# Comparative Effectiveness Research on Emerging Radiation Therapies

Grace Smith, MD, PhD
University of Texas M D Anderson Cancer Center

### Comparative Effectiveness Research



# Objectives

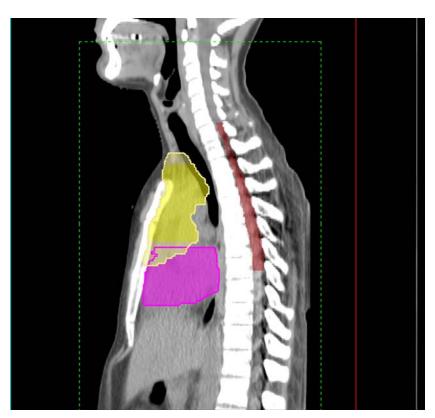
- Examine recent studies of emerging radiation technologies.
- Identify persistent challenges in the current science.
- Propose approaches to address these challenges.

#### Definition

- Comparative effectiveness research
  - Compare treatments
  - -Examine the relative benefits and harms
  - —To make decisions about treatment alternatives

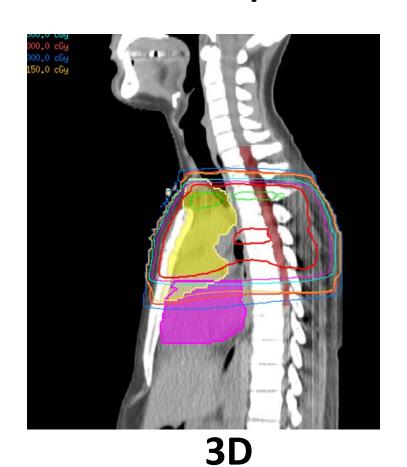
# Data Decision

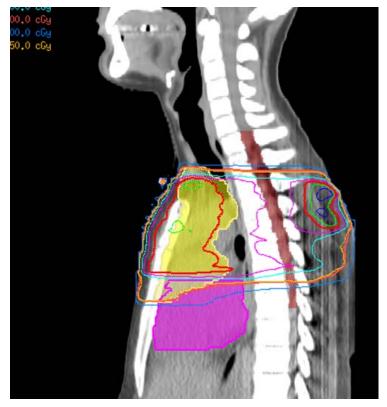
# At the Bedside...





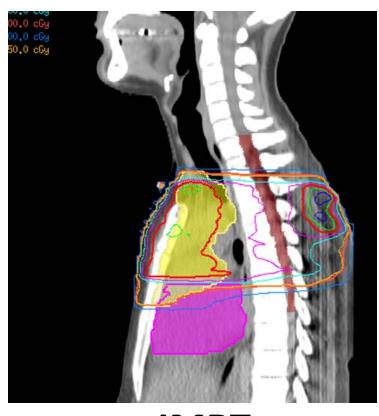
# ...From Bedside to Comparative Effectiveness



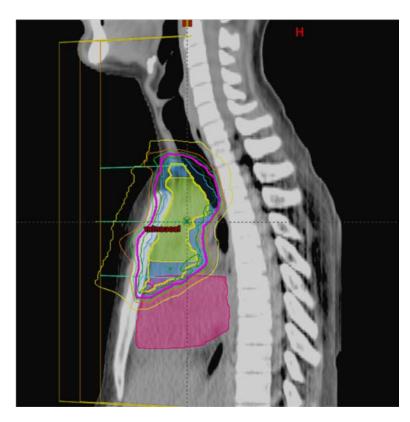


**IMRT** 

# ...From Bedside to Comparative Effectiveness

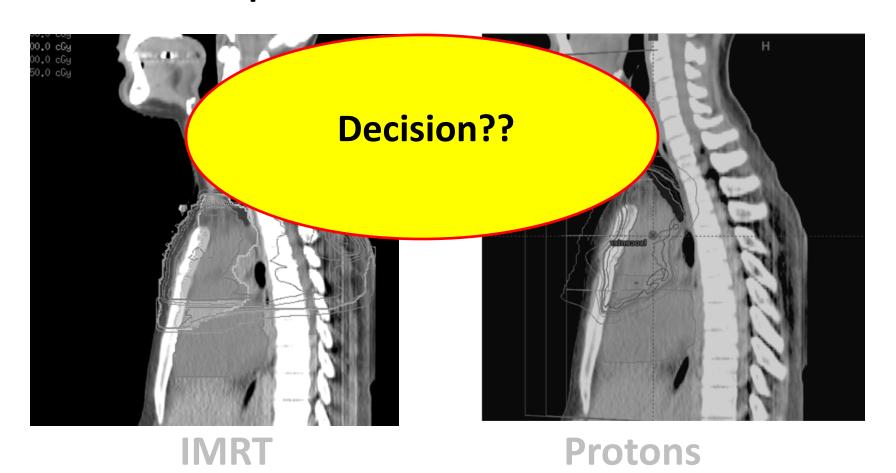


**IMRT** 



**Protons** 

# ...From Bedside to Comparative Effectiveness



Maximize tumor target coverage

Maximize tumor target coverage

Maximize tumor cure and patient survival

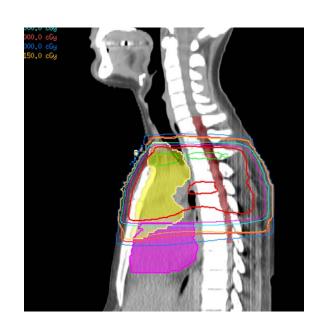
- Maximize tumor target coverage
- Minimize dose to surrounding organs

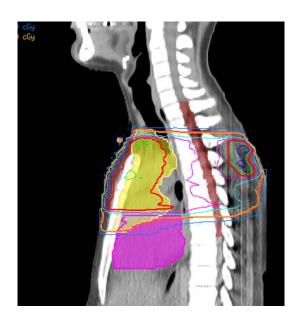
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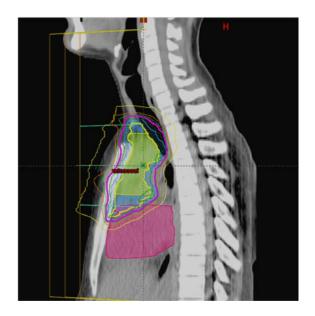
Minimize damage to actual organ function

- Maximize tumor target coverage
- Minimize dose to surrounding organs

Deliver at an acceptable cost







3D IMRT Protons

	3D	IMRT	Proton
Target Tumor	++-	+++	+++
Minimize Organ Dose	+	+++	++++
Minimize Organ Damage	?	?	?
Payer Cost (\$)	+++	++	+ -
*Medicare Reimbursement	1.0	1.4 - 2.6	2.9
Patient Cost (\$)	?	?	?
Overall Cost	?	?	?
Comparative Effectiveness Metric	?	?	?

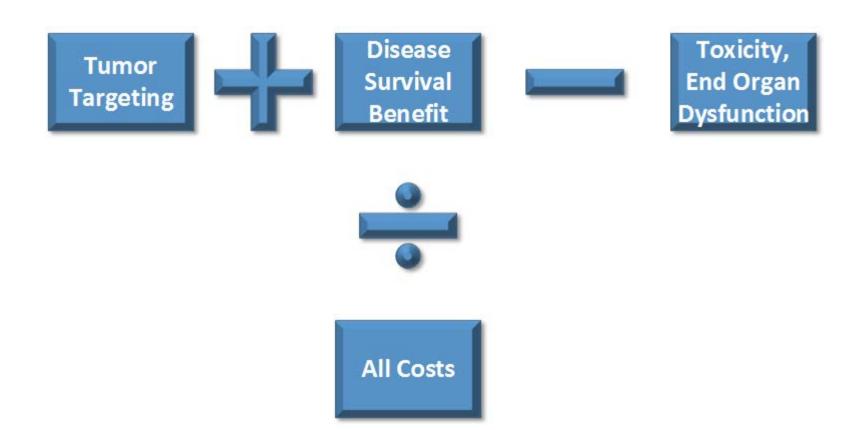
	3D	IMRT	Proton
Target Tumor	++-	+++	+++
Minimize Organ Dose	+	+++	++++
Minimize Organ Damage	?	?	?
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Patient Cost (\$)	?	?	?
Overall Cost	?	?	?
Comparative Effectiveness Metric	?	?	?

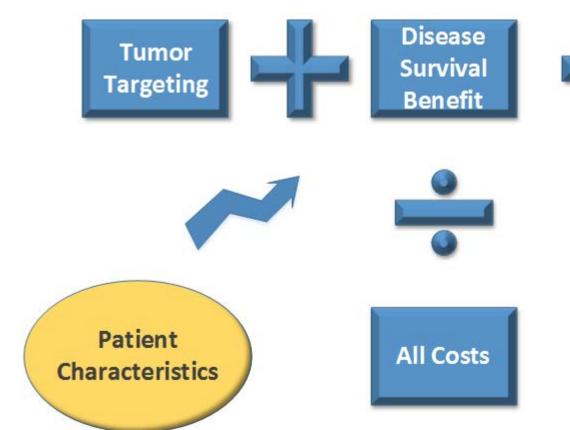
	3D	IMRT	Proton
Target Tumor	++-	+++	+++
Minimize Organ Dose	+	+++	++++
Minimize Organ Damage	?	?	?
Payer Cost (\$)	+++	++	+ -
*Medicare Reimbursement	1.0	1.4 - 2.6	2.8
Patient Cost (\$)	?	?	?
Overall Cost	?	?	?
Comparative Effectiveness Metric	?	?	?

# **☑** CER Data?

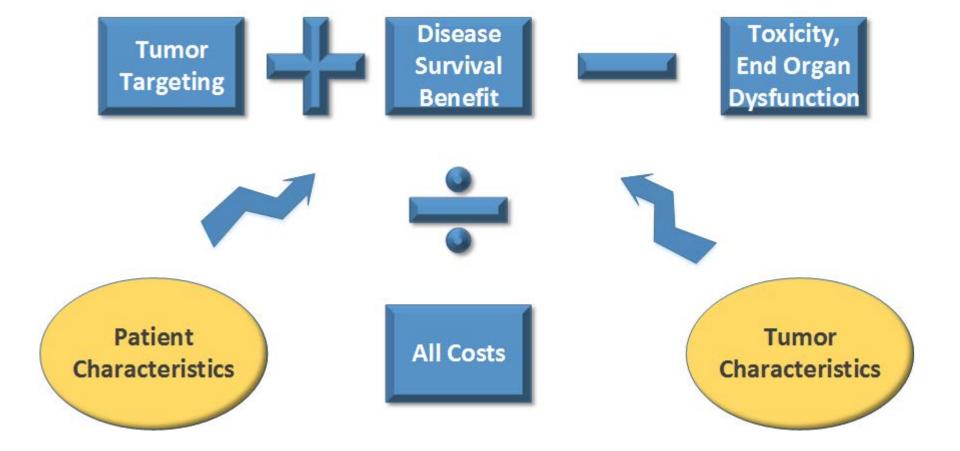
	3D	IMRT	Proton
Target Tumor	++-	+++	+++
Minimize Organ Dose	+	+++	++++
Minimize Organ Damage	$\overline{\mathbf{Q}}$	$\square$	$\square$
Payer Cost (\$)	+++	++	+ -
*Medicare Reimbursement	1.0	1.4 - 2.6	2.8
Patient Cost (\$)	$\overline{\checkmark}$		$\overline{\mathbf{V}}$
Overall Cost			V
Comparative Effectiveness Metric	?	?	?

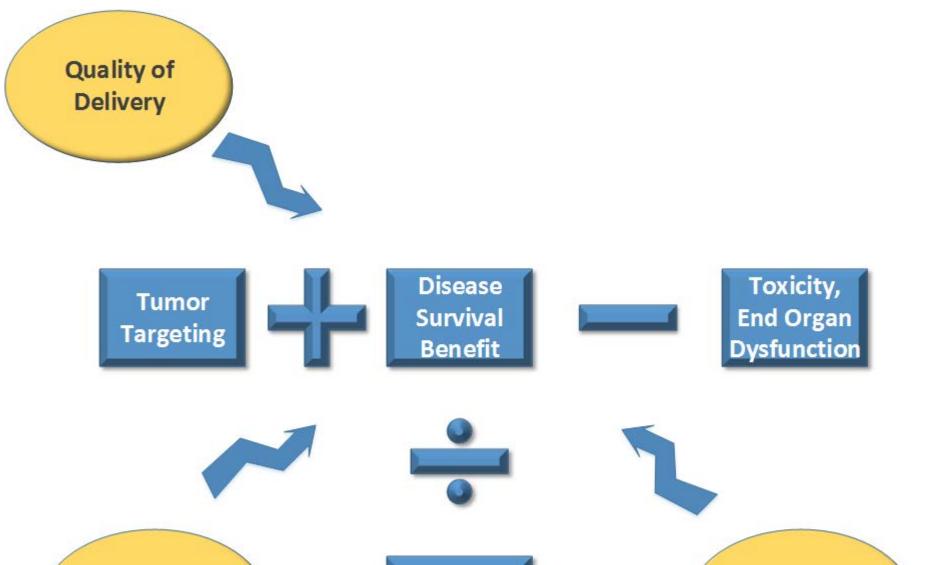
#### **Comparative Effectiveness Paradigm**





Toxicity,
End Organ
Dysfunction



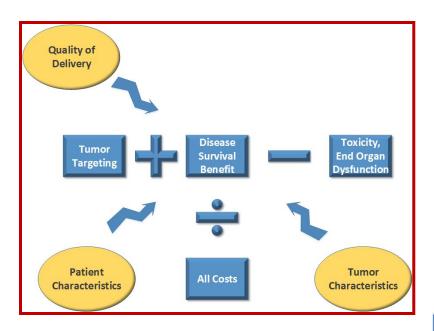


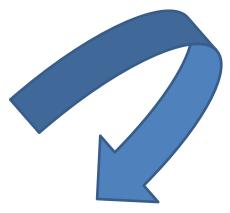
Patient Characteristics

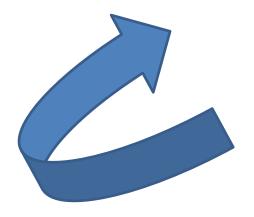


Tumor Characteristics

### Data from CER

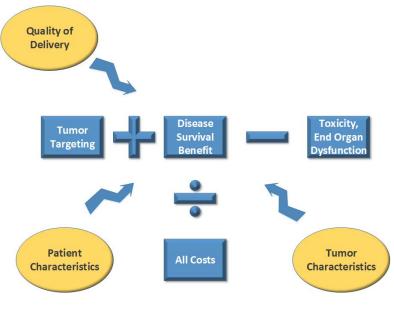




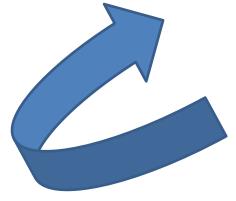


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Patient Cost (\$)	?	?	?
Overall Cost	?	?	?

#### Data at the Bedside

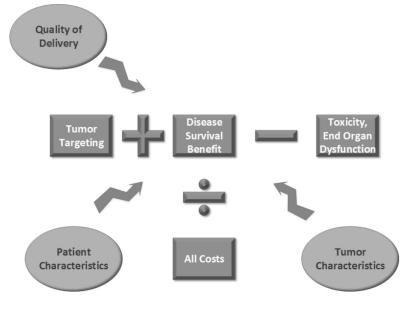


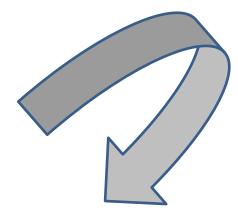


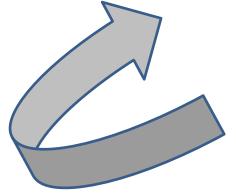


	3D	IMRT	Proton
Target Tumor	++-	+++	+++
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Minimize Organ Damage	?	?	?
Payer Cost (\$)	+++	++	+-
*Medicare Reimbursement	1.0	2.6	2.8
Patient Cost (\$)	?	?	?
Overall Cost	?	?	?

# Decision-Making







	3D	IMRT	Proton
Target Tumor	++-	+++	+++
Minimize Organ Dose	+	+++	++++
Minimize Organ Damage	?	?	?
Payer Cost (\$)	+++	++	+-
*Medicare Reimbursement	1.0	2.6	2.8
Patient Cost (\$)	?	?	?
Overall Cost	?	?	?

#### Current State of the Research

- Some examples
- Strengths of current approaches
- Persistent challenges

Research

#### **Original Investigation**

# Lobectomy, Sublobar Resection, and Stereotactic Ablative Radiotherapy for Early-Stage Non-Small Cell Lung Cancers in the Elderly

Shervin M. Shirvani, MD, MPH; Jing Jiang, MS; Joe Y. Chang, MD, PhD; James Welsh, MD; Anna Likhacheva, MD, MPH; Thomas A. Buchholz, MD; Stephen G. Swisher, MD; Benjamin D. Smith, MD



JAMA Surg. 2014;149(12):1244-1253. doi:10.1001/jamasurg.2014.556 Published online October 15, 2014.

 QUESTION: In eligible pts, stereotactic ablative radiosurgery vs. surgery?

CHALLENGE: Prospective trials have not accrued.

 DESIGN: Retrospective analysis of SEER-Medicare cohort.

 RATIONALE: Use statistical modeling to account for patient differences.

 ANALYSIS: Proportional hazards analysis and propensity score matching.

	Stereotactic Radiation				Sublobar Surge	ery
	HR	95% CI	P-value	HR	95% CI	P-value
Overall Survival	1.01	0.74 – 1.38	0.94	1.36	1.17 – 1.58	<.001
Lung Cancer Survival	1.00	0.52 - 1.92	0.99	1.46	1.13 – 1.90	.004
Cost						

	Ste	Stereotactic Radiation			Sublobar Surge	ery
	HR	95% CI	P-value	HR	95% CI	P-value
Overall Survival	1.01	0.74 – 1.38	0.94	1.36	1.17 – 1.58	<.001
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Lung Cancer Survival	1.00	0.52 – 1.92	0.99	1.46	1.13 – 1.90	.004
Cost						

# Cost-effectiveness of stereotactic radiation, sublobar resection, and lobectomy for early non-small cell lung cancers in older adults

Benjamin D. Smith<sup>a,\*</sup>, Jing Jiang<sup>a</sup>, Joe Y. Chang<sup>a</sup>, James Welsh<sup>a</sup>, Anna Likhacheva<sup>b</sup>, Thomas A. Buchholz<sup>a</sup>, Stephen G. Swisher<sup>a</sup>, Shervin M. Shirvani<sup>b</sup>

<sup>a</sup>The University of Texas MD Anderson Cancer Center, Houston, TX, United States

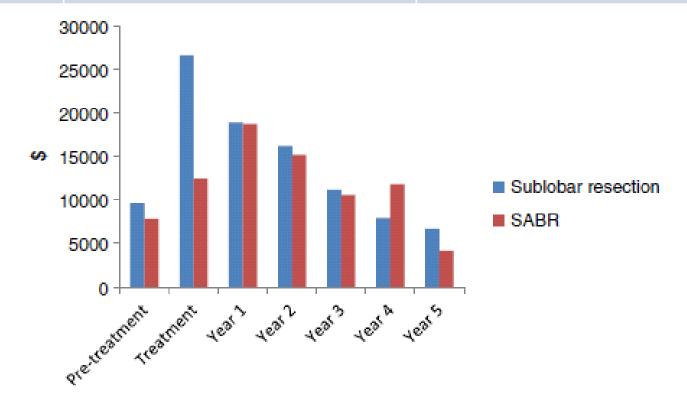


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http://dx.doi.org/10.1016/j.jgo.2015.05.002 1879-4068/© 2015 Elsevier Ltd. All rights reserved.

<sup>&</sup>lt;sup>b</sup>Banner MD Anderson Cancer Center, Gilbert, AZ, United States

	Stereotactic Radiation	Surgery
Cost	\$55,000	\$78,000



	Stereotactic Radiation	Surgery
Cost	\$55,000	\$78,000
Cost Model*	\$40,000	\$51,000

\*Shah et al., *Cancer* 2013; 119: 3123

### Ex. 1: Lessons Learned

 When randomized data difficult, large population and claims data provide insights into comparative effectiveness.

Advanced technology <u>can</u> be less costly than prevailing practice

# Ex. 1: Challenges

True cost data difficult to obtain

 No consensus on how to <u>reconcile</u> divergent cost data from different sources.

 No consensus on how to <u>translate</u> divergent data into a quantitative metric for decisions.

ARTICLE

# Proton Versus Intensity-Modulated Radiotherapy for Prostate Cancer: Patterns of Care and Early Toxicity

James B. Yu, Pamela R. Soulos, Jeph Herrin, Laura D. Cramer, Arnold L. Potosky, Kenneth B. Roberts, Cary P. Gross



J Natl Cancer Inst 2013;105:25–32

 QUESTION: Does proton therapy have better toxicity profile than IMRT?

 CHALLENGE: Prospective randomized trials face similar difficulties.

DESIGN: Retrospective analysis of Medicare.

 RATIONALE: Use diagnosis claims codes as surrogate for toxicity outcomes.

 ANALYSIS: Logistic regression with matching to account for systematic differences.

	6-month toxicity				12-mo	
Complications	IMRT (%)	Proton (%)	OR	95% CI	Р	Р
Genitourinary	9.5	5.9	0.60	0.38 – 0.96	0.03	0.66
Gastrointestinal	3.6	2.9	0.84	0.42 – 1.66	0.61	0.89
Other	2.5	<2.6	0.69	0.29 – 1.66	0.41	0.46

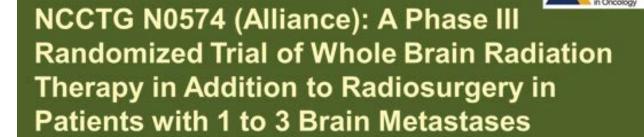
 Lessons: Feasible to detect clinical differences in toxicity between proton radiation vs IMRT.

#### Challenges:

- Claims-based surrogate treatment adequate?
- Claims-based surrogate outcome adequate?
- Retrospective matching adequate?

- Retrospective biases due to:
  - Disparate characteristics: adopt vs. non-adopt
  - Surrogate variables
  - Temporal factors
  - Variation from patient, tumor, and quality of care

#### Ex. 3: Less Radiation vs. Less Radiation



Presenting Author: Paul Brown, MD MD Anderson Cancer Center

A.L. Asher\*, K.V. Ballman, E. Farace, J.H. Cerhan, S.K. Anderson, X.W. Carrero, F.G. Barker II, R. Deming, S.H. Burri, C. Ménard, C. Chung, V.W. Stieber, B.E. Pollock, E. Galanis, J.C. Buckner, K. Jaeckle

\* Paul Brown and Anthony Asher contributed equally to this study.

J Clin Oncol 33, 2015 (suppl; abstr LBA4)

#### Ex. 3: Less Radiation vs. More Radiation

#### • QUESTION:

- In brain mets, stereotactic radiosurgery (SRS) delivers highly targeted radiation.
- Effectiveness of targeted SRS vs added whole brain radiation (WBRT)?

# Less Radiation vs. More Radiation SRS SRS+WBRT

Survival equivalent

Better tumor control



# Less Radiation vs. More Radiation SRS SRS+WBRT

- Survival equivalent
- Toxicity?

- Better tumor control
- Toxicity?





## Less Radiation vs. More Radiation

**SRS** 

#### **SRS+WBRT**

 Tumor progression causes more toxicity?

Toxicity,
End Organ
Dysfunction



# Less Radiation vs. More Radiation SRS SRS+WBRT

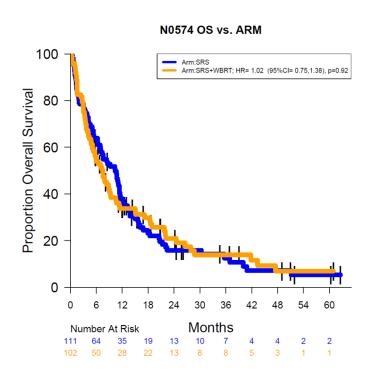
 Radiation treatment causes more toxicity?

Toxicity,
End Organ
Dysfunction

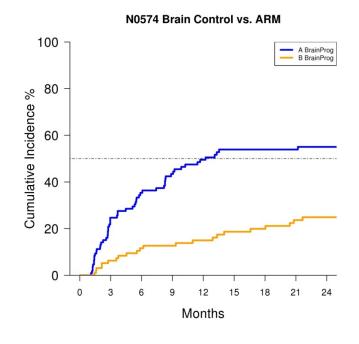


#### Ex. 3: Less Radiation vs. More Radiation

 No difference in overall survival (P=0.92)



 SRS had worse intracranial tumor control (P<0.0001)</li>

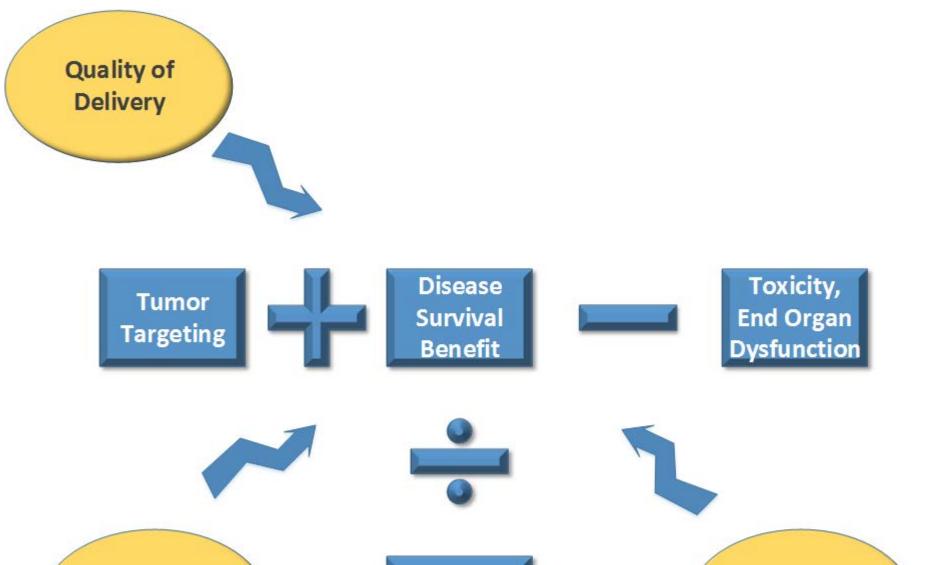


### Ex. 3: Less Radiation vs. More Radiation

	Stereotactic Radiation (SRS)		SRS + WBRT		
		95% CI		95% CI	P-value
Cognitive Decline	63.5%	50.5 – 75.3	91.7%	80.0 – 97.7	<.001
Functional Well Being	3		-22		.006
Total QOL	-1		-11		.002

<b>Cognitive Test</b>	SRS	SRS+WBRT	P-value
HVLT Total Recall	8.2%	30.4%	0.0043
<b>HVLT Delayed Recall</b>	19.7%	51.1%	0.0009
HVLT Recognition	22.6%	40.4%	0.0585
TMT Part A	16.7%	30.4%	0.1063
TMT Part B	19.0%	37.2%	0.0677
COWA	1.9%	18.6%	0.0098
Pegboard-Dominant	29.3%	47.7%	0.0656

QOL Test/Subtest	SRS	SRS+WBRT	P-value
Physical Well Being	<b>-</b> 4	<b>-</b> 18	0.053
Social/FamilyWB	1	<b>-</b> 3	0.369
Emotional Well Being	13	5	0.129
Functional Well Being	3	<b>-</b> 22	0.006
FACT General	0	<b>-</b> 12	0.001
FACT Brain Specific	-1	<b>-</b> 9	0.029
FACT-BR Total	-1	-11	0.002



Patient Characteristics



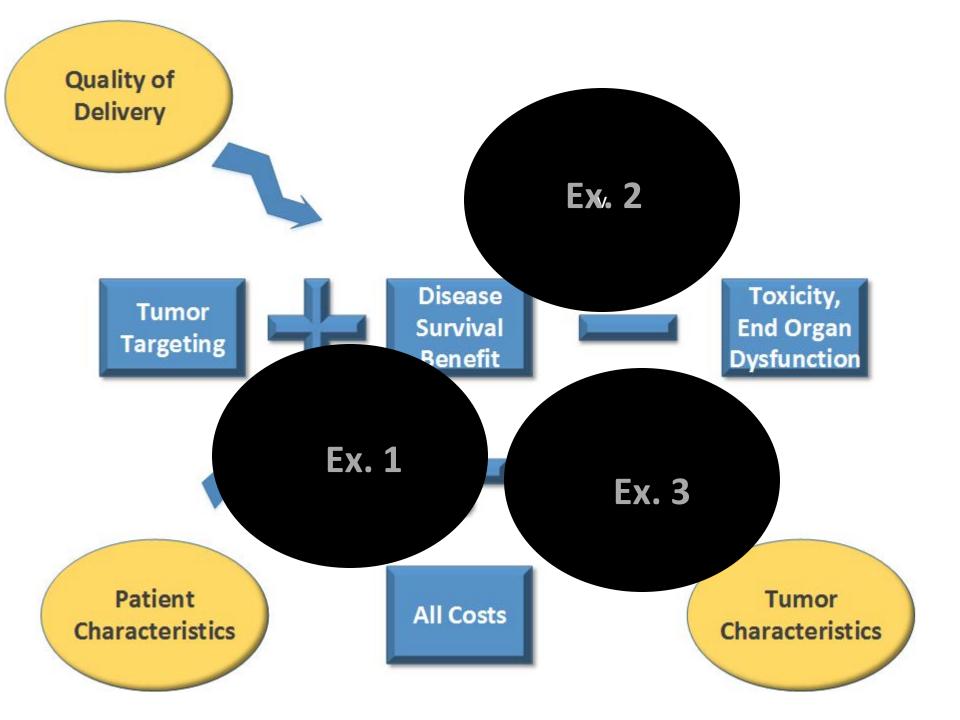
Tumor Characteristics

#### Ex. 3: Less Radiation vs. More Radiation

 Lessons: Prospective data can provide detailed comparisons.

#### Challenges:

- 10 year effort to collect data
- No patient-level cost data collected
- Single inst\*: SRS \$119,000 vs SRS+WBRT \$74,000



# Comparative Effectiveness Research



# **Current Challenges**

- Retrospective biases
- Prospective trials barriers
  - Long period for accrual
  - Shifts in indications, target populations

# **Current Challenges**

• Is the barrier a lack of data?

## **Current State of CER**

Treatment Planning

Treatment Delivery

Medical Record Population Databases

## **Current State of CER**

Treatment Planning

Treatment Delivery

Medical Record Population Databases

**Toxicity** 

Quality

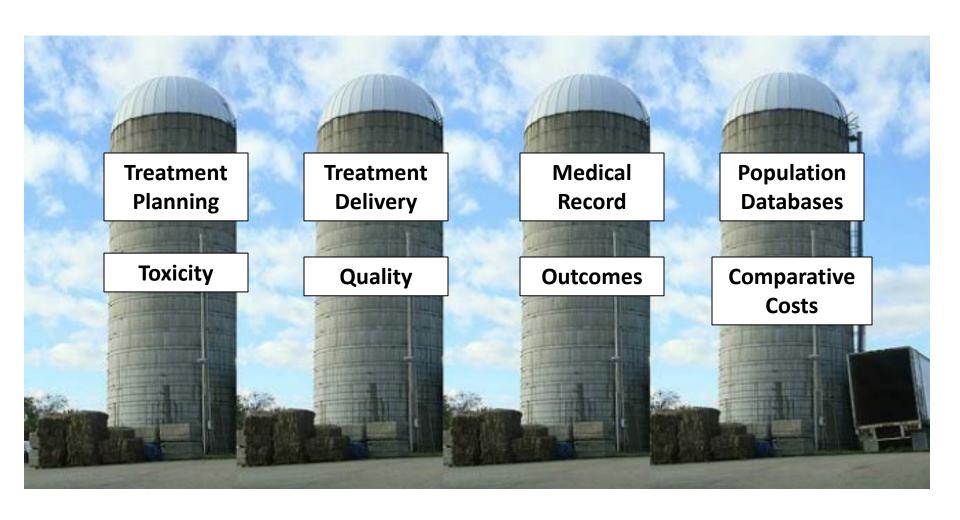
**Outcomes** 

Comparative Costs

• Is the barrier a lack of data?

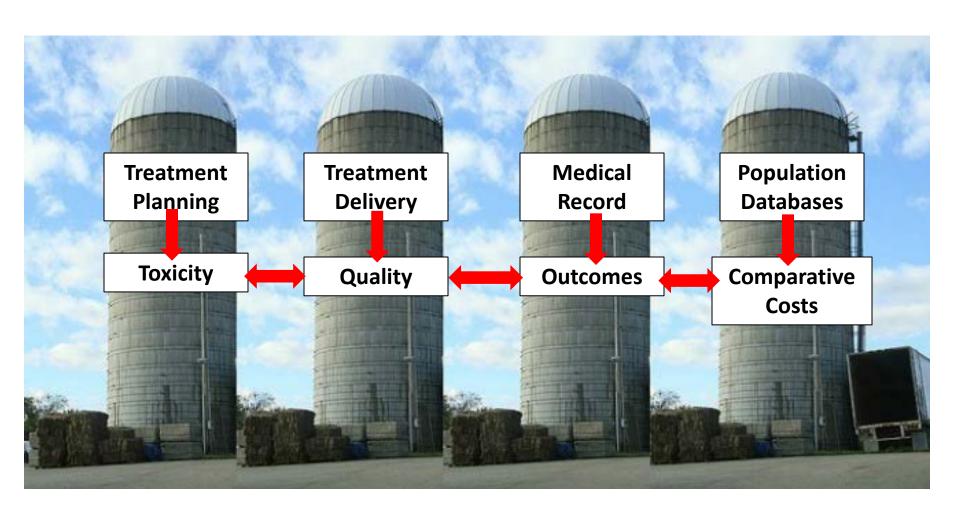
Lacking design to optimally connect data.

## **Current State of CER**

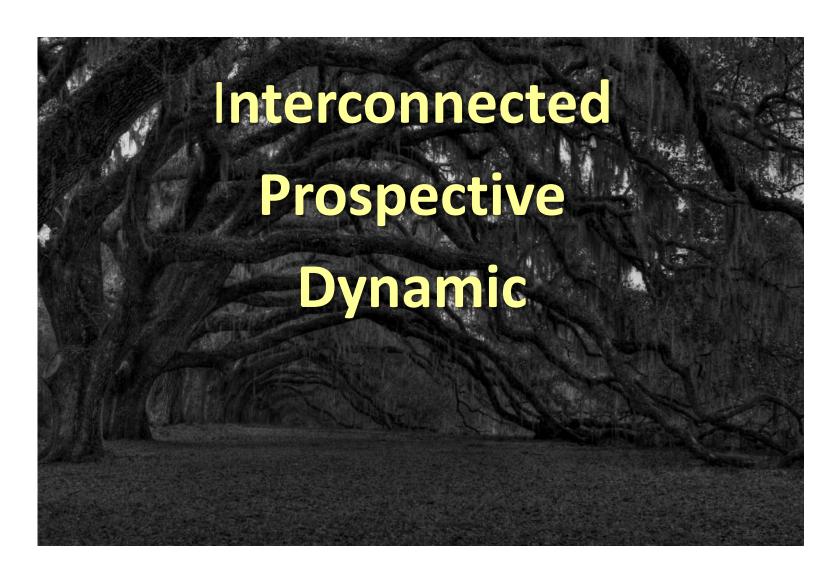


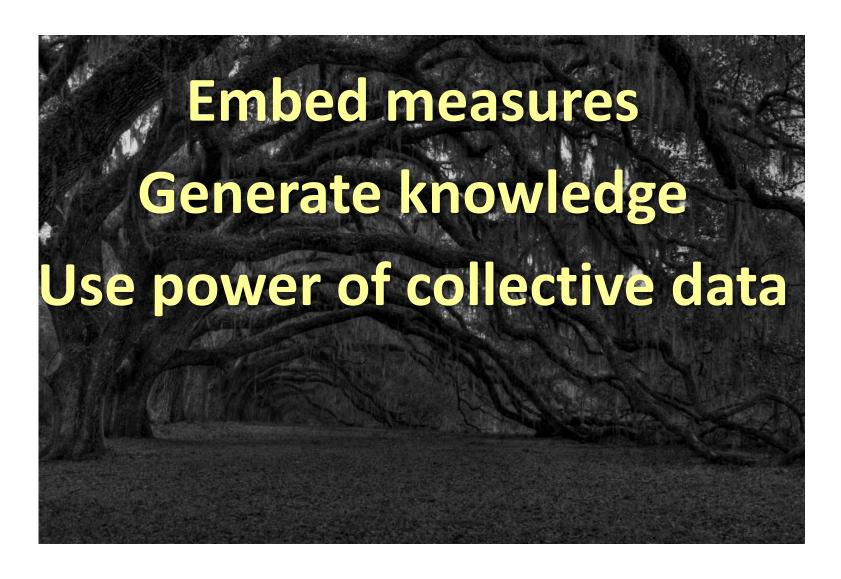
# **Current Challenges**

Designs uni-dimensional, lacking plasticity











- The National Radiation Oncology Registry
  - Pilot effort
  - Multi-center
  - Prospective

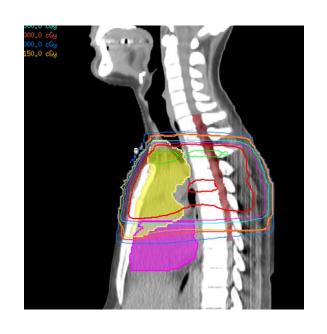
Practical Radiation Oncology (2012) 2, 10-17

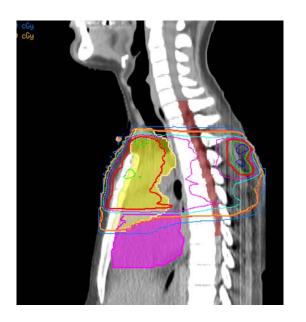


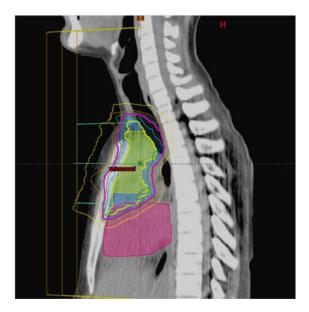
Special Article

#### Developing a national radiation oncology registry: From acorns to oaks

Jatinder R. Palta PhD <sup>a,\*</sup>, Jason A. Efstathiou MD, PhD <sup>b</sup>, Justin E. Bekelman MD <sup>c</sup>, Sasa Mutic PhD <sup>d</sup>, Carl R. Bogardus MD <sup>e</sup>, Todd R. McNutt PhD <sup>f</sup>, Peter E. Gabriel MD <sup>c</sup>, Colleen A. Lawton MD <sup>g</sup>, Anthony L. Zietman MD <sup>b</sup>, Christopher M. Rose MD <sup>h</sup>







3D IMRT Protons

# Summary

- Technology continues to advance.
- More data is being generated.
- Need to contain costs remains.

# Summary

- To balance competing demands, develop:
  - Culture
  - Sustainable method
- To move from data to decisions.
- To optimize radiation treatment strategies.

