

# Circulating Tumor DNA

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Institute of Medicine  
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M E D I C I N E

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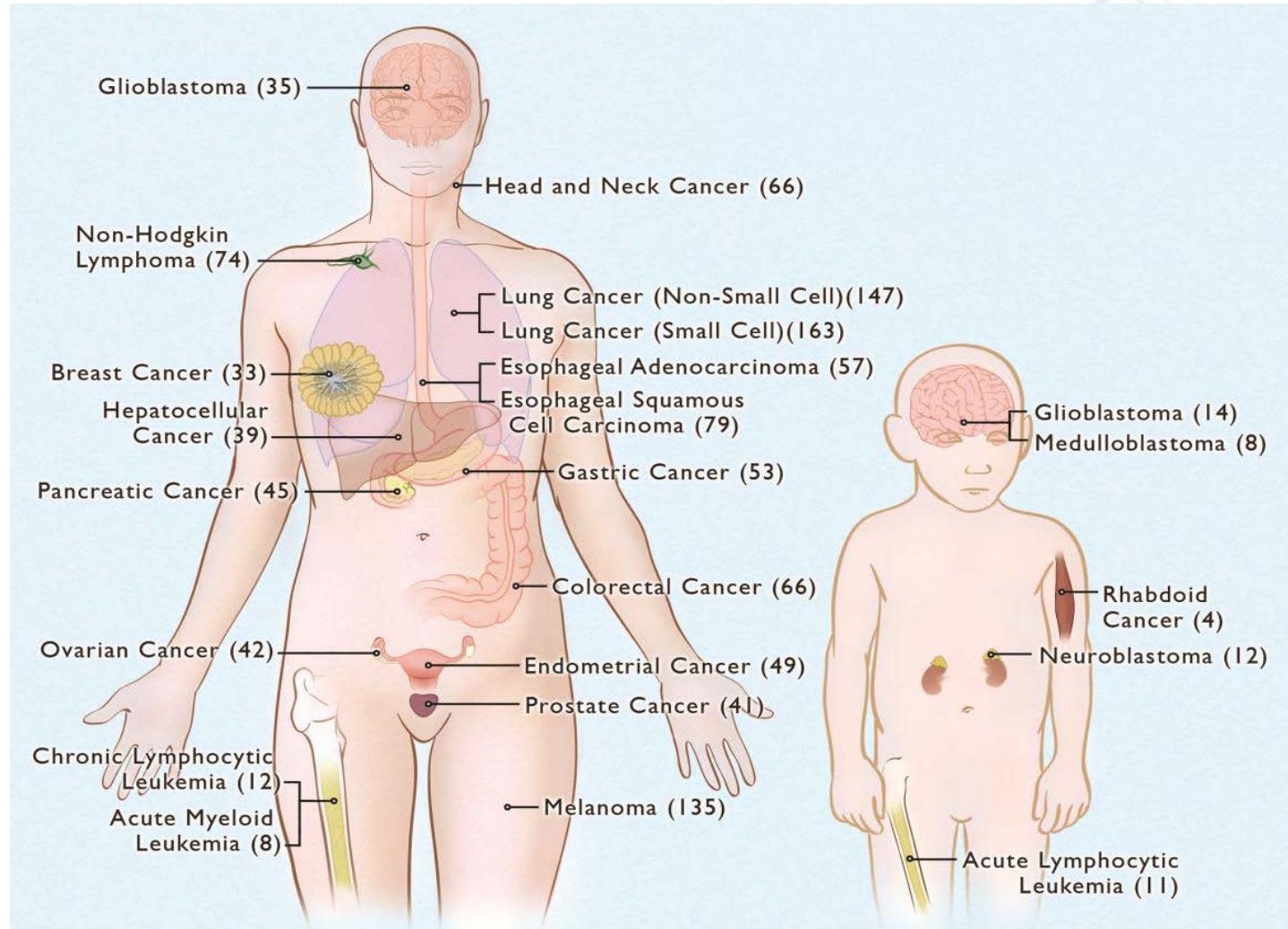
# Conflict of Interest

- No financial conflict of interest
- I will not discuss off label use and/or investigational use in my presentation

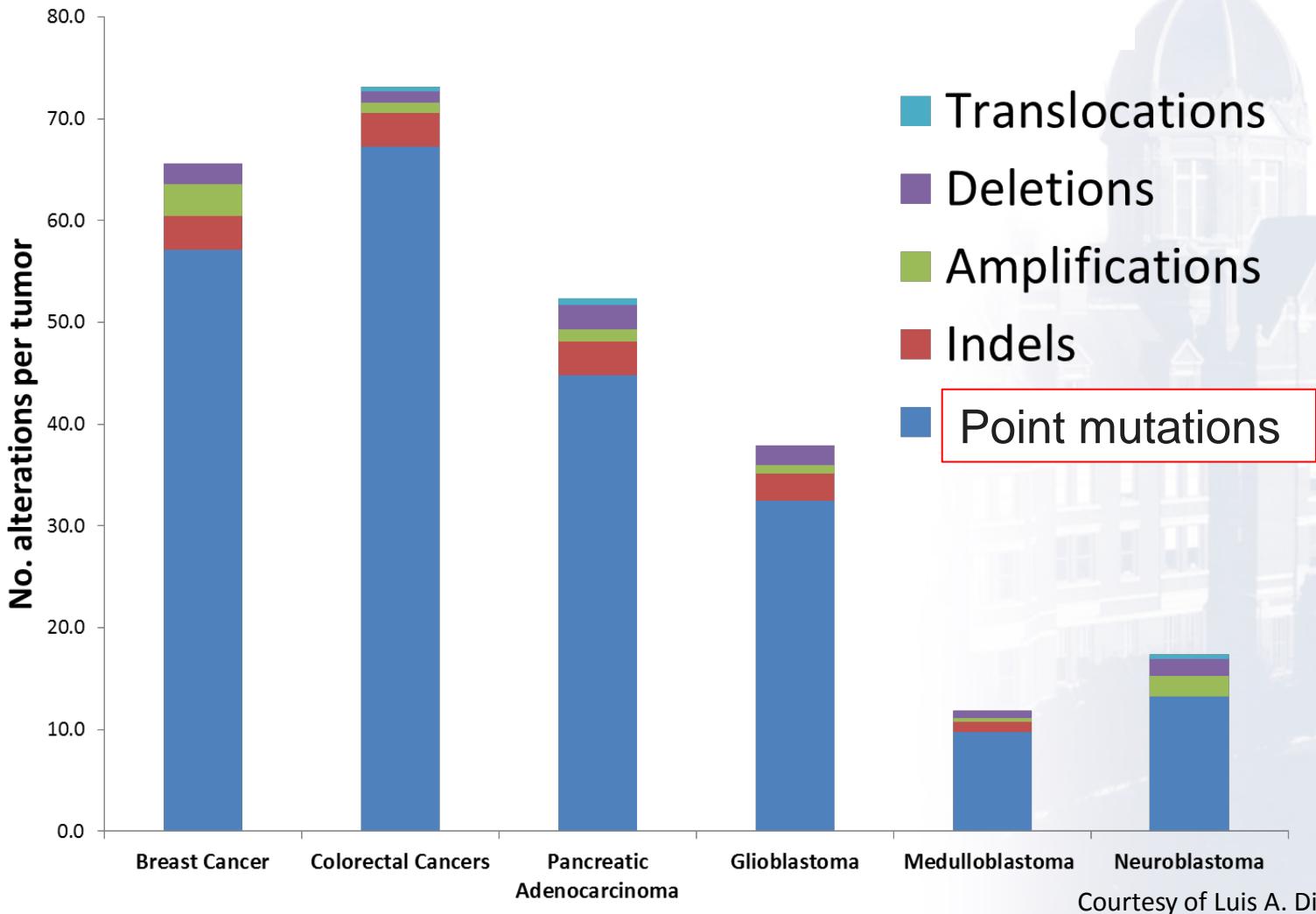
# Objectives

- What is circulating tumor DNA (ctDNA)?
- Methods of detection
- Potential use in clinical oncology
- Challenges in clinical implementation

# Human Cancer Exomes Sequenced



# Genetic Alterations from Genome-wide Studies



Courtesy of Luis A. Diaz, Jr.

# Access to Tumor-specific Mutations

## Tumor tissue

- FFPE
- Frozen tissue

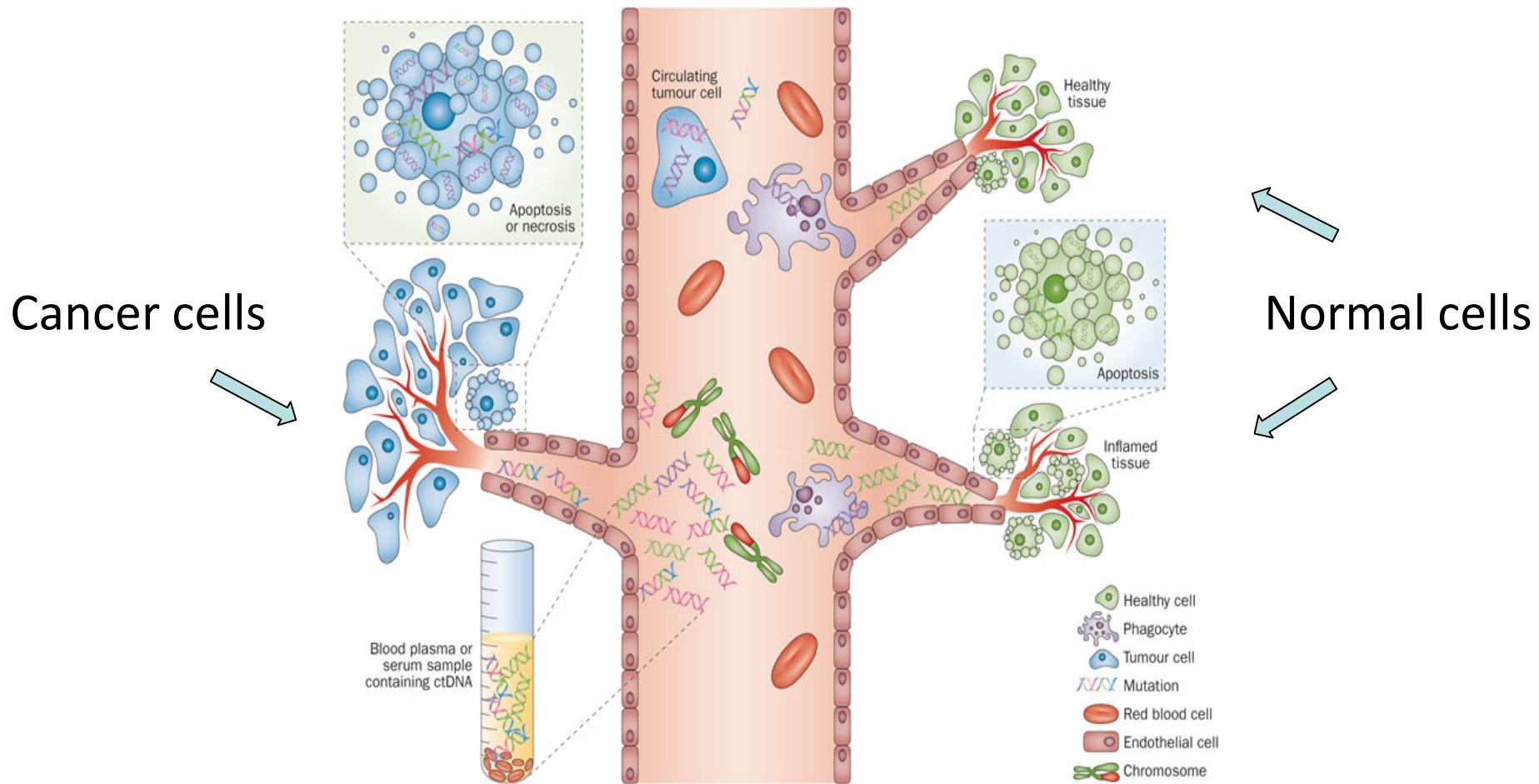
## Blood & other bodily fluids

- Cell-free DNA
- Circulating tumor cells (CTCs)

# Circulating Tumor DNA (ctDNA)

- ctDNA ≠ not circulating tumor cells
- Cell-free DNA released during cellular turnover
- ctDNA fragments are small 180-200bp
- ctDNA fragments can contain tumor specific somatic mutations

# Tumor DNA → Blood



Crowley et al., Nat.Rev. Clin. Onc. 2013

# Sources of Circulating Cell-free DNA

Bone Marrow

80-90%



Skin

5-10%

GI Tract

5-10%

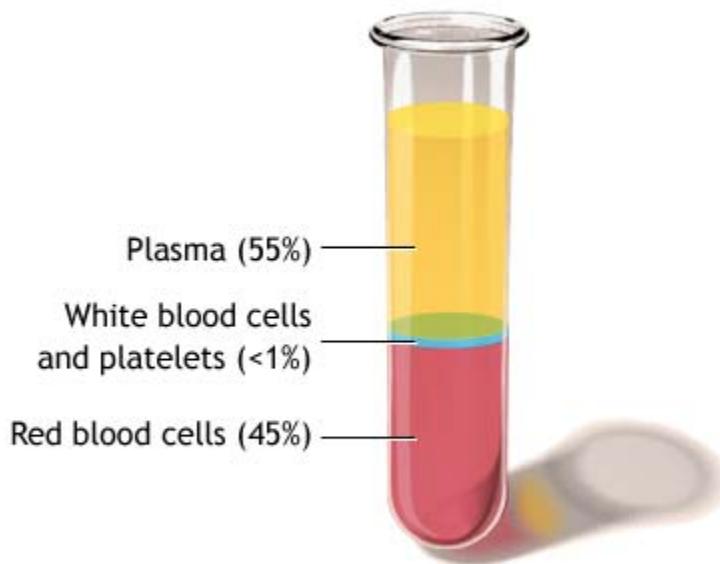


**Tumor**

**0.01-10%**

- Fluctuations with trauma, surgery, chemotherapy, radiation
- Variations between individuals

# “Liquid Biopsy”



## Plasma

Water 91%

Proteins 7%

Metabolites (trace)

**Cell-free DNA (trace)**

## Cellular Components

White Blood Cells 2-3%

Platelets 2-3%

Red Blood Cells 90%

**Circulating tumor cells (trace)**

# Needle in the Haystack

5 mutant tumor DNA Fragment in a pool  
of 10,000 DNA fragments

$$= \\ 0.05\%$$

Technical limit of Sensitivity of Traditional  
Semi-Quantitative PCR is 1%

# Digital Genomics

Assessment of DNA sequence  
variations by counting  
individual DNA molecules



# Conventional “Analog” Approach

Combine all the  
pictures into a  
single mixture

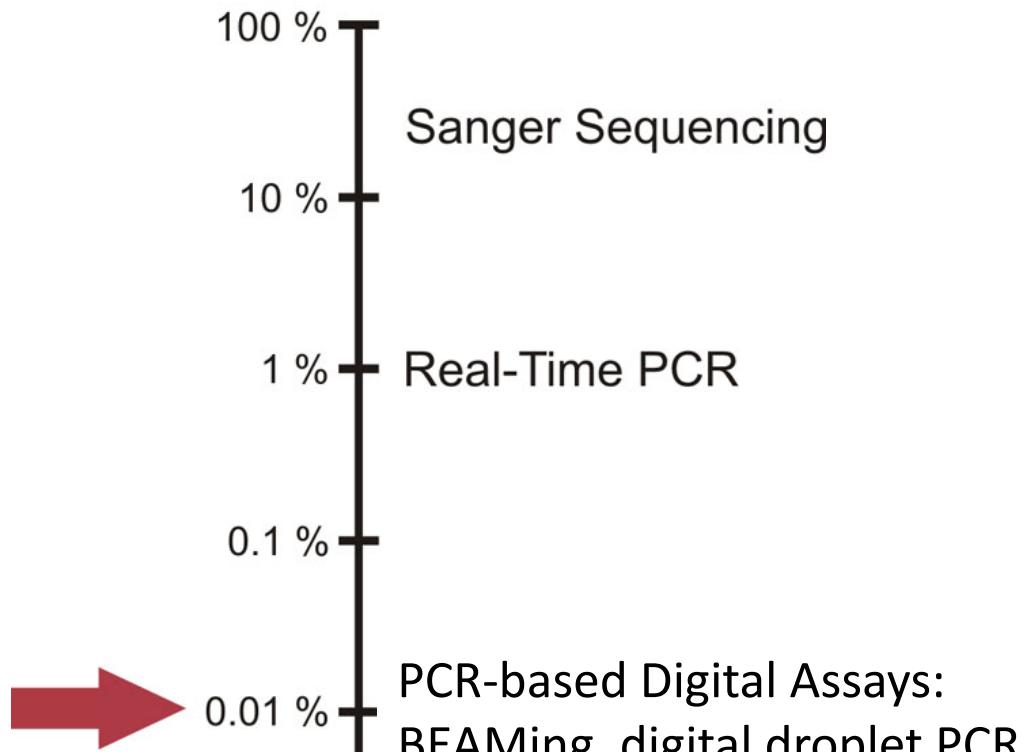




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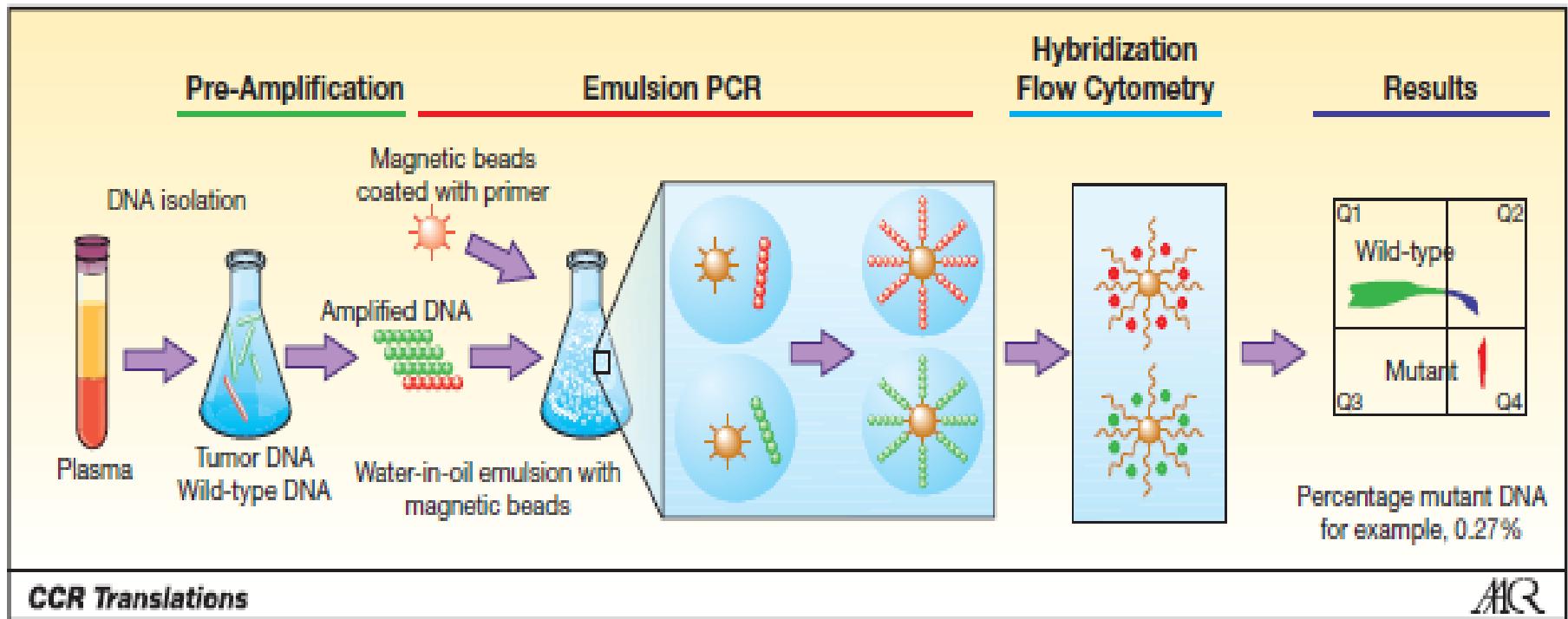
# Technologies to assess ctDNA

## Detection Capability (mutant DNA / total DNA)



Gene sequencing-based assays:  
Safe Seqs, Tam Seq, Capp Seq, PARE

# BEAMing (Beads, Emulsion PCR, Amplification, Magnetics)



Lauring and Park, Clin Cancer Res, 2011

Fraction of  
Tumor DNA

100%

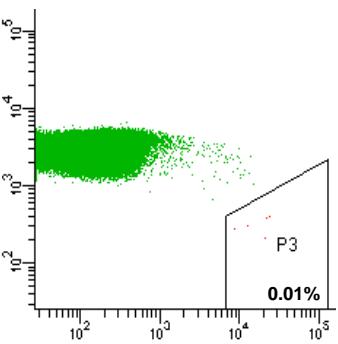
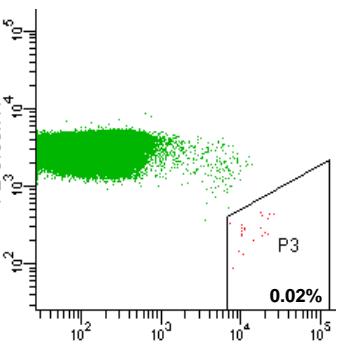
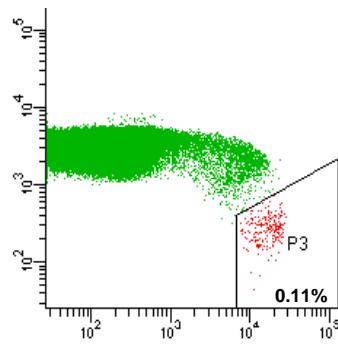
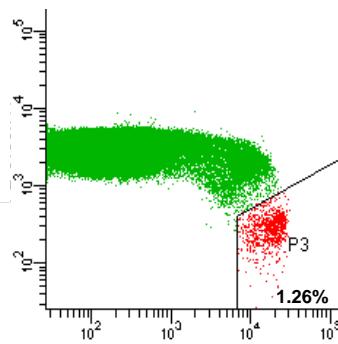
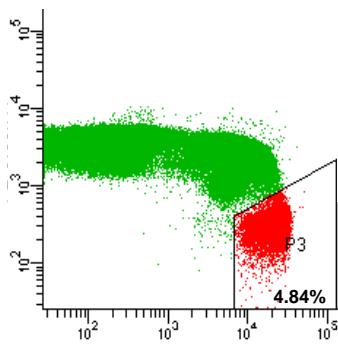
25%

3.125%

0.5%

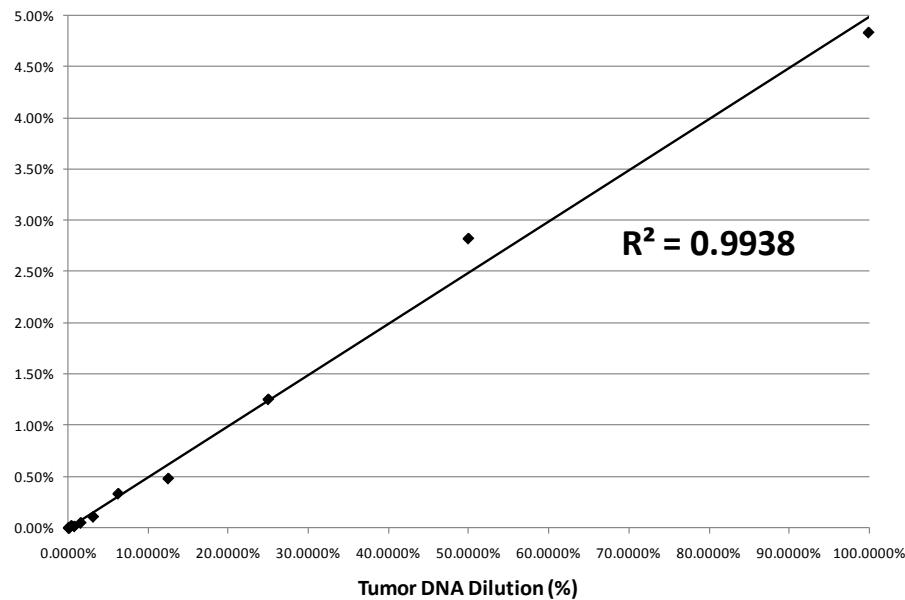
0.05%

Fluorescence  
Intensity (Cy3)



Fluorescence Intensity (Cy5)

% mutant KRAS  
fragments determined  
by BEAMing



Detection rate:  
~ 1:10,000

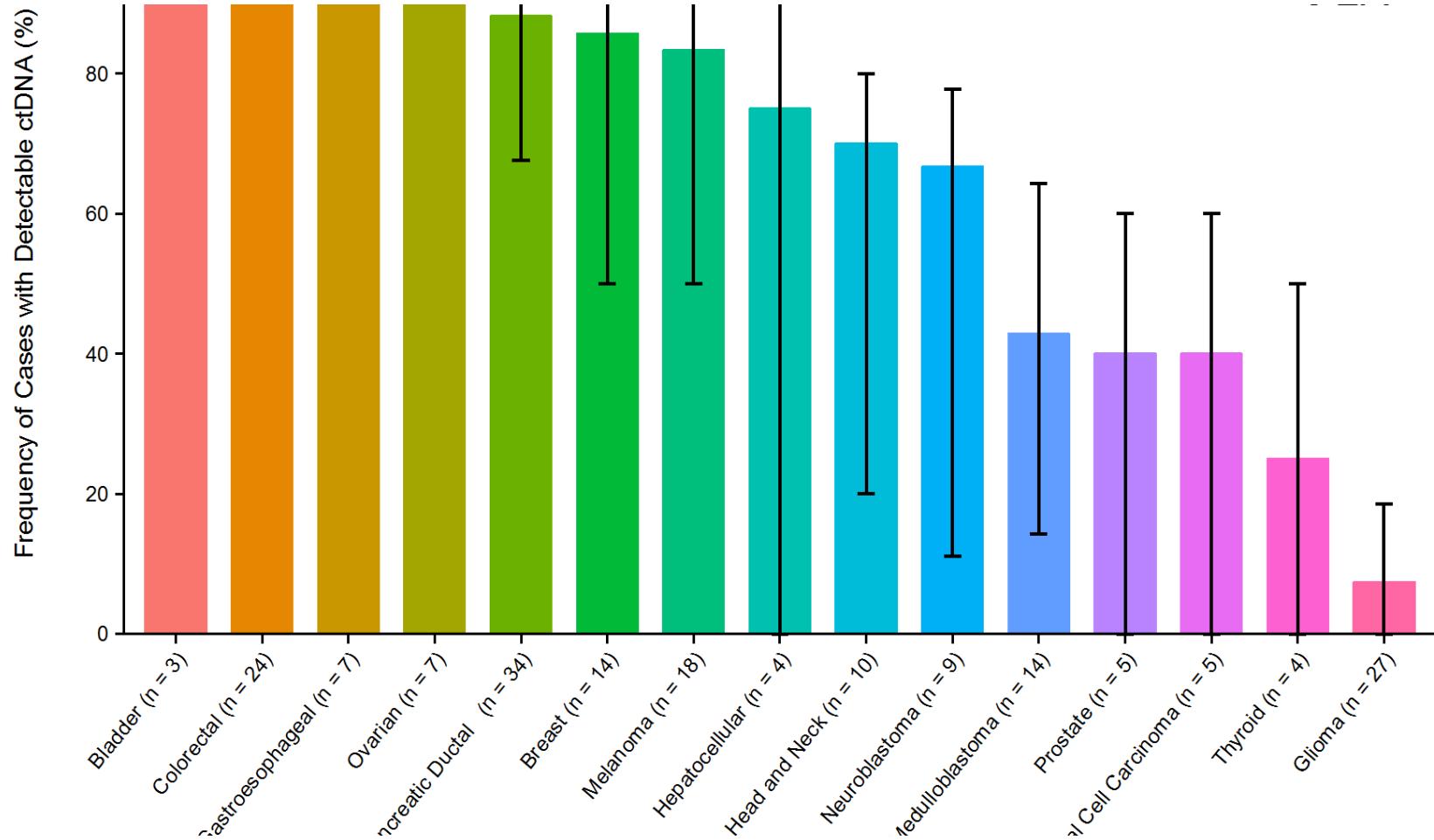
# Liquid Biopsy: Appealing Features

- Non-invasive
- Multiple specimens
- Highly specific, highly sensitive assays available
- Fresh source of DNA
- No sampling bias

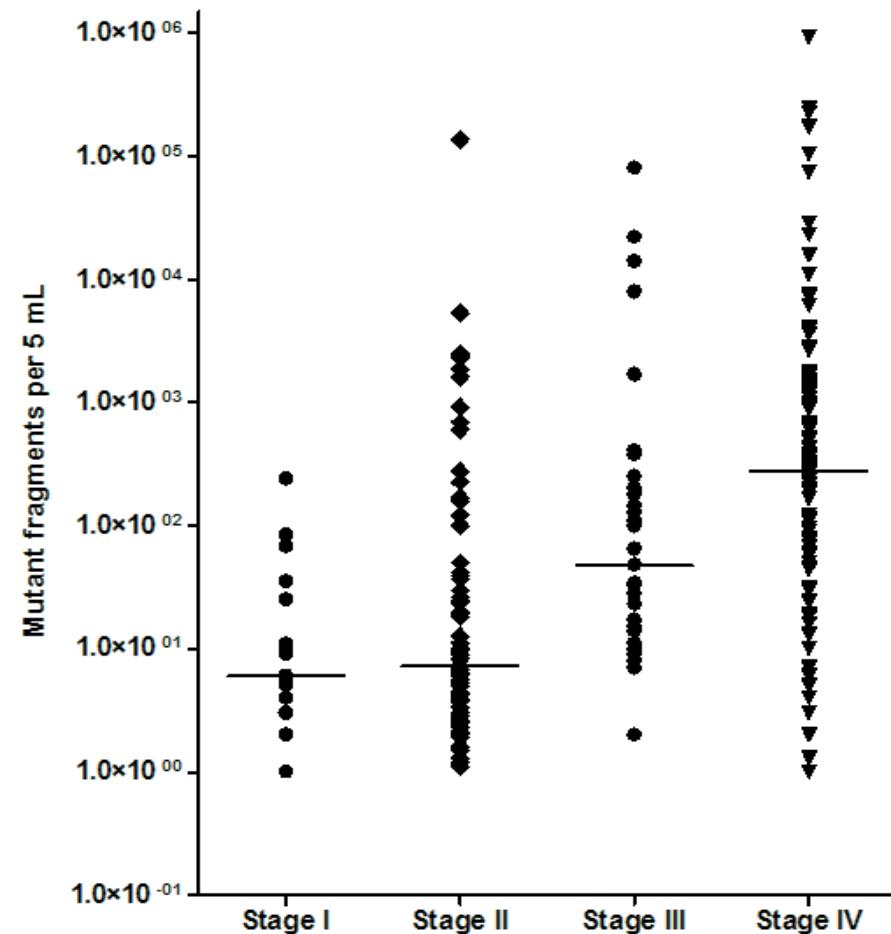
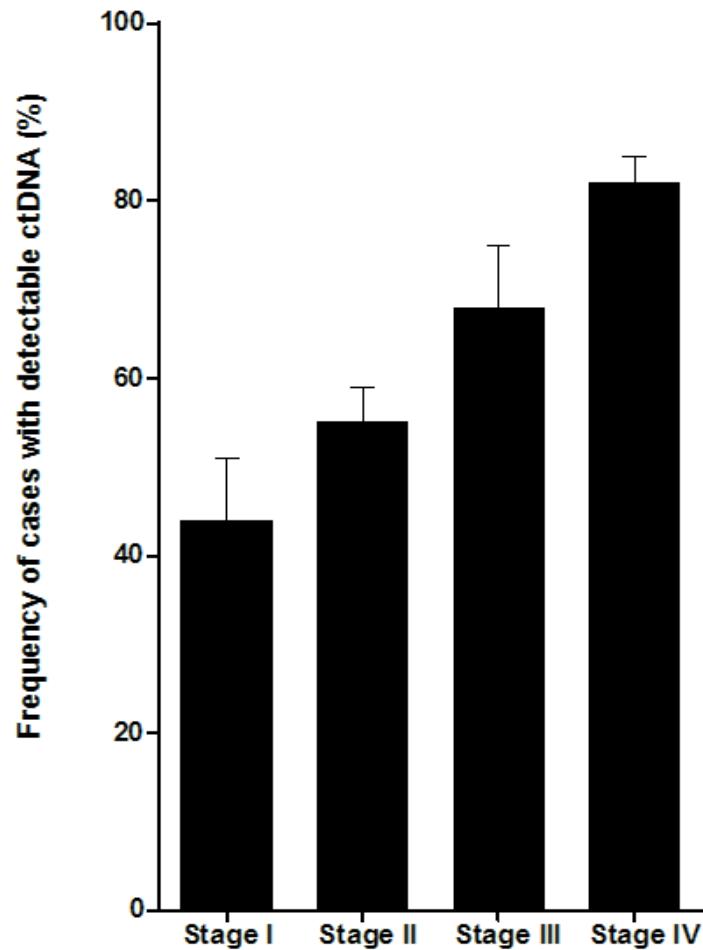
# Liquid Biopsy: Potential Applications in Oncology

- Non-invasive determination of mutational status
- Monitoring of disease burden
- Tracking resistance
- Assessment of minimally residual disease
- Early detection

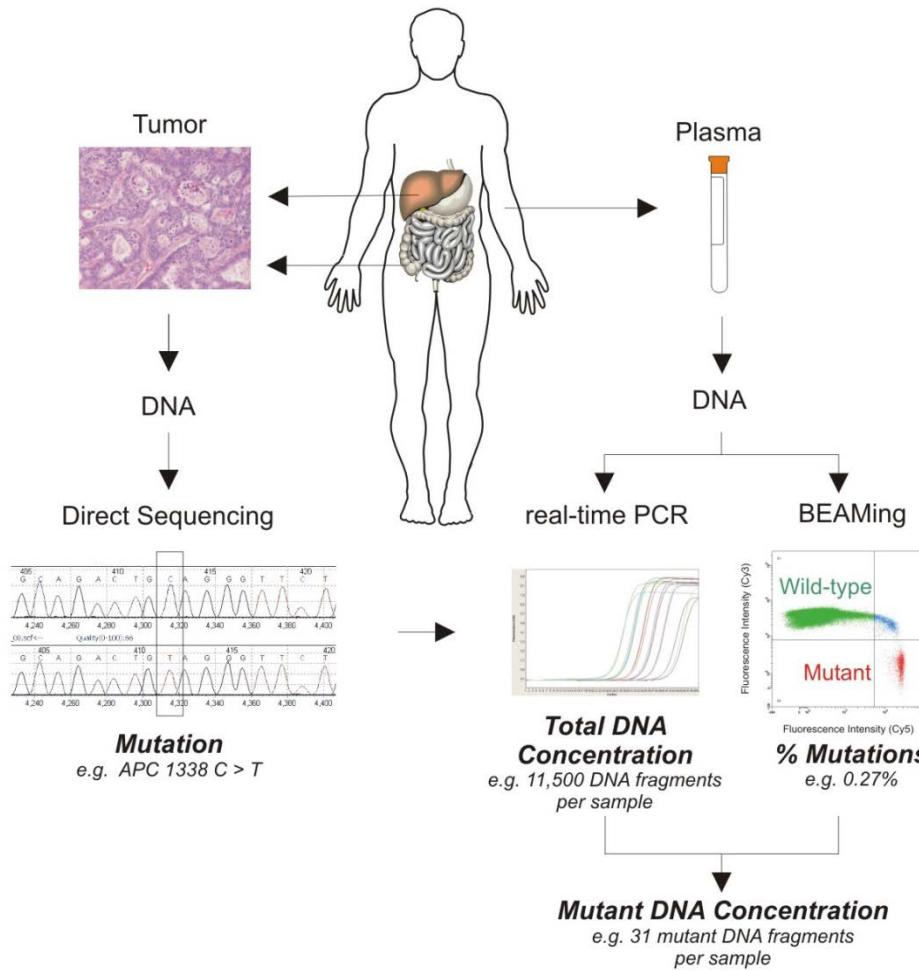
# ctDNA and Different Cancer Types



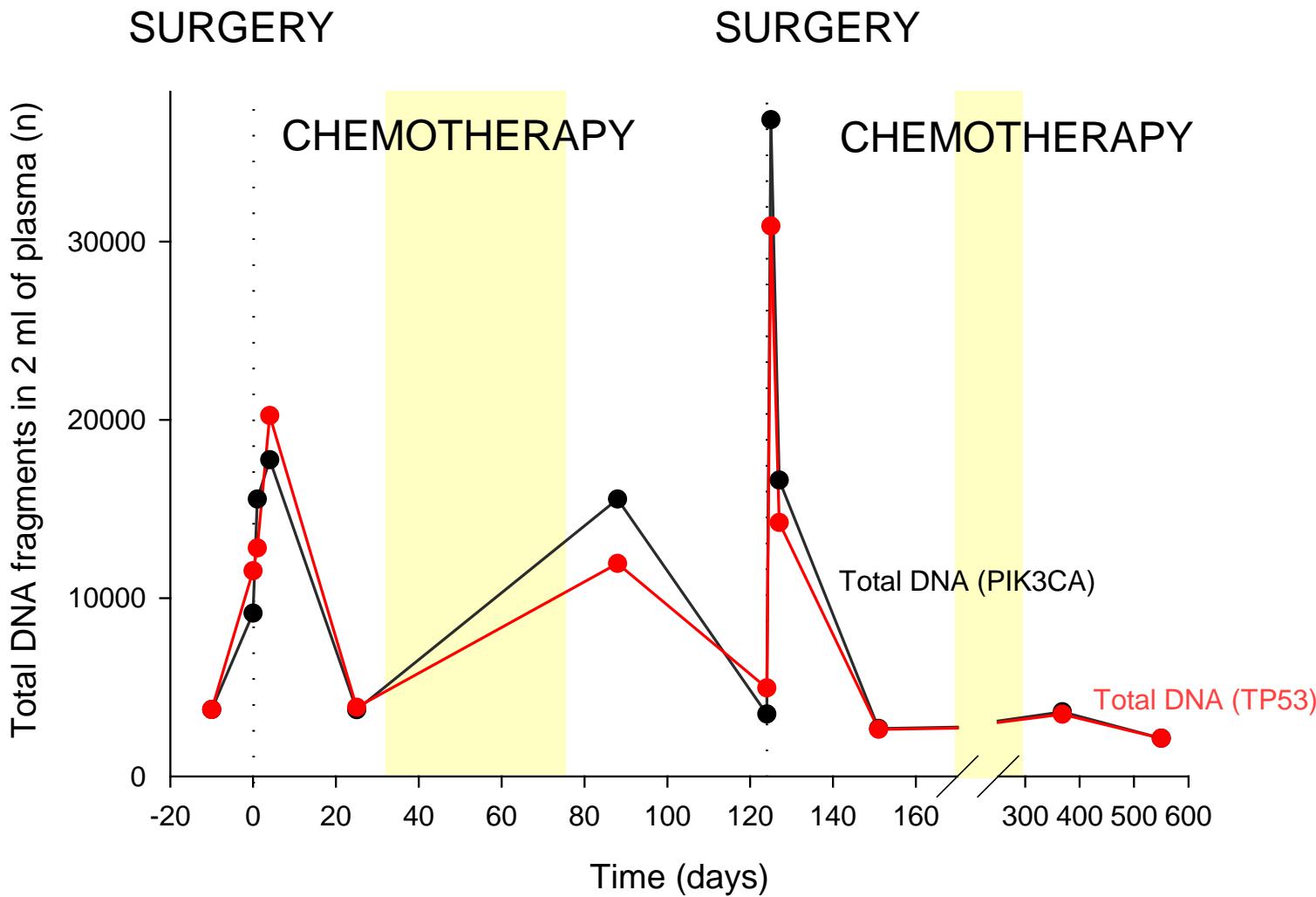
# ctDNA and Tumor Stage



# ctDNA: Initial Studies



# ctDNA: Monitoring of Disease Burden



# ctDNA and Tissue: Liquid Biopsy

## Stage IV Breast Cancer (Higgins et al. Cancer Res. 2012)

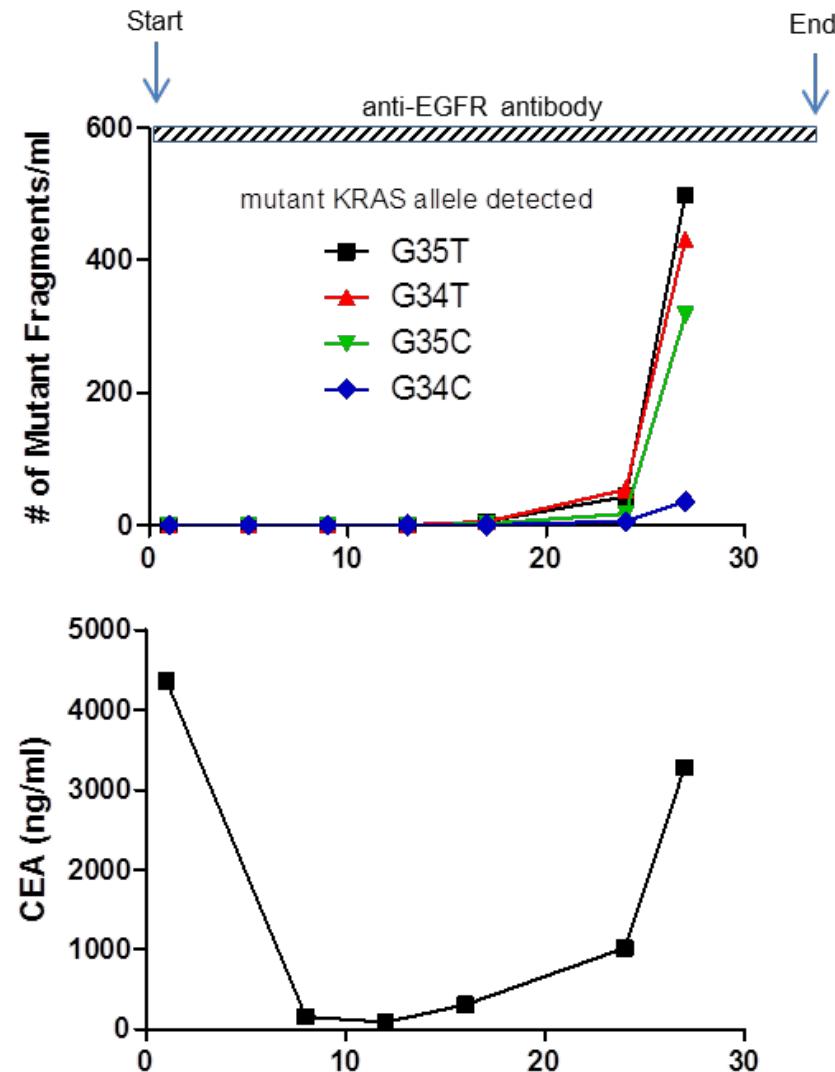
- Gene – PIK3CA
- n = 49
- Specificity – N/A
- Sensitivity - 100%
- Concordance - 100% (FFPE Tissue vs. Plasma DNA)

## Stage IV Colon Cancer (Bettegowda et al. STM 2014)

- Gene – KRAS Codons 12 and 13
- n = 206
- Specificity- 99.2%
- Sensitivity- 87.2%
- Concordance - 95% (FFPE Tissue vs. Plasma DNA)

# ctDNA: Tracking Resistance

Example:  
Monitoring the emergence  
of resistant mutations in  
KRAS WT patients treated  
with EGFR blockade



# Clinical Implementation

- To date no clinical application of ctDNA been prospectively validated
- Hurdles
  - Challenges of appropriate sample collection in prospective clinical trials
  - High cost
  - Administrative barriers
  - Investigator time commitment
  - Minimal residual disease and screening studies: large patient numbers are needed

# Where to Start?

- Dedicated funding and personal resources for large scale companion studies in conjunction with prospective clinical trials
- Standardization of specimen sampling, processing and storage
- Start with straight forward, simple question studies to optimize methodology
- Involve ctDNA experts early in clinical trial design

# ctDNA: Summary

- **Highly sensitive, specific and dynamic tumor marker**
- **Qualitative and quantitative: Provides a simultaneous snapshot of tumor genotype and tumor burden**
- **Many potential clinical applications**
- **No ‘one size fits all’: Different detection rates in different cancers, tumor stages, etc.**

# ctDNA: Summary

- **What we have: Good proof of principle data**
- **What we need: Prospective validation, large scale studies to determine the value of ctDNA for clinical practice**

# Thank You

- Institute of Medicine
- Our patients and their families
- Bert Vogelstein, Ken Kinzler, Luis Diaz, Chetan Bettegowda, Ben Park, Nickolas Papadopoulos, Victor Velculescu and many others