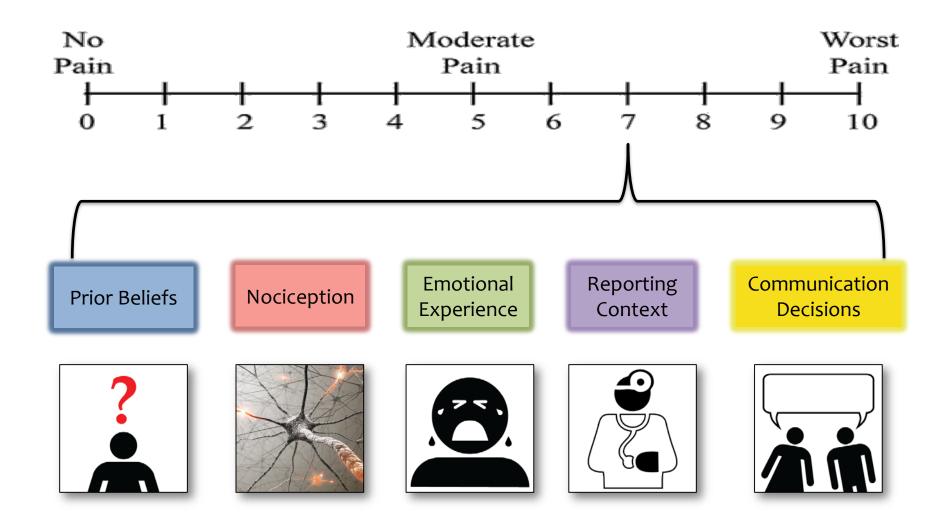
- Disability status, V.A. benefits
- Workers' compensation
- Tort claims (esp. in the US)
- Insurance, Medicare coverage for treatment

#### Depends on:

- Presence and severity of pain
- Cause of pain in the brain/body
- Personal predispositions ('thin skull')

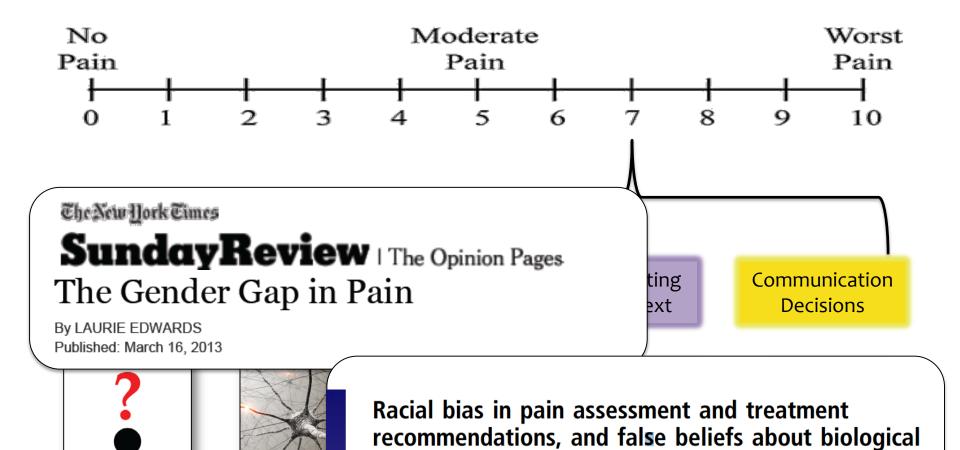


#### Pain reports: Complex determinants





#### Pain reports: Complex determinants



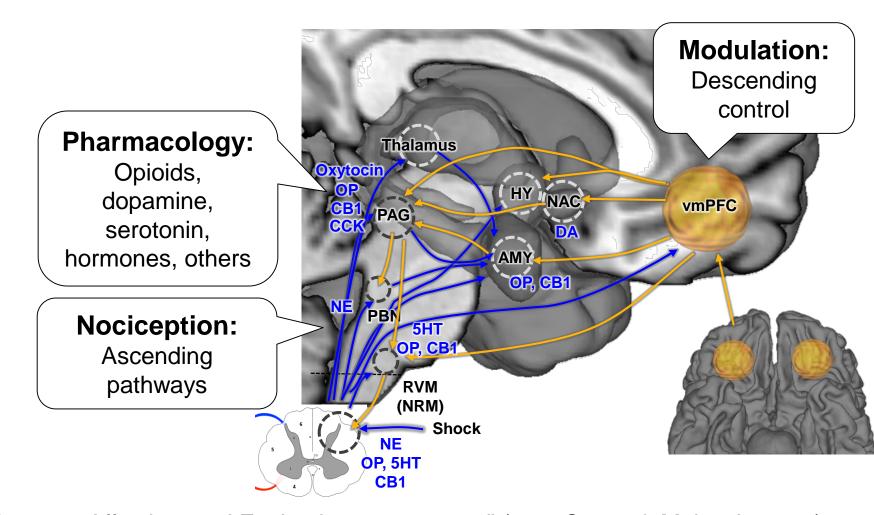
differences between blacks and whites

Kelly M. Hoffman<sup>a,1</sup>, Sophie Trawalter<sup>a</sup>, Jordan R. Axt<sup>a</sup>, and M. Norman Oliver<sup>b,c</sup>

5

#### The neurophysiology of pain





"Sensory, Affective, and Evaluative components" (e.g., Casey & Melzack, 1968)

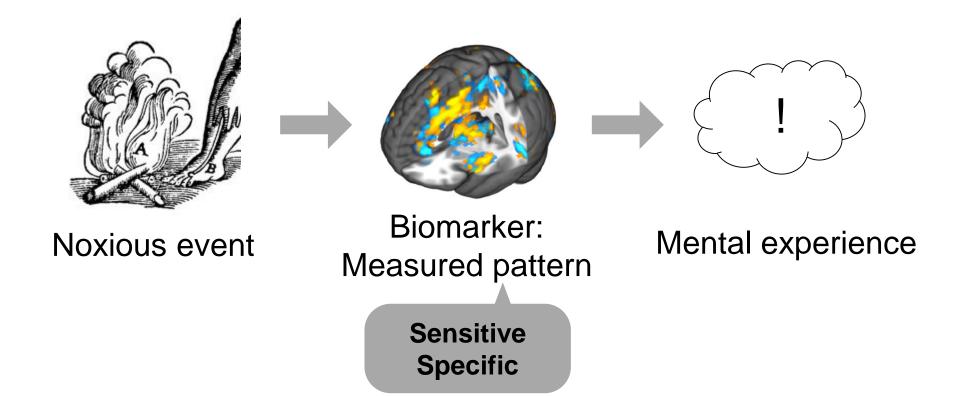
Wager & Atlas, 2015, Nat Rev Neuro; Fields 2004; Heinricher & Fields 2013; Altier & Stewart 1999; Willis & Westlund 1997; Bushnell 2013 Nat Rev Neuro; Tracey 2008

#### Pain biomarkers



**<u>Biomarker</u>**: physiological, objectively measured process that indicates a mental experience or process

(Biomarker Definitions Working Group, 2001; Borsook et al., 2011)

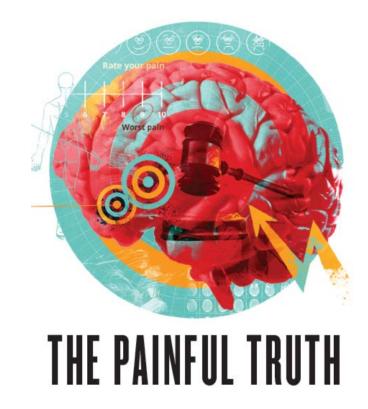


# Standards of evidence

#### Pain in court: A cautionary tale



Carl Koch: Arm burned by a glob of molten asphalt in 2005, reported chronic pain later and sued his former employer, Western Emulsions in Tucson, Arizona, for damages

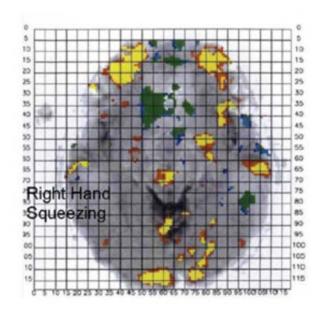


Reardon 2015, Nature; Davis, 2016 ABA Journal

#### Pain in court: A cautionary tale



Carl Koch: Arm burned by a glob of molten asphalt in 2005, reported chronic pain later and sued his former employer, Western Emulsions in Tucson, Arizona, for damages



Functional magnetic resonance imaging. Carl Koch's brain scan nearly two years after the accident shows that he experienced extreme pain when moving the injured side of his body, according to neuroscience specialist Joy Hirsch.

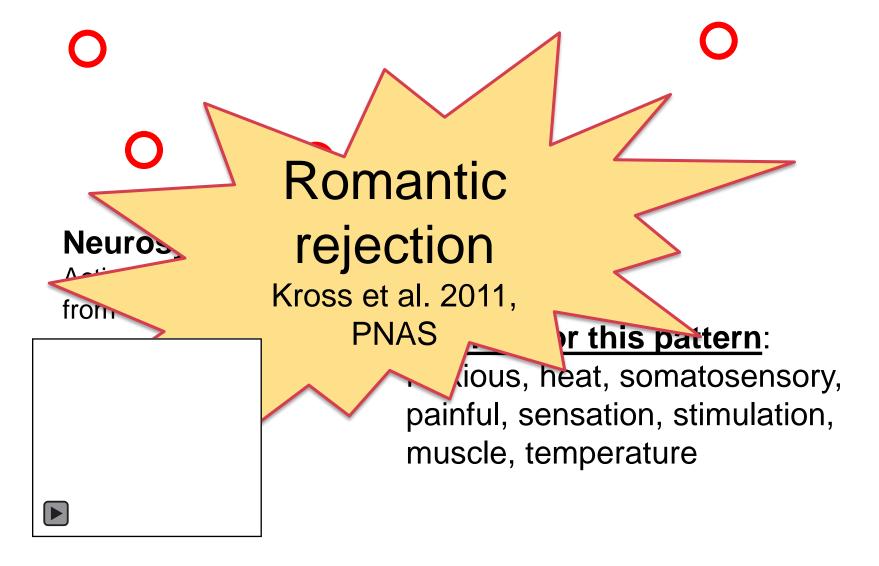
Hirsch: Brushing and squeeze-ball on affected body site "consistent with" pain claims. Publications not necessary; sensory mapping already validated Judge Chon-Lopez: Testimony admitted, based on "a combination of generally accepted scientific principles (fMRI) and inductive reasoning from her own research." Frye does not apply. Case settled for \$800,000 without testimony.

Reardon 2015, Nature; Davis, 2016 ABA Journal

#### Inferring what a brain pattern measures



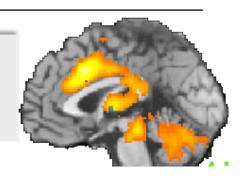
What does this map *mean*?



#### The problem of specificity



Anterior cingulate and insula have often been used as markers for pain – but they are not specific for pain or any type of affect.



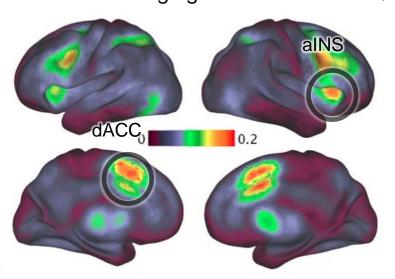
## Voxels are mixed measures of multiple neuronal populations

# One voxel: 5.5 M neurons

Logothetis, Nature, 2008

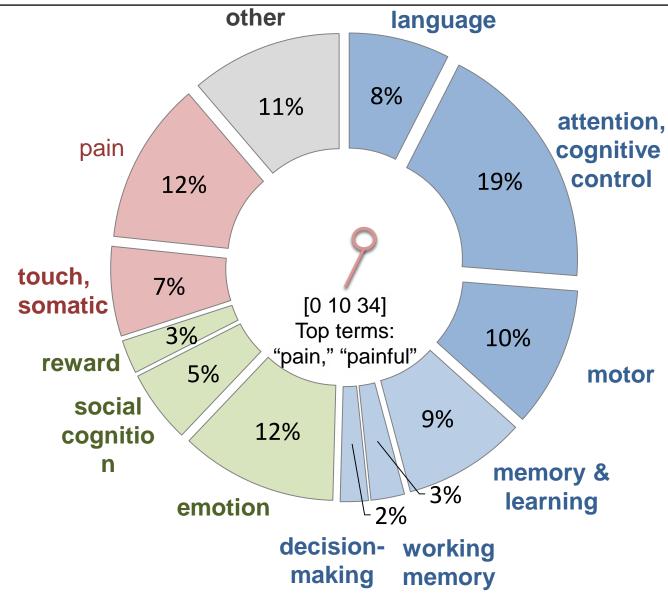
#### Base rate, P(activation) across

3489 neuroimaging studies Yarkoni et al. (2012)

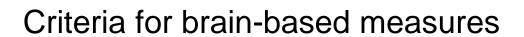


#### Anterior cingulate activation across 250 studies





Wager, Pain Research Forum; Wager et al., 2016, PNAS





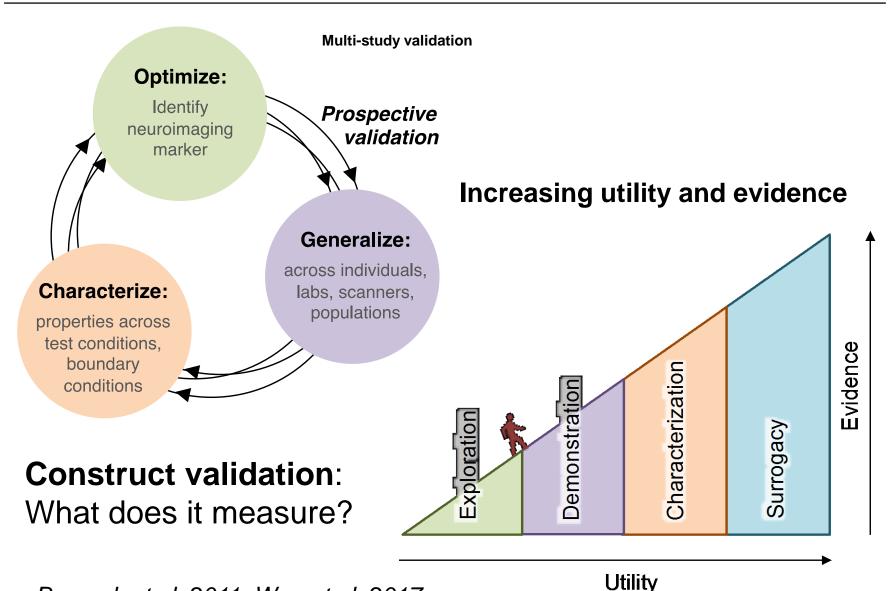
### CONSENSUS STATEMENT

#### Criteria for establishing biomarkers:

- Precisely defined measures (biomarkers)
- Replicated and applied without adjustment across across laboratories, pain variants, and populations
- Sensitive and specific to pain
- Generalizable to patient group and test setting

#### A biomarker development framework

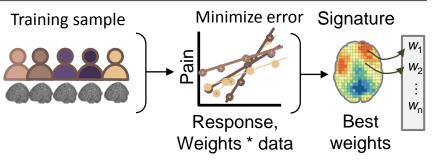






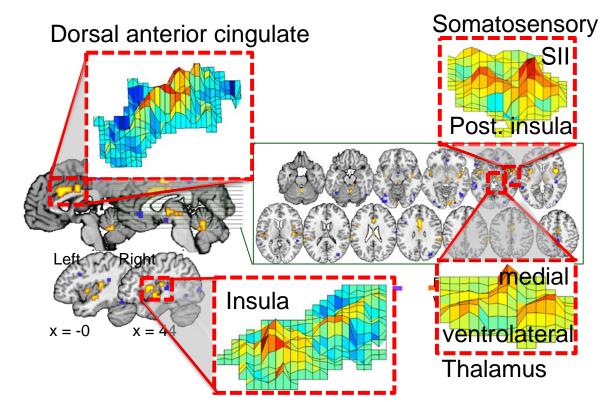
#### Measures of pain using fMRI

#### Identify a 'signature' for pain



The 'Neurologic Pain Signature'

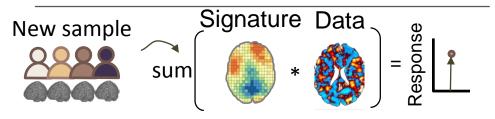
Wager et al. 2013, NEJM



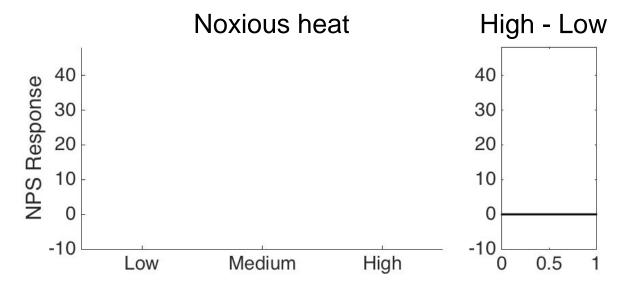




#### Apply the signature to new test data



The 'Neurologic Chang et al. 2015 Wolf as Manature'
Krishnan et al. 2016 Wager et al. 2013 NEJM Vachon-Presseau'et al. 2016 Becker et al. 2016
Ma et al. 2016
Lopez-Sola et al. 2016
Woo et al. in revision van Oudenhove et al. in prep Kragel et al. in prep Zunhammer et al. in prep

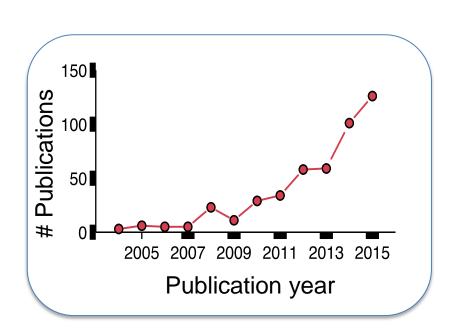


Krishnan et al. 2016, eLife

# Sensitivity and generalizability

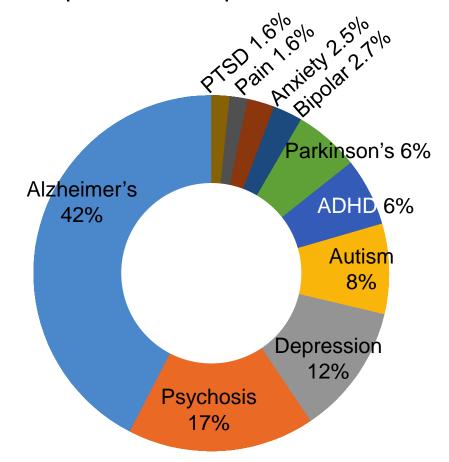
#### Predictive models across disorders





Variable accuracy
Little prospective validation...yet
But this is changing!

Translational neuroscience 615 predictive maps, 475 studies



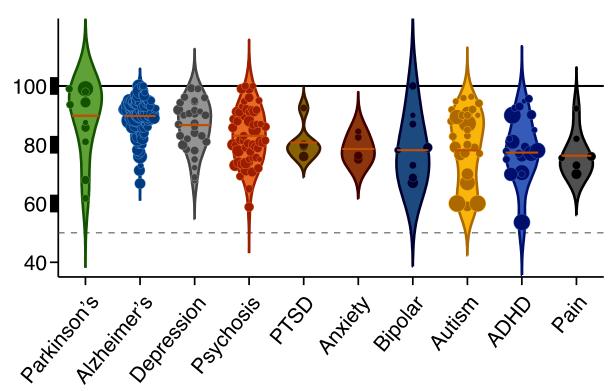
#### Translational neuroscience: A snapshot



Choong-Wan Woo

615 predictive maps, 475 studies

Classification performance: Patient vs. Control



#### Translational neuroscience: A snapshot



Choong-Wan Woo

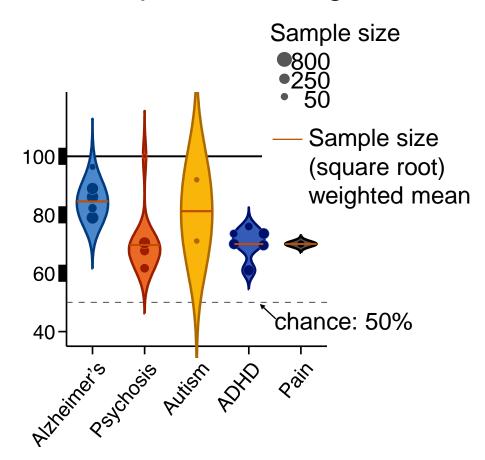


Perform prospective testing



Accuracy (%)

#### Prospective testing





#### Measures of pain: Sensitivity and specificity of the NPS

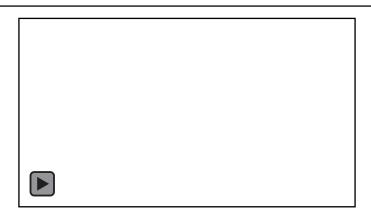
Sharing and specificity, generalizability, construct validation



Wager et al. 2013, NEJM; Chang et al. 2015; Woo et al. 2015; Krishnan et al. 2016; Vachon-Presseau et al. 2016; Becker et al. 2016; Lopez-Sola et al. 2016; Woo et al. 2017; van Oudenhove et al. in prep; Kragel et al.



#### Generalization: Pain and placebo meta-analysis



Lorenz J, Schoell E, Weiller C, Büchel, C Wanigasekera V, Wiech K, Mhuircheartaigh R, Lee MC, Ploner M, Tracey I, Choi JC, Yi DJ, Han BS, Lee PH, Kim JH, Kim BH, Eippert F, Schoell ED, Yacubian J, Klinger R, Lorenz J, Ellingsen D-M, Wessberg J, Eikemo M, Liljencrantz J, Endestad T, Olausson H, Elsenbruch S, Kotsis V, Benson S, Rosenberger C, Reidick D, Schedlowski M, Theysohn N, Forsting M, Gizewski ER, Freeman S, Yu R, Egorova N, Chen X, Kirsch I, Claggett B, Kaptchuk TJ, Gollub RL, Kong J., Geuter S, Hindi Attar C, Huber A, Lui F, Porro CA, Kessner S, Forkmann K, Ritter C, Wiech K, Ploner M, Rosman IS, Webb JM, Vangel MG, Polich G, Zyloney C, Rosen B, Rütgen M, Seidel EM, Silani G, Riečanský I, Hummer A, Windischberger C, Petrovic P, Lamm C, Theysohn N, Schmid J, Icenhour A, Mewes C, Gizewski ER, Benson S, Rilling JK, Smith EE, Sokolik A, Casey KL, Davidson RJ, Kosslyn SM, Rose RM, Cohen JD, Watson A, El-Deredy W, Iannetti GD, Lloyd D, Vogt BA, Wrobel N, Zeidan F, Emerson NM, Farris SR,

Zunhammer, Bynger et al Under Heffiew G



#### Generalization: NPS responds to diverse types of evoked pain

#### **Diverse population:**

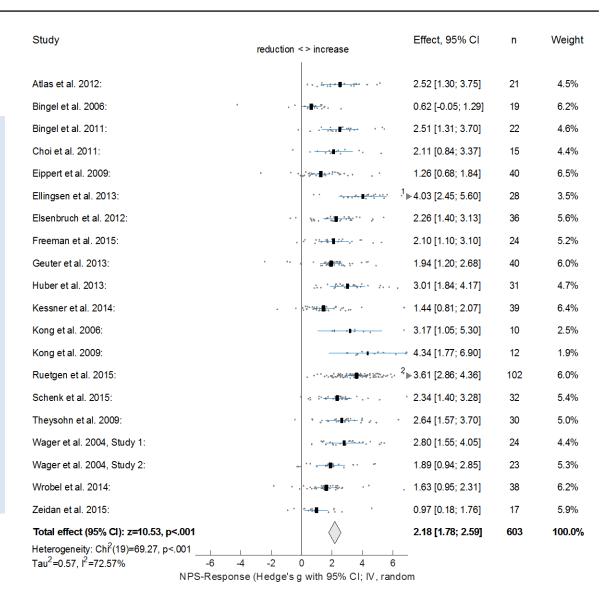
N = 600 across 20 sites

#### Multiple pain types:

Electrical, heat, laser, mechanical

#### Large effects:

Detection in >95% of individuals
Average d = 2.18



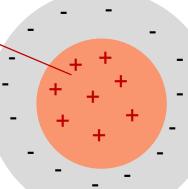


#### Measures of pain: Sensitivity and specificity of the NPS

Sharing and prospective testing specificity, generalizability, construct validation

Activated by (sensitivity)

- Noxious heat
- Electric shock
- Noxious pressure
- Gastric distention
- Esophageal distention
- Rectal distention
- Vaginal pressure Sub-threshold:
  - Breathlessness
  - Aversive taste



Dark colors: Published

Light colors: Preliminary

#### <u>Treatments:</u>

**Opioids SSRIs** Conditioned cues

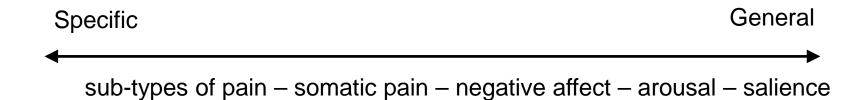
> Distraction Social touch

Wager et al. 2013, NEJM; Chang et al. 2015; Woo et al. 2015; Krishnan et al. 2016; Vachon-Presseau et al. 2016; Becker et al. 2016; Lopez-Sola et al. 2016; Woo et al. 2017; van Oudenhove et al. in prep; Kragel et al.

# specificity

#### What is the NPS really measuring?





#### Case study: Comparing physical and social pain



#### Social rejection





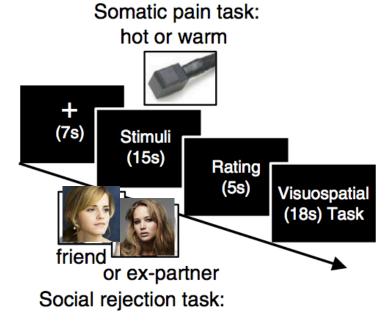
Choong-Wan Woo

Ethan Kross

- N = 60 participants, All romantically rejected
- Viewed pictures of ex-partners and friends
- Painful and non-painful heat



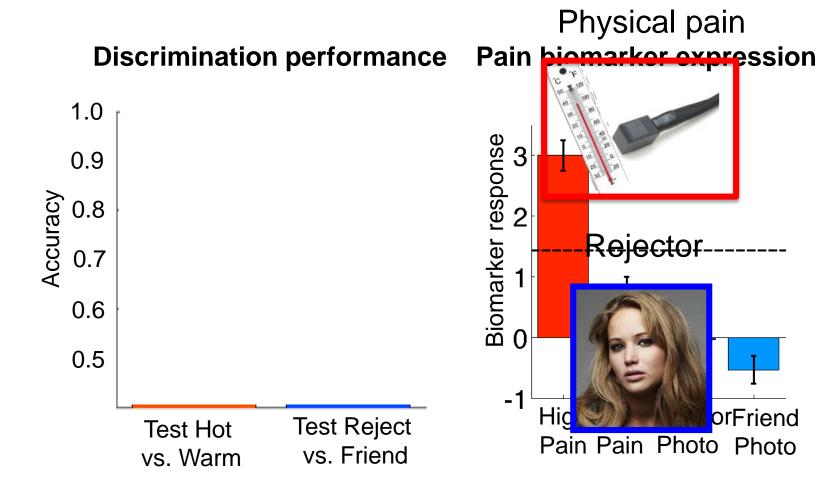




Rejection and pain:
Similar negative ratings, similar brain
activity









#### Measures of pain: Sensitivity and specificity of the NPS

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Not activated by (specificity)

- Aversive images
- Social rejection
- Observed pain
- Pain anticipation
- Nausea
- Itch
- Cognitive demand
- Pain recall
- Warmth



Wager et al. 2013, NEJM; Chang et al. 2015; Woo et al. 2015; Krishnan et al. 2016; Vachon-Presseau et al. 2016; Becker et al. 2016; Lopez-Sola et al. 2016; Woo et al. 2017; van Oudenhove et al. in prep; Kragel et al.

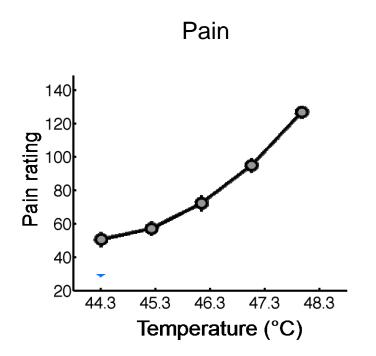
## Counter measures

#### Can you "rethink" responses in the NPS? Sensitivity to cognitive reappraisal (N = 30)

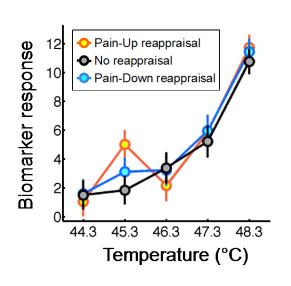


ChoongWan Woo Mathieu Roy

- "Appraise-up:" imagine your skin is burning, sizzling, melting
- "Appraise-down:" imagine spreading warmth, like your skin is under a warm blanket on a cold day



Neurologic Pain Signature response



#### Placebo treatment: Strong effects on pain



Placebo significantly reduces pain in all studies.

Average effect size: d = -0.65

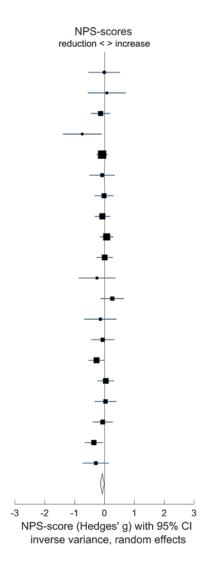


#### Placebo treatment: No (or little) effect on the NPS

The "Neurologic Pain Signature" is insensitive to placebo

Average effect size d = - 0.07

Points to contributions from other systems



Effect, 95% CI	n	Weight			
0.01 [-0.54; 0.52]	21	1.9%			
0.08 [-0.56; 0.72]	19	1.3%			
0.13 [-0.45; 0.19]	22	5.2%			
0.75 [-1.40; -0.10]	15	1.3%			
0.08 [-0.27; 0.11]	40	15.0%			
0.08 [-0.51; 0.35]	28	2.9%			
0.02 [-0.34; 0.31]	36	5.2%			
0.07 [-0.33; 0.19]	24	7.9%			
0.07 [-0.15; 0.30]	40	10.8%			
0.01 [-0.27; 0.28]	31	7.1%			
0.25 [-0.87; 0.37]	39	1.4%			
0.26 [-0.13; 0.65]	10	3.5%			
0.14 [-0.68; 0.40]	12	1.8%			
0.06 [-0.45; 0.33]	102	3.6%			
0.27 [-0.54; 0.00]	32	7.3%			
0.04 [-0.24; 0.32]	30	6.7%			
0.03 [-0.34; 0.41]	24	3.9%			
0.06 [-0.40; 0.28]	23	4.8%			
0.36 [-0.66; -0.05]	38	5.7%			
0.29 [-0.74; 0.15]	17	2.7%			
0.07 [-0.15; 0.00]	603	100.0%			
otal effect (95% CI): z=-1.96, p=0.050					

Heterogeneity: Chi<sup>2</sup>(19)=16.89, p=0.597

 $Tau^2=0.00, I^2=0.00\%$ 



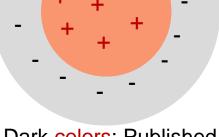
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Opioids
SSRIs
Conditioned cues

Distraction Social touch



Dark colors: Published

Light colors: Preliminary

#### **Treatments**:

Not activated by (specificity)

- Aversive images
- Social rejection
- Observed pain
- Pain anticipation
- Nausea
- Itch
- Cognitive demand
- Pain recall
- Warmth

Cognitive regulation Placebo Perceived control Reward

Social touch

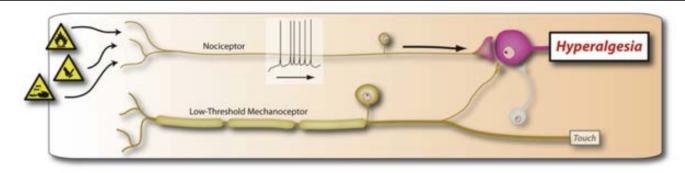
Social cues

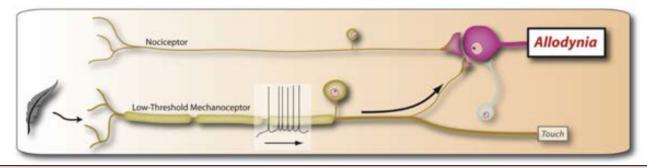
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# Use cases and limitations

#### Spinal plasticity: Central sensitization







Nociceptive spinal dorsal horn neurons sensitize to inputs - Hypersensitivity, allodynia, 'spontaneous' pain

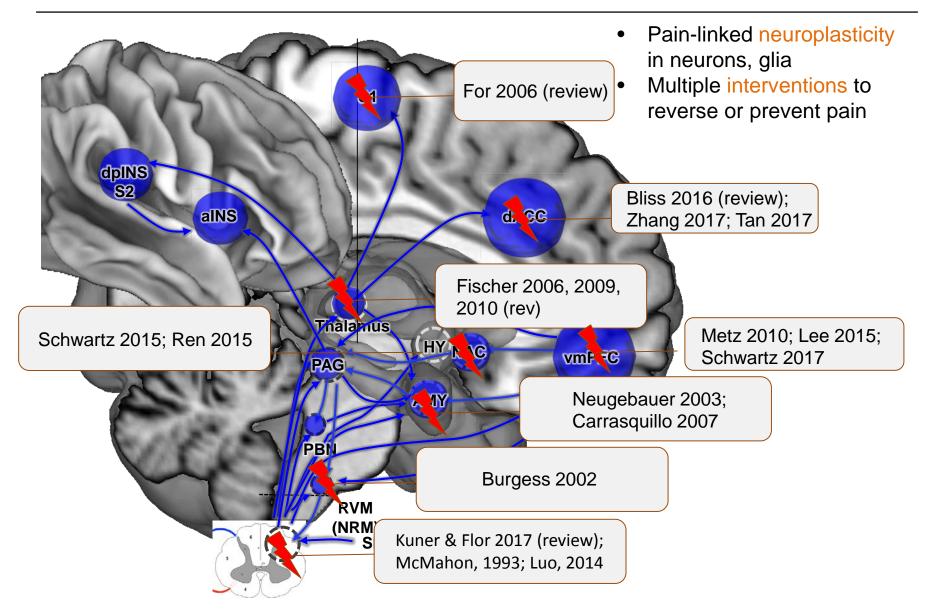
#### Features of many pain conditions:

Fibromyalgia, osteoarthritis, musculoskeletal disorders, headache, temporomandibular joint disorders, neuropathic pain (e.g., stroke, diabetes), complex regional pain syndrome, irritable bowel syndrome, and post-surgical pain

Woolf, 2011, Pain

### Why **brain** biomarkers? Neuroplasticity in chronic pain models above the neck





### Why **brain** biomarkers? Neuroplasticity in chronic pain models above the neck





- Pain-linked neuroplasticity in neurons, glia
- Multiple interventions to reverse or prevent pain

#### Multiple mechanisms and pathways

Multiple relationships with pain Predispositions, consequences, and mediators

#### Interactions with other processes

- Cognitive appraisal, emotion, attention
  - Different legal implications for these



Schw

#### Fibromyalgia



Hypersensitivity

Widespread pain

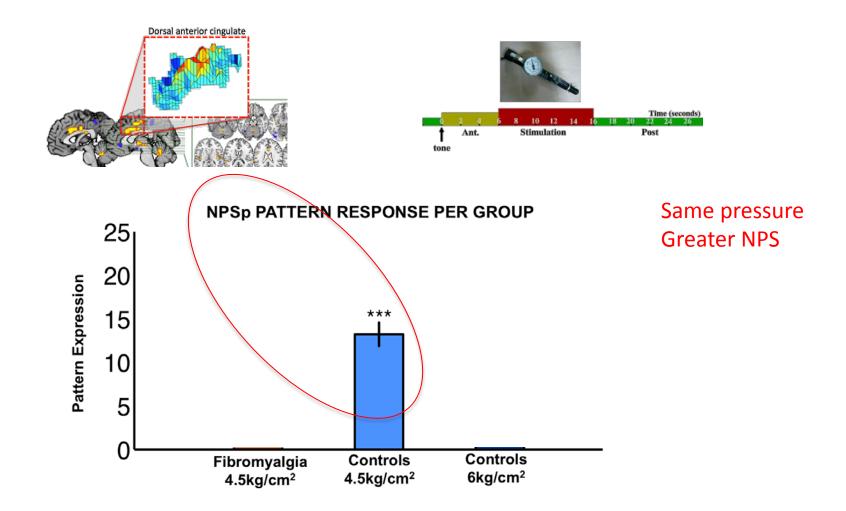
Fatigue

Multiple sensitivities



### Fibromyalgia: Enhanced "Neurologic Pain Signature" (NPS) responses

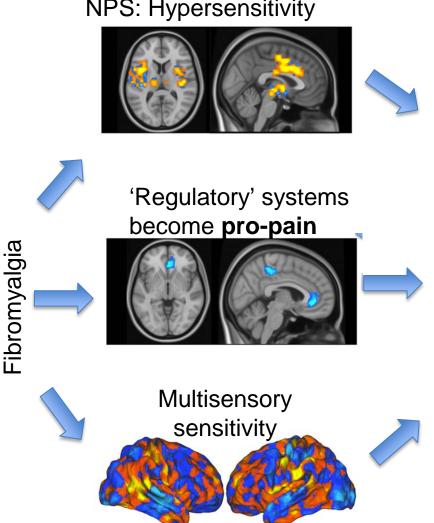




#### Fibromyalgia: Multiple systems



NPS: Hypersensitivity



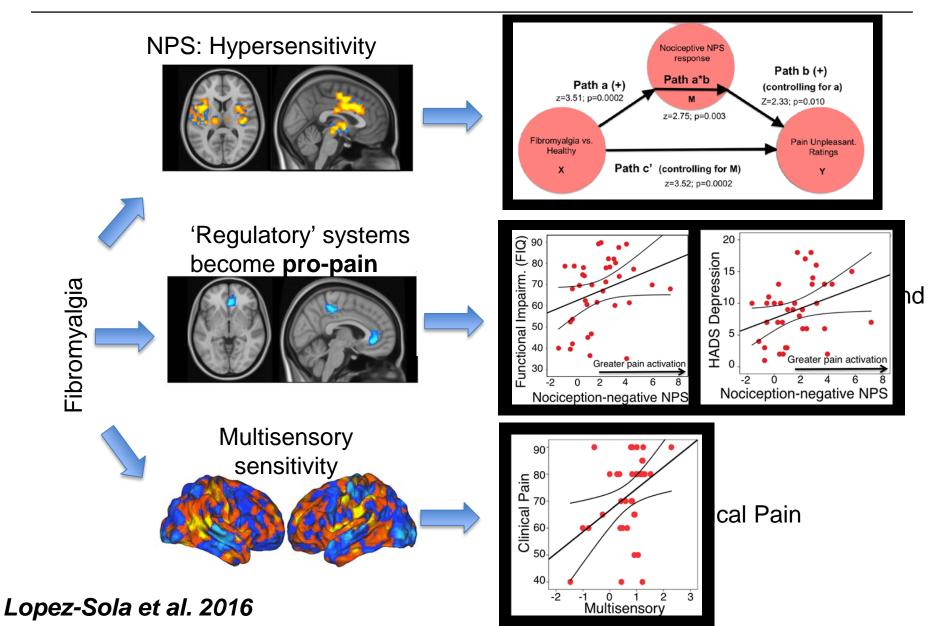
#### **Combined measure:**

93%

accuracy for fibromyalgia vs. controls

#### Fibromyalgia: Multiple systems





#### **Future directions:**



- Construct validation: Biomarkers need to be tested for sensitivity, specificity, generalizability to diverse populations
  - Need data resources for testing, e.g., biobank of study data
- **Countermeasures**: Need more extensive tests (distraction, pain induction during control conditions)
  - Brain models specific to body site as well as intensity
- Applicability to chronic pain: Need model development/validation with multiple pain disorders, 'spontaneous' pain
  - Multi-systems approach, multiple pain targets
  - Extensions to deal with tonic/sustained pain
  - Separation of risk factors, consequences, and mediators
  - New methods to deal with plasticity/reorganization

## Cognitive and Affective Neuroscience Lab

Funding Sources

















SUPPORTING SCIENCE~INVESTING IN THE BIG QUESTIONS



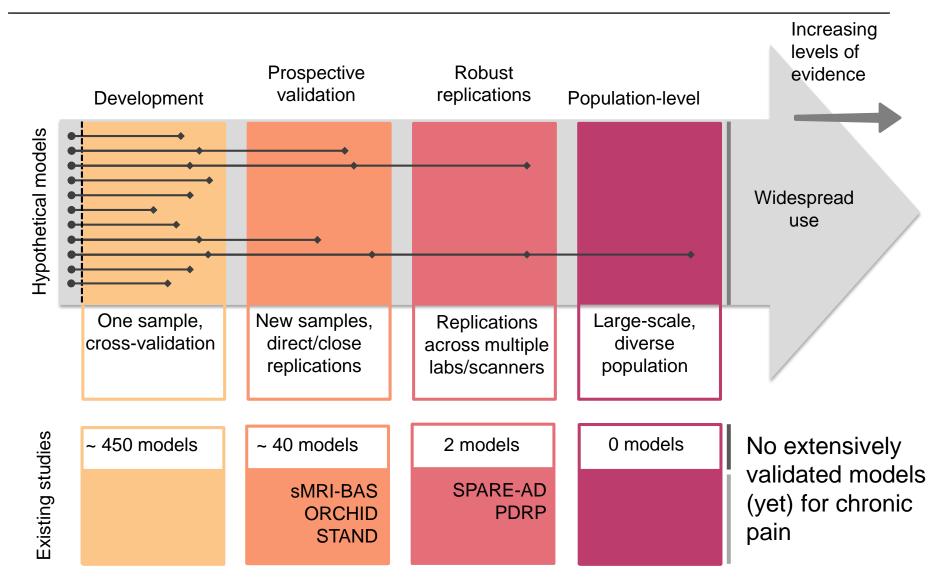


Catalan government Mind, Brain, Body and Health Network

Code: shared on <a href="https://github.com/canlab">https://github.com/canlab</a> . Papers, etc.: wagerlab.colorado.edu



#### Established neuromarkers for neuro/psychopathology



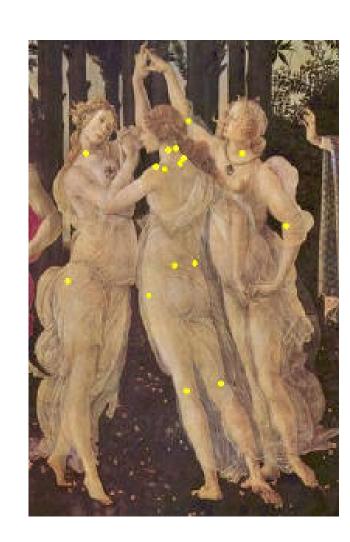
## Central mechanisms of chronic pain in Fibromyalgia



- Chronic widespread musculoskeletal pain
- No well-established tissue pathology (cf. Serra et al. 2004)
- Diagnosis based on subjective reports.
   Patients often not believed and appropriately diagnosed.

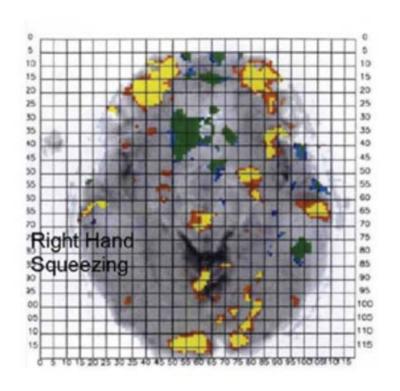
#### Study

- 37 female patients, 35 matched controls (age, education)
- fMRI during mechanical pain (pressure) and other multisensory tasks



## Next 10 years: Evidentiary Standards for evaluating biomarkers





- fMRI scans cannot be "read" the way a neuroradiologist reads a clinical scan for pathology
  - ...just as genetic tests cannot be "read" by an expert's subjective impression of what the gene patterns "look like"
- Models must be precisely specified and quantitatively evaluated
- Pain is not just "stronger touch"
   Somatosensory activity in general does not indicate pain

#### International Association for the Study of Pain Task Force



- 1. Consider the technical and physiological capabilities of brain imaging to detect whether an individual has chronic pain.
- 2. Place the capability of brain imaging as a diagnostic test of chronic pain in an ethical and legal context.
- 3. Establish guidelines for health care systems, government and legal policymakers on the validity and ethics of adopting a brain imaging-based test of pain.

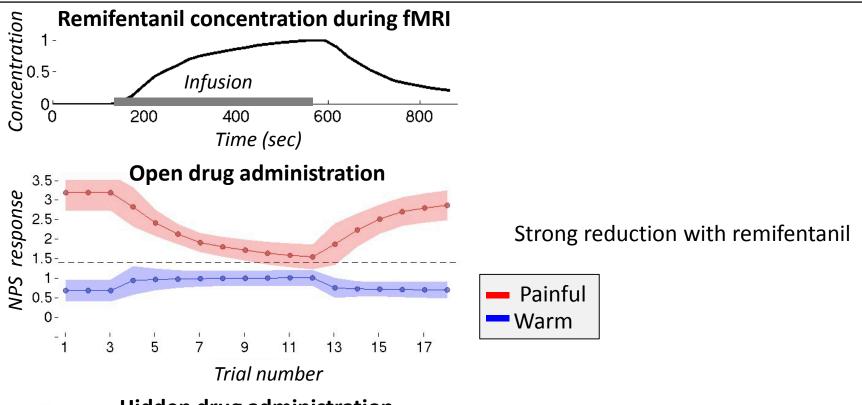
#### A "cheat sheet" for lawyers and judges

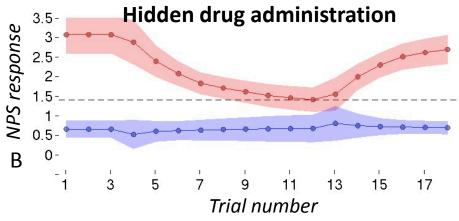


- 1. Are all parameters of the "pain test" defined in advance?
- Which 'voxels', and what relative contributions of each voxel?
- What threshold or cutoff values?
- 2. What is the sensitivity of the test for this type of pain?
- How many individuals with this type of pain have shown positive findings? How many negative findings?
- 3. What is the specificity of the test for this type of pain?
- Against what other conditions has specificity been evaluated?
- What is the specificity relative to aversive, but not painful, touch? Relative to emotional 'pain'? Relative to cognition about pain?
- 4. If this test involves comparing an individual to a reference sample, was that sample matched on age, sex, handedness, SES/education, fMRI scanner?
- 5. Has a normative neuroanatomical organization been demonstrated in this individual, so that the reference sample applies?

#### Testing treatment response (pharmacodynamics)







No response to placebo (open vs. hidden administration)

Wager et al. 2013, NEJM; Ma et ai. 2016: citalopram effects

#### A "cheat sheet" for lawyers and judges (2)



- Has this individual's hemodynamic (blood flow) response been shown to match assumptions made in the test?
- Have tests of non-pain-related conditions demonstrated positive findings, to validate image quality?
- Is the test adequately powered in this individual?
- Have countermeasures and deception (e.g., self-induced pain during scanning)
   been ruled out?

#### A "cheat sheet" for lawyers and judges (3)



- 1. Are all parameters of the "pain test" defined?
- Which 'voxels'?
- What relative contributions of each voxel?
- What threshold or cutoff values?
- 2. Does the test provide a meaningful conclusion about an individual person?
- 3. How have methodological procedures of the test been validated?
- Have they been validated for this exact test, or for more general cases (e.g., fMRI)?
- Are the specific processing steps peer-reviewed and accepted in the scientific literature?
- Have the procedures been shown to be robust to head movement and physiological artifacts, and in what percentage of individual patients?
- 4. What positive and negative controls established image quality in the particular individual tested?

#### If pain test is negative:

- Has this individual's hemodynamic (blood flow) response been shown to match assumptions made in the test?
- Have tests of non-pain-related conditions demonstrated positive findings, to validate image quality?
- Is the test adequately powered in this individual?
- Have countermeasures been ruled out?
- Has a normative functional neuroanatomical organization been demonstrated in this individual?
- Has a normative physiological basis for pain been established in this individual?

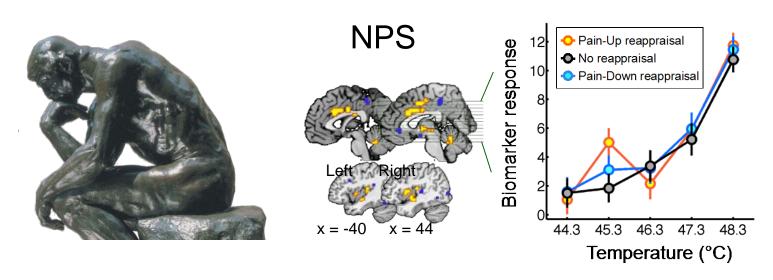
#### If pain test is positive:

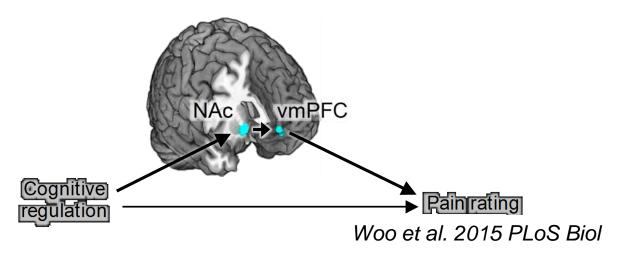
- Have confounds such as head movement and physiological artifacts been ruled out?
- 5. What is the sensitivity of the test for this type of pain?
- What types of pain has the test been shown to respond to?
- What is the sensitivity for patients with comparable pain conditions and demographics (age, sex, ethnicity/race)?
- 6. What is the specificity of the test for this type of pain?
- Against what other conditions has specificity been evaluated?
- What is the specificity relative to aversive, but not painful, touch?
- What is the specificity relative to emotional 'pain'?
- What is the specificity for patients with comparable pain conditions and demographics (age, sex, ethnicity/race)?





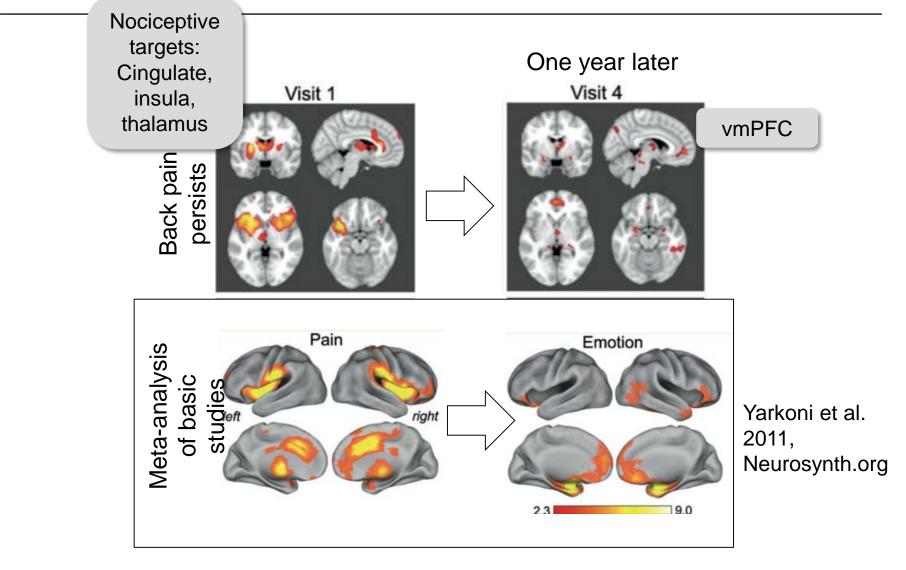
#### Rethinking pain





#### Human evidence on pain chronification: Shift from classic nociceptive systems to 'emotional' ones

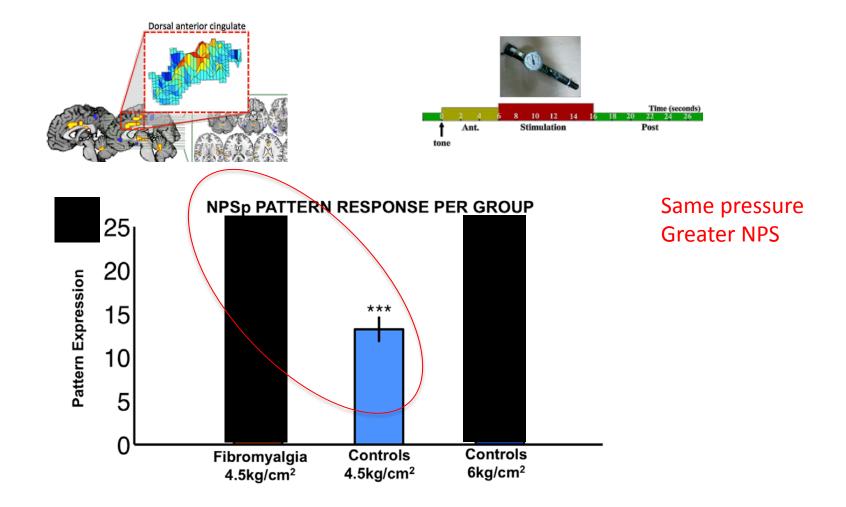




Apkarian et al. 2011; Baliki et al. 2012; Geha et al. 2008; Hashmi et al. 2013

### Fibromyalgia: Enhanced "Neurologic Pain Signature" (NPS) responses

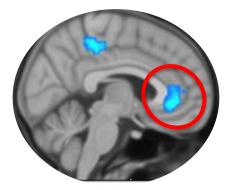




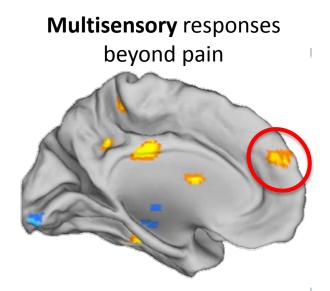
#### Fibromyalgia: Alterations in other pathways beyond pain transmission



Alterations in painregulatory systems



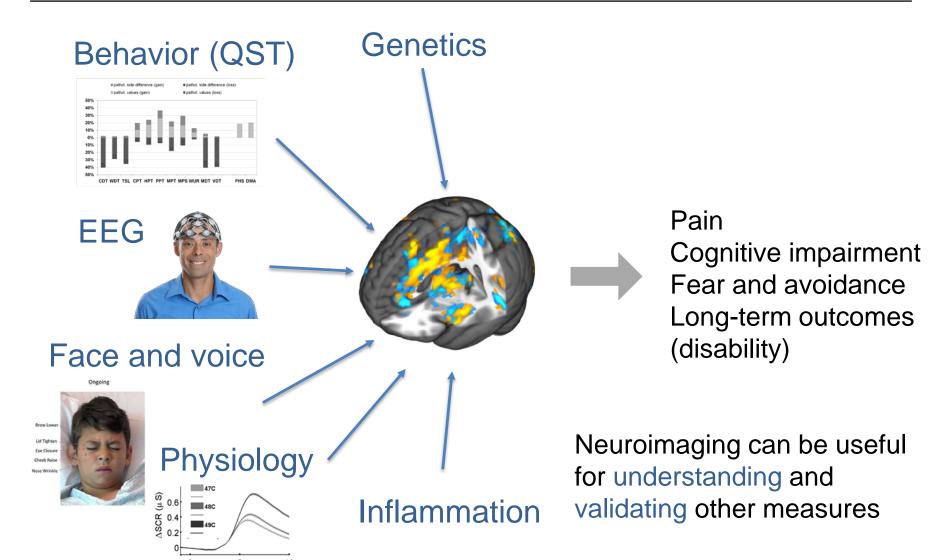
Medial frontal cortex becomes **pro**-pain



accuracy for fibromyalgia vs. controls

#### Biomarkers for pain – **scalable**, cost-effective **measures**

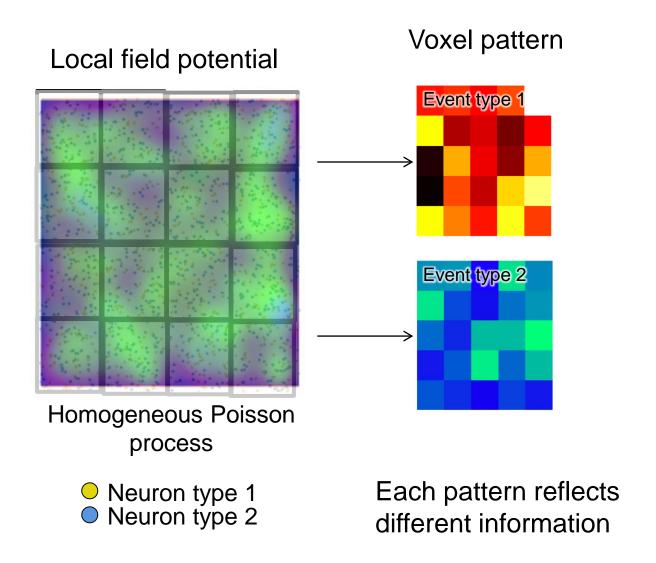




See work by: M. Bartlett, Picard, Treede/DFNS, Saab, Geuter et al., Dworkin (IMMPACT)

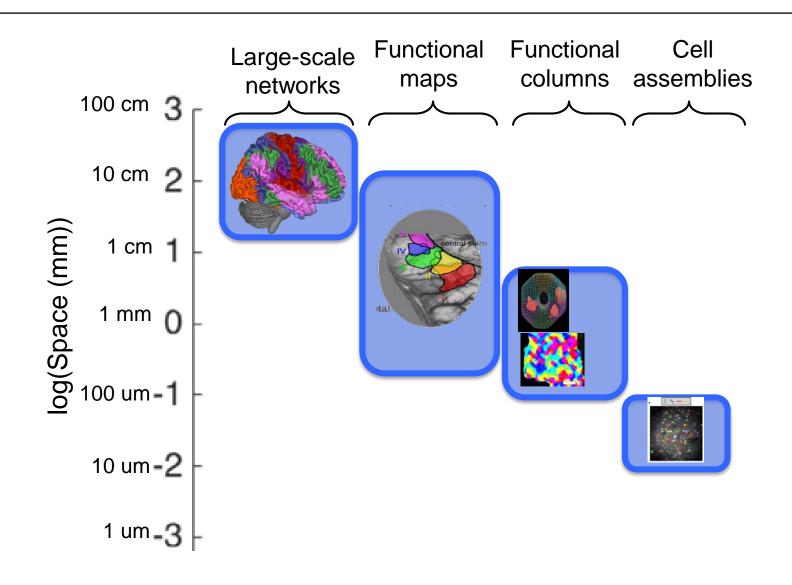
## Multivariate <u>patterns</u> afford greater sensitivity and specificity





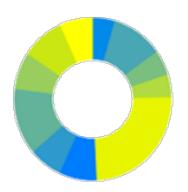
#### Sensitivity to information at multiple spatial scales



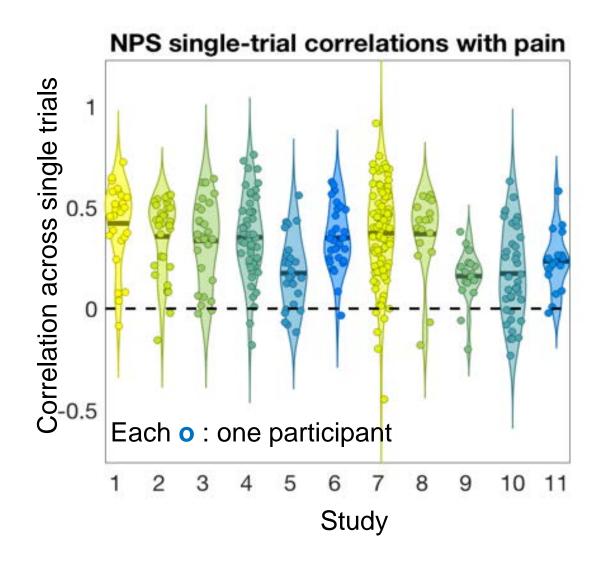




#### Replication: Single-trial associations with pain



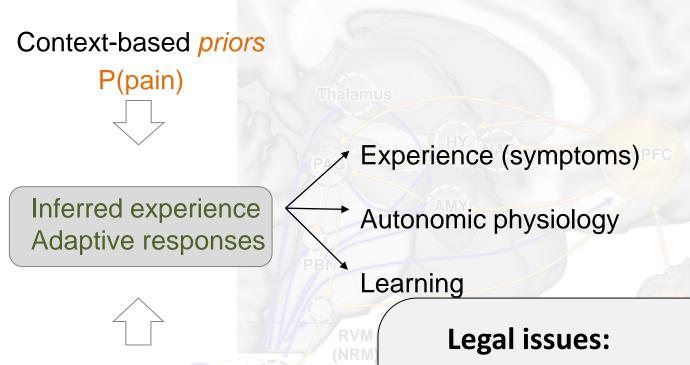
11 studies 378 participants 23,493 single-trial images



#### Changing views of pain



Symptoms: Inferences about adaptive experience and behavior



- Sensory evidence
  - input

- Who is responsible?
- Is there 'primary pain' for which an injured person bears no responsibility?

Geuter, Koban, & Wager, 2017 Ann Rev Neurosci; Fields 2004, 2

## Evidentiary Standards for evaluating biomarkers



- Criterion 1: Precise definition
- Criterion 2: Applicability to individual persons
- Criterion 3: Validation of methodological procedures used during test
- Criterion 4: Internal consistency and validation of imaging quality in individuals using positive and negative controls
- Criterion 5: Diagnosticity for pain
- Criterion 6: Validation of neurophysiology with converging methods
- Criterion 7: Generalizability to patient group tested and to test conditions

Standards of evidence for an application (e.g., tort law) decided by the field; these are dimensions helpful for evaluating claims.

## Specificity pathways