

# Topical Formulations for Dermal and Transdermal Drug Delivery

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*The National Academies of*

**SCIENCES • ENGINEERING • MEDICINE**

COMMITTEE TO ASSESS THE AVAILABLE SCIENTIFIC DATA REGARDING THE SAFETY AND EFFECTIVENESS OF  
INGREDIENTS USED IN COMPOUNDED TOPICAL PAIN CREAMS

# Topical Formulations

**Solution**



**Skin**

**PEG base**



**Buccal**

**Gel**



**Ocular**

**Jelly**



**Vaginal**

**Ointment**



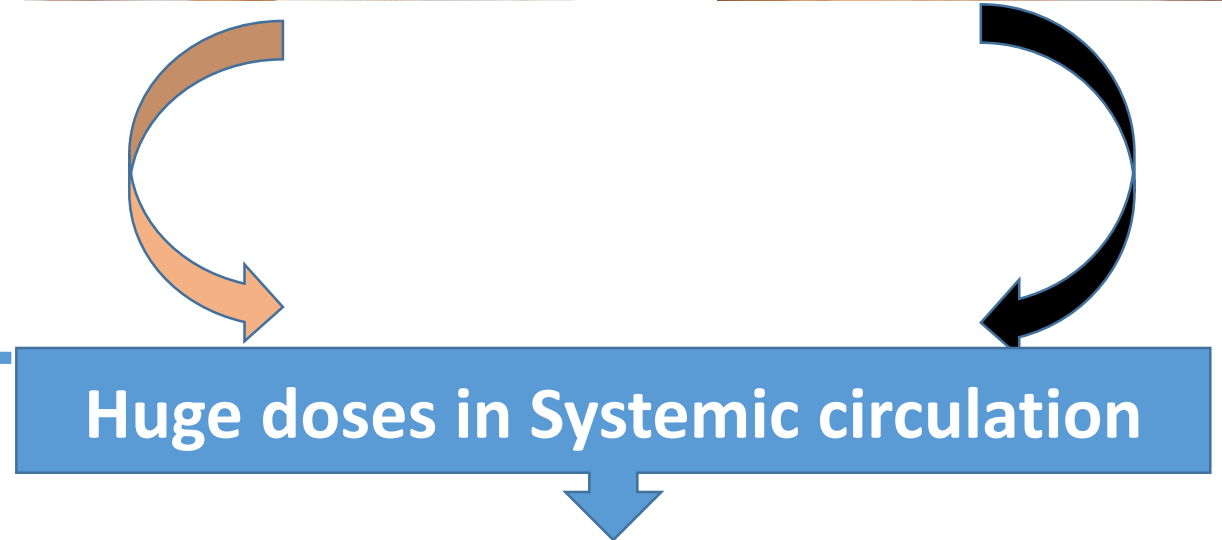
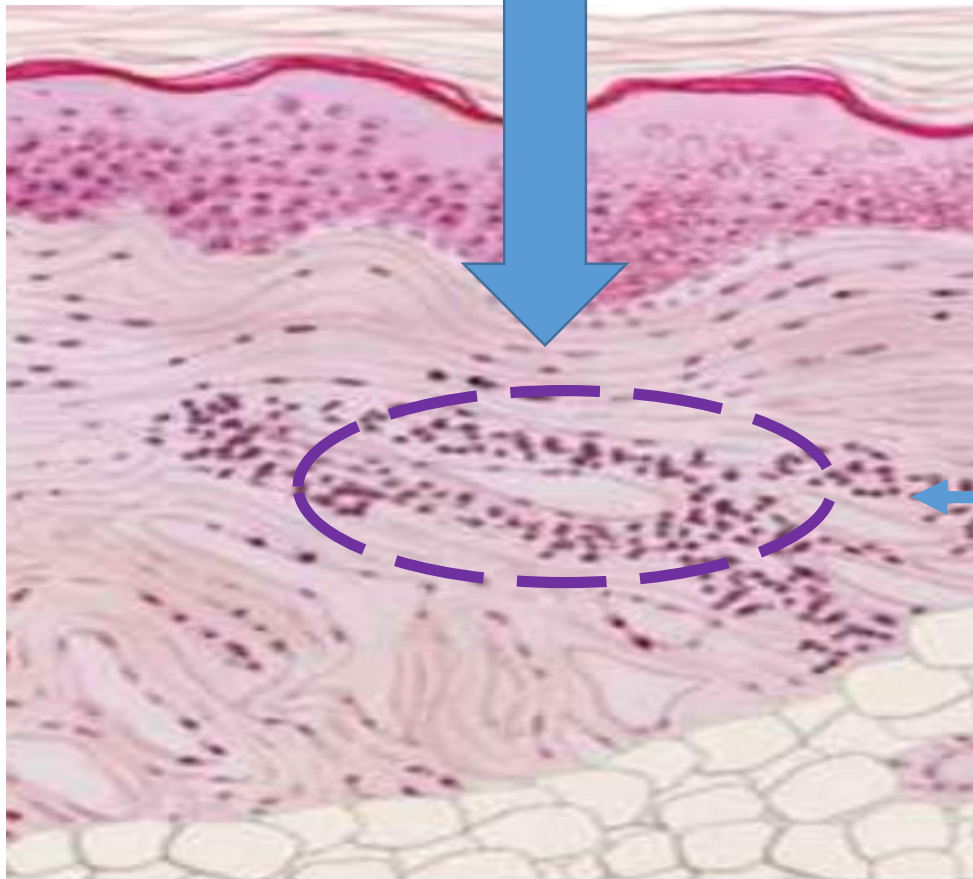
**Rectal**

**Foam**



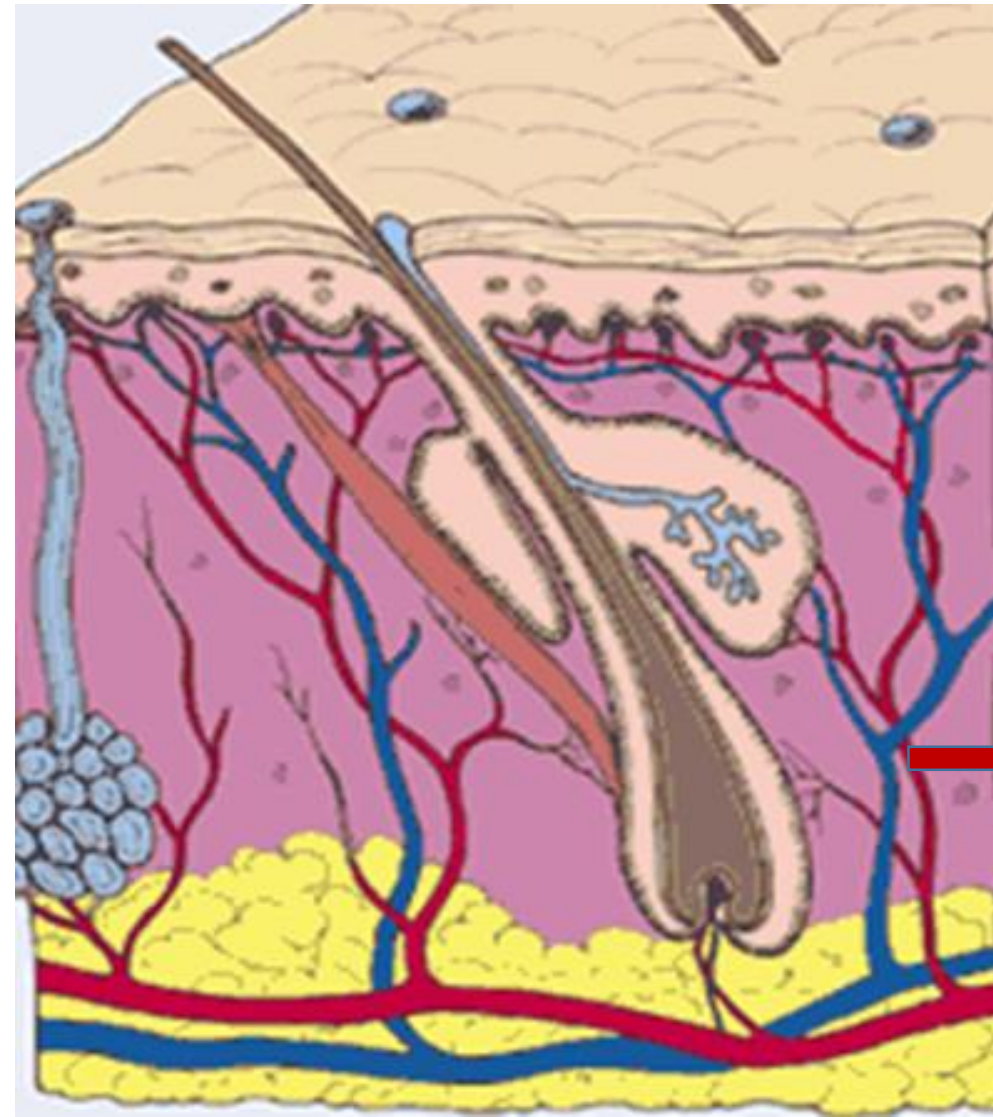
**Skin**

# Topical Route Versus Systemic Routes



**Undesired effects, Allergies, Toxicity**

# Dermal versus Transdermal Delivery



Skin Protectants  
Antifungals  
Antiseptics  
Emollients

**Local Delivery**

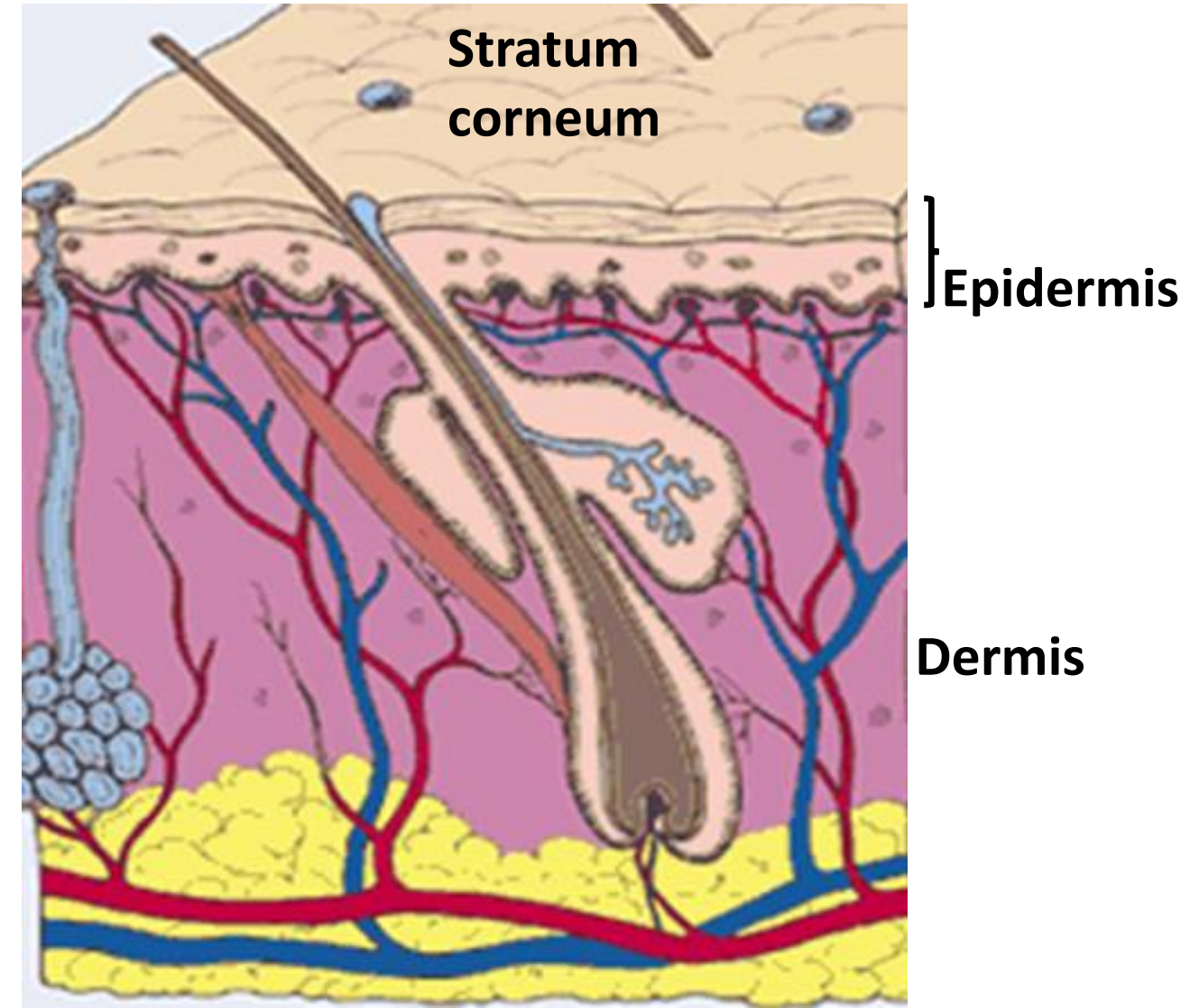
Anti-inflammatory  
Local Anesthetic  
Antiviral  
Corticosteroids

**Intradermal Delivery**

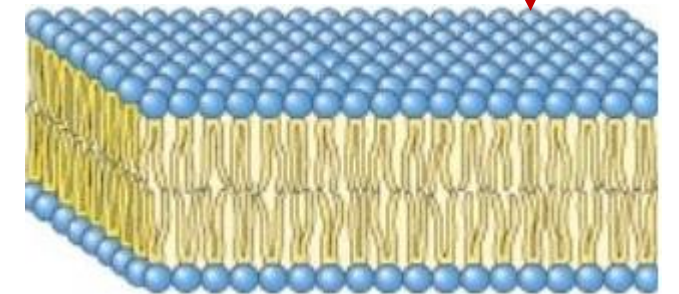
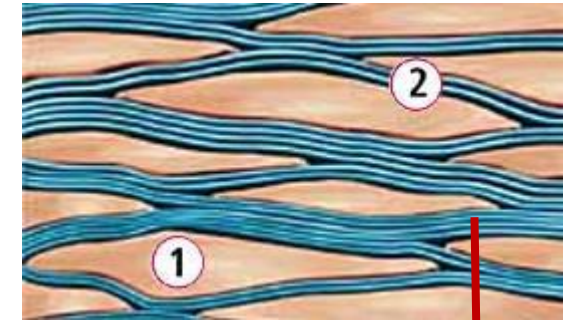
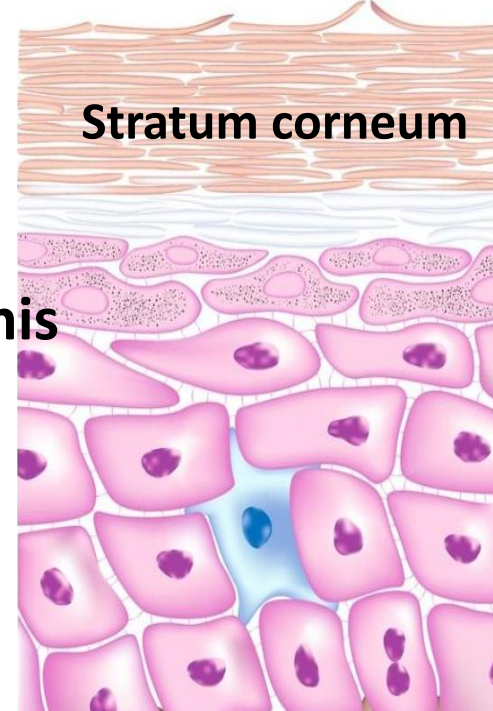
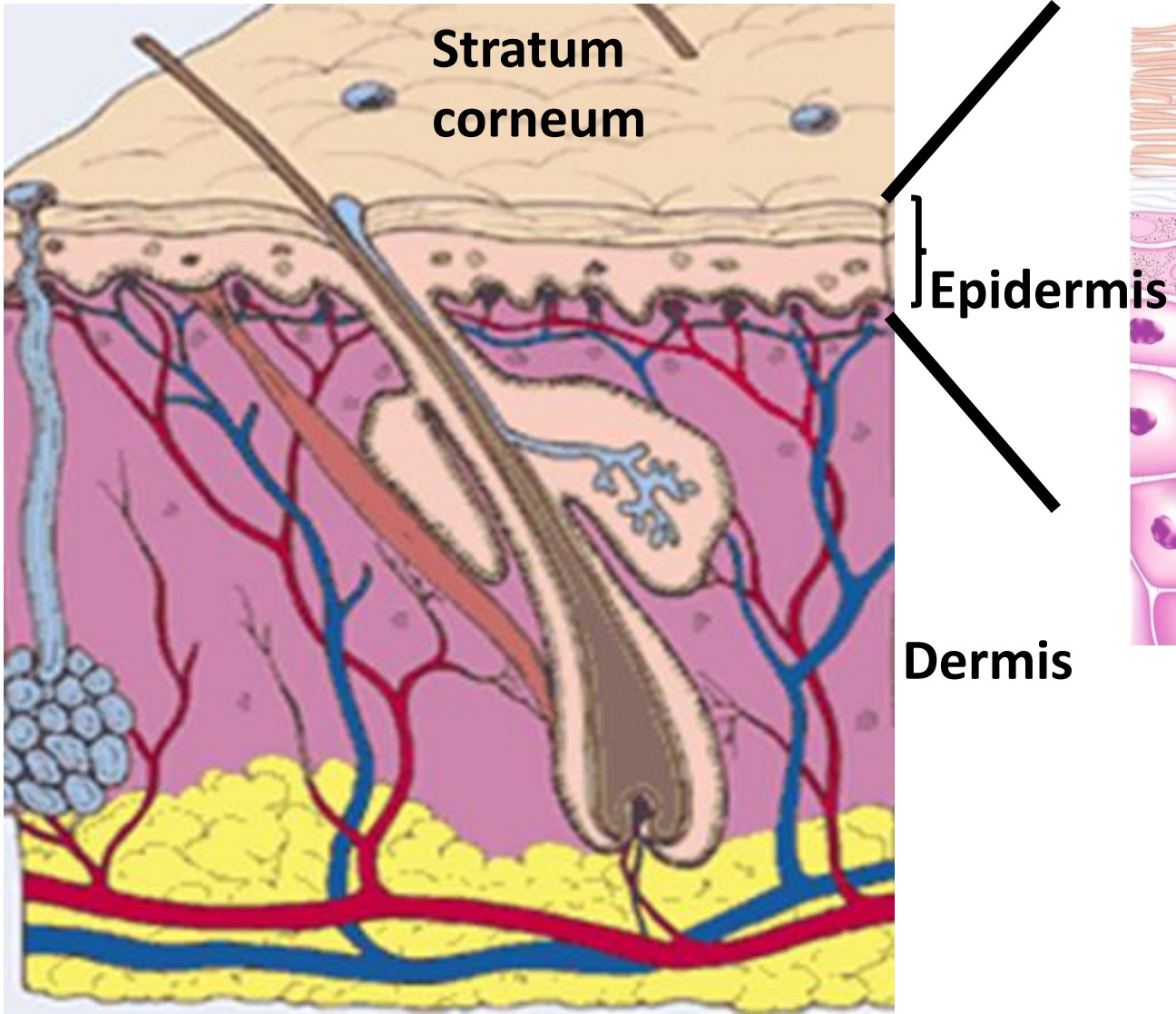
Fentanyl  
Hormones  
Nitroglycerin  
Nicotine

**Transdermal Delivery**  
(Semisolids or patches)

# Skin Layers



# Skin Layers



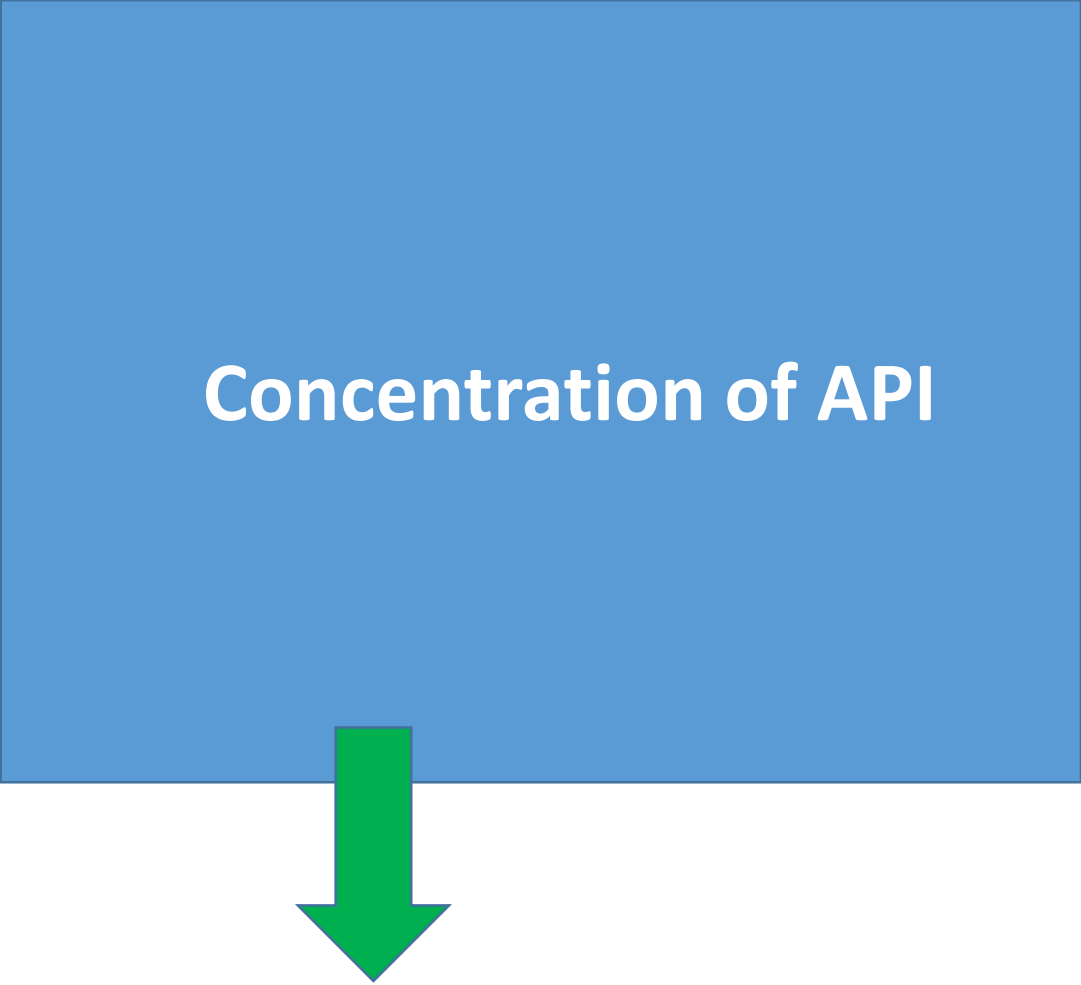
Ceramides, Fatty acids  
and Cholesterol

# Topical Pain Products

| API                     | Log P   | MP (°C) | pKa            | % Unionized at pH 5 |
|-------------------------|---------|---------|----------------|---------------------|
| Lidocaine Hydrochloride | ≤ 0     | 76-79   | 7.9            | 0.13                |
| Diclofenac sodium       | 1.1-1.3 | 283-285 | 4.0            | 9.09                |
| Ketoprofen              | 2.94    | 94      | 4.76           | 36.50               |
| Gabapentin              | -1.10   | 164-167 | 3.68 and 10.70 | -                   |
| Ketamine                | 3.12    | 92-93   | 7.5            | 0.32                |
| Cyclobenzaprine         | 4.9     | 217     | 8.47           | 0.03                |
| Baclofen                | 0.46    | 189-191 | 5.4 and 9.5    | -                   |
| Clonidine               | 0.83    | 140     | 8.2            | 0.06                |

# Factors Influencing Dermal Drug Penetration

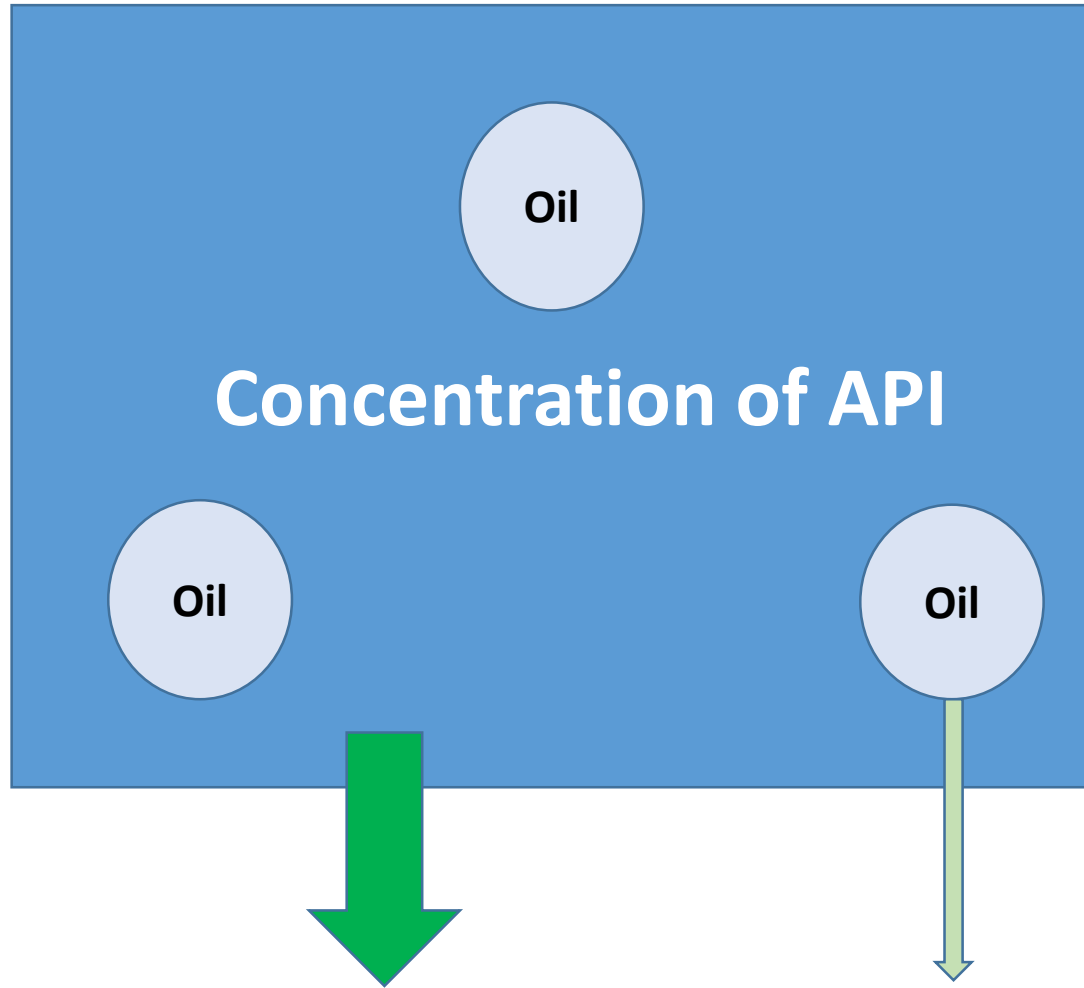
**Drug concentration** in the formulation determines the rate of drug release and skin penetration.



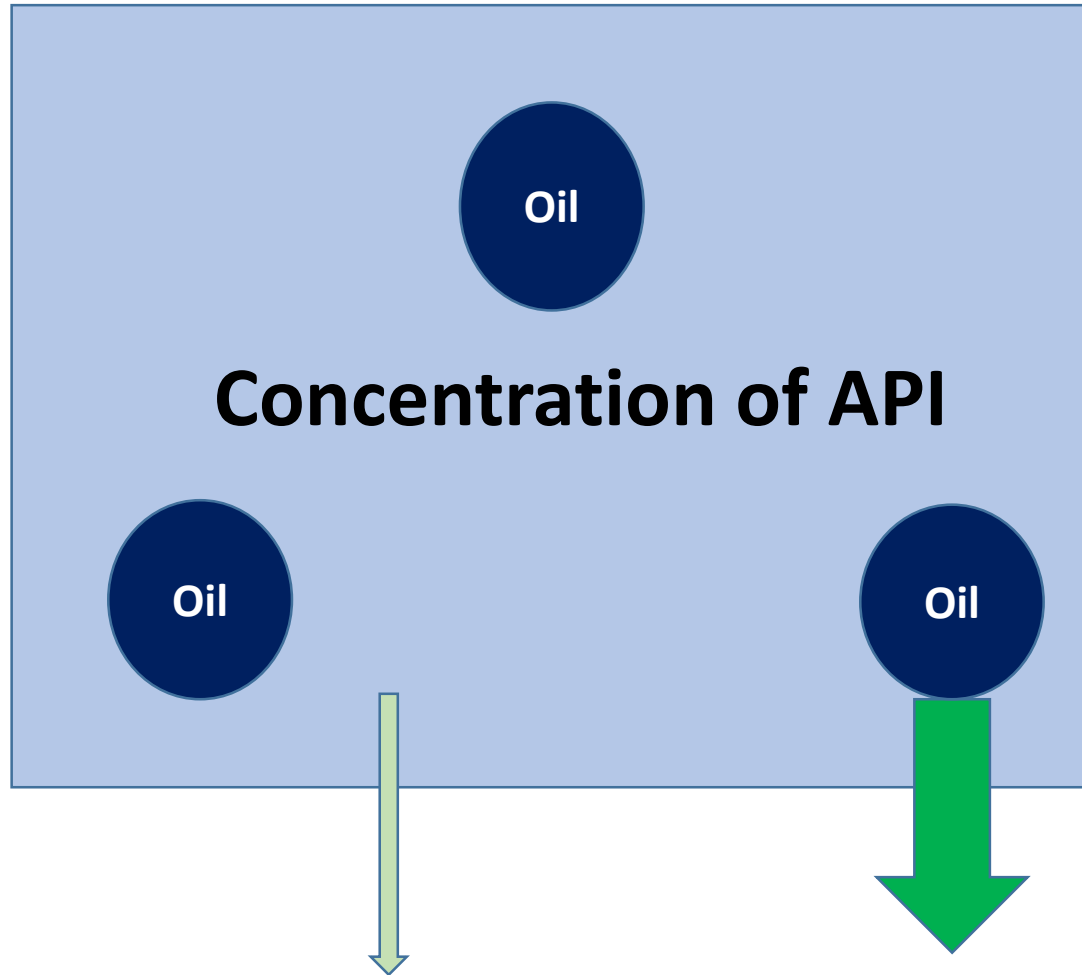
Concentration of API

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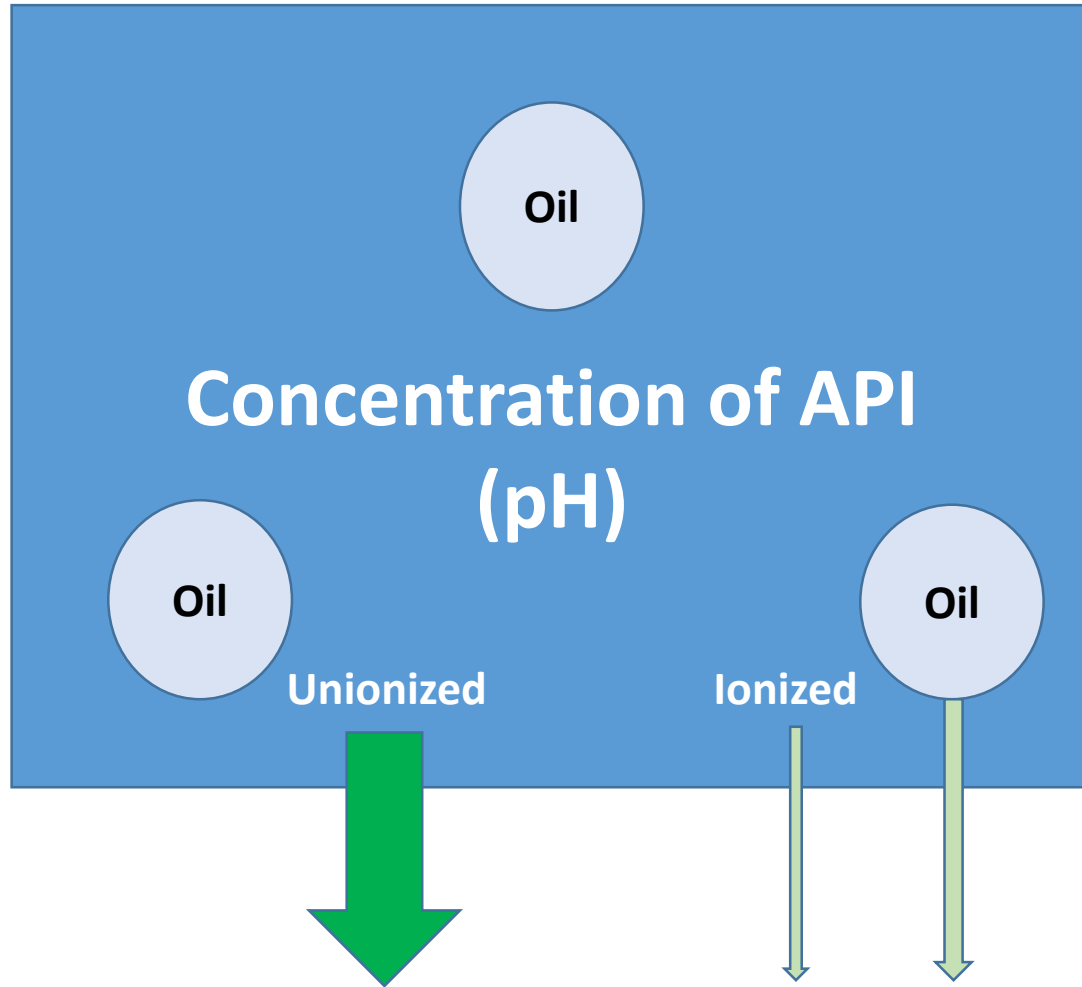
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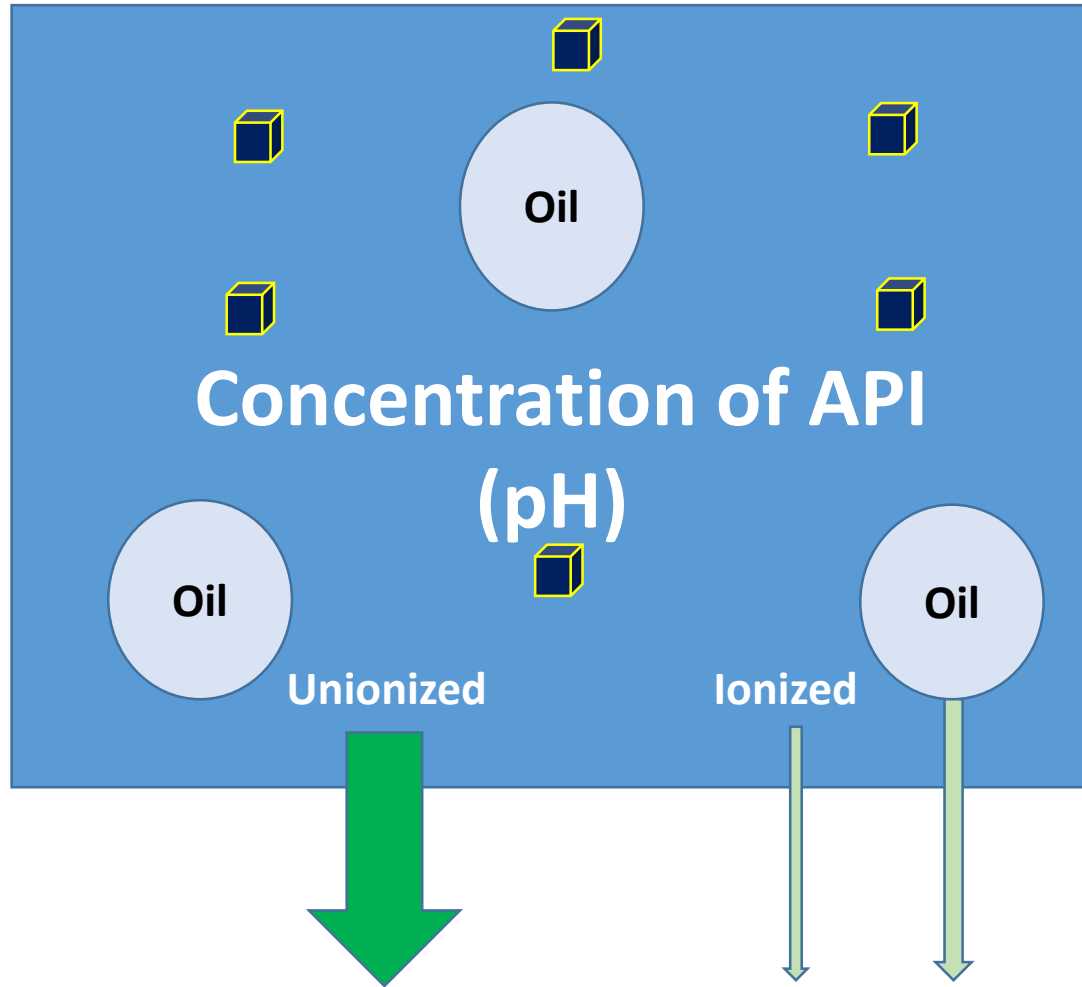


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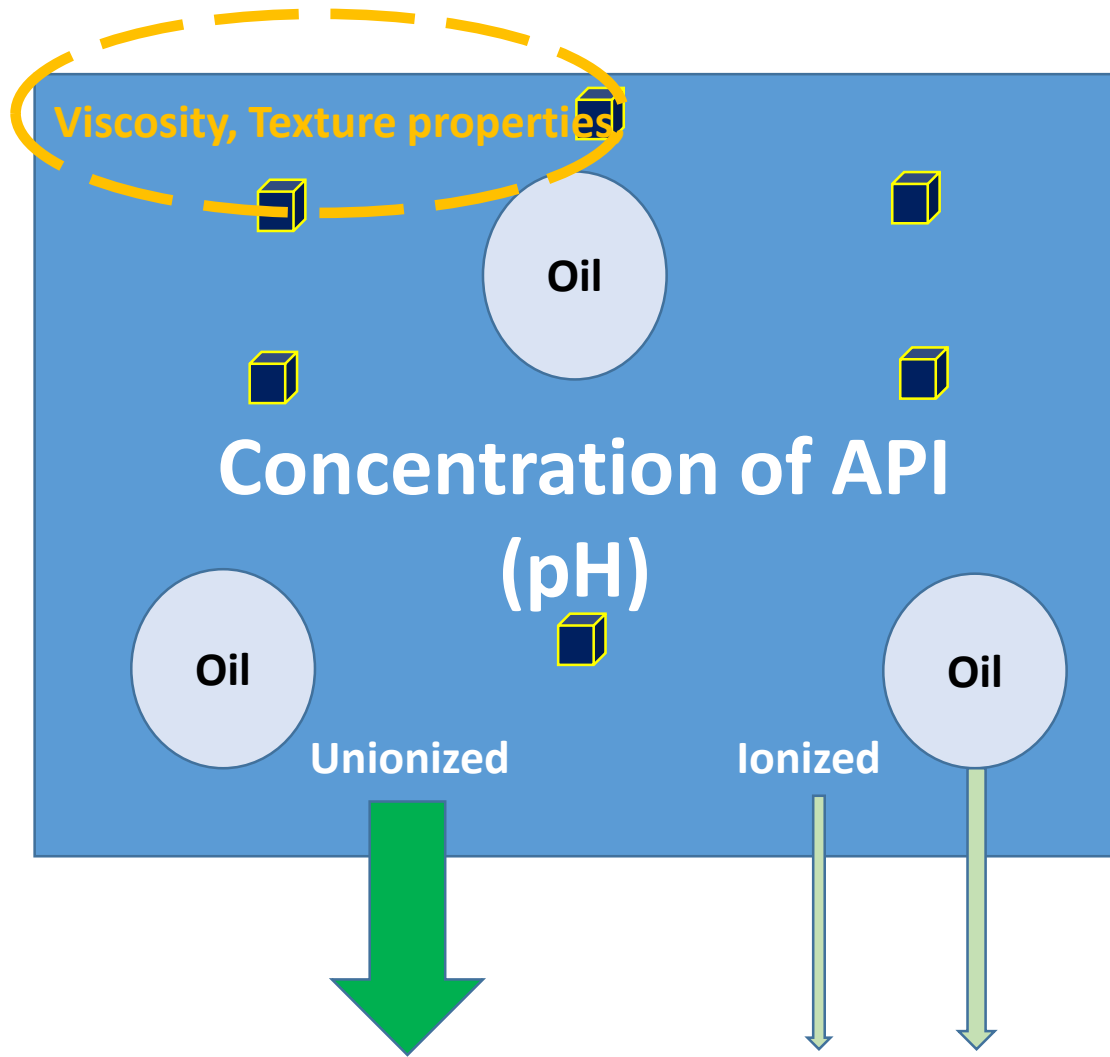
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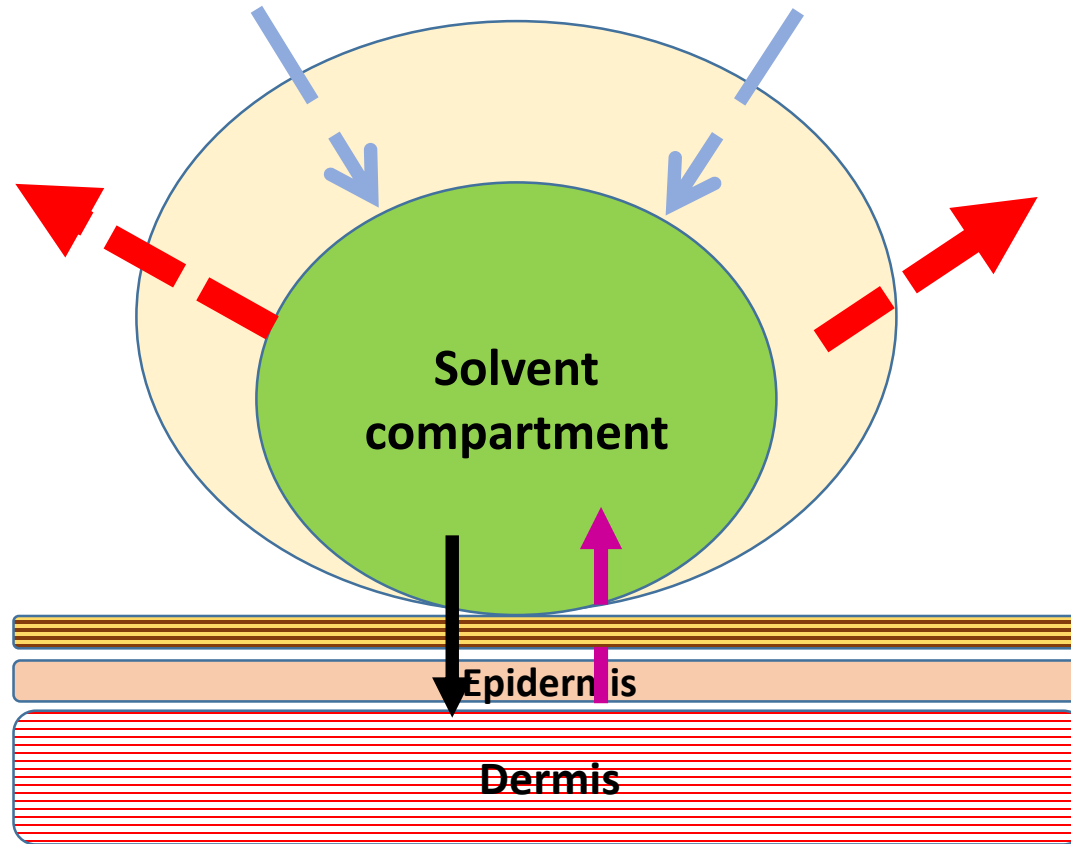
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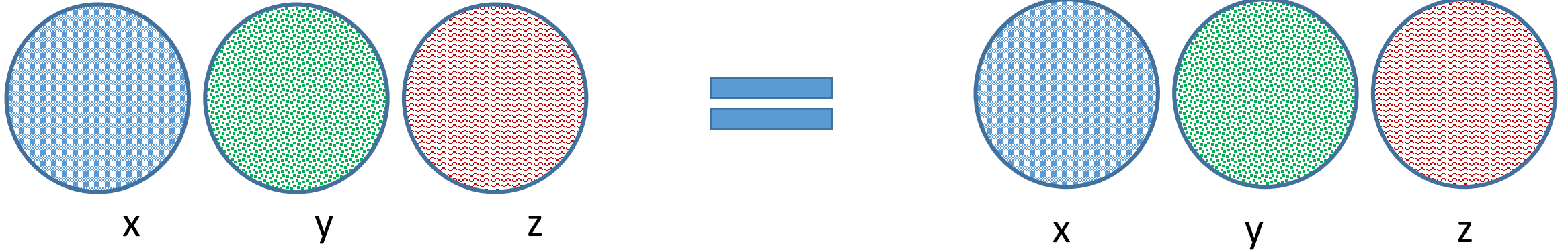
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# Role of Solvents on Dermal Drug Delivery

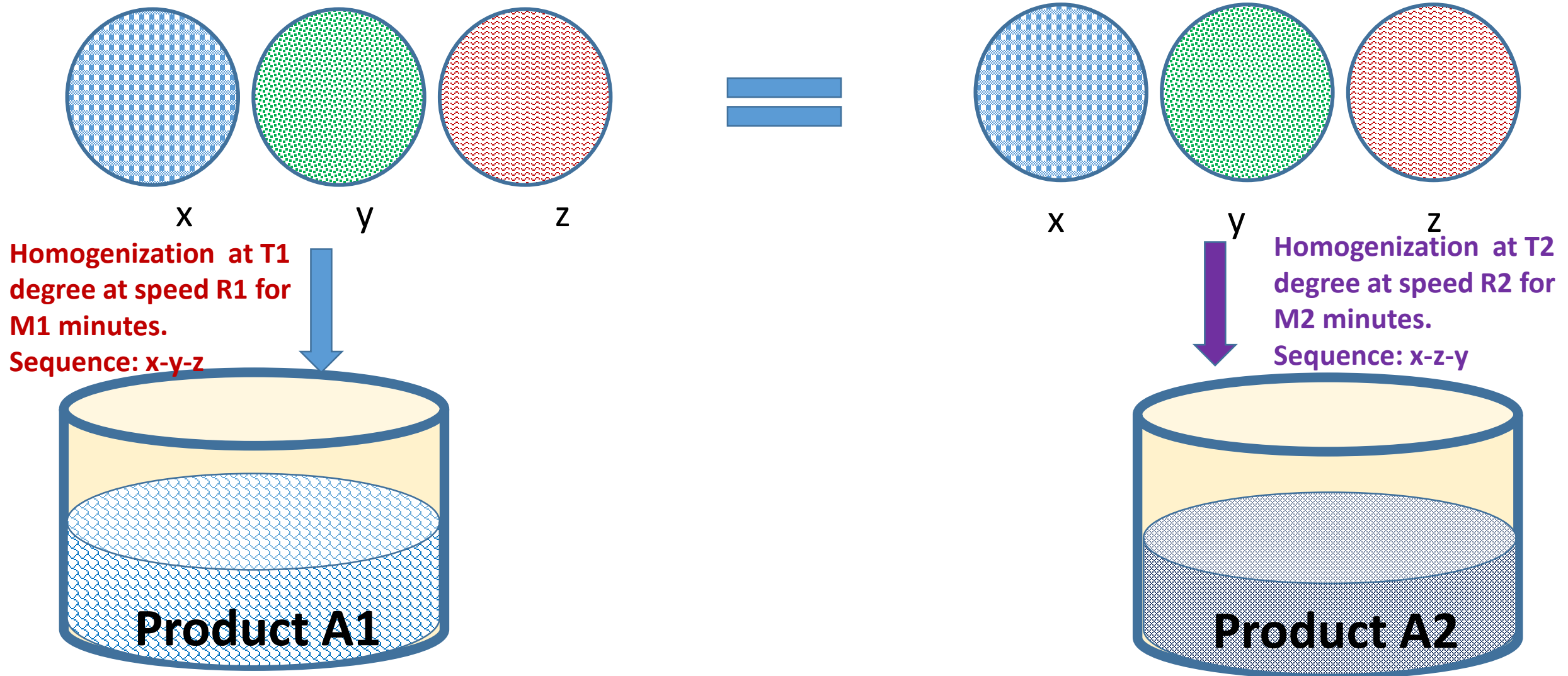


- **Evaporative metamorphosis**
- **Solvent penetration into the skin**
- **Solvent that is absorbed from the atmosphere**
- **Formulation dehydrates the skin**

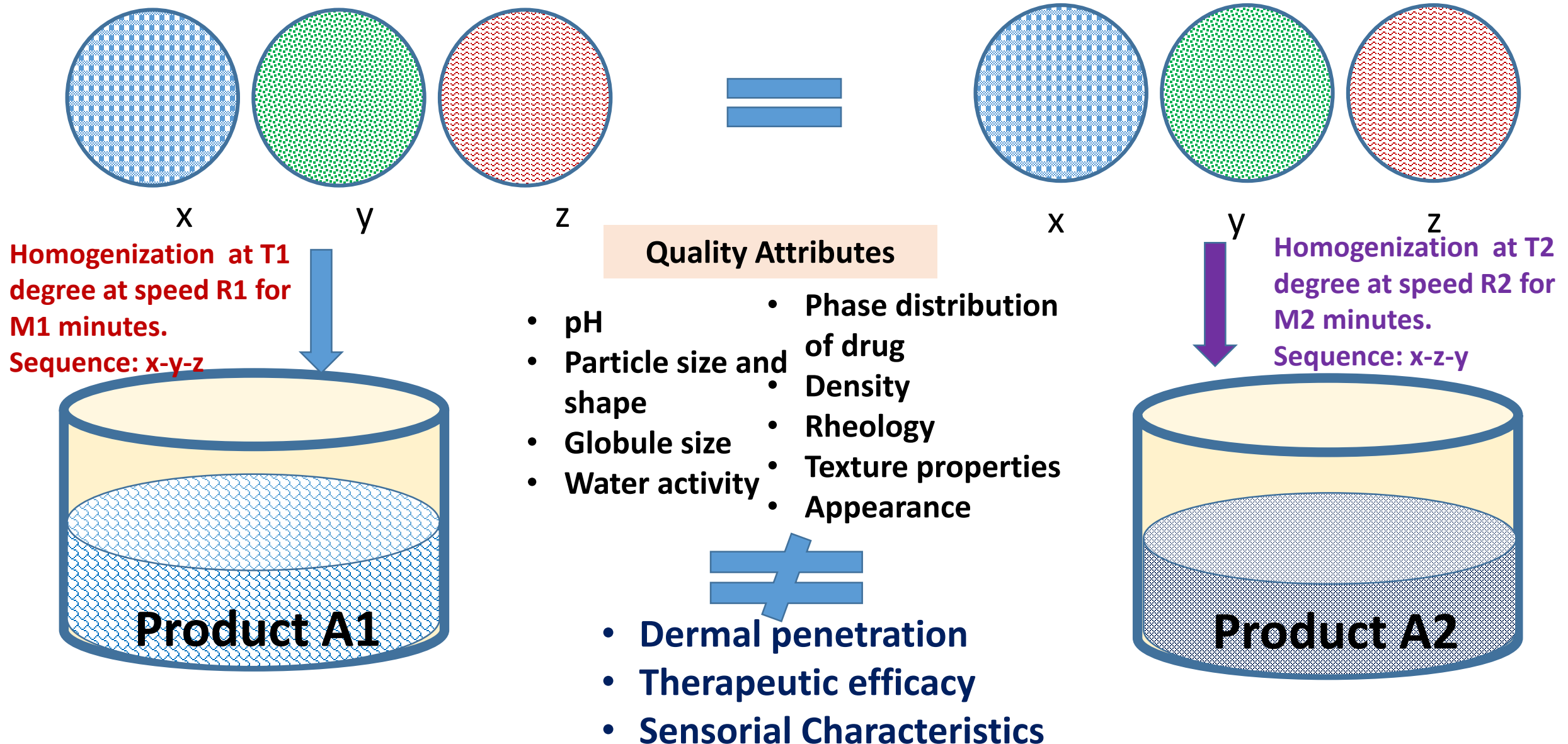
# Process Variables and Product Microstructure



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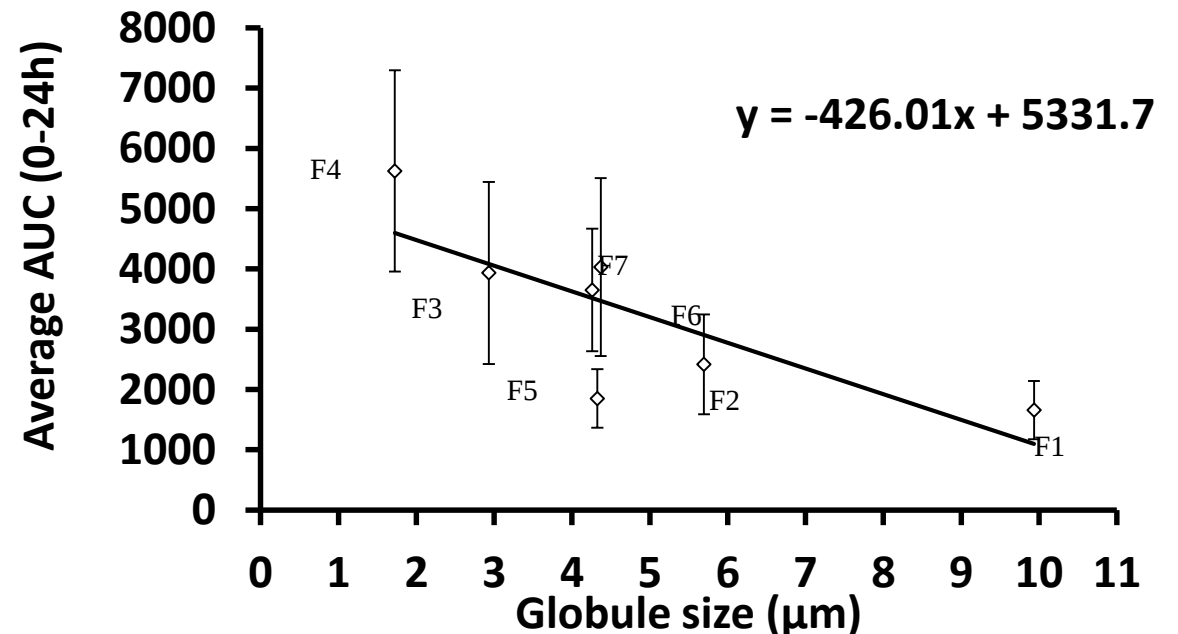
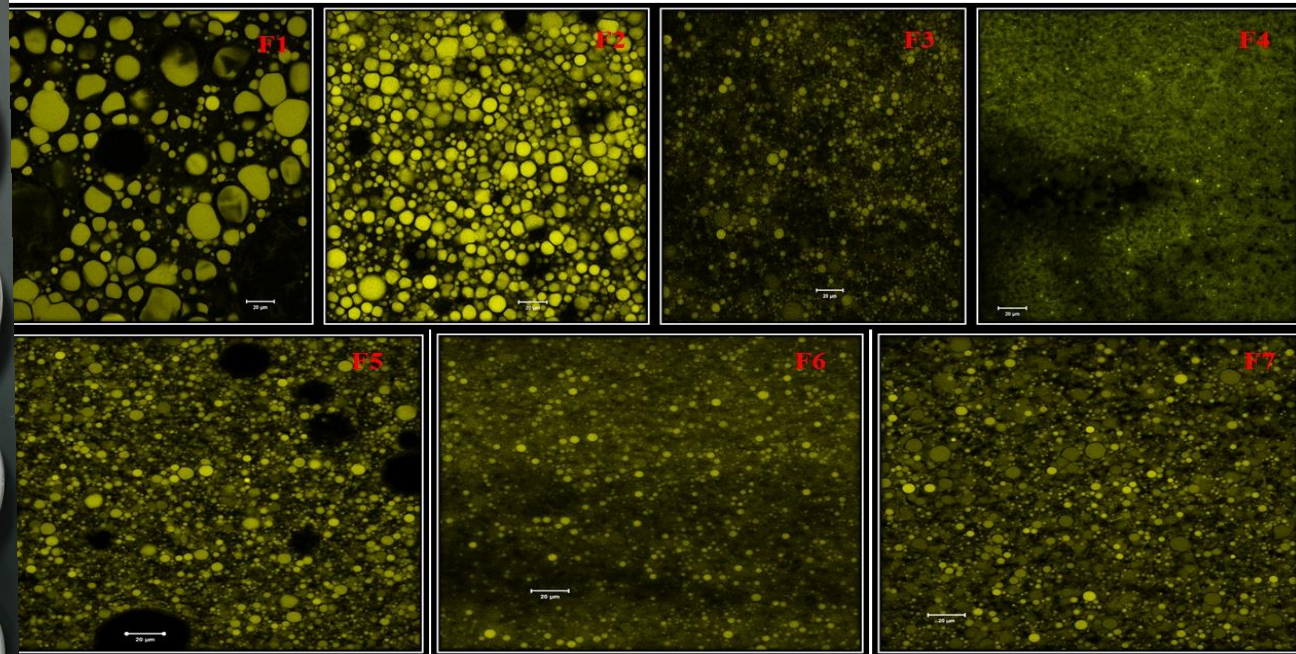
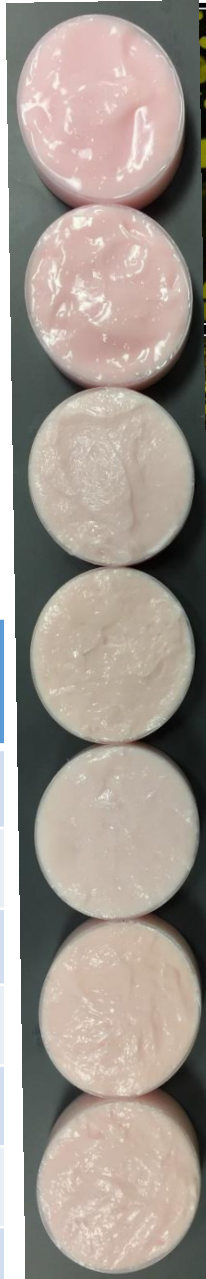
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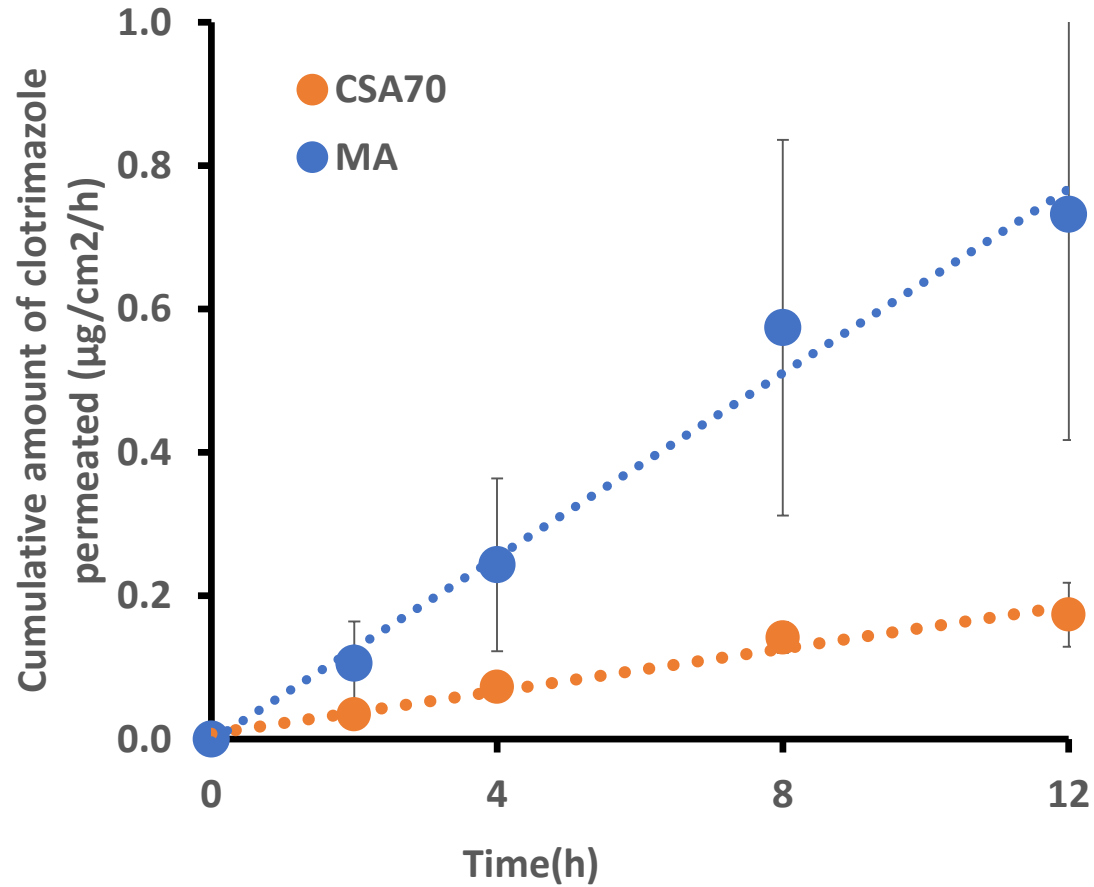
# Globule Size and Dermal Delivery

| Ingredients (O/W)   | Quantity (%) |
|---------------------|--------------|
| Cetostearyl alcohol | 7            |
| Cremophor A6        | 1.5          |
| Cremophor A25       | 1.5          |
| Mineral Oil         | 12           |
| Propylene Glycol    | 8            |
| Water               | 70           |

| Formulation Code | Variable               | Globule Size (um) |
|------------------|------------------------|-------------------|
| F1               | 500 rpm -20 min        | 11.37 ±7.03       |
| F2               | 1000 rpm - 20 min      | 7.41 ±2.19        |
| F3               | 3000 rpm - 20 min      | 2.98 ±1.25        |
| F4               | 5000 rpm -20 min       | 1.71±0.41         |
| F5               | 3000 rpm - 10 min      | 4.30±1.33         |
| F6               | 3000 rpm - 40 min      | 4.36±0.88         |
| F7               | 3000 rpm - 20 min (GC) | 4.25±0.99         |

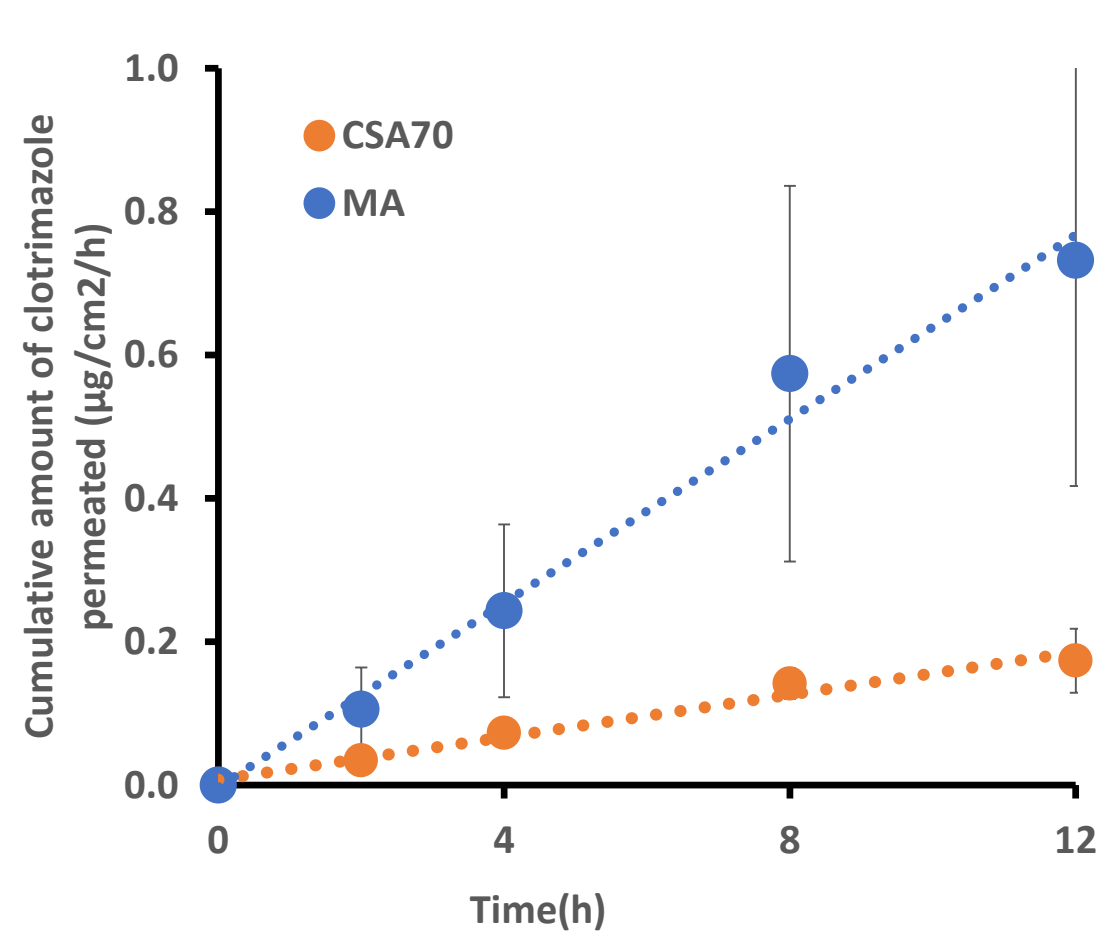


# Fatty alcohols on Permeation of Clotrimazole

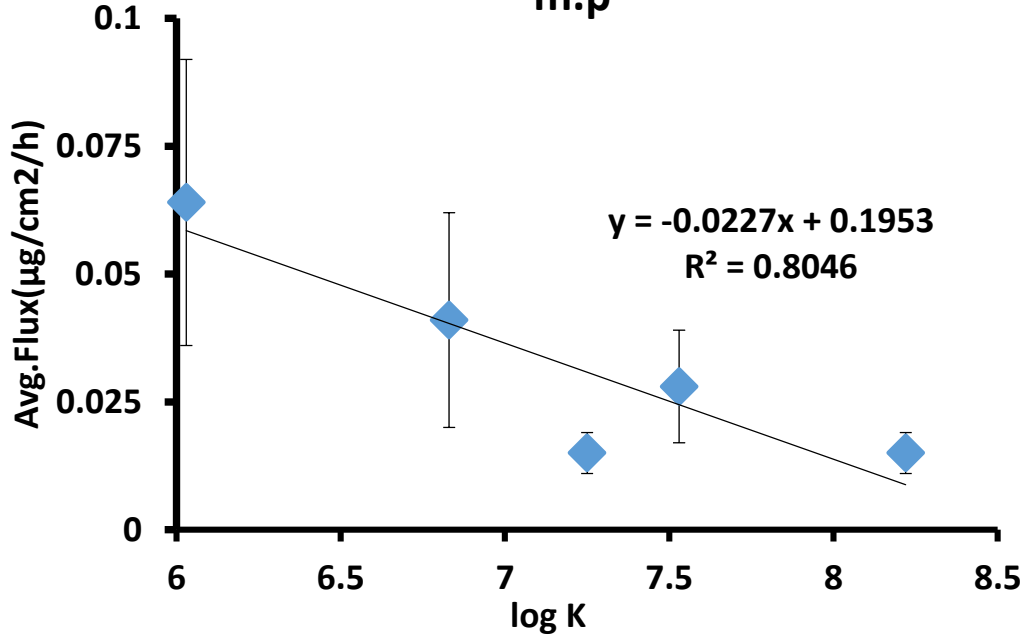
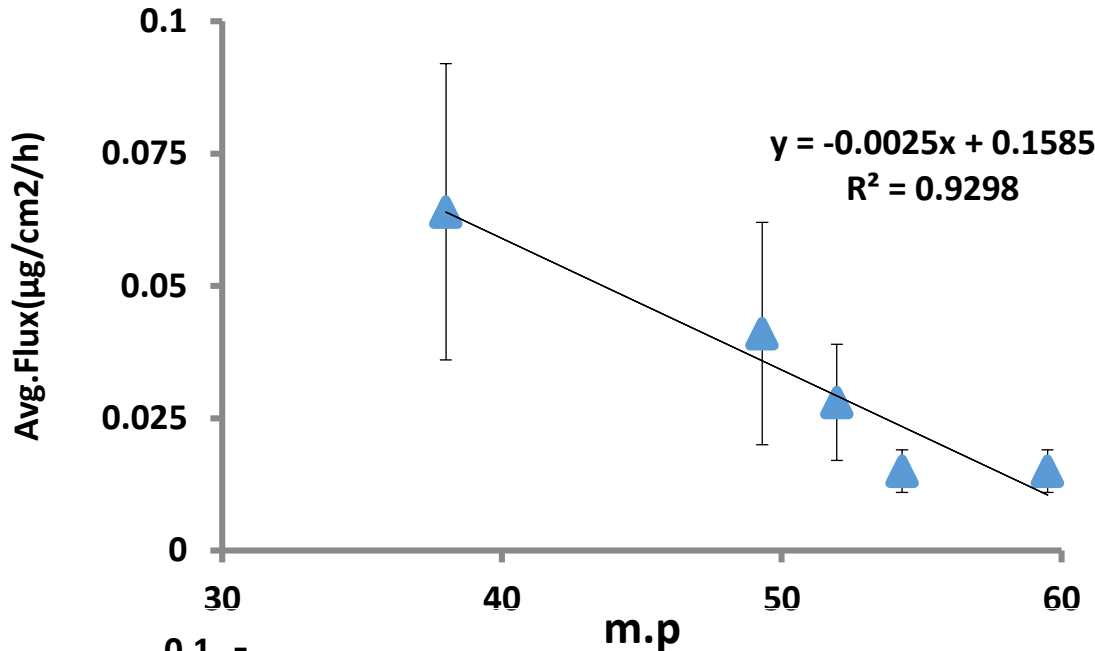


Amount of Clotrimazole permeated across the porcine epidermis from creams containing Cetostearyl alcohol 70 or Myristyl alcohol

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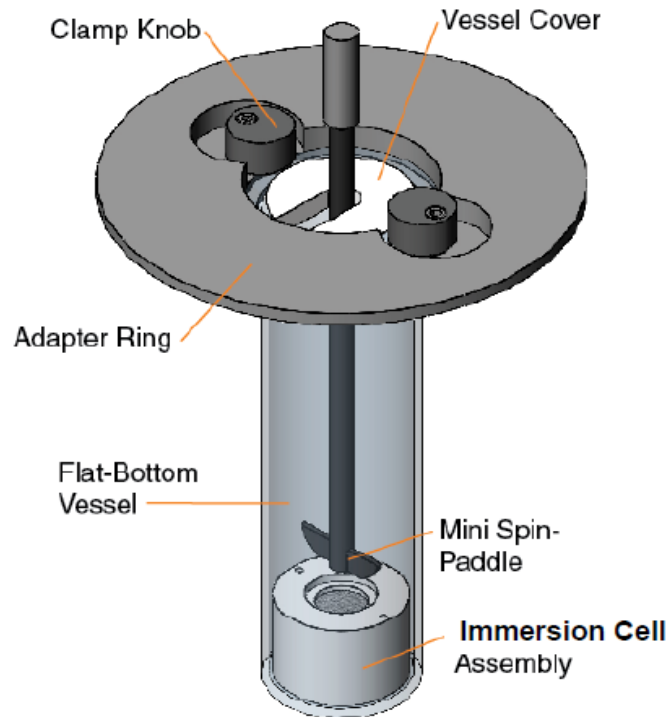


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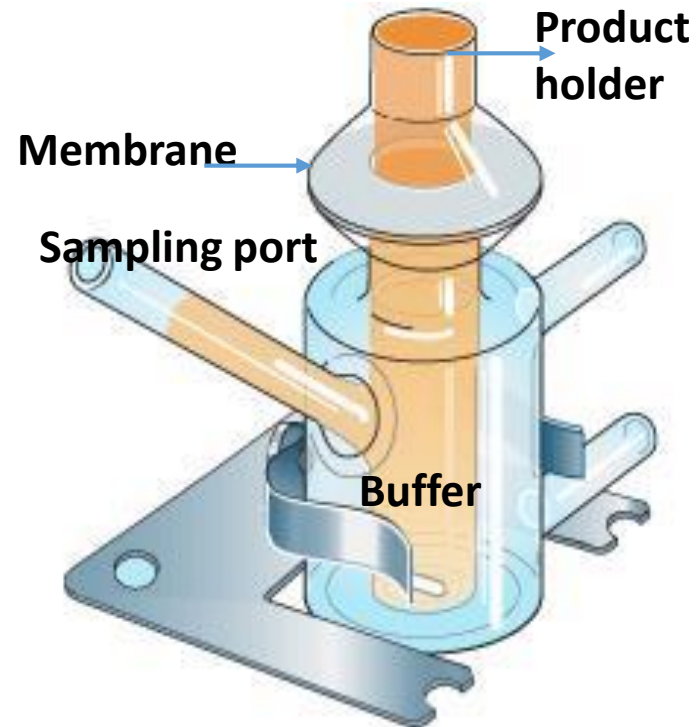


# In Vitro Release Testing

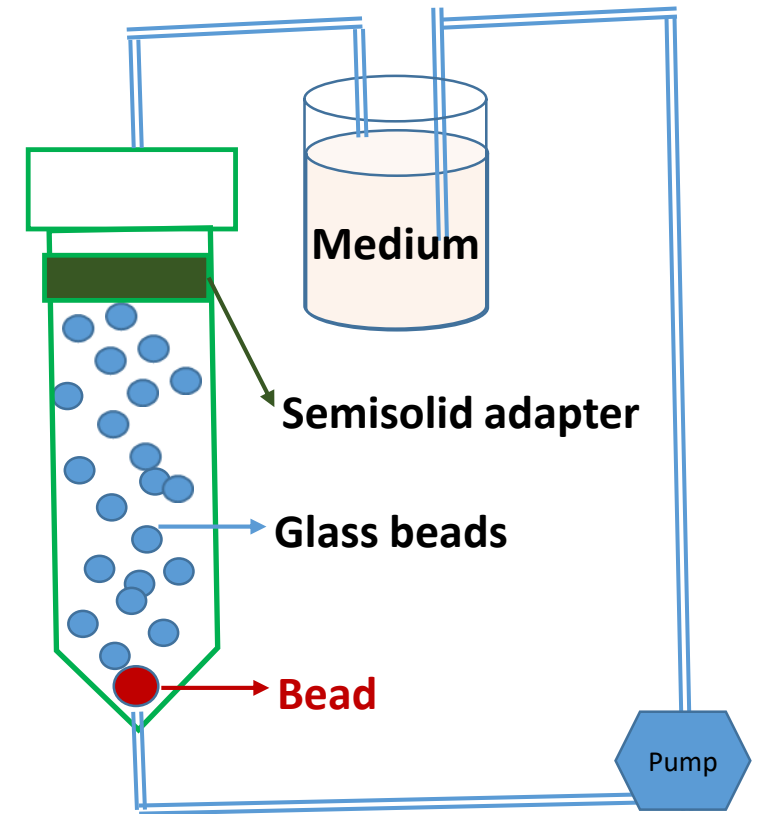
- Release Rate of Drug form the formulation depends on its quality attributes.
- Need to be performed across a non-rate controlling membrane (Filter membranes or dialysis membrane)



USP Dissolution II Apparatus with Immersion cells (semisolid holder)



Franz diffusion cell



USP Dissolution IV

# In Vitro Permeation Testing

**Finite Dose:** 5-15 mg/ cm<sup>2</sup> (Not occluded)

**Infinite dose:** >15mg/cm<sup>2</sup>

**Receiver compartment**

Buffer or surfactant solution that satisfies the criteria for sink condition.

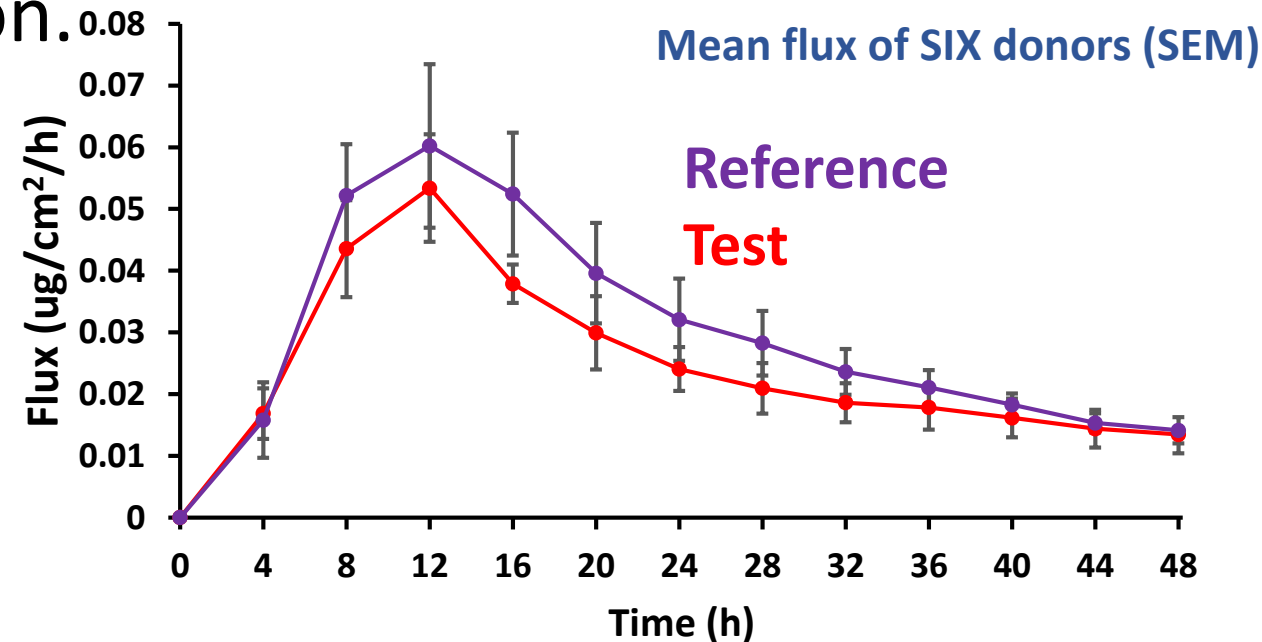
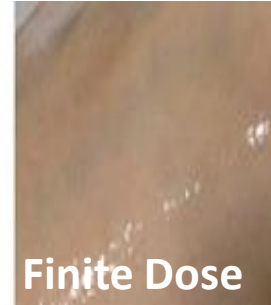
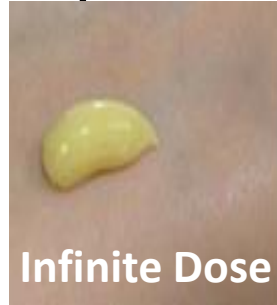
**Human Skin:** Cadaver or abdominoplasty

200-500 um thickness.

**Integrity testing:** TEWL or ER

**Sampling:** Partial or complete.

**Temp:** 32 C



# Summary Points

- **Physicochemical properties of the API, formulation and excipients are known to influence the drug penetration into the skin.**
- **The development of a product that is stable, safe and effective involves systematic screening of ingredients and process variables and testing process.**
- **Comparison of performance of test products (release and/or permeation) with a reference product would help in compounding a clinically efficacious formulation.**

Thanks!

