



Convergence of Nanotechnology and Cancer Prevention: Are We There Yet?



Ernest Hawk, MD, MPH

Vice President and Head
Division of Cancer Prevention and Population
Sciences

Executive Director
Duncan Family Institute for Cancer Prevention and
Risk Assessment

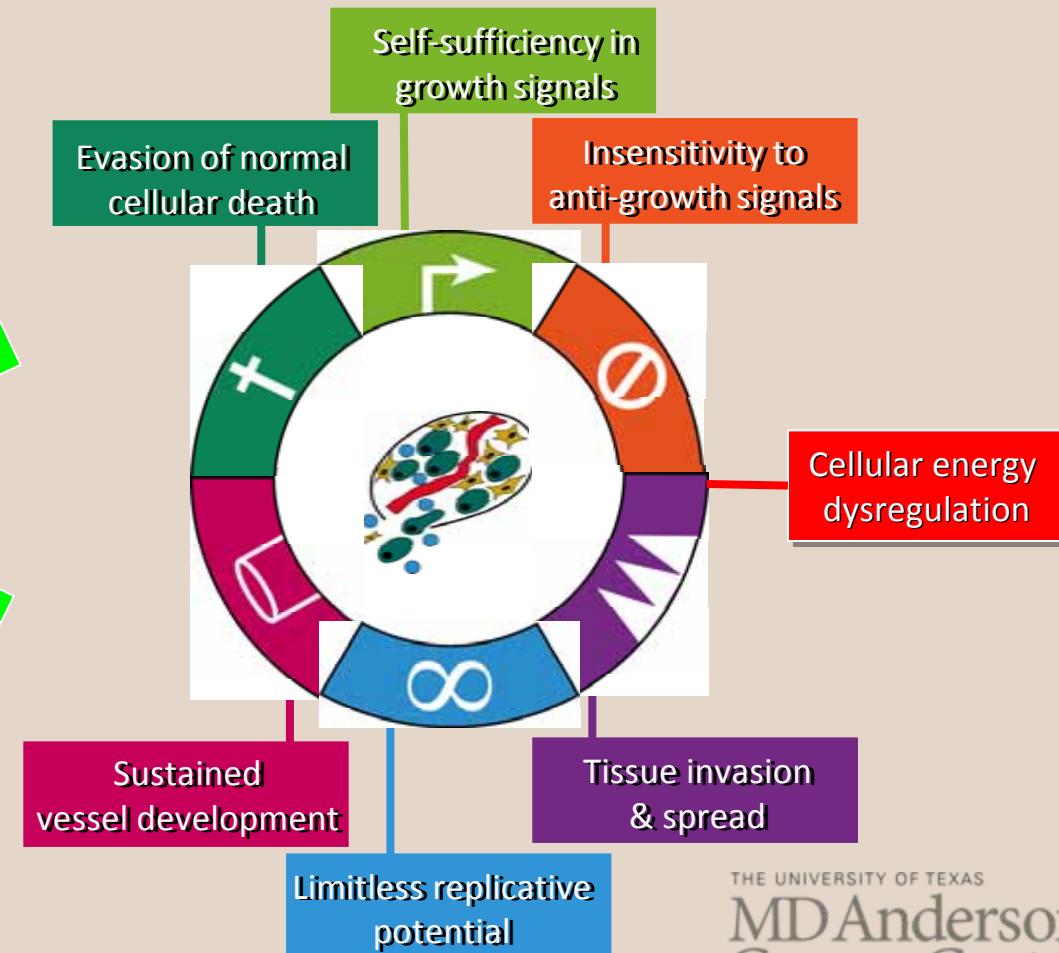
Principle #1 - Cancer Results From An Interplay of Inherited Factors & Exposures That Damage Cardinal Elements of Cellular/Tissue Growth Control & Identity

“Non-modifiable” Risk Factors

- Major defects in cancer-promoting/ inhibiting genes
- Subtle differences in genetic coding or expression

“Modifiable” Risk Factors

- Tobacco
- Poor diet
- Physical inactivity
- Viruses
- Occupational exposures



Modified from Hanahan & Weinberg, Cell 100:57, 2000; Science 2006

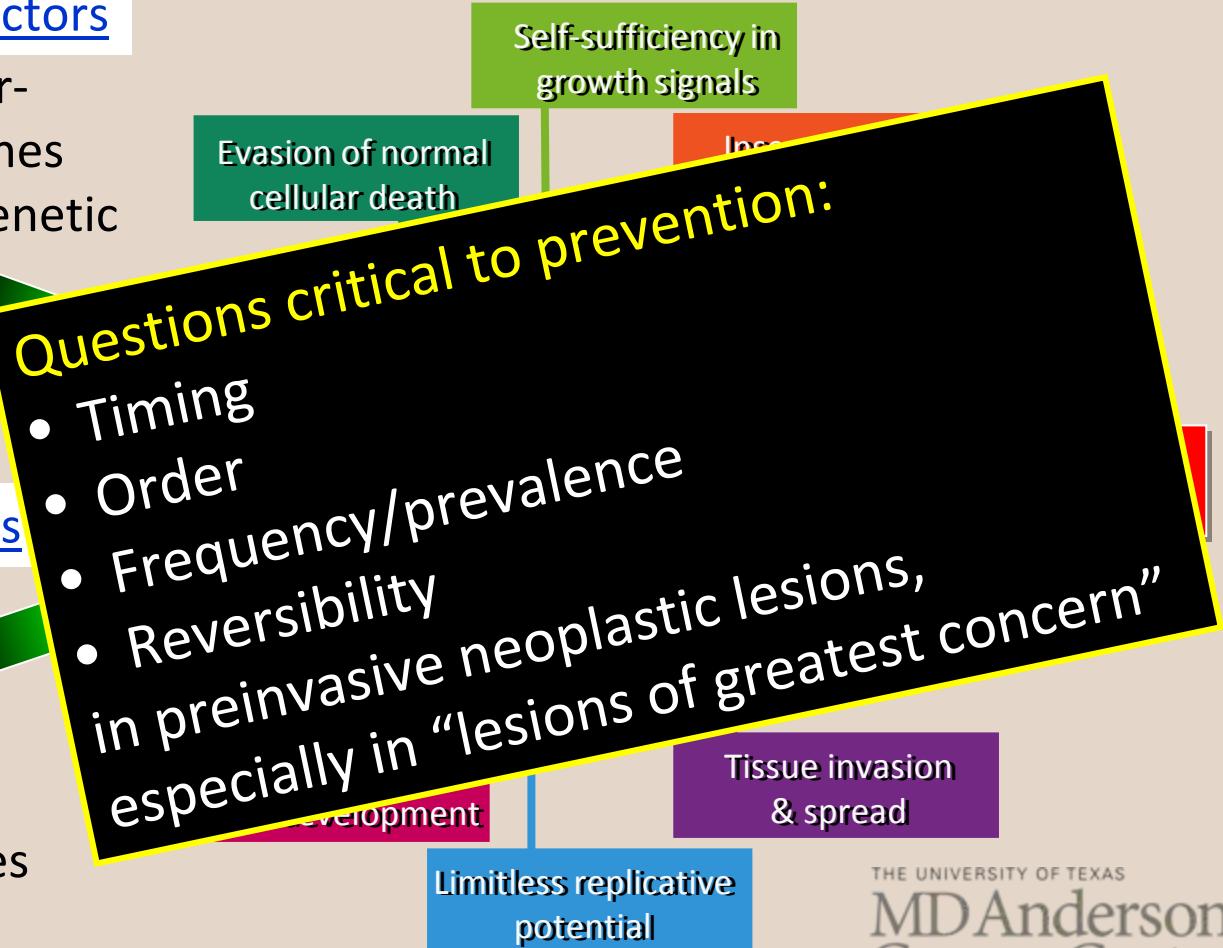
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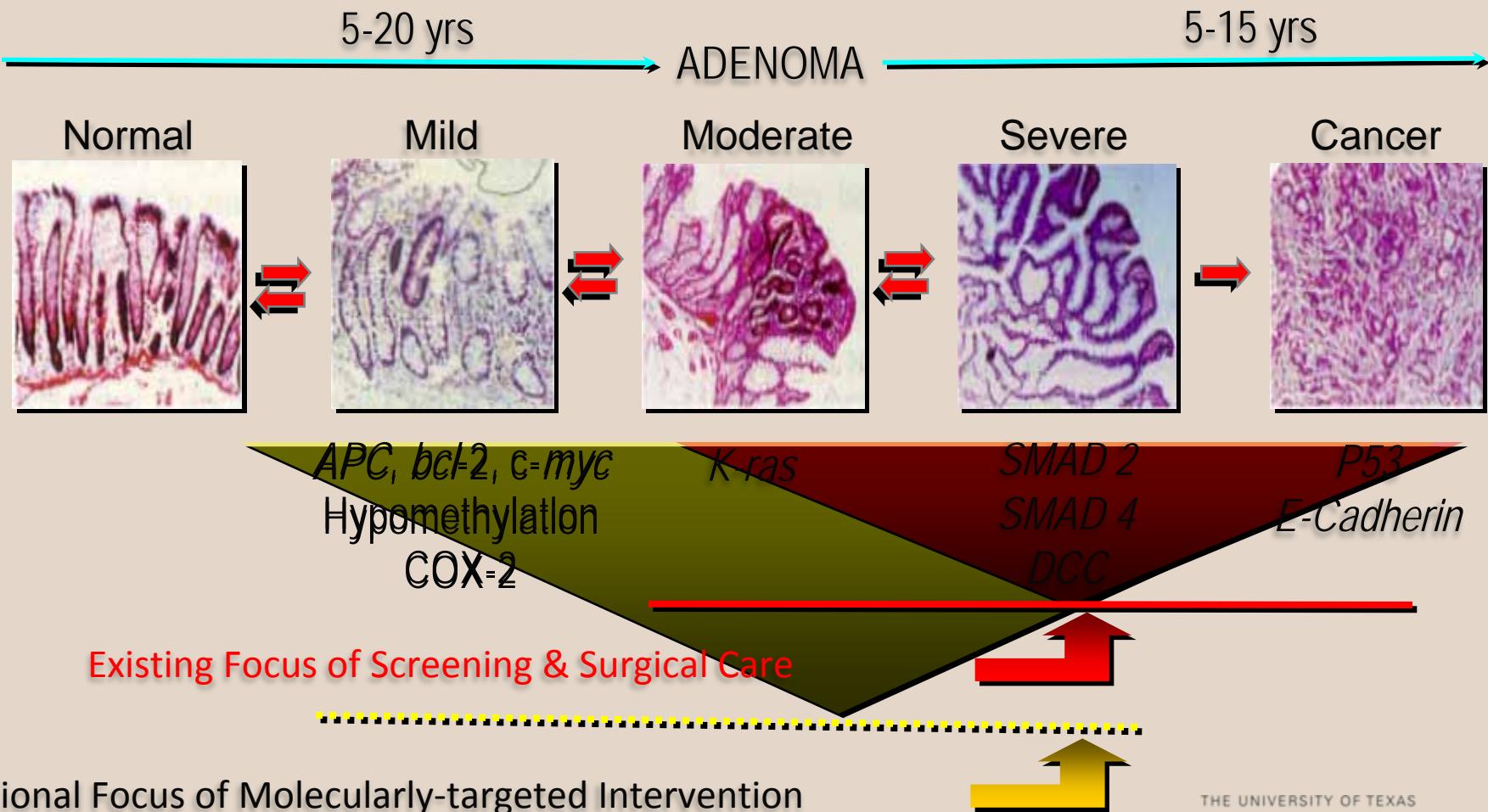
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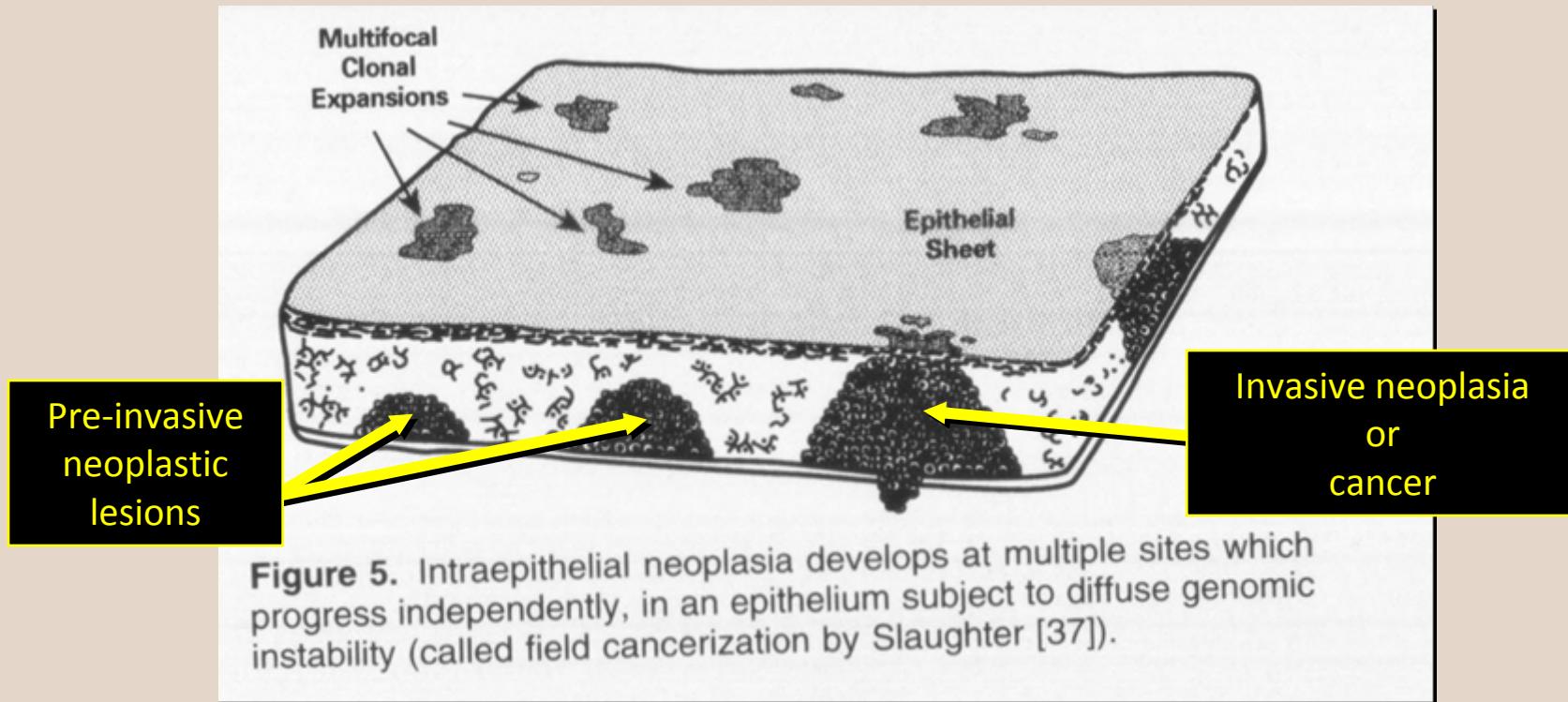
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Principle #2 – Carcinogenesis is a Chronic Disease – From Molecular Defect to Dysplasia to Cancer



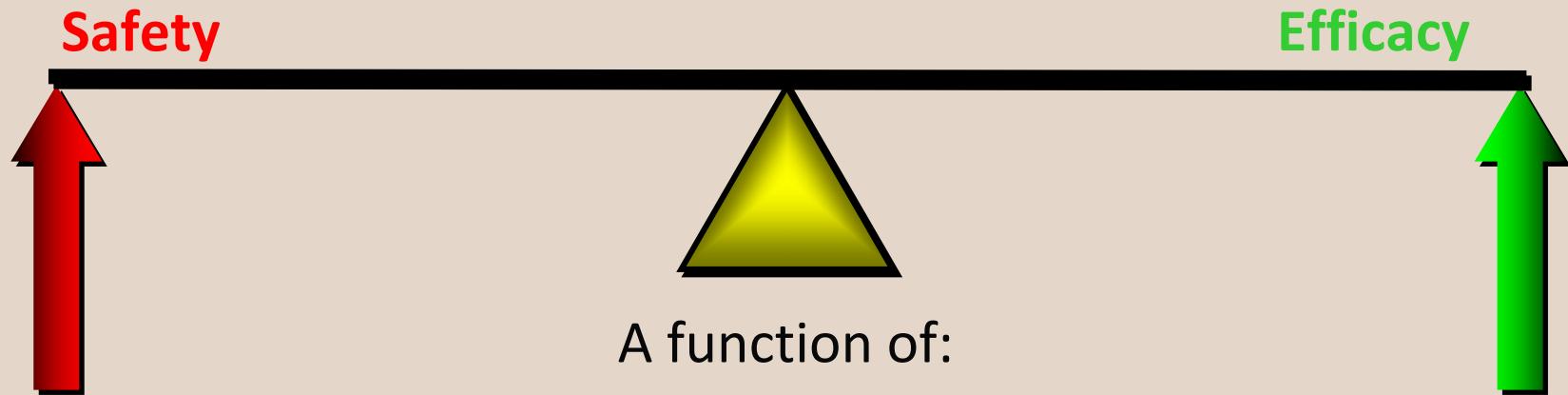
Adapted from Ilyas et al. Eur. J. Cancer 1999; 35:335-351

Principle #3 – Carcinogenesis is Typically Polychronotropic in Nature



Boone et al 1997

Principle #4 - The Therapeutic Index Drives Preventive Applications



- Agent's intended & unintended effects
- Individual/cohort's susceptibilities to both the disease and the agent's effects
 - Disease of interest

Achieving balance is particularly critical in prevention

Major Challenges in Cancer Prevention & Control – 2010

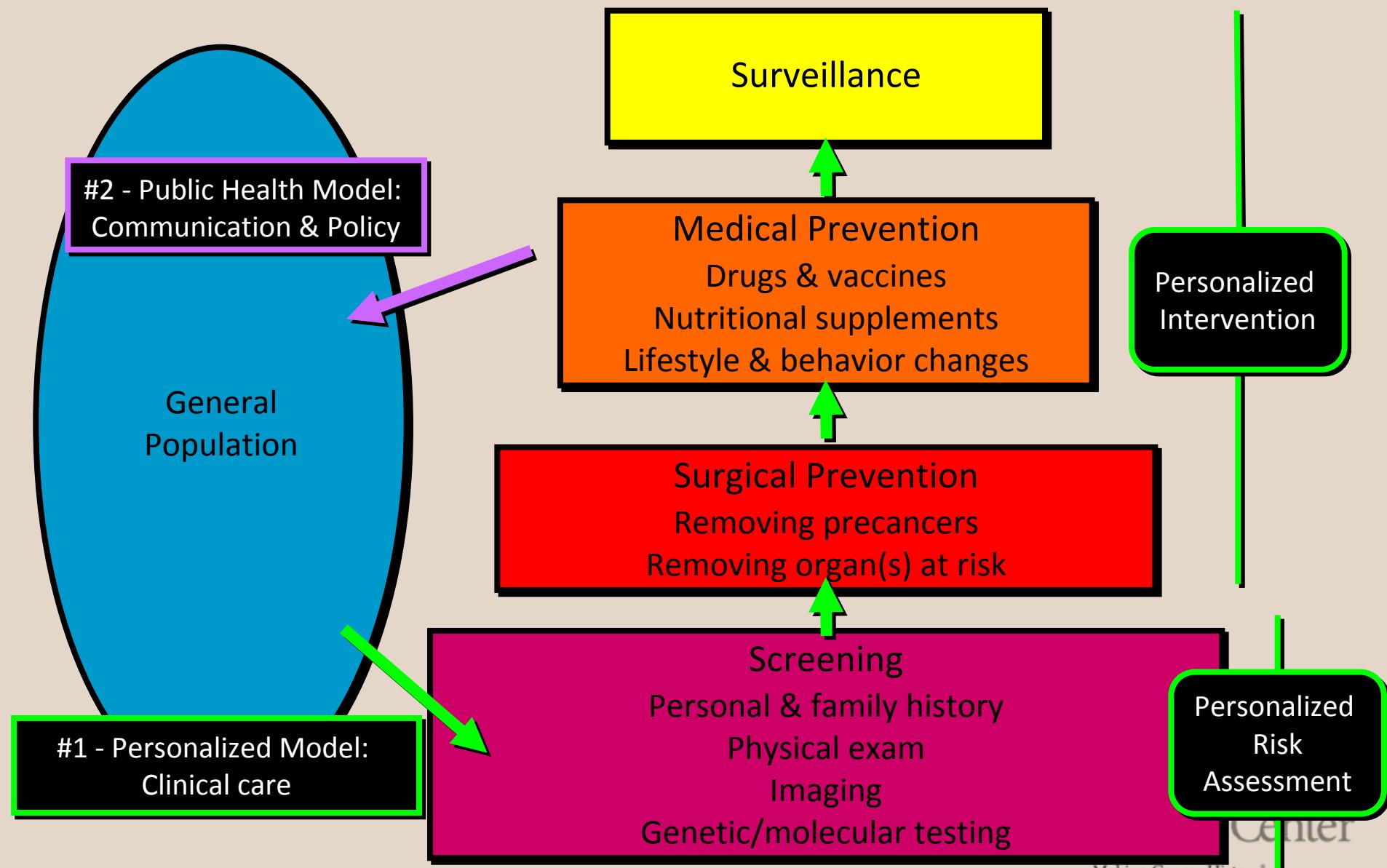
Effective Delivery of What Already “Works”

- Increasing diversity – race/ethnicity, geography, SES
- Growing numbers of those “at-risk”
 - Aging
 - Unhealthy lifestyles
 - Screening
 - Cancer survivors
- Limited public understanding of cancer development, risk, and prevention
- Increased coverage/reimbursement for prevention in health care reform legislation

Research & Translation to Improve Insights & Options

- Advances in –omics, biospecimen-based risk assessments & prevention
 - Limited impact to date
 - Flood of biomarkers without clear criteria for validation/clinical application
 - Flood of potential targets for intervention
 - Concerns over risk:benefit balance
- Advances in molecular and clinical imaging
- Paucity of dedicated research & researchers
- Paucity of private investment

Cancer Prevention Strategies



Approaches to the Development and Marketing Approval of Drugs That Prevent Cancer

**Gary J. Kelloff,¹ John R. Johnson, James A. Crowell,
Charles W. Boone, Joseph J. DeGeorge, Vernon E.
Steele, Menul U. Mehta, Jean W. Temeck, Wendelyn J.
Schmidt, Gregory Burke, Peter Greenwald, and
Robert J. Temple**

National Cancer Institute, Division of Cancer Prevention and Control, Bethesda, Maryland 20892 [G. J. K., J. A. C., C. W. B., V. E. S., P. G.], and the Food and Drug Administration, Center for Drug Evaluation and Research, Rockville, Maryland 20857 [J. R. J., J. J. D. G., M. U. M., J. W. T., W. J. S., G. B., R. J. T.]

The examples given of chemopreventive approaches primarily involve intraepithelial neoplasia (dysplasia) since the majority of human cancers are epithelial in origin. However, in the future, the chemopreventive approach may be applied to dysplasia in mesenchymal tissue as well, such as in premalignant lesions of connective and hematopoietic tissues leading to the sarcomas, leukemias, and lymphomas. It must be emphasized that this is not a regulatory document but rather a summary of consensus views of the participants.

THE UNIVERSITY OF TEXAS

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~~Cancer Center~~

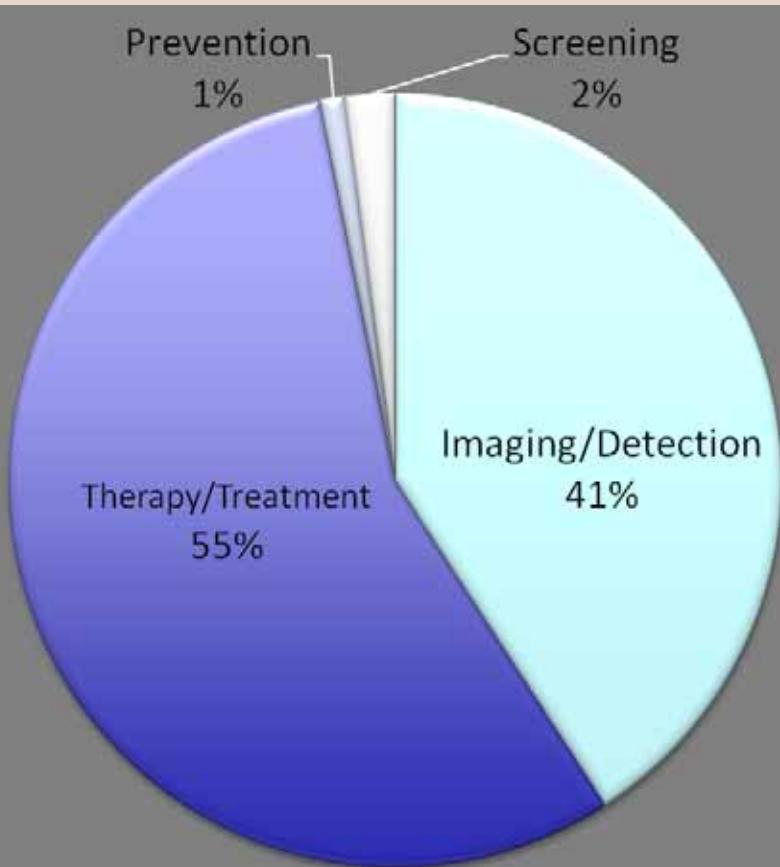
Making Cancer History®

Approved Agents for Treatment of Precancerous Lesions or Cancer Risk Reduction - 2010

Disease	Intervention
Breast cancer	Tamoxifen Raloxifene
Cervical intraepithelial neoplasia & cancer	Human Papillomavirus vaccines
Esophageal dysplasia	Photofrin + PDT*
Colonic adenomas	Celecoxib
Bladder dysplasia	Bacillus Calmet Guerin Valrubicin
Actinic keratosis	Fluorouracil Diclofenac sodium 5-aminolevulinic acid + PDT* Masoprolol

*PDT – Photodynamic therapy

NIH-Funded Cancer Nanotechnology Grants (n=191)



NIH RePORTER accessed on July 6, 2010; search terms =
“nanotechnology” and “cancer”. www.
<http://report.nih.gov>

NIH Portfolio in Nanotechnology Relevant to Cancer Screening & Prevention

Project Number	Project Title	PI	Awardee Organization
PREVENTION			
5U54CA119343-05	CAROLINA CENTER OF CANCER NANOTECHNOLOGY EXCELLENCE	JULIANO, RUDOLPH	UNIVERSITY OF NORTH CAROLINA, CHAPEL HILL
5U54CA119342-05	AN INFORMATICS RESOURCE FOR TARGETED NANOPARTICLE THERAPEUTICS	SEPT, DAVID	WASHINGTON UNIVERSITY
SCREENING			
5R41CA132256-02	ENDOSCOPICALLY-GUIDED OPTICAL COHERENCE TOMOGRAPHY FOR EARLY CANCER SCREENING	IFTIMIA, NICUSOR	PHYSICAL SCIENCES, INC
5R41CA135911-02	ENHANCED CONTRAST FOR SCREENING OF EARLY PANCREATIC CANCER	IFTIMIA, NICUSOR	PHYSICAL SCIENCES, INC
5R01CA119402-05	INTEGRATED SYSTEM FOR CANCER BIOMARKER DETECTION	MANALIS, SCOTT	M.I.T.
5R21EB008520-02	NANODEVICE FOR DIGITAL IMAGING OF PALPABLE STRUCTURE AT HUMAN-FINGER RESOLUTION	SARAF, RAVI	UNIVERSITY OF NEBRASKA, LINCOLN

NIH RePORTER accessed on July 6, 2010; search terms = “nanotechnology” “cancer prevention” and “nanotechnology” “cancer screening”, www. <http://report.nih.gov>

Cancer Biomarkers & Screening – Potential for Nanodetection?

LETTERS

**nature
biotechnology**

ublishing Group <http://www.nature.com/naturebiotechnology>

Integrated barcode chips for rapid, multiplexed analysis of proteins in microliter quantities of blood

Rong Fan^{1-3,5}, Ophir Vermesh^{1-3,5}, Alok Srivastava^{1,4}, Brian K H Yen¹⁻³, Lidong Qin¹⁻³, Habib Ahmad¹⁻³, Gabriel A Kwong¹⁻³, Chao-Chao Liu¹⁻³, Juliane Gould¹⁻³, Leroy Hood^{1,4} & James R Heath¹⁻³

As the tissue that contains the largest representation of the human proteome¹, blood is the most important fluid for clinical diagnostics²⁻⁴. However, although changes of plasma protein profiles reflect physiological or pathological conditions associated with many human diseases, only a handful of plasma proteins are routinely used in clinical tests. Reasons for this include the intrinsic complexity of the plasma proteome¹, the heterogeneity of human diseases and the rapid degradation of proteins in sampled blood⁵. We report an integrated microfluidic system, the integrated blood barcode chip that can sensitively sample a large panel of protein biomarkers over broad concentration ranges and within 10 min of sample collection. It enables on-chip blood separation and rapid measurement of a panel of plasma proteins from

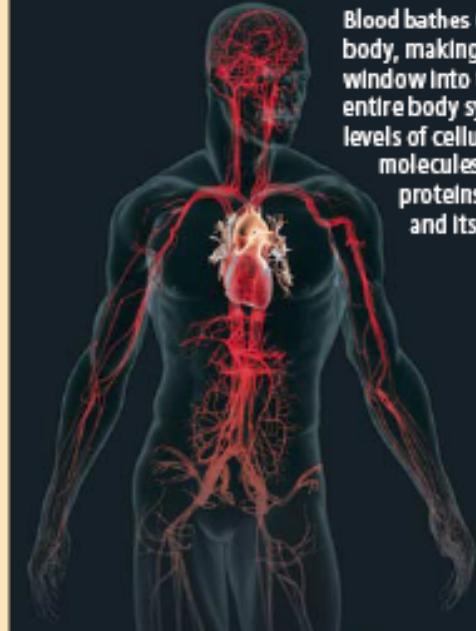
We first present an overview of the IBBC and then discuss control of assay sensitivity, extension of a single protein assay to an assay for a large panel of biomarkers and, finally, integration of plasma separation from whole blood, followed by the rapid measurement of a panel of protein biomarkers. Figure 1 shows the design of an IBBC for blood separation and *in situ* protein measurement. We designed a polydimethylsiloxane (PDMS)-on-glass chip to perform 8–12 separate multiprotein assays sequentially or in parallel, starting from whole blood.

The Zweifach-Fung effect describes highly polarized blood cell flow at branch points of small blood vessels^{14–16}. A component of the IBBC, redesigned from a previous report¹⁴, exploits this hydrodynamic effect by flowing blood through a low-flow-resistance primary channel with high-resistance, centimeter-long channels that branch off it at right

- Potential for inexpensive, non-invasive, informative detection
- Rapid assays for multiple cancer biomarkers from a finger prick of blood

PENNIES PER PROTEIN

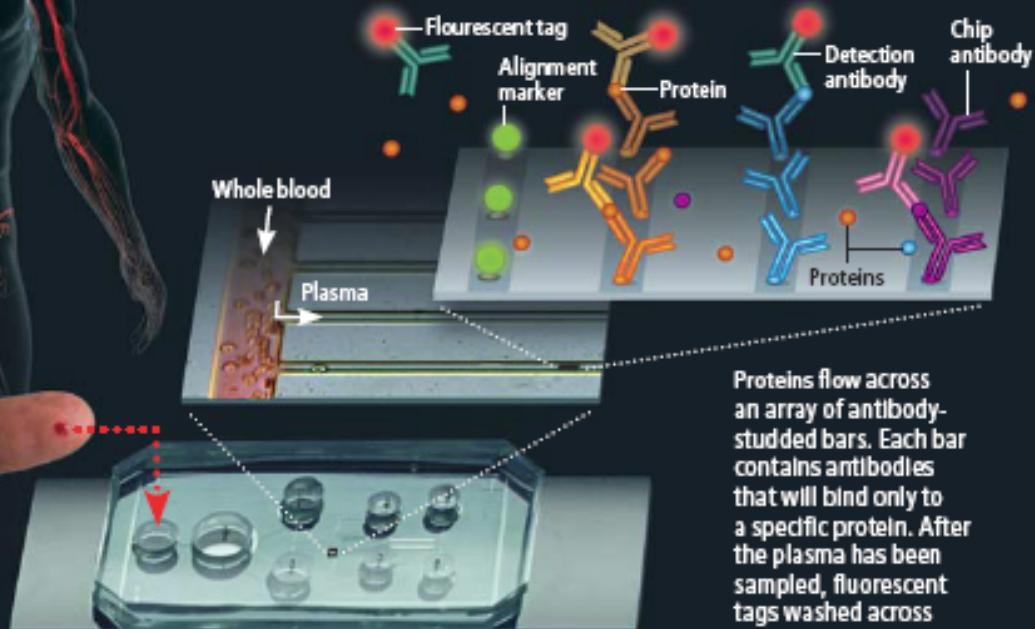
Information is the most valuable commodity in a systems approach to medicine, so diagnostic tests will have to easily and accurately measure large numbers of biological molecules for a few cents or less per measurement. Extreme miniaturization allowed the authors and their colleagues to produce a prototype chip that can measure concentrations of a panel of cancer-associated proteins in a droplet of blood in 10 minutes, at a cost of five to 10 cents per protein.



Blood bathes every organ in the body, making it an excellent window into the state of the entire body system. Abnormal levels of cellular signalling molecules or organ-specific proteins can flag a problem and its location.



Sample barcode containing 12 strips for detecting proteins associated with inflammation and prostate function. Results of a test of blood from a prostate cancer patient show high concentrations of prostate-specific antigen (center) and Interferon-gamma (right).



Microfluidic channels within a four-centimeter-wide chip can take up a droplet of whole blood and separate plasma from cells. The plasma and proteins suspended within it flow down the narrower channels.

Proteins flow across an array of antibody-studded bars. Each bar contains antibodies that will bind only to a specific protein. After the plasma has been sampled, fluorescent tags washed across the "barcode" array attach only to protein-bound antibodies.

Promise of Nanoscale Interventions – Relevance to Prevention?

Nanoparticle Advantages¹

- Carry large “payloads” to improve efficacy
 - Including novel effectors, such as siRNA
- Improve targeting to bolster efficacy and/or reduce toxicity
 - Passive – based on enhanced vascular permeability and retention, differential pH/temperatures, etc.
 - Active – antigens, cell surface receptors, vasculature targets
- Provide a combinatorial platform to improve efficacy
- Bypass efflux pump-based resistance

Prevention Relevance

- Yes²
 - Demonstrated 10x dose advantage in prostate cancer model with EGCG
- Probably
 - Although relevance of differential vascular EPR and active targeting strategies would require confirmation in preinvasive neoplasia
- Probably
 - Strong rationale based on success of combinations elsewhere in prevention
- Possibly

DFMO + Sulindac Combination

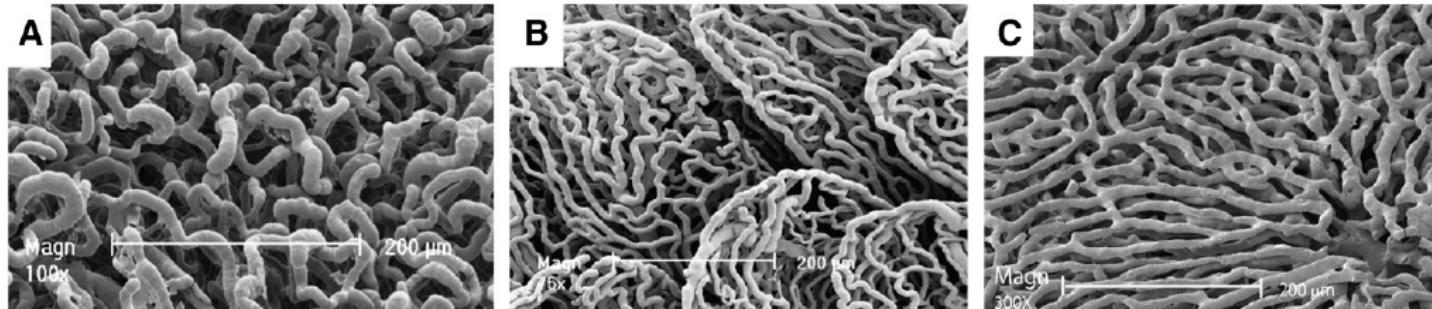
Markedly Reduces Colon Adenoma Recurrence

Variable	Placebo N=129	Treatment N=138	Relative risk (Reduction)	P-value
Total adenoma recurrence (%)	53 (41.1)	17 (12.3)	0.30 (70%)	<0.001
Advanced adenoma recurrence (%)	11 (8.5)	1 (0.7)	0.085 (92%)	0.001
Large (>1cm) adenoma recurrence (%)	9 (7.0)	1 (0.7)	0.10 (90%)	0.004
Patients with >1 adenoma recurrence (%)	17 (13.2)	1 (0.7)	0.055 (95%)	<0.001

Blood Vessels in Normal & Cancerous Tissues

EPR = Enhanced Permeability & Retention

[Normal tissues]



[Tumor]

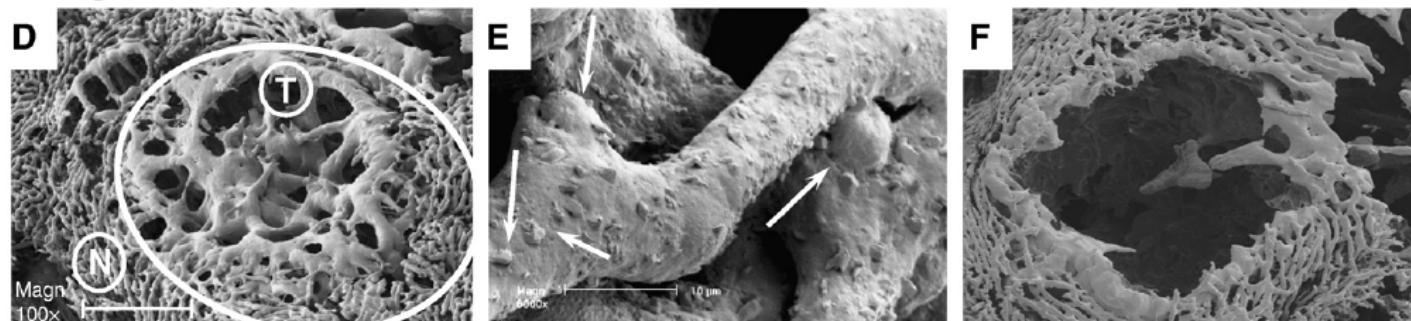


Fig. 4. SEM images of blood vessels in various normal tissues (A-C) and metastatic liver tumors (D-F). Normal capillaries of the pancreas (A), colon (intestinal villi) (B), and liver (sinusoid) (C) are shown. (D) Metastatic tumor nodule (circled area identified with T) in the liver, the normal liver tissue is indicated with "N." (E) Tumor vessels at the capillary level (larger magnification), with a rough surface and an early phase of polymer-extravasating vessels (arrows). Normal tissues show no leakage of polymeric resin (A-C), whereas the tumor nodules clearly demonstrate tumor-selective extravasation of polymer (via the EPR effect) (D, E). After i.v. injection of the macromolecular anticancer drug (SMA-pirarubicin micelles), the tumor vascular bed (visible in D) was completely disintegrated, as shown by an empty void (F).

Modified from Ref. [11] with kind permission of J. Daruwalla and C. Christofi.

Currently unclear when abnormal vessel architecture & EPR occur in carcinogenesis...estimates = 0.8 – 1 mm vs. < 0.2mm

Nano-EGCG



- Epigallocatechin-3-gallate – a green tea polyphenol
 - Chemopreventive potential in human breast, pancreatic, colon, esophageal & lung cancers
 - Poor oral absorption (<1%) requiring high doses (~ 8-10g/d) for chemopreventive benefit
 - Caffeine-related GI & neurological side effects
- EGCG in PLA-PEG nanoparticles to improve therapeutic density
- Efficient uptake by murine prostate cancer cells *in vitro* & *in vivo*
- At significantly lower doses than those required with typical EGCG
- Reduced cellular penetration barriers
 - Induced apoptosis
 - Retained mechanistic identity
 - Inhibited angiogenesis
 - Decreased tumor volume
 - Inhibited serum PSA

Cancer Res 2009;69: (5). Mar 1, 2009

Priority Report

Introducing Nanochemoprevention as a Novel Approach for Cancer Control: Proof of Principle with Green Tea Polyphenol Epigallocatechin-3-Gallate

Imtiaz A. Siddiqui,¹ Vaqar M. Adhami,¹ Dhruba J. Bharali,² Bilal B. Hafeez,¹ Mohammad Asim,¹ Sabih I. Khwaja,¹ Nihal Ahmad,¹ Huadong Cui,³ Shaker A. Mousa,² and Hasan Mukhtar¹

¹Department of Dermatology, University of Wisconsin, Madison, Wisconsin; and ²Pharmaceutical Research Institute at Albany, Albany College of Pharmacy, Albany, New York

Abstract

Chemoprevention, especially through the use of naturally occurring phytochemicals capable of impeding the process of one or more steps of carcinogenesis process, is a promising approach for cancer management. Despite promising results in preclinical settings, its applicability to humans has met with limited success largely due to inefficient systemic delivery and bioavailability of promising chemopreventive agents. Here, we introduce the concept of nanochemoprevention, which uses nanotechnology for enhancing the outcome of chemoprevention. We encapsulated green tea polyphenol epigallocatechin-3-gallate (EGCG) in polylactic acid-polyethylene glycol (PLA-PEG) nanoparticles and showed that encapsulated

outcome of chemopreventive strategies. In this study, we have assessed the effectiveness of delivery of epigallocatechin-3-gallate (EGCG), encapsulated in polylactic acid (PLA)-polyethylene glycol (PEG) nanoparticles against human prostate cancer (PCa) cells under *in vitro* and *in vivo* situations. Our choice for the selection of EGCG and PCa in this study is based on several facts. PCa is one of the most prevalent cancers among men, accounting for an estimated 186,320 new cases and 28,660 deaths in 2008 in the United States alone (4). EGCG is a well-studied chemopreventive agent and has shown remarkable chemopreventive potential in a wide range of cell culture and preclinical studies (5, 6). Furthermore, few best evidences of the cancer chemopreventive effects of EGCG come from PCa (6, 7).

Nano-EGCG – Effective at 10-fold Lower IP Doses Measuring Tumor Volume and PSA Secretion in a Murine Xenograft Model Using Androgen-responsive 22Rv1 Prostate Cancer Cells

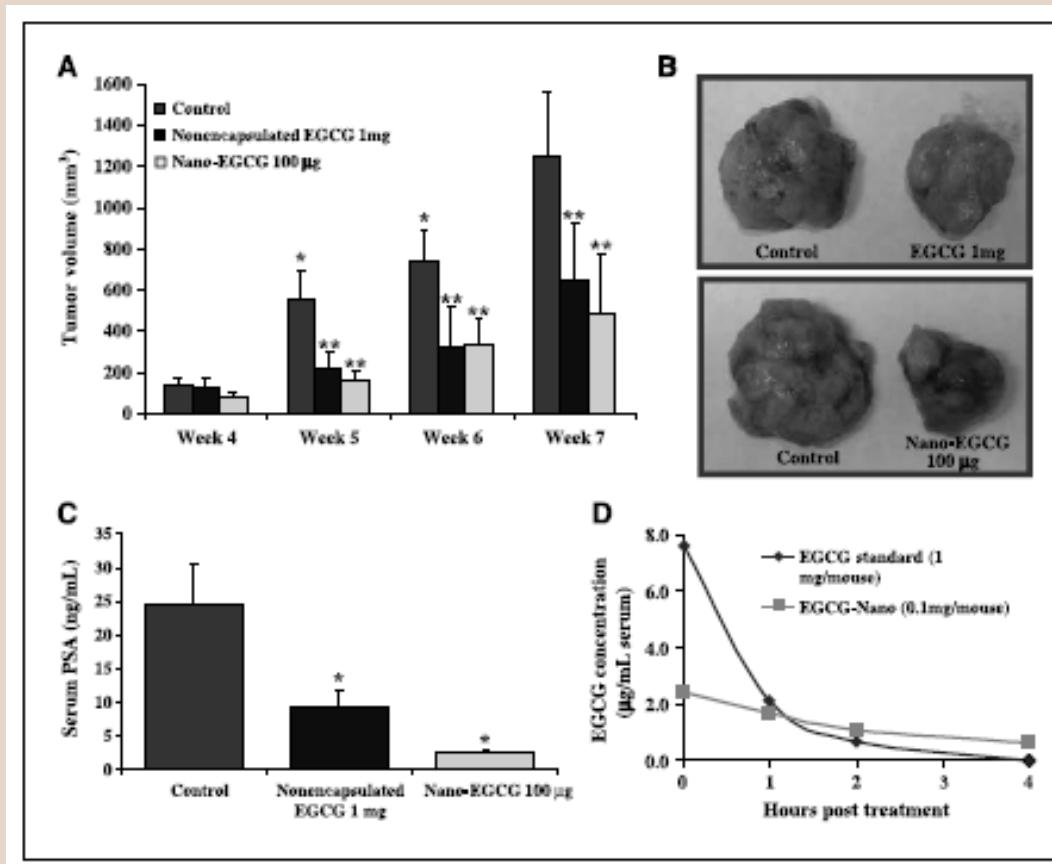


Figure 4. Comparative effects of nonencapsulated EGCG and nano-EGCG on tumor growth and PSA secretion in a xenograft model. *A*, effect on the growth of tumor xenografts. Details of the experiments are given in Materials and Methods. Columns, tumor volume (mm^3) of seven mice; bars, SE. *, $P < 0.05$ compared with the data from control group at previous time point. **, $P < 0.01$ compared with the control group at the respective time point. *B*, photographs of tumors. Photographs were taken of the excised tumors at the termination of the experiment. Typical tumors from control and treated groups. *C*, effect on the serum PSA levels. The levels of PSA were determined by ELISA assay and expressed as serum (in ng/mL) \pm SE of five mice. *, $P < 0.05$ compared with the vehicle-treated control. *D*, EGCG serum levels. Four mice in each group were treated with the agents and bled immediately and 1, 2, and 4 h after treatment. Serum was separated, and EGCG concentration was determined. Results are expressed as EGCG concentration ($\mu\text{g}/\text{mL}$ serum).

Siddiqui I, et al: *Cancer Res* 69:1712-1716, 2009

Celecoxib



DEPARTMENT OF HEALTH & HUMAN SERVICES

Public Health Service

Food and Drug Administration
Rockville MD 20857

NDA 20-998

DEC 31 1998

G.D. Searle
Attention: Winifred Begley
Director Regulatory Affairs
4901 Searle Parkway
Skokie, Illinois 60077

Dear Ms. Begley:

Please refer to your new drug application (NDA) dated June 29, 1998, received June 30, 1998, submitted under section 505(b) of the Federal Food, Drug, and Cosmetic Act for CELEBREX (celecoxib capsules) 100mg and 200 mg.

ORIGINAL RESEARCH ARTICLE

Drugs R D 2007; 6 (5): 275-285

1174-5885/07/0005-0275\$15.00/0
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Enhanced Retention of Celecoxib-Loaded Solid Lipid Nanoparticles after Intra-Articular Administration

Hetal Thakkar,¹ Rakesh Kumar Sharma² and R.S.R. Murthy¹

¹ Drug Delivery Research Laboratory, Pharmacy Department, Center of Relevance and Excellence in NDDS, MS University, Fatehgunj, Gujarat, India

² Defence Research Laboratory (DRDO) Tejpur, Assam, India

Abstract

Objective: The objective of this study was to determine whether the retention of celecoxib in inflamed articular joints of arthritic rats could be enhanced by incorporation of the drug into solid lipid nanoparticles.

Methods: Celecoxib-loaded solid lipid nanoparticles (SLN) were prepared by emulsification and high-pressure homogenisation, then characterised by particle size analysis and scanning electron microscopy. *In vitro* drug-release studies indicated that the nanoparticles exhibited sustained release of celecoxib and the release pattern followed quasi-Fickian diffusion. The biocompatibility of solid

1998: first FDA-approved COX-2 inhibitor to treat signs/symptoms of osteo- & rheumatoid arthritis

1999: approved as adjunct treatment for FAP

After 1 & 3 years of Celebrex at 200 & 400 mg BID, or 400mg QD vs. placebo in adenoma patients:

- 2004: inc risk of serious CV events up to 2-3x
- 2006: 30-60% red'n in recurrent adenomas

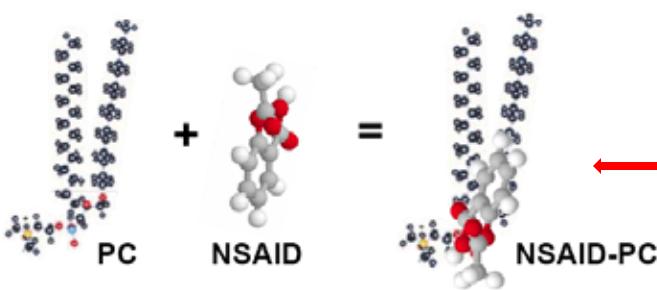
2007: Celecoxib-loaded solid lipid nanoparticles delivered directly to arthritic joints in rats

- Articular concentrations of celecoxib 15x higher 24h post-injection
- Reduced drug clearance into systemic circulation, potentially mitigating CV risks

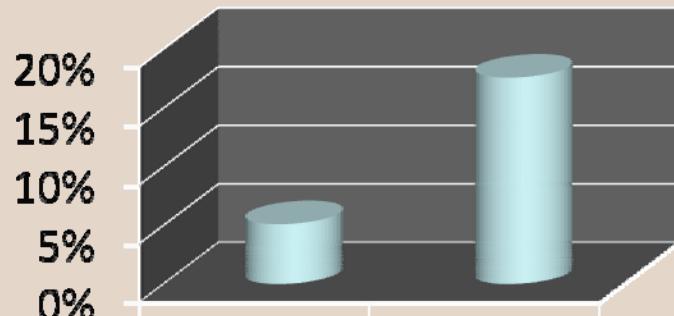
Phosphatidylcholine-associated NSAIDs

NSAID-PC products target the initial insult that leads to GI toxicity

Pre-association of NSAID + PC prevents acid permeability and thus markedly attenuates GI toxicities



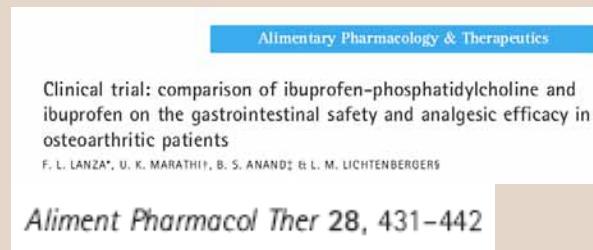
Incidence of Endoscopic Gastroduodenal Ulcers



PC-NSAID (ITT=99) Unmodified NSAID (ITT=102)

- Chronic inflammation is associated with CV, autoimmune & neurologic diseases, arthritis, diabetes and cancer
- NSAIDs are efficacious in reducing risk of these diseases, but can result in gastric erosions and CV complications
- Conjugating phosphatidylcholine (PC) to NSAIDs (NSAID-PC) could mitigate adverse effects without compromising protective properties

- In early clinical studies, a NSAID-PC conjugate demonstrated improved GI safety profile compared to unmodified NSAID in older OA patients at risk for NSAID-induced GI injury



Current Challenges & Limitations of Nanotechnology in Cancer Prevention

- Debate over the merits of early detection of preinvasive neoplasia
- Insufficient sensitivity and specificity to detect preinvasive neoplasia or early-stage cancer
- Need to measure multiple markers for reliable detection at early stages
- Biodegradability of nano-delivery systems (carbon, silica)
- High cost
- Need for IV administration
- Human data severely limited

Nagahara LA, et al: Cancer Res 70:4265-4268, 2010

Can Nanotechnology Improve Health More Broadly?

Maybe Yes...

Functional Foods

- Addition of nanocarriers to transport vitamins, minerals and phytochemicals
- Infusion with nanoenhancers to improve taste and health benefits while reducing salt and sugar content

Food Storage & Preparation

- Some articles imbedded in food storage containers, plastic bags, cutting boards and cooking utensils retards growth of bacteria, mold and fungus
- Cookware coated with “nanoglaze” to prevent gaseous/toxic odors and transfer of cooking surface onto foods



Food Packaging

- Nano-based, non-chlorine coating a barrier to preserve freshness
- Coating fruits and vegetables to protect from humidity and O₂ and to allow for harvesting of crops when they are riper



Vitamins & Supplements

Many vitamins and precursors are insoluble in water. When formulated as nanosuspensions, bioavailability increases



Can Nanotechnology Improve Health More Broadly? Maybe No...



Available online at www.sciencedirect.com

 **ScienceDirect**

Appetite 49 (2007) 459–466

Research Report

Public acceptance of nanotechnology foods and food packaging:
The influence of affect and trust

Michael Siegrist^{a,*}, Marie-Eve Cousin^b, Hans Kastenholz^c, Arnim Wiek^d

^aETH Zurich, Institute for Environmental Decisions (IED), Universitätstrasse 22, CHN 175.1, CH-8092 Zurich, Switzerland
^bUniversity of Zurich, Switzerland
^cEMPA, St. Gallen, Switzerland
^dETH Zurich, Switzerland

Received 2 June 2006; received in revised form 7 February 2007; accepted 7 March 2007

Abstract

Nanotechnology is increasingly being employed in the areas of food production and packaging. Public perception will be crucial to the realization of these technological advances. We examined how lay people ($N = 153$) perceive nanotechnology foods and nanotechnology food packaging, and we examined the factors that influence willingness to buy these products. Participants received some general information about nanotechnology, and specific information about four nanotechnology applications. Overall, participants were hesitant to buy nanotechnology foods or food with nanotechnology packaging. Results suggest, however, that nanotechnology packaging is perceived as being more beneficial than nanotechnology foods. Results further suggest that social trust in the food industry is an important factor directly influencing the affect evoked by these new products. As suggested by the affect heuristic, affect had an impact on perceived benefits and perceived risks. Perceived benefit seems to be the most important predictor for willingness to buy.

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- **Public perception**

- “Nano-inside” (e.g., foods) appears less acceptable than “nano-outside” (e.g., packaging)

- **Unknowns**

- Do nanoparticles migrate from packaging to food?
- What are the downstream environmental impacts during disposal?

- **Social trust**

- Acceptance of technology
- Assurance of regulatory oversight

Are We There Yet?



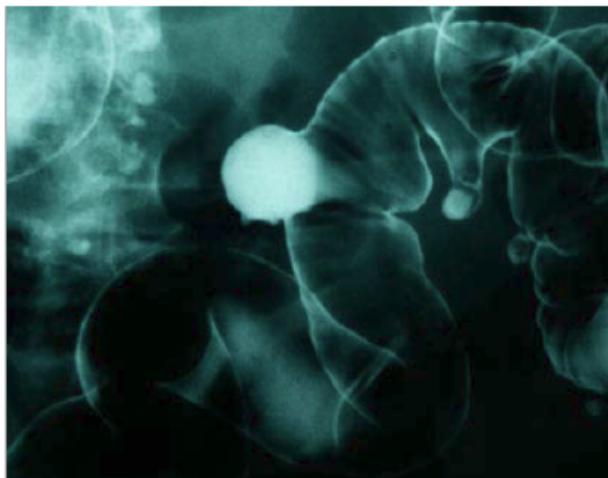
Francis Collins, MD, PhD
Director, National Institutes of Health

CANCER IN AMERICA The Cancer You Can Beat

by Dr. Francis S. Collins

published: 08/20/2010

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The incidence of colorectal cancer fell by more than 20 percent between 1985 and 2005—mostly because more people are getting screened.

Yet colorectal cancer remains the second-leading cause of cancer deaths in the United States, claiming the lives of nearly 50,000 Americans each year. (In fact, these cases could be averted or caught in an early stage if more people started getting regular screenings when they hit the recommended age.) Indeed, a recent study found that people whose parents or older brothers and sisters have never been screened for colorectal cancer are less likely to be screened themselves.

Many experts consider a colonoscopy to be the most effective screening tool available. In the procedure, a long, flexible tube equipped with a tiny camera is inserted into the rectum. This enables them to detect and remove polyps and lesions before they become cancerous.

[6 Things You Can Do to Help Prevent Cancer](#)

Another screening mainstay is the fecal occult blood test, in which stool samples are examined for microscopic specks of blood. (If you get this test, make sure it's the more accurate version that uses a chemical reagent to detect blood.)

Current imaging methods detect cancers once they are large enough to be visible. But imagine how great it would be if doctors could spot a single cancerous or even pre-cancerous cell. This may soon be possible through nanotechnology, the branch of engineering that deals with the manipulation of individual atoms and molecules.

Parade Magazine, June 20, 2010



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Making Cancer History®

