Digital Health: Ethical Considerations

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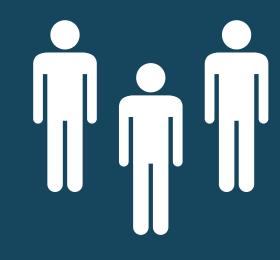


PRIMER: Ethical, Legal and Social Implications









Ethical

Legal/Regulatory

Social

Consent & Literacy

Bystander Rights

Risk / Benefits

Access

Justice

Liability

Privacy: GDPR & CCPR

Intellectual Property

Federal Regs

Conflict of Interest

Downstream Impact

Unknown Unknowns

Sociotechnical Systems

Privacy Expectations

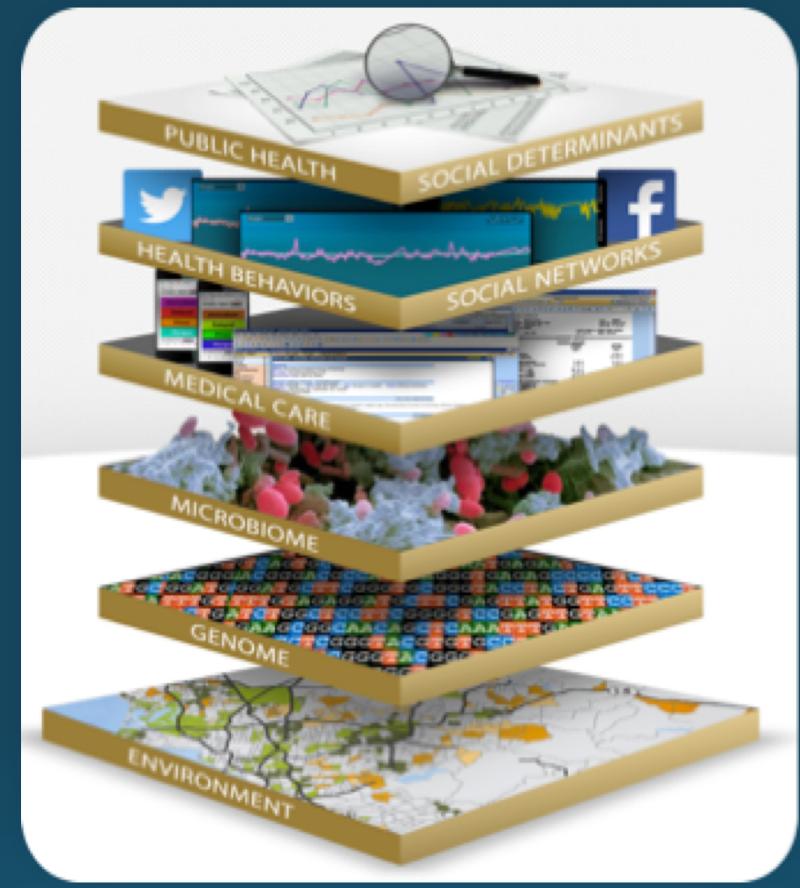
Return of Value



Digital Health Snapshot



Sources of Health Data



With permission of Dr. Kevin Patrick, UC San Diego Professor, Preventive Medicine



Mobile Methods



Access to apps, deploy surveys to study behaviors in real time via tools like Ecological Momentary Assessment.



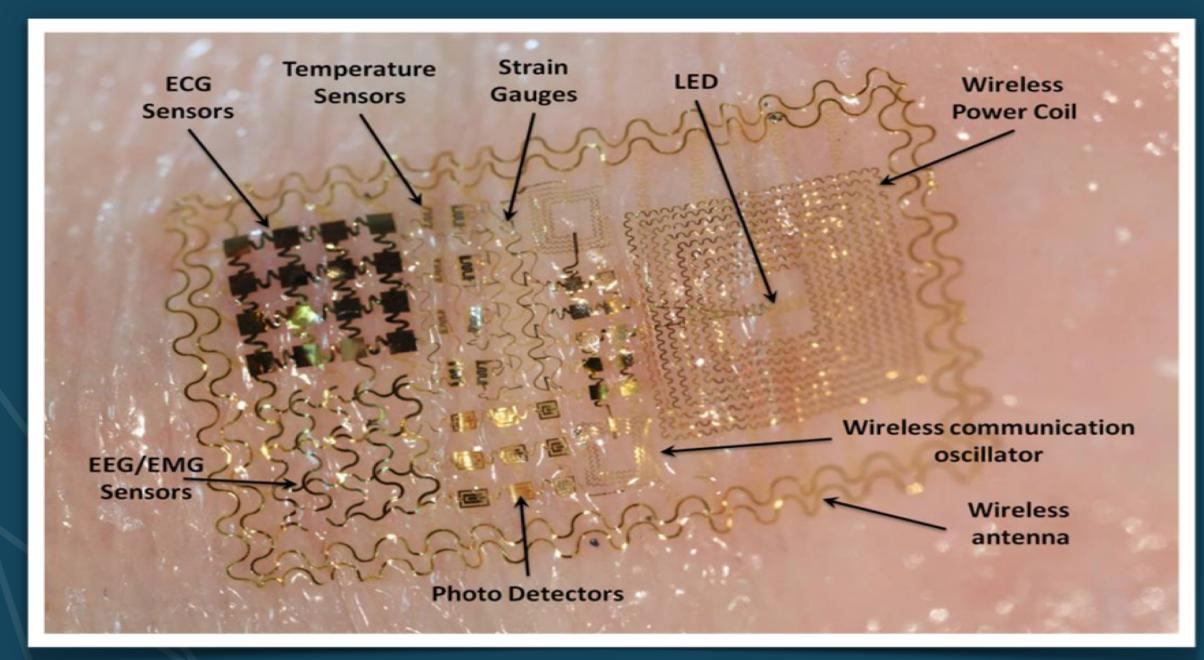
Visual Methods



To study "free-living" behavior "in the wild" from a first person perspective.



Sensing Methods



With permission of Dr. Todd Coleman, UC San Diego Professor of Electrical & Computer Engineering

Passive Pervasive Ubiquitous



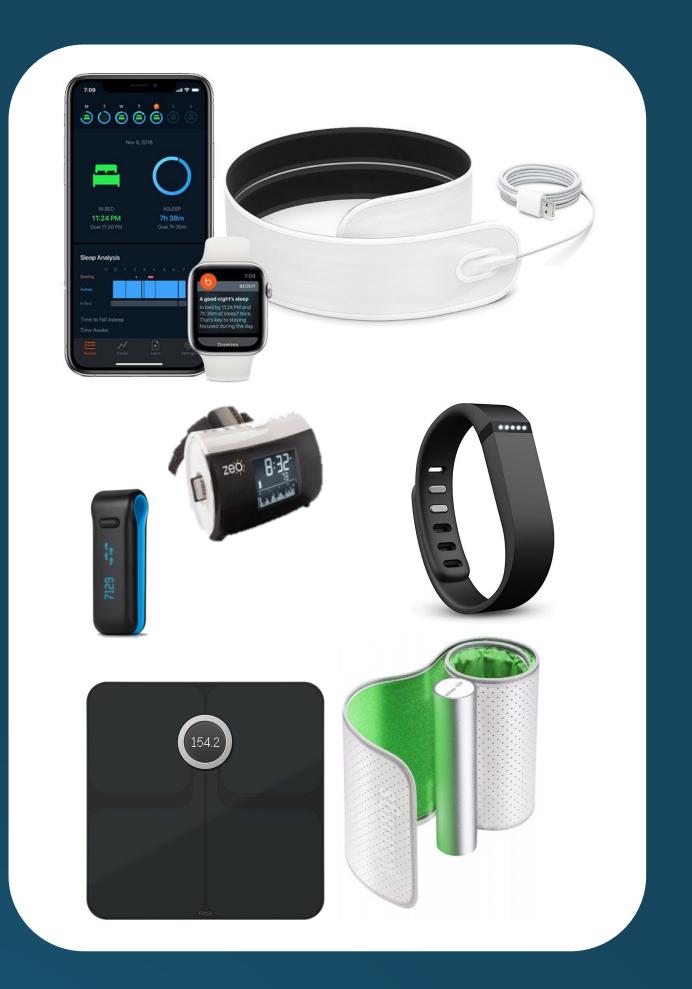
Digital Platform Methods













New Methods = New Data

Wearable Cameras	GPS Tracking	Smart Phones	Social Media
			The CORE UCSD @UCSDtheCORE · Apr 5 Rasheed Al Kotob proudly represents our #COREthics team @UCSDH #PublicHealthWeek #mHealth #DigitalHealth #research_ethics @APHA
	SHIPTER ASSAULT NESA BIND DRIPO MO-OTTY ASSAULT ST COLLANDER DISCRETE ASSAULT ST ANDER DISCRETE SOUTH BAY N	Activity Details ### ### ### ### ### ### ### ### ### #	



Commercial Products



Jawbone Up 24



Garmin Vivofit



Fitbit Flex



Basis B1 Band



Misfit Shine



Withings Pulse 02



Nike Fuelband SE



BodyMedia LINK Armband

Subject to Fitbit's adherence with the privacy settings you select within the Fitbit Services, you hereby grant to Fitbit a perpetual, irrevocable, non-exclusive, worldwide, royalty-free license, with the right to sublicense, to reproduce, distribute, transmit, publicly perform, publicly display, digitally perform, modify, create derivative works of, and otherwise use and commercially exploit any text, photographs or other data and information you submit to the Fitbit Services ...

Health Tech Landscape





The New York Times

Chasing Growth, a Women's Health Start-Up Cut Corners

A fully powered trial has to be conducted to examine this effect.



Participants (n=19)
proactively logged into
7Cups for a median of 12
times and 175 minutes.



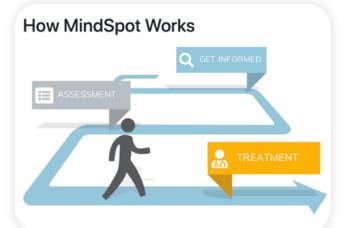
online and mobile meditation content in the <u>health & wellness</u> <u>space</u>. We are **not** a health care or medical device provider, nor should our Products be considered medical advice. **Only**

uBiome, the health start-up raided by the FBI

Twitch Cares: Mental Health Support & Information

Twitch cares. If you feel like you're struggling, or you've encountered someone who you believe might self-harm or is suicidal, please contact law enforcement or a suicide hotline immediately.







Wellness Tools to Transform your Life









specializing in meditation. ... The company mainly operates through its

online platform, which provides sessions of guided meditation to its

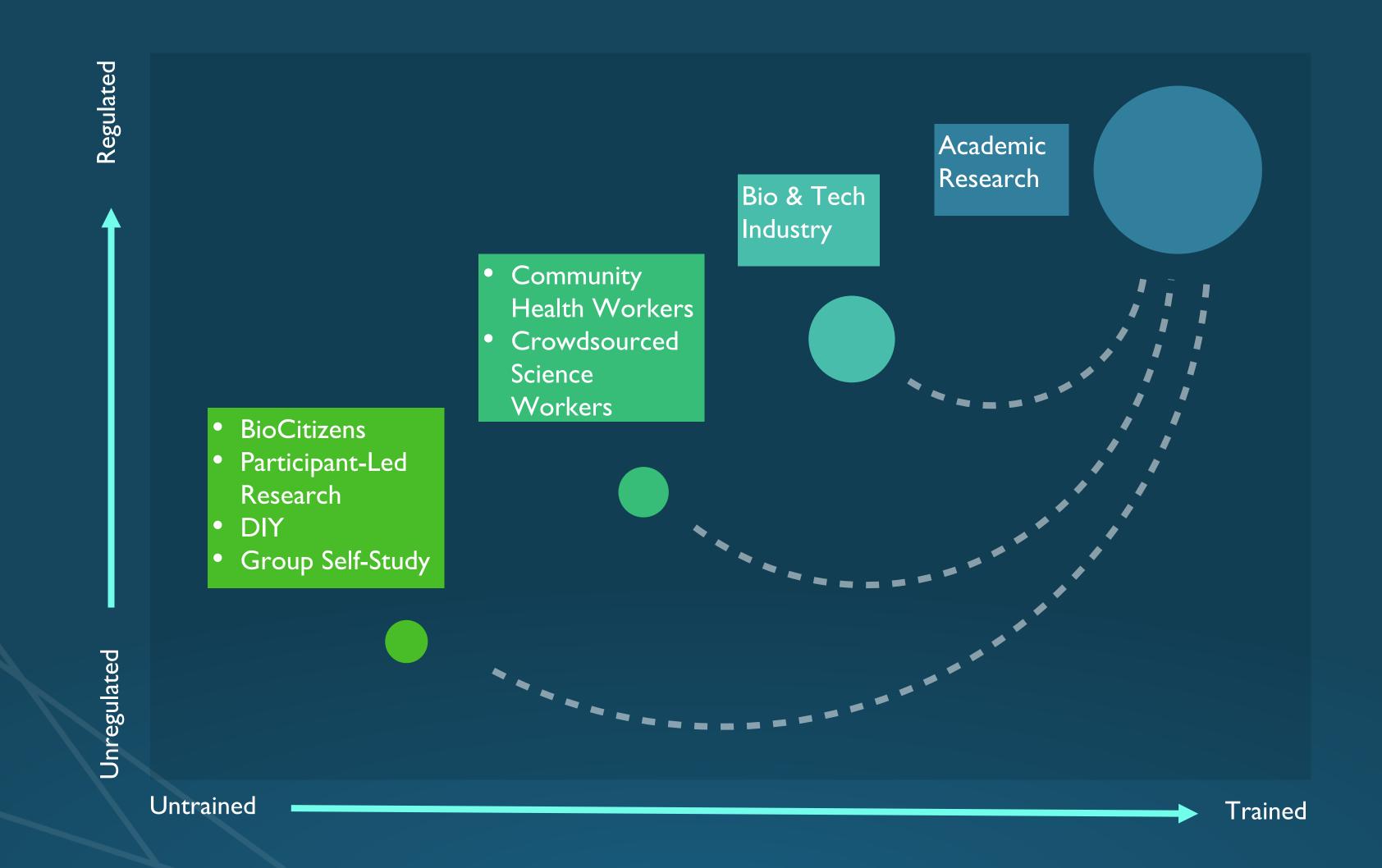
registered users with the goal of mindfulness.



Challenges and Opportunities



New Actors = Unique Challenges





Digital Health Research Questions

Better Care:

Can machine learning and Al can make clinicians more efficient and effective?

Governance:

Are existing systems (conventions, norms, regs) relevant and responsive?

Data Management:

Can we promise data anonymity? Is de-identification a solution?

Consent: Can it be truly informed?



Digital Health Research

IRB Content Analysis

Inconsistent Risk Assessment

Bystander Rights

Data Management

IRB Focus Groups

Threats to Participant
Privacy

IRB Expertise Gaps

Interest in Sharing Resources

Participant Survey

Device Comfort

Consent Gaps

Privacy Bystanders & Participants

Participant Digital Divide

Legal Risks

Consent Gaps

Social Implications

Participant Terms & Conditions

Privacy Policy Missing

Above Avg. Reading Level

Not Accessible to Youth

NIH RePORTER

Nature & Scope

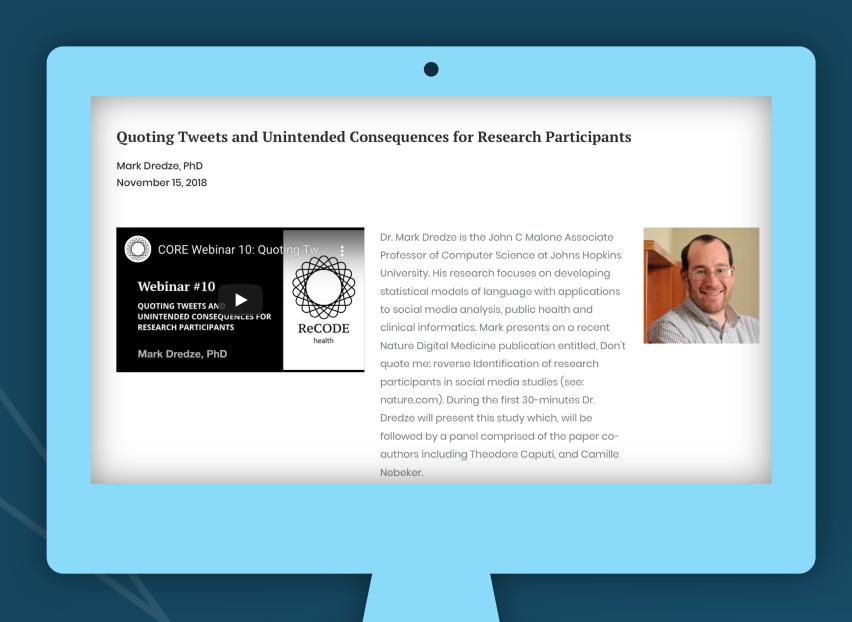
MISST research increased 384% between 2005-2015

35% PA
31% Substance Use
25% Contextual
15.6% disease mgmt



Accessible Research Results

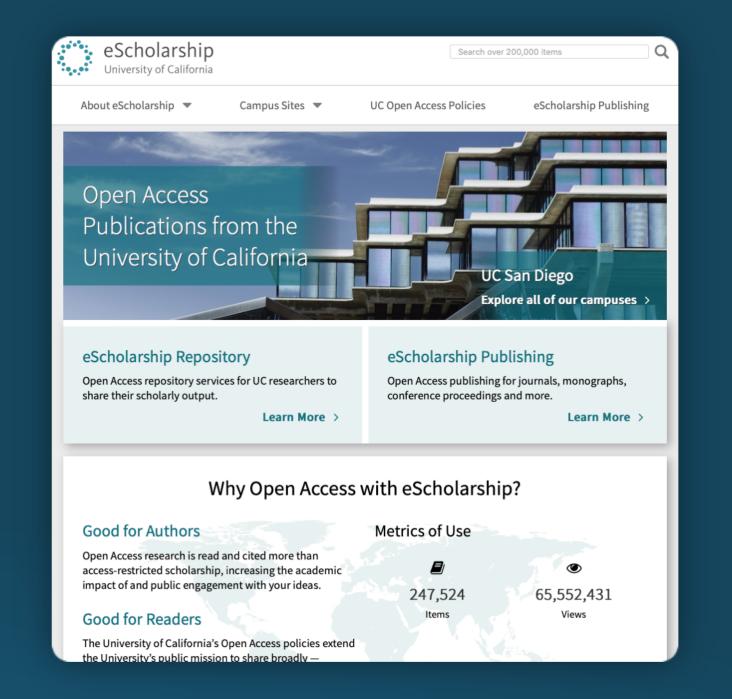
Webinars





recode.health/webinar

Publications on eScholarship





escholarship.org/uc/recodehealth



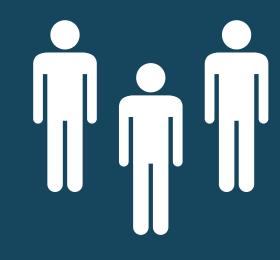
Digital Health Decision-Support Framework and Checklist

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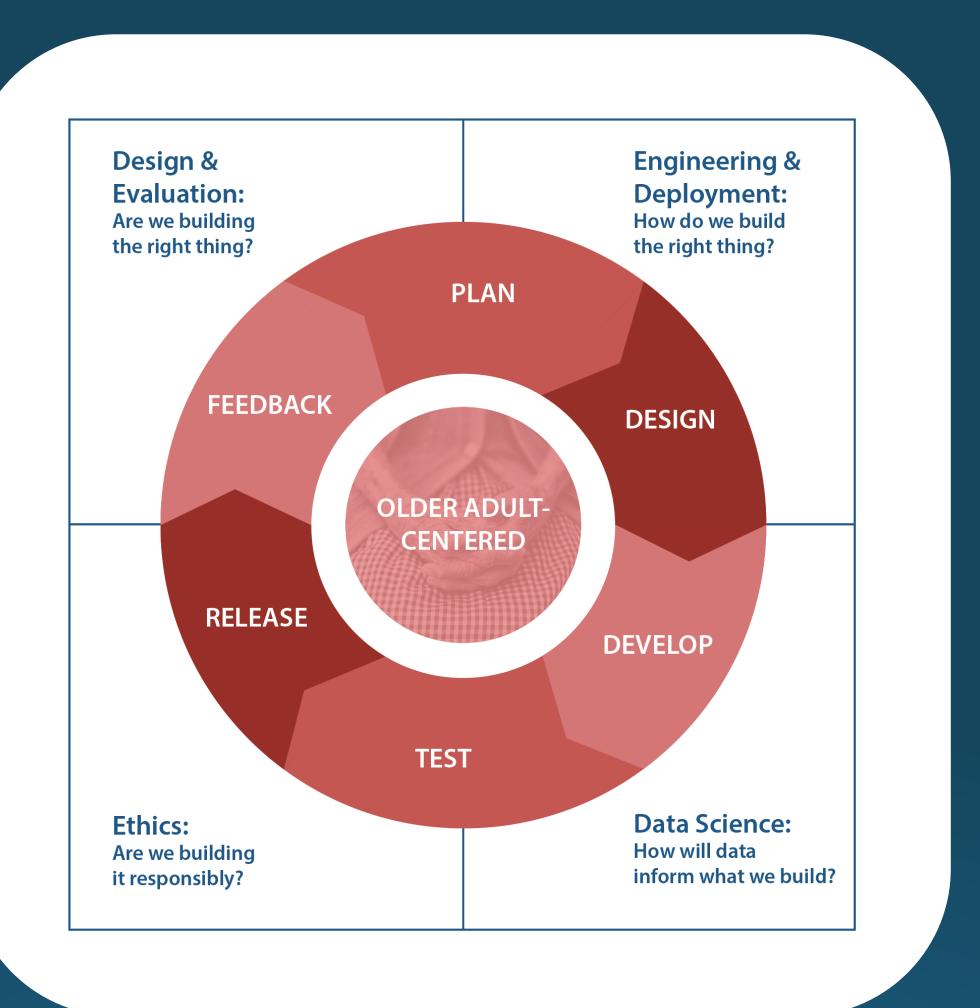
Sociotechnical Systems

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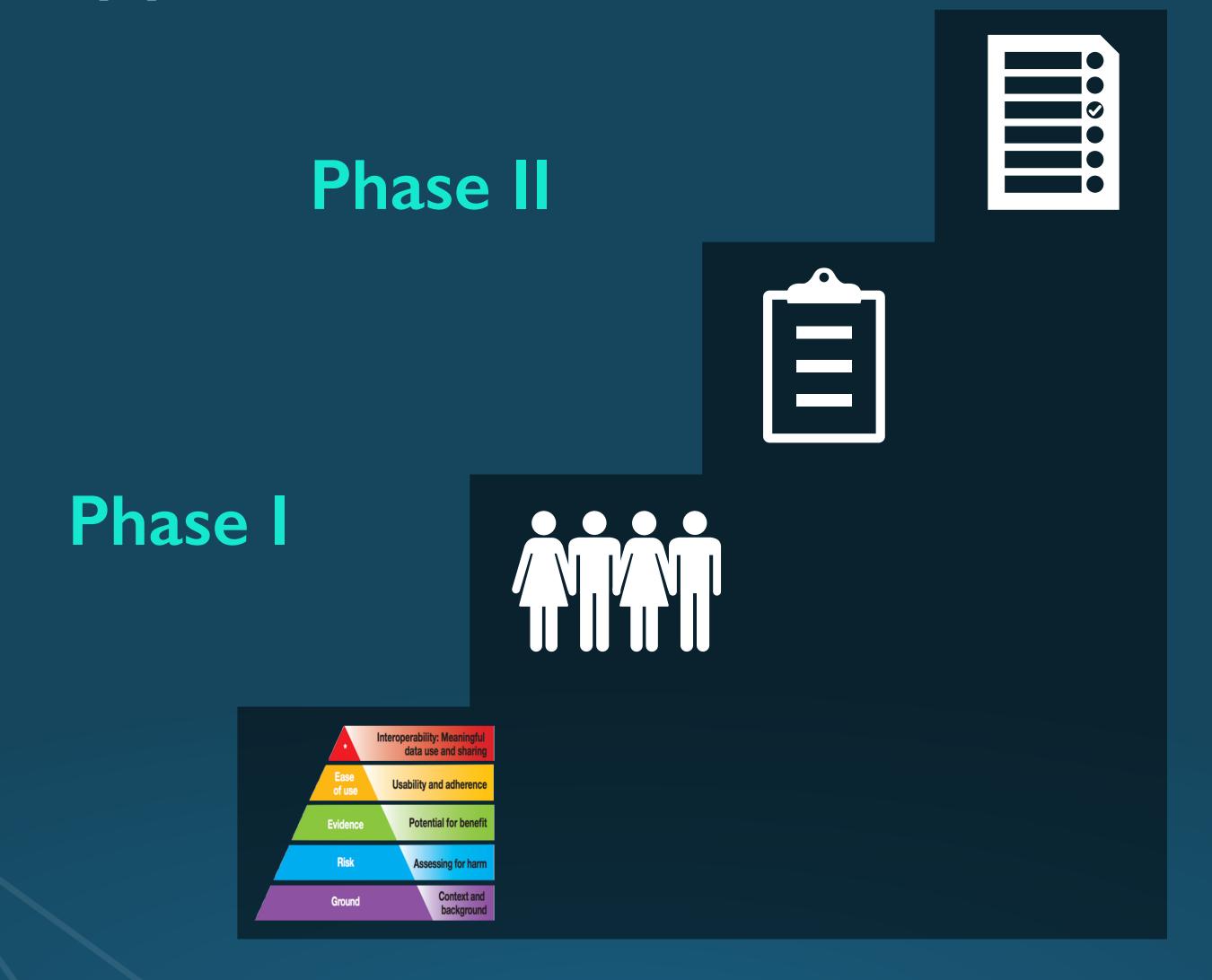


Human Centered Design Process





Iterative Approach





Factors Influencing Ethical Practices

Access & Usability



- Is accessible to diverse populations
- 2. Can be tailored for end user
- Short or long term use is feasible

Data Management



- Data collection and storage protocols are appropriate
- Who can access the data is described
- Data are secured using best practices

Privacy



- Personal data collected is conveyed
- Terms and Conditions and Privacy Policies are reviewed
- Data sharing practices are disclosed

Ethical Principles

Respect for Persons

Beneficence

Justice

Risks & Benefits



- Evidence exists to support product validity and reliability
- 2. Risks are disclosed
- Potential benefits outweigh risks



Access and Usability

The domain of access and usability is about the product design and whether end-users are able to use the tool.

- How does it work?
- How that this information communicated to the user (informed consent or terms of service)?
- Has it been used with the target population? Are accessory tools needed (smartphone, internet access)?
- Can the product can be used both short and long-term?

	Access 8	Usability	
Respect for Persons		Beneficence	
Contract provides:			
Relevant information within Terms of Service/Privacy policy in plain language?		Includes a plan for return of group and individual study information?	
Access to definitions?		Study design includes features to increase access and usability for older adults?	
Access to visual and audio versions of information?		Short and long-term use has been or will be tested with older adults?	
Possibility of bystander involvement?		Rights of all stakeholders are considered?	
Justice Respect for Law and Public Interest			nterest
Device or App was tested with older adults?		Al is accountable?	
Requires internet?		Algorithms are documented and transparent?	
Requires smartphone?		•	
Al trained on data inclusive of older adults?			
	•		



Privacy

Privacy is about the personal information collected and expectations of the patient or participant to keep information secure. If shared:

- What is collected?
- What is shared?
- Why is it shared?
- What control does the end user have?

Privacy				
Respect for Persons		Beneficence		
Contract clearly conveys:				
Personal information collected?		Privacy expectations are respected?		
Data sharing plan?		Participant data are not shared or sold to a 3 rd party?		
Privacy policy risks?		Participant contact information is not shared/ exploited?		
	i	:		
Justice		Respect for Law and Public In	terest	
		Chespest for Lun and Falling in	<u></u>	
Bias is managed to reduce				
Economic harm?		Increase trust?		
Social harm?		Protect privacy?		
Discrimination?				
Profiling?				



Risks and Benefits

The goal of this domain is to evaluate the types of possible risks as well as the extent of possible harm.

- Types of harm?
- Severity?
- Duration ?
- Intensity?
- Al Accountability and Transparency?

Assessment of risks and benefits is influenced by the evidence available to support the reliability of the product, risk mitigation strategies and recognition of unknown risks.

Respect for Persons	Beneficence	
Contract clearly conveys:		
Risks and risk management strategies?	Product is respectful of privacy preferences?	
Evidence?	Evidence to support tech reliability/validity?	
Unknown risks?	Evidence is peer-reviewed?	
Possible benefits to the person, people like them and to society?	Risks are known and mitigated?	
	Risks are unknown and acknowledged?	
	Potential benefits outweigh possible risks of harm?	
Justice	Respect for Law and Public Int	erest
egal harms are known?	Data and privacy protections are compliant?	
Potential risk of discrimination is transparent?	····	
Risks of harm no greater for 65+		



Data Management

Data management includes how data are collected, stored and shared and the extent of incorporation within other systems.

- What is collected?
- What is shared?
- Why is it shared?
- What control does the end-user have?
- Interoperability?

	Data I	Management		
Respect for Persons		Beneficence		
Contract clearly conveys:				
Data collection process?		Data collection by party external to the research team?		
Data storage and security?		Potential of data collected on or about a bystander (caregiver?)?		
Who will have data access?		Data are accessible to the patient?		
Protocols for data sharing?		Data are transferrable to the EHR?		
		Data ownership is clear?		
		:		
Justice	Justice Respect for Law and Public Interest			
System vulnerabilities are publicly disclosed?		Data encryption meets expected standards?		
Data are not used to target groups or people?		Storage is HIPAA and CFR compliant		
		Data are deidentifled?		
		•		



Path Forward

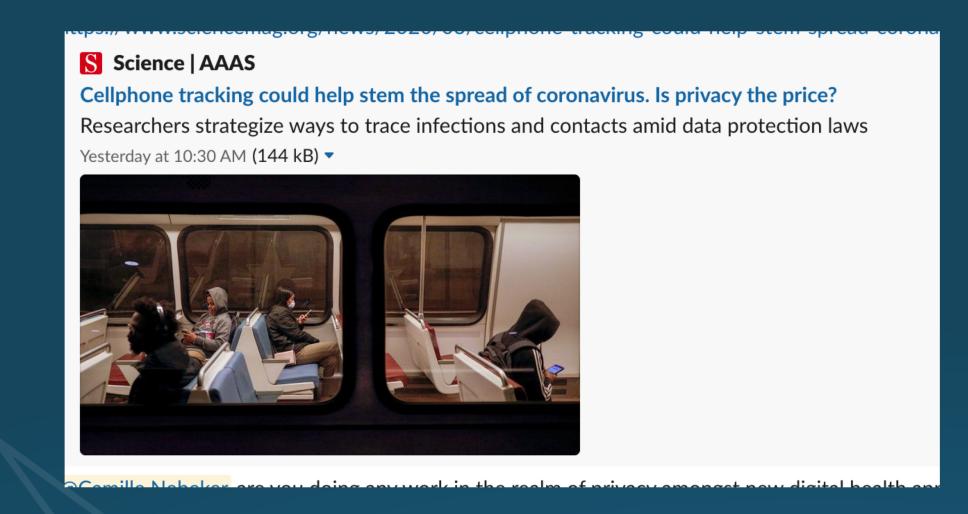


Courtesy of DJ Patil



Privacy vs Public Health

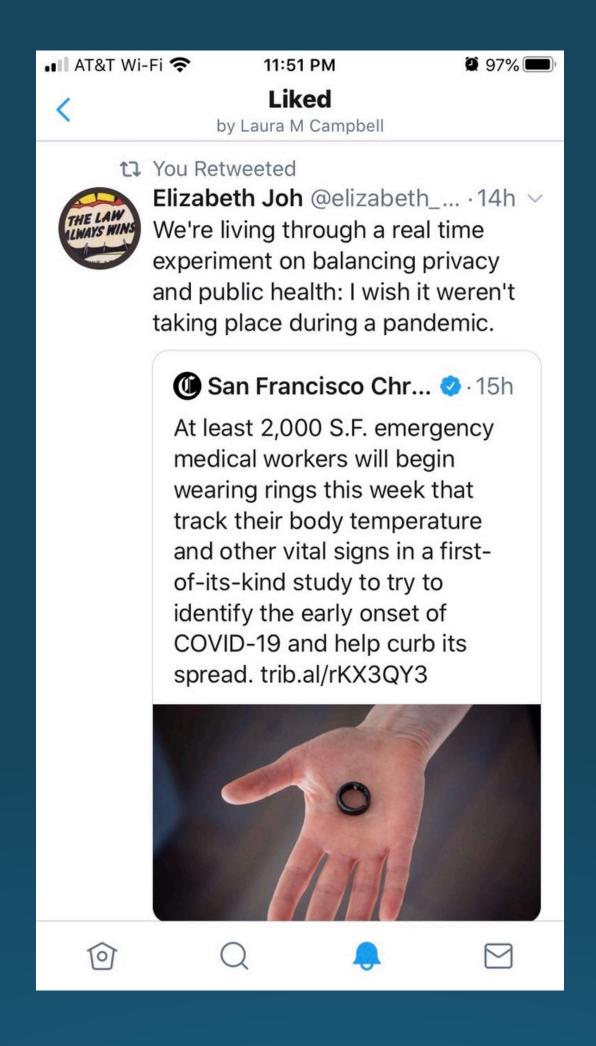








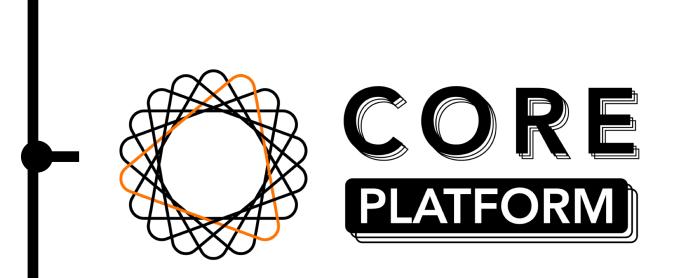
Privacy vs Public Health





ReCODE | health

The Research Center for Optimal Digital Ethics | health is shaping responsible and ethical research practices in the emerging field of digital health.



A learning "ethics" system for the digital health research community.



Educational resources for the citizen science community.



Resources to examine the ethical, legal and social implications (ELSI) of digital health research.

Technology Creators



Researchers



Research Participants







Institutions



Ethicists

Thank You!

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