# Newborn Screening Data Collection Challenges and Opportunities

National Academies Committee Meeting on Newborn Screening: Current Landscape and Future Directions May 16, 2024

Marci Sontag, PhD
Center for Public Health Innovation
Evergreen, Colorado



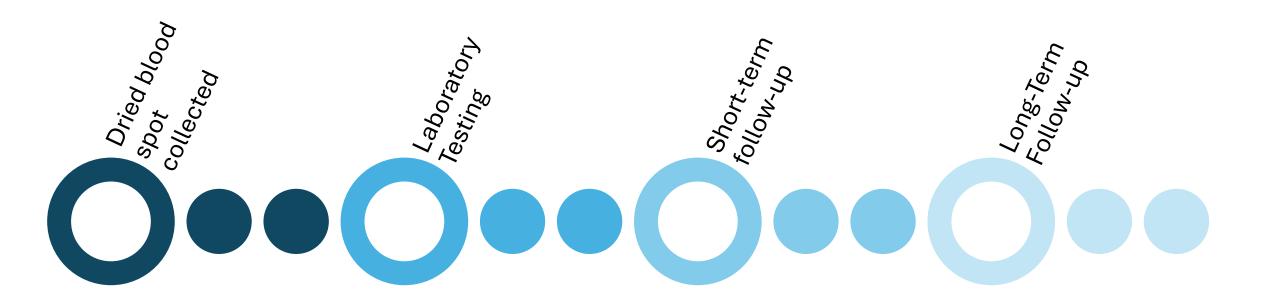
### Introduction

- Description of newborn screening data challenges from dried blood spot collection through long-term follow-up
  - Overview of challenges
  - Ideas for solutions: some that are being implemented (or soon will be), others that are possible
- Foundation of this presentation



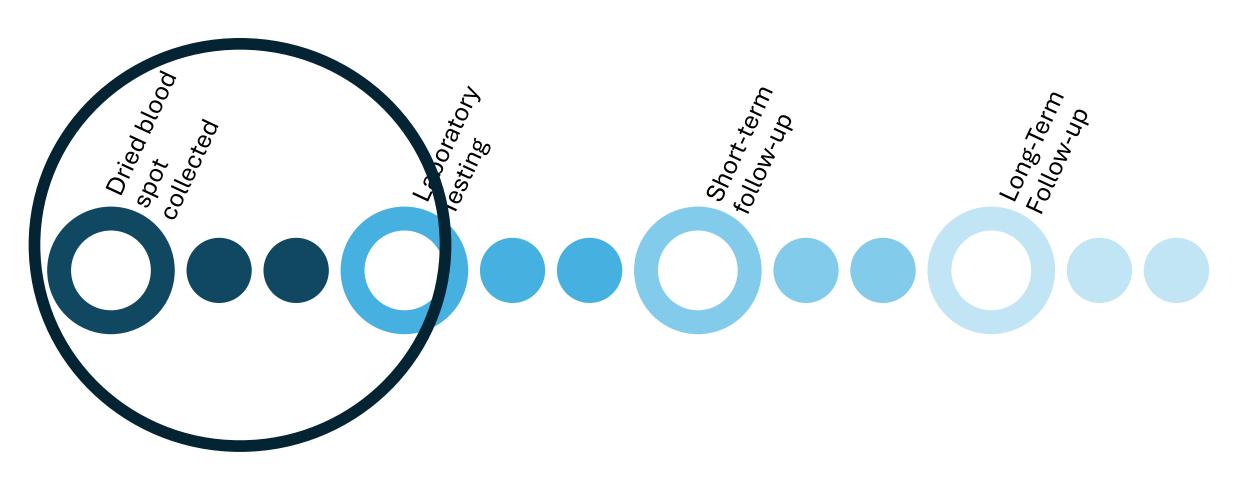


## The Spectrum of Newborn Screening Data



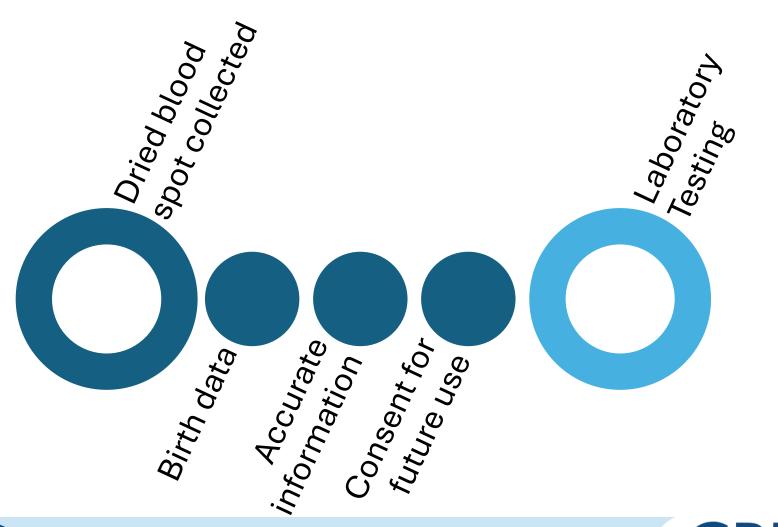


## Starting at the Beginning





## Collection Through Receipt at Laboratory





## Specimen and Infant Data



#### **Birth Data**

#### **Challenge:**

- Unable to assess impact of screen
- Timely true denominator not available

#### **Opportunities:**

- Link to Vital Records
- Newborn Admission Notification Information (NANI)

#### **Accurate Information from Specimen Card**

#### **Challenge:**

- Handwritten specimen cards, Data entry
- Missing information leads to delays
- PH Lab Systems aren't built the same as EHRs

#### **Opportunities:**

- Labels with printed data
- Electronic Test Orders and Results (ETOR) HL7 messages
- Collect data via integrated data systems for demographic, PCP info, contact information



#### **Consent for Future Use**

#### **Challenge:**

- Recording consent for use for other purposes
- Collecting and tracking

#### **Opportunities:**

Electronic consent models

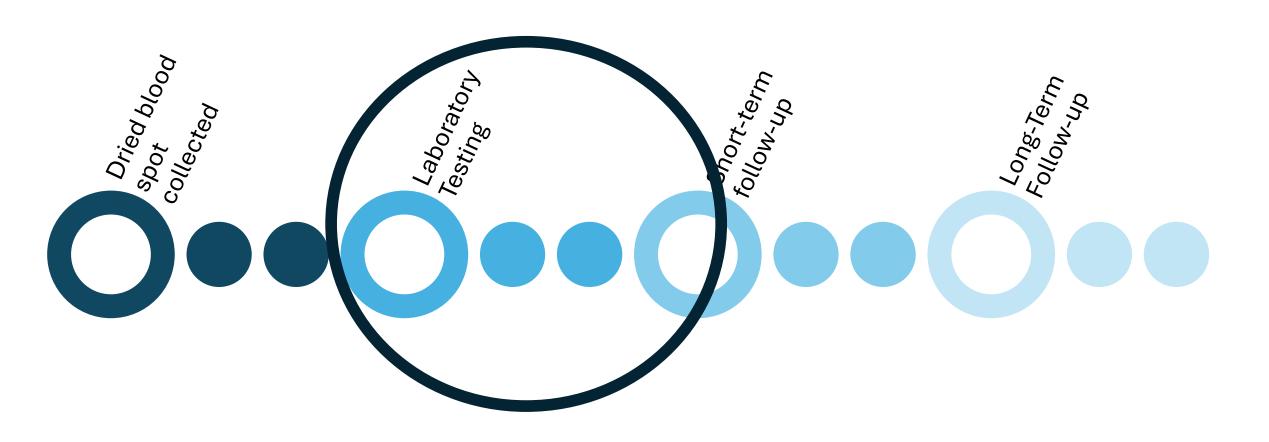


## Key Opportunities: Collection Through Receipt at Laboratory

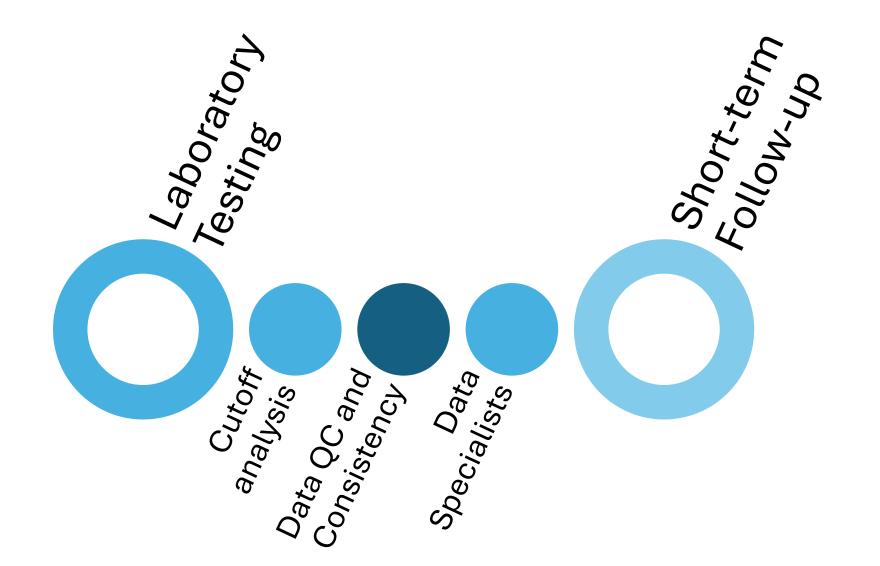
- Need to be able to reliably link to other systems
  - Public Health Newborn Screening Laboratory and Follow-up
  - Electronic Health Records
  - Vital Records
  - Electronic Birth Certificate
  - Vaccination Registries
  - Medicaid
- Broader ETOR implementation
- These linkages would help other parts of NBS as well



## Specimen Testing at the Laboratory









## Specimen Testing and Reporting



#### **Cutoff Analysis**

#### **Challenges:**

- Small numbers of affected infants
- Complex analytes and ratios
- Different instrumentation
- Numerous covariates

#### **Opportunities:**

- CLIR (Collaborative Laboratory Integrated Reports – MAYO)
- dbRUSP (Yale)
- ED3N Enhancing Data Driven
   Disease Detection in Newborns
   (CDC)
- Proficiency Testing Results (CDC)



#### **Data Quality and Consistency**

#### **Challenges:**

- Differing nomenclature and formats between programs
- Inconsistent data collected across programs

#### **Opportunities:**

- Agreement and harmonization of nomenclature
- Result reporting format (results, interpretations)
- Application of consistent data elements



#### **Qualified Data Specialists**

#### **Challenges:**

- Lack of qualified NBS data specialists
- Data specialists, epidemiologists, and informaticians often shared across public health programs in a state

#### **Opportunities:**

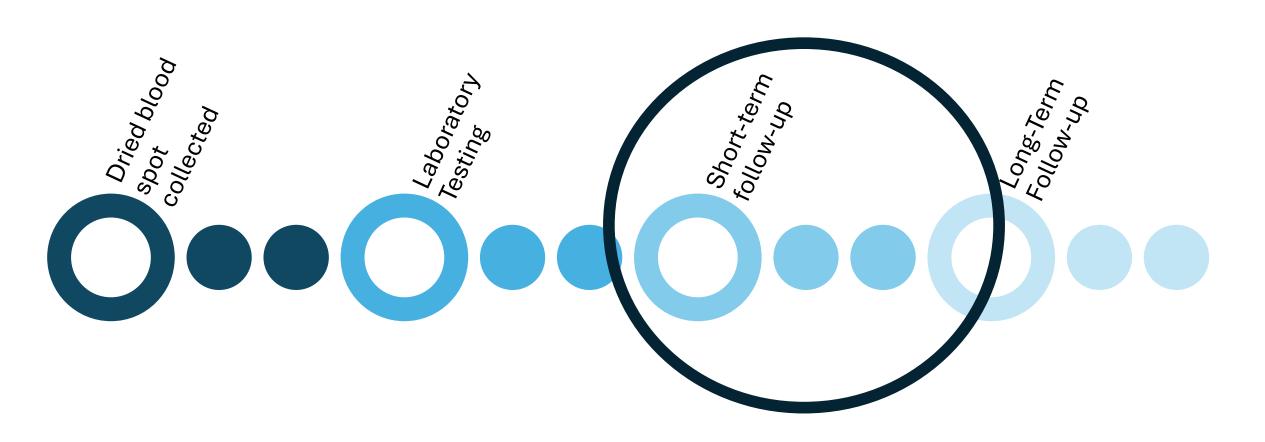
- Funding for data specialists and training for NBS data
- Shared regional NBS data experts
- ED3N
- Technical assistance for data analysts



# Key Opportunities: Laboratory Testing

- Continue to support and build national data analytic efforts
- Build a network of newborn screening data experts to support states
- Build upon existing definitions and data models to create an agreed upon data set

## Result reporting and short-term follow-up





Short-term Follow-term Long-term Follow-up Sending Results to PCPs/ Data Quality Confirmation of and Case Diagnosis Hospitals Definitions



## Short-term Follow-up



#### Sending Results to PCPs/ Hospitals

#### **Challenges:**

- Identifying the right PCP
- Ensuring reports are received and acted upon

#### **Opportunity:**

- Electronic Test Orders and Results (ETOR)
- Web portals of results



#### **Data Quality and Consistency**

#### **Challenges:**

- Differing nomenclature and formats between programs
- Case definitions are not consistently applied

#### **Opportunities:**

- Agreement and harmonization of nomenclature
- Result reporting format different
- Application of consistent case definitions



## Confirmation of Diagnosis Challenges:

- Hard to get case data from PCPs
- EHRs and HIEs are not integrated with follow-up systems, requiring manual communication
- Some children are lost to followup before diagnosis
- Some disorders may take years to resolve

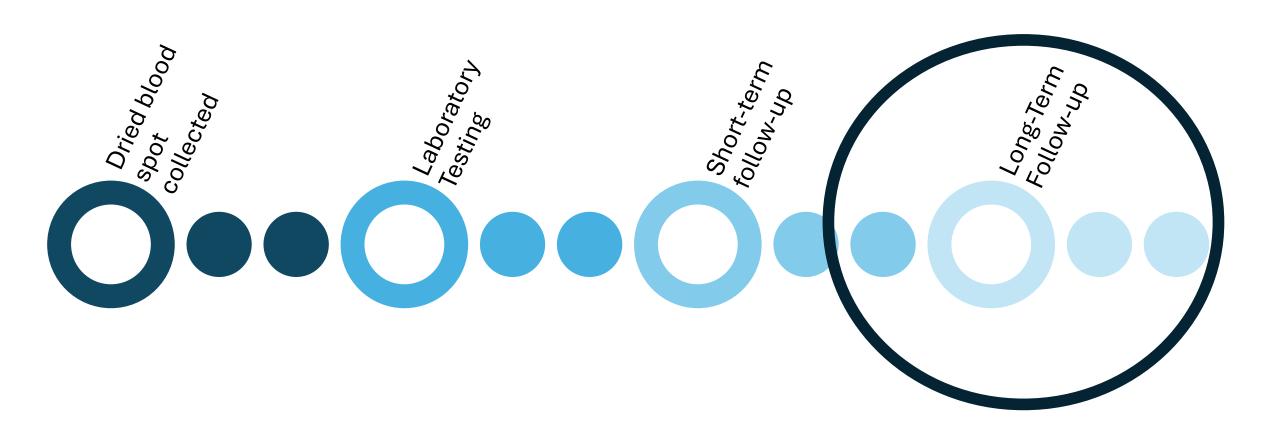
#### **Opportunities:**

- Utilize data integration tools:
   eCR, FHIR queries to get case data
- Update case management systems
- Data portals that are shared by public health and PCPs

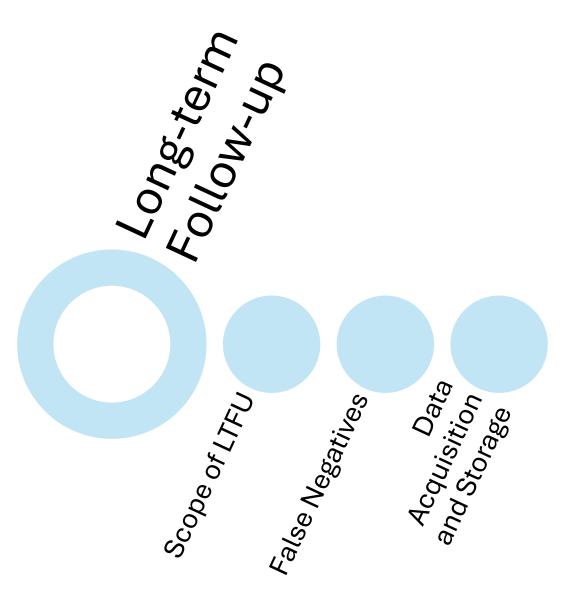


# Key Opportunities: Short-term follow-up

- Build upon existing definitions and data models to create an agreed upon set
- Implement shared case management systems between specialists and NBS program
- Capitalize on current data modernization initiative efforts to support newborn screening
  - eCR, FHIR Queries, QHINs, Data portals











## Long-term Follow-up

#### **Scope of LTFU**

#### **Challenges**

- Families who aren't engaged in clinical care are missed by many data systems
- What data are needed to ensure we meet goals? Equity?
- Who has the responsibility of LTFU?
- What has authority?
- Who is going to monitor and analyze the data?

#### **Opportunities:**

- Build upon successes of HRSA awardees and efforts of HRSA Propel and soon to be funded Co-Propel grantees.
- Seek collaborations with others in PH
- Partner with clinical specialists to build LTFU network, capture QOL outcomes



#### **False negatives**

#### **Challenges:**

Identifying false negatives and reporting them to
 Public health programs is based on partner networks

#### **Opportunities:**

 Capitalize on data modernization opportunities (e.g., apply eCR for case notification of late diagnoses)



#### **Data Acquisition and Storage**

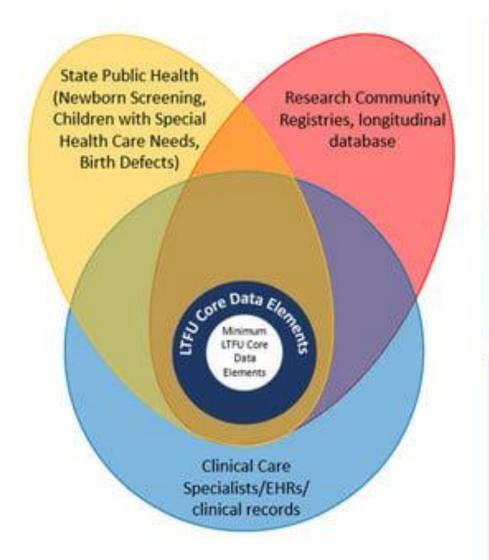
#### **Challenges:**

- Some states don't have authority for LTFU data
- Consent for collection vs. public health authority
- Data extraction, collection, storage are hard to sustain

#### **Opportunities:**

- Consider alternatives for LTFU data storage (birth defects, hospital systems, EHR modules)
- Start with minimal data sets and build from there
- Use DMI/interoperability opportunities to get data, minimize data entry, and improve timeliness, consistency, improve equity





#### State Public Health LTFU Questions (examples)

- Treatment? (Yes/No; Reason reported for no treatment in the last 12 months?)
- Alive? (Cause of death: Injury, medical, unknown, unasked, other, other)
- Developmentally appropriate milestones? (Yes/no/ unknown/unasked by category)
- Healthcare utilization: How many ER visits in the last 12 months?
   How many hospitalizations in the last 12 months? How many clinic visits in the last 12 months?

#### Clinical LTFU Questions (examples)

- Clinical trial participation
- Quality of Life
- · Patient Reported Outcomes

#### Research LTFU Questions (examples)

- Disorder specific outcomes
- Demographics
- · Medical home status
- Family satisfaction?

#### Suggested NBS LTFU Core Data Set\*

- Diagnosis
- · Date of appropriate first intervention
- Alive?
- Within the last 12 months did the child receive care and treatment specific to the diagnosis? Type of care provider?
- \* Suggested by a workgroup of NBS professionals organized by the National Coordinating Center (NCC) of the Regional Genetics Network and the Newborn Screening Translational Research Network (NBSTRN), 2016.

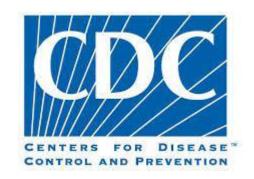
Kellar-Guenther, Y.; et al Int. J. Neonatal Screen. 2024, 10, 37.



# Key Opportunities: Long-term Follow-up

- Utilize knowledge of current programs building LTFU systems, partner with experts in data collection, quality of life, equity
- Build on existing data opportunities for LTFU: Birth Defects, Clinical Systems
- Capitalize on existing and new DMI applications: eCR, FHIR Queries

## Key Partnerships to Support Data Activities











### Conclusions

- The data challenges are numerous and span the breadth of the system
- Opportunities are also numerous, have been proven, yet complex to implement
- States NBS programs solving problems one at a time is onerous and slow. Need systems level, collaborative solutions



## Thank you

#### **Acknowledgements:**

 Members of the newborn screening community who responded to my queries

## Contract information: Marci Sontag, PhD

Marci.Sontag@CPHInnovation.org



### Select References

• Case definitions:

Sontag MK, et al Int J Neonatal Screen. 2018;4(2):16.

- ETOR Rationale: <a href="https://www.cdc.gov/csels/dls/public-health-laboratory-etor.html">https://www.cdc.gov/csels/dls/public-health-laboratory-etor.html</a>
- Electronic Case Reporting: <a href="https://www.cdc.gov/ecr/index.html">https://www.cdc.gov/ecr/index.html</a>
- ED3N: <a href="https://www.cdc.gov/nceh/dls/ed3n.html">https://www.cdc.gov/nceh/dls/ed3n.html</a>
- Special Issue on CLIR applications in newborn screening: <a href="https://www.mdpi.com/journal/IJNS/special\_issues/clir">https://www.mdpi.com/journal/IJNS/special\_issues/clir</a>
- Long-term follow-up

Hinton, C. F., et al *Genet Med* 16, no. 6 (2014): 484-90. Hinton, C. F., et al *Mol Genet Metab* 118, no. 4 (2016): 221-9. Kellar-Guenther, Y.; et al *Int. J. Neonatal Screen.* **2024**, *10*, 37.

