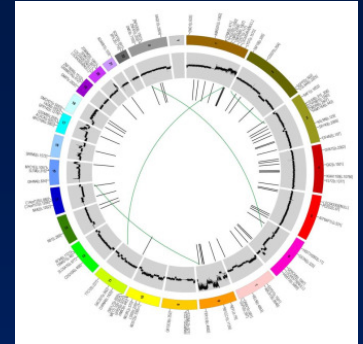


*Institute of Medicine*  
**Evidence for Clinical Utility of  
Molecular Diagnostics in Oncology**

PEW DC Conference Center  
May 24, 2012



Session I: Evidence Utilization

**Guideline Development**  
**Perspective of the American Society of Clinical Oncology**

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Professor of Medicine and Director  
Comparative Effectiveness and Outcomes Research Program  
Duke University and the Duke Cancer Institute  
Senior Fellow, Duke Center for Clinical Health Policy Research

# Disclosures

## **Grant Funding to Duke University:**

- 5UC2-CA148041-02 (NCI; Co-PI)
- 1R01HL095109-01 (NHLBI; Co-PI)
- ANC Study Group Coordinating Center (Amgen; PI)

## **Other Disclosures:**

- Chair, ASCO Guideline Methodology Committee
- Chair, ASCO Venous Thromboembolism in Cancer Prophylaxis and Treatment Guideline
- Chair, ASCO Antiemetic Clinical Practice Guideline
- Chair, ASCO Weight-Based Chemotherapy Dosing Guideline
- Chair, ASCO Sentinel Node Biopsy Guidelines for Early-Stage Breast Cancer and Cutaneous Melanoma

## Guidelines and Clinical Outcomes

“Clinicians armed with appropriate assessments and the best evidence-based practice guidelines can reduce some of the unpleasant and frequent side-effects that often accompany cancer and chemotherapy treatment, obtain the best possible clinical outcomes, and avoid unnecessary costs”

*Statement from CMS, August 2005*

## Guideline Development

*Perspective of the American Society of Clinical Oncology*

Clinical Practice Guideline  
Recommendations<sup>1</sup>

<sup>1</sup> Clinical Practice Guidelines We Can Trust, Institute of Medicine, 2011

## Guideline Development

*Perspective of the American Society of Clinical Oncology*

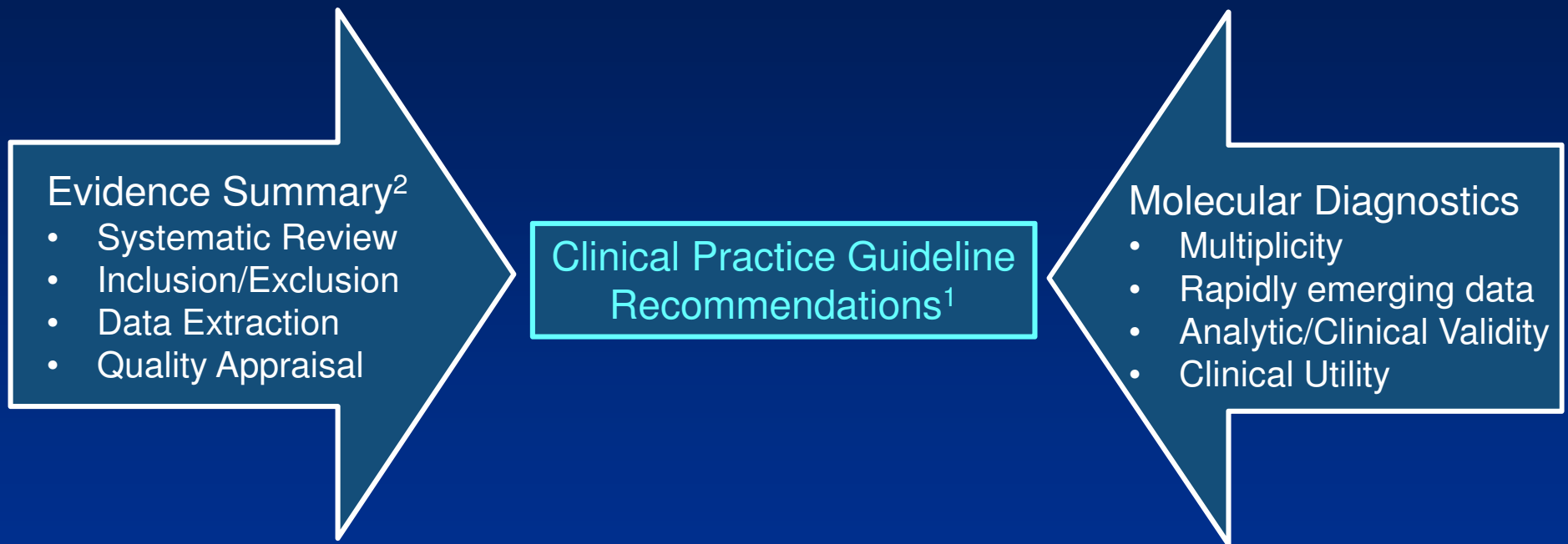


<sup>1</sup> Clinical Practice Guidelines We Can Trust, Institute of Medicine, 2011

<sup>2</sup> Finding What Works in Health Care: Standards for systematic reviews, Institute of Medicine, 2011

## Guideline Development

*Perspective of the American Society of Clinical Oncology*



<sup>1</sup> Clinical Practice Guidelines We Can Trust, Institute of Medicine, 2011

<sup>2</sup> Finding What Works in Health Care: Standards for systematic reviews, Institute of Medicine, 2011

## Guideline Development

*Perspective of the American Society of Clinical Oncology*



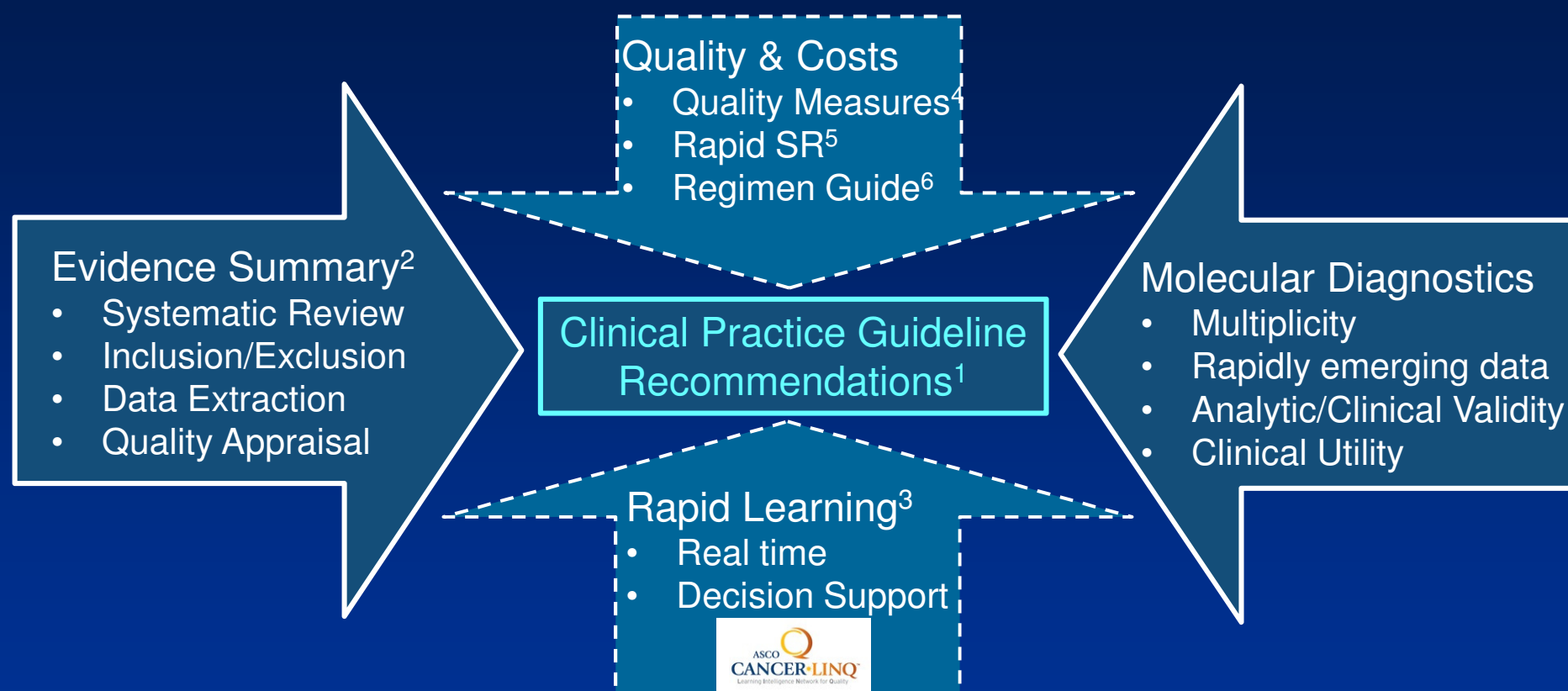
<sup>1</sup> Clinical Practice Guidelines We Can Trust, Institute of Medicine, 2011

<sup>2</sup> Finding What Works in Health Care: Standards for systematic reviews, Institute of Medicine, 2011

<sup>3</sup> ASCO CANCER·LINQ in development

## Guideline Development

*Perspective of the American Society of Clinical Oncology*



<sup>1</sup> Clinical Practice Guidelines We Can Trust, Institute of Medicine, 2011

<sup>2</sup> Finding What Works in Health Care: Standards for systematic reviews, Institute of Medicine, 2011

<sup>3</sup> ASCO CANCER·LINQ in development

<sup>4</sup> ASCO Quality Oncology Practice Initiative (QOPI)

<sup>5</sup> ASCO Pilot Rapid Systematic Review in progress

<sup>6</sup> Point-of-care guide on regimen benefit, toxicity and costs

## ASCO Guidelines: History

- Board of Directors votes to establish HSRC (Now CPGC) in 1993
- First ASCO guideline published in 1994
- Clinical Tools & Resources derived from guidelines added in 2005
- 3<sup>rd</sup> most important benefit after JCO and annual meeting
- Recent measures to improve guideline scope and timeliness
  - Annual systematic review updates
  - Endorsement procedure including methodology review approved in 2006
  - Collaborations with other professional organizations: CCO; CAP; SGO
  - Provisional clinical opinion (PCO) to offer more timely response to emerging data
  - Clinical evidence review (CER)
  - Consensus process based on a formal, modified Delphi technique.
- Recent measures to increased dissemination and utilization
  - Additional clinical tools and resources
  - Practice Guidelines Implementation Network (PGIN) to promulgate recommendations

## Proposing a ASCO guideline topic

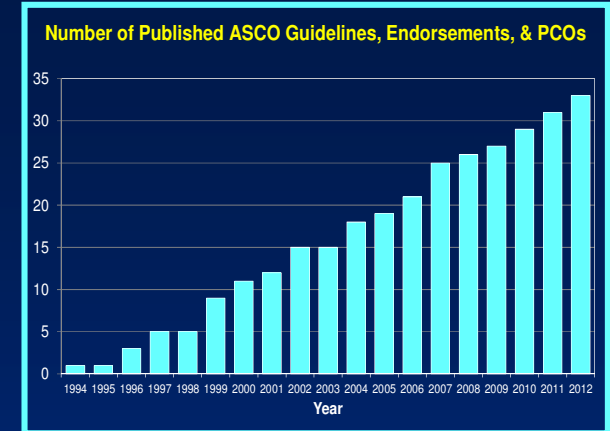
1. Is the burden/importance of the condition/intervention large enough to warrant guideline development?
2. Is there uncertainty or controversy about the effectiveness or safety of available clinical strategies for the condition?
3. Is there sufficient variation in practice in the management of a given condition or use of intervention?
4. Is there sufficient scientific evidence of good quality to allow development of guideline?
5. Is there potential for:
  - impact on clinical decision-making
  - impact on clinical outcomes
  - reduction in practice variation

# ASCO Clinical Practice Guidelines

## Complex Development Process

*Topic selection*  
*Appoint Steering Comm.*  
*Define relevant questions*  
*Multiple lit reviews*

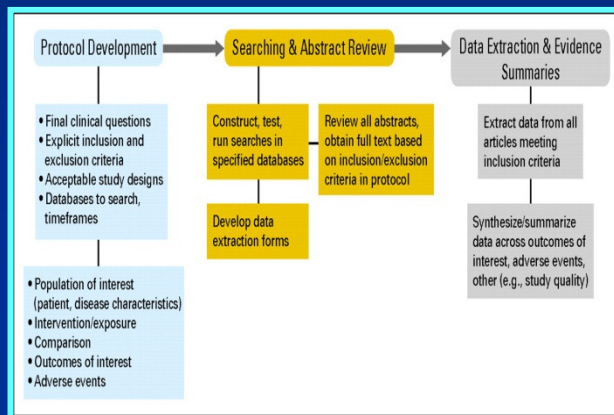
*Identify Co-Chairs*  
*Assemble panel*  
*Manage COIs*



### Systematic Review

### Guideline Development

### Dissemination

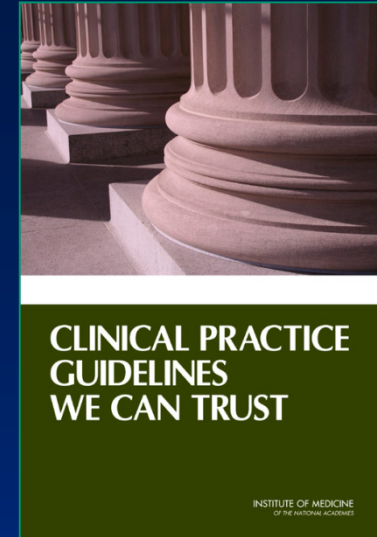


*Further lit reviews*  
*Review of evidence*  
*Generate recs*  
*Multiple internal and external reviews*

*JCO/JOP*  
*PGIN*  
*ASCO.org*  
*Quality Indicators*

## Eight Institute of Medicine Standards

- ✓ Transparent process
- ✓ COIs managed/disclosed
- ✓ Multidisciplinary expert panels
- ✓ Based on rigorous systematic reviews
  - Ratings for strength of evidence and strength of recommendation
- ✓ Standardized and clear format
  - Standardized and clear verbiage of recommendations
- ✓ External review
  - Public comment
  - Updating plan



# ASCO | Guidelines

Clinical Tools and Resources

## **APPROPRIATE CHEMOTHERAPY DOSING FOR OBESE ADULT PATIENTS WITH CANCER**

Clinical Practice Guideline

# Search Results: QUOROM Diagram

Potentially relevant abstracts  
identified by electronic searching  
and screened for retrieval (n = 913)

Articles retrieved for  
full text review (n=148)

Articles excluded  
after full text review  
(n=767)

Articles that met  
selection criteria for  
data extraction (n=56)

Articles excluded  
after full text review  
(n=92)

Additional articles recommended by  
Panel members or identified by hand-  
searching (n=8 on morbidly obese,  
excluded) (n= 4 other papers, excluded)

("neoplasms"[MeSH Terms] OR "neoplasms"[All Fields] OR "cancer"[All Fields] OR tumor [All Fields] OR tumour[All Fields] OR oncolog[All Fields] OR myeloma[All Fields] OR lymphoma[All Fields] OR (Hodgkin[All Fields] OR lymphoma[All Fields] OR "NHL"[All Fields] OR carcinom[All Fields] OR adenocarcinom[All Fields])) NOT (leukemia [All Fields] AND (dose [All Fields] OR dosing [All Fields]) AND ("drug therapy"[Subheading] OR "drug therapy"[All Fields] OR "chemotherapy"[All Fields] OR "drug therapy"[MeSH Terms] OR ("drug"[All Fields] AND "therapy"[All Fields])) AND ("obesity"[MeSH Terms] OR "obesity"[All Fields] OR "obese"[All Fields] OR "body weight" [All Fields] OR "body weight" [MeSH Terms] OR "overweight"[MeSH Terms] OR "overweight"[All Fields] OR "overweight"[All Fields] OR ("over"[All Fields] AND "weight"[All Fields]) AND (((randomized controlled trial[pt] OR controlled clinical trial[pt] OR randomized controlled trials[mh] OR clinical trial[pt] OR "clinical trial"[tiab] OR "clinical trials"[tiab] OR clinical trials as topic[mh] OR controlled clinical trials as topic[mh] OR randomized controlled trials as topic[mh] OR clinical trials, phase II as topic[mh] OR clinical trials, phase III as topic[mh] OR clinical trials, phase IV as topic[mh] OR clinical trial, phase II[pt] OR clinical trial, phase III[pt] OR clinical trial, phase IV[pt] OR random allocation[mh] OR "random allocation"[tiab] OR "randomly allocated"[tiab] OR double-blind method[mh] OR single-blind method[mh]) OR ((random[tiab] OR randomly[tiab] OR randomized[tiab] OR randomised[tiab] OR randomization[tiab] OR randomisation[tiab]) AND (clinical[tiab] OR control[tiab] OR controlled[tiab] OR control groups[mh])) OR ((single[tiab] OR single-[tiab] OR double[tiab] OR double-[tiab] OR triple[tiab] OR triple-[tiab] OR multi[tiab] OR multi-[tiab] OR evaluator[tiab] OR assessor[tiab] OR interviewer[tiab]) AND (mask[tiab] OR masked[tiab] OR masking[tiab] OR blind[tiab] OR blinded[tiab] OR blinding[tiab])) OR ((placebos[mh] OR placebo[tiab] OR placebos[tiab] OR random[tiab] OR randomly[tiab] OR randomized[tiab] OR randomised[tiab] OR randomization[tiab] OR randomization[tiab]) AND (research design[mh] OR "comparative study"[tiab] OR comparative study[pt] OR evaluation studies as topic[mh] OR evaluation studies[pt] OR "evaluation study"[tiab] OR "evaluation studies"[tiab] OR validation studies as topic[mh] OR follow-up studies[mh] OR "follow-up study"[tiab] OR "follow up study"[tiab] OR "follow-up studies"[tiab] OR "follow up studies"[tiab] OR prospective studies[mh] OR prospective[tiab] OR epidemiologic research design[mh] OR epidemiologic methods[mh] OR epidemiologic study characteristics as topic[mh] OR epidemiologic studies[mh] OR intervention studies[mh] OR cross-over studies[mh])) NOT (clinical trial, phase I[pt] OR clinical trials, phase I as topic[mh] OR ((meta-analysis[pt] OR meta-analysis as topic[mh] OR "meta-analysis"[tiab] OR "meta analysis"[tiab] OR "meta-analyses"[tiab] OR "meta analyses"[tiab] OR "meta-analyzed"[tiab] OR "meta-analysed"[tiab] OR "meta-analysis"[tiab] AND analysis[tiab]) OR (meta-[tiab] AND analysis[tiab])) OR ((critical[tiab] OR critically[tiab] OR systematic[tiab] OR systematical[tiab] OR systematically[tiab] OR evidence-based[tiab] OR "evidence based"[tiab]) AND (review[pt] OR review[tiab] OR reviews[tiab] OR reviewed[tiab] OR appraise[tiab] OR appraises[tiab] OR appraised[tiab] OR appraisal[tiab] OR assess[tiab] OR assesses[tiab] OR assessed[tiab] OR assessment[tiab] OR evaluate[tiab] OR evaluates[tiab] OR evaluated[tiab] OR evaluation[tiab] OR critique[tiab] OR critiques[tiab] OR analysis[tiab] OR analyses[tiab] OR analyzed[tiab] OR analysed[tiab]) OR ((evidence-based[tiab] OR "evidence based"[tiab] AND (guideline[tiab] OR guidelines[tiab] OR recommendation[tiab] OR recommendations[tiab] OR consensus[mh] OR consensus[tiab] OR consensuses[tiab]) OR (review[pt] OR review literature as topic[mh] OR consensus development conference[pt] OR consensus development conference, NIH[pt] OR consensus development conferences as topic[mh] OR consensus development conferences, NIH as topic[mh] OR "consensus development"[tiab] OR health planning guidelines[mh] OR guideline[pt] OR practice guideline[pt] OR practice guidelines as topic[mh] OR "practice guideline"[tiab] OR "practice guidelines"[tiab] OR health planning guidelines[mh] OR "standard of care"[tiab] OR "evidence-based medicine"[tiab] OR "evidence based medicine"[tiab] OR "evidence-based care" OR "evidence-based practice"[tiab] OR cochrane database syst rev[ta] OR acp j club[ta] OR health technol assess[ta] OR clin evid[ta]) NOT (case reports[pt] OR case report[tiab] OR editorial[pt] OR editorial[tiab] OR letter[pt] OR newspaper article[pt]))

# Dissemination: Publications

## ASCO | Guidelines

### Appropriate Chemotherapy Dosing for Obese Adult Patients With Cancer: American Society of Clinical Oncology Clinical Practice Guideline

Jennifer J. Griggs, Pamela B. Mangu, Holly Anderson, Edward P. Balaban, James J. Dignam, William M. Hryniuk, Vicki A. Morrison, T. May Pini, Carolyn D. Runowicz, Gary L. Rosner, Michelle Shayne, Alex Sparreboom, Lara E. Sucheston, and Gary H. Lyman

#### ABSTRACT

**Purpose** To provide recommendations for appropriate cytotoxic chemotherapy dosing for obese adult patients with cancer.

#### Methods

The American Society of Clinical Oncology convened a Panel of experts in medical and gynecologic oncology, clinical pharmacology, pharmacokinetics and pharmacogenetics, and biostatistics and a patient representative. MEDLINE searches identified studies published in English between 1996 and 2010, and a systematic review of the literature was conducted. A majority of studies involved breast, ovarian, colon, and lung cancers. This guideline does not address dosing for novel targeted agents.

#### Results

Practice pattern studies demonstrate that up to 40% of obese patients receive limited chemotherapy doses that are not based on actual body weight. Concerns about toxicity or overdosing in obese patients with cancer, based on the use of actual body weight, are unfounded.

#### Recommendations

The Panel recommends that full weight–based cytotoxic chemotherapy doses be used to treat obese patients with cancer, particularly when the goal of treatment is cure. There is no evidence that short- or long-term toxicity is increased among obese patients receiving full weight–based doses. Most data indicate that myelosuppression is the same or less pronounced among the obese than the non-obese who are administered full weight–based doses. Clinicians should respond to all treatment-related toxicities in obese patients in the same ways they do for non-obese patients. The use of fixed-dose chemotherapy is rarely justified, but the Panel does recommend fixed dosing for a few select agents. The Panel recommends further research into the role of pharmacokinetics and pharmacogenetics to guide appropriate dosing of obese patients with cancer.

#### INTRODUCTION

Optimal doses of chemotherapy drugs or drug combinations are generally established through randomized controlled clinical trials (RCTs). In adult patients with cancer, drug dosing has traditionally been based on a patient's estimated body surface area (BSA).<sup>1</sup> Despite continuing controversy concerning the value of dose escalation and intensification schedules, there exists compelling preclinical and clinical evidence that reductions from standard dose and dose-intensity may compromise disease-free (DFS) and overall survival (OS) in the curative setting.<sup>2-7</sup> Furthermore, a number of authors have suggested that the optimal delivery of cancer chemotherapy should be considered an indicator of quality of care.<sup>8,9</sup>

Despite studies confirming the safety and importance of full weight–based chemotherapy dosing (Data Supplement 1 at [www.asco.org/guidelines/wbd](http://www.asco.org/guidelines/wbd)), many overweight and obese patients continue to receive limited chemotherapy doses.<sup>10-13</sup> Many oncologists continue to use either ideal body weight or adjusted ideal body weight or to cap the BSA at, for example, 2.0 m<sup>2</sup> rather than use actual body weight to calculate BSA. Practice pattern studies demonstrate that up to 40% of obese patients receive limited doses that are not based on actual body weight.<sup>10,12-17</sup> Moreover, considerable variation in the dosing of chemotherapy in overweight and obese individuals with cancer has been documented,<sup>11,13,14,16,18-22</sup> suggesting considerable uncertainty among physicians about optimal dose selection. Such uncertainty likely arises from the fact that many published

Jennifer J. Griggs, University of Michigan, Ann Arbor, MI; Pamela B. Mangu, American Society of Clinical Oncology, Alexandria, VA; Holly Anderson, Breast Cancer Coalition of Rochester, Michelle Shayne, University of Rochester Medical Center, Rochester, NY; Edward P. Balaban, University of Pittsburgh Cancer Centers Network, Pittsburgh, PA; James J. Dignam, University of Chicago, Chicago, IL; William M. Hryniuk, CasePath, Toronto, Ontario, Canada; Vicki A. Morrison, University of Minnesota Veterans Affairs Medical Center, Minneapolis, MN; T. May Pini, Medical Oncology, Houston, TX; Carolyn D. Runowicz, Florida International University, Miami, FL; Gary L. Rosner, Johns Hopkins University, Baltimore, MD; Alex Sparreboom, St. Jude Children's Research Hospital, Memphis, TN; and Gary H. Lyman, Duke University and the Duke Cancer Institute, Durham, NC.

American Society of Clinical Oncology Clinical Practice Guideline Committee Approved: November 9, 2011.

Editor's note: This is the complete American Society of Clinical Oncology (ASCO) Clinical Practice Guideline on Appropriate Chemotherapy for Obese Adult Patients with Cancer, and it provides the recommendations with comprehensive discussions of the relevant literature for each. The Executive Summary of the guideline and Data Supplements with evidence tables and other tables and figures are available at [www.asco.org/guidelines/wbd](http://www.asco.org/guidelines/wbd).

Authors' disclosures of potential conflicts of interest and author contributions are found at the end of this article.

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VOLUME 30 • NUMBER 99 • MONTH 7 2012

JOURNAL OF CLINICAL ONCOLOGY

ASCO SPECIAL ARTICLE

### Appropriate Chemotherapy Dosing for Obese Adult Patients With Cancer: American Society of Clinical Oncology Clinical Practice Guideline

Jennifer J. Griggs, Pamela B. Mangu, Holly Anderson, Edward P. Balaban, James J. Dignam, William M. Hryniuk, Vicki A. Morrison, T. May Pini, Carolyn D. Runowicz, Gary L. Rosner, Michelle Shayne, Alex Sparreboom, Lara E. Sucheston, and Gary H. Lyman

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The American Society of Clinical Oncology convened a Panel of experts in medical and gynecologic oncology, clinical pharmacology, pharmacokinetics and pharmacogenetics, and biostatistics and a patient representative. MEDLINE searches identified studies published in English between 1996 and 2010, and a systematic review of the literature was conducted. A majority of studies involved breast, ovarian, colon, and lung cancers. This guideline does not address dosing for novel targeted agents.

#### Results

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#### Recommendations

The Panel recommends that full weight–based cytotoxic chemotherapy doses be used to treat obese patients with cancer, particularly when the goal of treatment is cure. There is no evidence that short- or long-term toxicity is increased among obese patients receiving full weight–based doses. Most data indicate that myelosuppression is the same or less pronounced among the obese than the non-obese who are administered full weight–based doses. Clinicians should respond to all treatment-related toxicities in obese patients in the same ways they do for non-obese patients. The use of fixed-dose chemotherapy is rarely justified, but the Panel does recommend fixed dosing for a few select agents. The Panel recommends further research into the role of pharmacokinetics and pharmacogenetics to guide appropriate dosing of obese patients with cancer.

J Clin Oncol 30:99-100. © 2012 by American Society of Clinical Oncology

#### INTRODUCTION

Optimal doses of chemotherapy drugs or drug combinations are generally established through randomized controlled clinical trials (RCTs). In adult patients with cancer, drug dosing has traditionally been based on a patient's estimated body surface area (BSA).<sup>1</sup> There exists compelling evidence that reductions from standard dose and dose-intensity may compromise disease-free survival (DFS) and overall survival (OS) in the curative setting.<sup>2-7</sup> Furthermore, a number of authors have suggested that the optimal delivery of cancer chemotherapy should be considered an indicator of quality of care.<sup>8,9</sup> Despite studies confirming

the safety and importance of full weight–based cytotoxic (intravenous [IV] and oral) chemotherapy dosing, many overweight and obese patients continue to receive limited chemotherapy doses.<sup>10-13</sup> Practice pattern studies demonstrate that up to 40% of obese patients receive limited doses that are not based on actual body weight.<sup>10,12-17</sup> Many oncologists continue to use either ideal body weight or adjusted ideal body weight or to cap the BSA at, for example, 2.0 m<sup>2</sup> rather than use actual body weight to calculate BSA. Moreover, considerable variation in the dosing of chemotherapy in overweight and obese individuals with cancer has been documented,<sup>11,13,14,16,18-22</sup> suggesting considerable uncertainty among physicians about optimal dose selection.

# ASCO GUIDELINES

## Clinical Tools and Resources

### Focus on Quality

#### Guideline Summary

## Appropriate Chemotherapy Dosing for Obese Adult Patients With Cancer: American Society of Clinical Oncology Clinical Practice Guideline

Jennifer J. Griggs, MD, MPH, Pamela B. Mangu, MA, Sarah Temin, MSPH, and Gary H. Lyman, MD, MPH, FASCO, FRCP

University of Michigan, Ann Arbor MI; American Society of Clinical Oncology, Alexandria, VA; and Duke University, Durham, NC

More than 60% of adults in the United States have a body mass index (BMI) over 25 and are considered overweight or obese. Clinicians traditionally order chemotherapy doses based on a patient's estimated body-surface area (BSA) using formulas that were developed decades ago. Despite studies confirming the safety and importance of full weight–based chemotherapy dosing, many overweight and obese patients receive limited chemotherapy doses that are not based on actual weight. When chemotherapy doses are calculated according to actual body weight, and delivered to obese patients, they are less likely to experience toxicity and/or bone marrow suppression. Although poorer outcomes among obese patients are most likely multifactorial, systemic chemotherapy at less than full weight–based dosing and unnecessary dose reductions may partially explain

the significantly higher cancer mortality rates observed in overweight and obese individuals. Underdosing of chemotherapy is of particular concern in patients with chemotherapy-responsive and potentially curable malignancies; reductions in standard chemotherapy dose intensity may increase the risk of disease recurrence and mortality.

With these issues in mind, ASCO recently published a new clinical practice guideline on appropriate chemotherapy dosing for obese adult patients with cancer, in *Journal of Clinical Oncology*.<sup>1</sup> This guideline is based on a systematic search and review of the literature by the ASCO Clinical Practice Guidelines Committee. The guideline is intended to provide clinicians with a clear, evidence-based approach to chemotherapy dosing in obese patients.

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| THE BOTTOM LINE                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
|------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Appropriate Chemotherapy Dosing for Obese Adult Patients With Cancer: American Society of Clinical Oncology Clinical Practice Guideline</b> |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| <b>Intervention</b>                                                                                                                            | • Recommendations for appropriate chemotherapy dosing for obese adult patients                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| <b>Target Audience</b>                                                                                                                         | • Medical oncologists, pharmacists, oncology nurses                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| <b>Key Recommendations</b>                                                                                                                     | • Panel recommends that full weight–based chemotherapy doses be used in obese patients when the goal of treatment is cure.<br>• Clinicians should respond to all treatment-related toxicities in obese patients.<br>• If a dose reduction is used in response to toxicity, consideration should be given to the possibility of a possible cause for the toxicity (eg, impaired renal or hepatic function) and the need for greater dose reductions for obese patients.<br>• The use of fixed-dose cytotoxic chemotherapy is rarely justified (except for certain targeted therapies).                                                                          |
| <b>Methods</b>                                                                                                                                 | • Systematic review of medical literature and analysis of the medical literature                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| <b>Additional Information</b>                                                                                                                  | • The recommendations, clinical questions, and a brief summary of the literature are available at <a href="http://jco.ascopubs.org/content/early/2012/03/27/JCO.2011.3000000">http://jco.ascopubs.org/content/early/2012/03/27/JCO.2011.3000000</a> .<br>• The full guideline, with comprehensive discussions of the literature, methods, and resources, can be found at <a href="http://www.asco.org/guidelines/wbd">www.asco.org/guidelines/wbd</a> .<br>• A commentary by Gary H. Lyman is available at <a href="http://jco.ascopubs.org/content/early/2012/03/27/JCO.2011.3000000">http://jco.ascopubs.org/content/early/2012/03/27/JCO.2011.3000000</a> . |

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### Focus on Quality

#### Commentary

## Weight-Based Chemotherapy Dosing in Obese Patients With Cancer: Back to the Future

By Gary H. Lyman, MD, MPH, FASCO, FRCP(Edin)

See accompanying article at [jco.ascopubs.org/content/early/2012/03/27/JCO.2011.3000000](http://jco.ascopubs.org/content/early/2012/03/27/JCO.2011.3000000), doi: 10.1200/JCO.2012.42.8375

Early in the history of modern cancer chemotherapy, preclinical and clinical studies demonstrated that both treatment efficacy and toxicity were associated with a clear dose-response relationship. In experimental tumor-bearing animals, the dose-response relationship is steep for most chemotherapeutic agents, with the steepness of the curve related to specific tumor sensitivity to a particular drug.<sup>1</sup> In fact, for highly sensitive tumors, the dose-response curve is very steep and generally linear.<sup>1</sup> Schabel<sup>2</sup> and Skipper<sup>3</sup> demonstrated in animal tumor models that a reduction in chemotherapy dose of as little as 20% may virtually eliminate an otherwise high complete remission rate and reduce cure rates by as much as 50%.<sup>2,3</sup> Goldie and Coldman<sup>4,5</sup> demonstrated that higher chemotherapy doses reduce the likelihood of resistant malignant clones emerging in tumors. At the same time, Norton and Simon developed mathematical models suggesting that shortening the interval between chemotherapy doses would reduce the opportunity for tumor regrowth and the emergence of drug resistance.<sup>6,7</sup> Prior to the study of new

experience myelosuppression while receiving chemotherapy may subsequently experience improved disease-free and overall survival.<sup>11,12</sup> Although data from prospective randomized controlled trials are less abundant, deliberate randomization to different dose-intensity schedules in early-stage breast cancer has demonstrated a significant association with disease-free and overall survival.<sup>13-15</sup> Although the shape or slope of the dose-response curve varies between cancer types, abundant evidence that patients who receive chemotherapy experience improved survival compared with untreated controls confirms a dose-response relationship between a dose intensity of 0 (untreated patients) and the dose intensity delivered in the trials.<sup>16-18</sup> Extending the theoretical and experimental evidence in favor of shortened dosing intervals with standard doses (dose dense), several clinical trials have demonstrated improved disease-free and overall survival compared with standard dosing and schedule.<sup>19-23</sup>

Therefore, considerable data support the importance of maintaining the chemotherapy dose and schedule used in the definitive clinical trials upon which a treatment indication is based, especially in responsive malignancies treated in the curative setting. Nevertheless, practice pattern surveys have demonstrated that frequent major reductions in chemotherapy dose intensity occur in clinical practice throughout the United States and in other countries.<sup>24-27</sup> These studies have also demonstrated considerable variation and apparent uncertainty in the appropriate dosing of chemotherapy in obese cancer patients with cancer, resulting in dose modification, including the use of an idealized body weight or the capping of the total dose. Such arbitrary dose adjustments are major factors associated with reduced chemotherapy dose intensity received by obese patients with cancer.<sup>28,29</sup> (Figure 1) Most of the reduction in dose intensity associated with obesity is evident from the start of therapy (planned), with no increase in dose reductions associated with toxicity that were planned at the start of treatment. At the same time, studies have demonstrated that obese patients being treated with curative intent have a significantly greater risk of mortality.<sup>29</sup> In a retrospective analysis of the Cancer and Leukemia Group B 8541 trial, Rosner et al found that obese women with early-stage breast cancer who received full-dose-intensity adjuvant chemotherapy experienced no excess toxicity or worse outcome than similarly dosed healthy-weight patients, whereas women who received reduced doses of chemotherapy had a worse failure-free survival.<sup>30</sup> In addition, pharmacokinetic studies have demonstrated that chemotherapy dose calculations should generally be based on actual rather than ideal body

# ASCO GUIDELINES

## Clinical Tools and Resources

## APPROPRIATE CHEMOTHERAPY DOSING FOR OBESE ADULT PATIENTS WITH CANCER

### Clinical Practice Guideline

jco.ascopubs.org

1

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# ASCO GUIDELINES

## Clinical Tools and Resources

### Advice for Overweight or Obese Patients and Caregivers

- Physicians will prescribe the right amount of chemotherapy based on a patient's actual weight, but if obese patients or caregivers inquire about dosing, a discussion about the evidence supporting weight-based dosing is appropriate.
- Physicians may have to explain to overweight or obese patients and caregivers that higher doses of chemotherapy are needed to be effective.
- Suboptimal treatment could result if chemotherapy dosing is not weight-based.
- It is important to reassure obese patients that toxicity from the appropriate dose of chemotherapy is not expected to be greater.
- Adverse effects will be monitored closely.
- Patients should be warned that costs may be higher.

#### Abbreviations

AUC, area under the curve; BMI, Body Mass Index; BSA, body surface area; Ht, height; Wt, weight

#### Source

Griggs JJ, Mangtani PB, Anderson H, Balaban EP, Dignam JJ, Hryniuk WM, Morrison VA, Pini TM, Runowicz CD, Rosner GL, Shayne M, Sparreboom A, Suchetson LE, and Lyman GH. American Society of Clinical Oncology (ASCO) Guideline on Appropriate Chemotherapy Dosing for Obese Adult Patients with Cancer. *J Clin Oncol*. Published online April 2, 2012; doi Available at [www.asco.org/guidelines/wbd](http://www.asco.org/guidelines/wbd).

#### Disclaimer

This resource is a practice tool for physicians based on an ASCO® practice guideline. The practice guideline and this tool are not intended to substitute for the independent professional judgment of the treating physician. Practice guidelines do not account for individual variation among patients and may not reflect the most recent evidence. This tool does not recommend any particular product or course of medical treatment. Use of the practice guideline and this resource is voluntary. The full practice guideline and additional information are available at <http://www.asco.org/guidelines>. Copyright © 2012 by American Society of Clinical Oncology®. All rights reserved.



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American Society of Clinical Oncology

Making a world of difference in cancer care

## Weight-Based Chemotherapy Obese Adult Patients

Consultant:  
XXXXXX

#### Key Points

#### Diagnosis and Assessment

#### Calculation Tools for BSA and BMI

#### Advice for Overweight or Obese Patients

### Key Points

- Reductions from an actual weight-based chemotherapy dose and/or reducing promise disease-free and overall survival in the

ing an adult obese patient with cancer based on the  
are unfounded.

demonstrate that up to 40% of obese patients receive  
ot based on actual body weight.

ue to use either ideal body weight or adjusted ideal  
e BSA at, for example, 2.0 m<sup>2</sup> rather than use actual  
body surface area (BSA).

ese patients continue to receive limited chemotherapy

same or less pronounced among the obese than the  
n full weight-based doses.

nd to all treatment-related toxicities in obese patients  
o for non-obese patients.

studies have clearly demonstrated that actual  
eight should be used in dose calculations for  
ts in patients with cancer who are obese.

### Recommendations

when selecting cytotoxic chemotherapy doses (both IV  
obesity status, and use full weight-based doses because  
s may result in poorer disease-free and overall survival

hemotherapy dosing for morbidly obese patients with  
n the goal of treatment is cure, subject to appropriate  
omorbid conditions.

the same guidelines for dose reduction, regardless of  
tients, depending on the type and severity of toxicity,  
s, and whether the treatment intention is cure or

ler fixed dosing only with select cytotoxic agents  
rcin).

neurotoxicity concerns, vincristine is capped at a maximum dose  
reg of the CHOP [cyclophosphamide, hydroxydoxorubicin  
e (Oncovin), prednisone] and CVP [cyclophosphamide,  
ednisone] regimens.

of the standard formulas.

ng recommendations are based on an evidence-based  
atic review of the literature, including randomized  
ational studies, and pharmacokinetic studies.

d agents is not addressed in the guideline.

### Calculation Tools for BSA and BMI

- Chemotherapy is usually dosed by square meter of body surface area (BSA). BSA has been chosen rather than body weight as the basis for calculation for two reasons. First, BSA has been demonstrated to provide a more accurate comparison of activity and toxicity for certain drugs. Second, BSA can be more closely correlated with cardiac output, which determines the blood flow to the liver and kidneys, thus influencing drug elimination.

Average values of BSA—1.9 m<sup>2</sup> for a man and 1.6 m<sup>2</sup> for a woman.

For adults, a BMI of 25.0 to 29.9 is considered overweight and a BMI of 30.0 or higher is considered obese.

#### BSA Calculation Resources

| BSA Formula Name | BSA Formula                                 | BSA Calculation Tool                               |
|------------------|---------------------------------------------|----------------------------------------------------|
| Calvert          | Total Dose (mg) = (target AUC) X (GFR + 25) | Scan to use the online Carboplatin Dose Calculator |

|                   |                                                                   |                                                                         |
|-------------------|-------------------------------------------------------------------|-------------------------------------------------------------------------|
| DuBois and DuBois | $BSA(m^2) = Wt(kg)^{0.425} \times Ht(cm)^{0.725} \times 0.007184$ | Scan to use the online European Society for Medical Oncology calculator |
|-------------------|-------------------------------------------------------------------|-------------------------------------------------------------------------|

|                  |                                                                 |                                           |
|------------------|-----------------------------------------------------------------|-------------------------------------------|
| Gehan and George | $BSA(m^2) = Wt(kg)^{0.725} \times Ht(cm)^{0.725} \times 0.0235$ | Scan to use the online MedCalc calculator |
|------------------|-----------------------------------------------------------------|-------------------------------------------|

|                 |                                                                   |                                       |
|-----------------|-------------------------------------------------------------------|---------------------------------------|
| Haycock, et al. | $BSA(m^2) = Wt(kg)^{0.725} \times Ht(cm)^{0.725} \times 0.024265$ | Scan to use the online BMI calculator |
|-----------------|-------------------------------------------------------------------|---------------------------------------|

|                                 |                                                                                                                |                                       |
|---------------------------------|----------------------------------------------------------------------------------------------------------------|---------------------------------------|
| Mosteller (Adults and Children) | $BSA(m^2) = \sqrt{\frac{Ht(in) \times Wt(lb)}{3131}}$<br>$BSA(m^2) = \sqrt{\frac{Ht(cm) \times Wt(kg)}{3600}}$ | Scan to use the online BMI calculator |
|---------------------------------|----------------------------------------------------------------------------------------------------------------|---------------------------------------|

|                  |                                                                                              |                                       |
|------------------|----------------------------------------------------------------------------------------------|---------------------------------------|
| BMI Calculations | $BMI = \frac{mass(kg)}{(height(m))^2}$<br>$BMI = \frac{mass(lb) \times 703}{(height(in))^2}$ | Scan to use the online BMI calculator |
|------------------|----------------------------------------------------------------------------------------------|---------------------------------------|

### 5 Things to Remember About Patients with Cancer Who Are Obese

1. Many obese patients are under-dosed, which compromises the efficacy of cytotoxic therapy and the chance for cure.
2. Obese patients should receive weight-based doses.
3. There is no evidence that toxicity will increase with weight-based dosing for cytotoxic therapy.
4. It is ok to talk to patients about obesity and appropriate dosing. It is possible to monitor and manage toxicities.
5. There are a few select agents\* that are given in a capped dose and some therapeutic agents, where the data are insufficient to recommend weight-based doses.

### Frequently Asked Questions

**Q. I've always capped the dose, perhaps because of toxicity concerns. Really – you want me to stop capping the dose now?**

A. Many clinicians are reluctant to prescribe weight-based doses, in fact, studies show that patients who receive weight-based doses have better overall survival. Patients may need extra time and reassurance if they question the standard of care. Randomized controlled trials (RCTs), observational studies, and pharmacokinetic studies do not support dose capping.

Clinicians are often reluctant to discuss obesity with cancer patients. It can be difficult to reassure patients that increased doses of cytotoxic chemotherapy (IV or oral) do not usually mean increased toxicity.

Empiric decreases from pharmacokinetic findings in drug dose for obese patients do not support dose capping for any agent.

\*It is acceptable to cap the dose for some agents, e.g., a maximum dose of 2.0 mg of vincristine when used as part of the CHOP [cyclophosphamide, hydroxydoxorubicin (doxorubicin), vincristine, prednisone] and CVP [cyclophosphamide, vincristine, prednisone] regimens.

There are insufficient data for addressing dosing for overweight and obese patients receiving targeted therapies.

### Appropriate Chemotherapy Dosing For Obese Adult Patients With Cancer: ASCO Clinical Practice Guideline

ASCO GUIDELINES

Clinical Tools and Resources

#### Calculation Tools for Body Surface Area (BSA) And Body Mass Index (BMI)

| Carboplatin Calculation Resource |                                             |
|----------------------------------|---------------------------------------------|
| Formula Name                     | Formula                                     |
| Calvert                          | Total Dose (mg) = (target AUC) X (GFR + 25) |

| BSA Calculation Resources                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                              |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|
| Formula Name                                                                                                                                                                                                                                                                                                                                                                                                                                        | Formula                                                                                                      |
| Chemotherapy is usually dosed by square meter of body surface area (BSA). BSA has been chosen rather than body weight as the basis for calculation for two reasons. First, BSA has been demonstrated to provide a more accurate comparison of activity and toxicity for certain drugs. Second, BSA can be more closely correlated with cardiac output, which determines the blood flow to the liver and kidneys, thus influencing drug elimination. |                                                                                                              |
| Boyd                                                                                                                                                                                                                                                                                                                                                                                                                                                | $BSA (m^2) = 0.0003207 \times Ht(cm)^{0.725} \times weight(g)^{0.725} - (0.0188 \times \log_{10} weight(g))$ |
| DuBois and DuBois                                                                                                                                                                                                                                                                                                                                                                                                                                   | $BSA(m^2) = Wt(kg)^{0.425} \times Ht(cm)^{0.725} \times 0.007184$                                            |
| Gehan and George                                                                                                                                                                                                                                                                                                                                                                                                                                    | $BSA(m^2) = Wt(kg)^{0.51456} \times Ht(cm)^{0.42246} \times 0.0235$                                          |
| Haycock, et al.                                                                                                                                                                                                                                                                                                                                                                                                                                     | $BSA(m^2) = Wt(kg)^{0.5378} \times Ht(cm)^{0.3964} \times 0.024265$                                          |
| Mosteller (Adults and Children)                                                                                                                                                                                                                                                                                                                                                                                                                     | $BSA(m^2) = \text{SQRT}((Ht(cm) \times Wt(kg))/3600)$<br>or                                                  |

# Types of Biomarker Prognostic and Predictive Studies

1. Phase I: Exploratory Association Studies (derivation)
2. Phase II: Confirmatory Association Studies (validation)
3. Phase III: Comparative Effectiveness Studies
  - a. Randomized: guided vs. unguided controls
  - b. Nonrandomized: guided vs. unguided concurrent controls
  - c. Nonrandomized: guided vs. historical controls

# Levels of Evidence

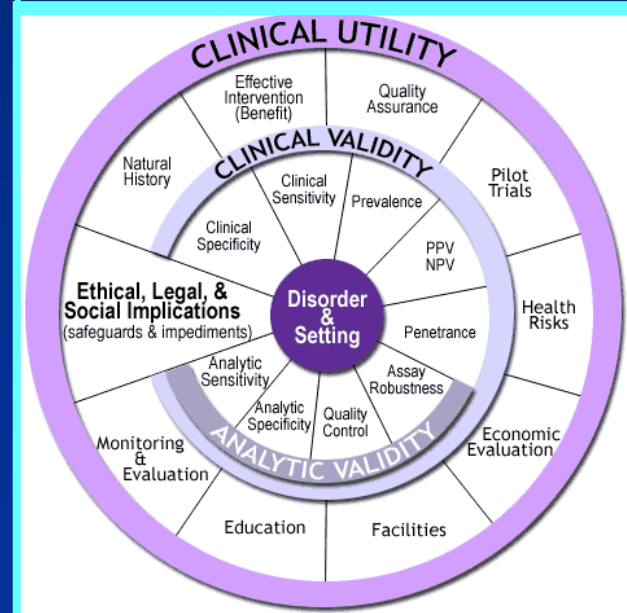
| <u>Level</u> | <u>Definition</u>                                                             |
|--------------|-------------------------------------------------------------------------------|
| I            | Prospective, Marker Primary Objective,<br>Well-powered trial or meta-analysis |
| II           | Prospective, Marker Secondary Objective<br><div>MOST BIOMARKER STUDIES</div>  |
| III          | Retrospective, Outcomes, Multivariate Analysis                                |
| IV           | Retrospective, Outcomes, Univariate                                           |
| V            | Retrospective, Correlation with Other Marker<br>No Outcomes                   |

# Personalized Medicine in Cancer Diagnosis and Treatment

## *Biomarkers and Cancer Treatment*

- Source studies
  - RCTs or large prospective cohorts
  - Appropriate control groups
  - Clinically relevant endpoints of efficacy and safety
  - Biomarker results available on most patients
- Analytic validity
  - Biomarker importance biologically plausible
  - Good assay performance characteristics
  - Measurement blinded to study outcomes
- Clinical validity
  - Biomarker subgroups & planned analysis prespecified
  - Adequate power
  - Adjust for known prognostic/predictive factors
  - Drug-biomarker interaction
- Clinical Utility
  - Reclassification
  - Decision impact
  - Impact on outcomes (Benefit and Harm)
  - Improved value compared to standard of care

|                            |                                                   |
|----------------------------|---------------------------------------------------|
| <b>Analytical Validity</b> | Ability of the test to yield consistent results   |
| <b>Clinical Validity</b>   | Ability to predict outcome                        |
| <b>Clinical Utility</b>    |                                                   |
| <b>Risk classification</b> | Percentage of patients reclassified based on test |
| <b>Therapeutic choice</b>  | Percentage of patients where treatment altered    |
| <b>Patient outcome</b>     | Effect on outcomes, eg, LE, AEs, quality of life  |
| <b>Economic Validity</b>   | Cost benefit and cost-effectiveness               |





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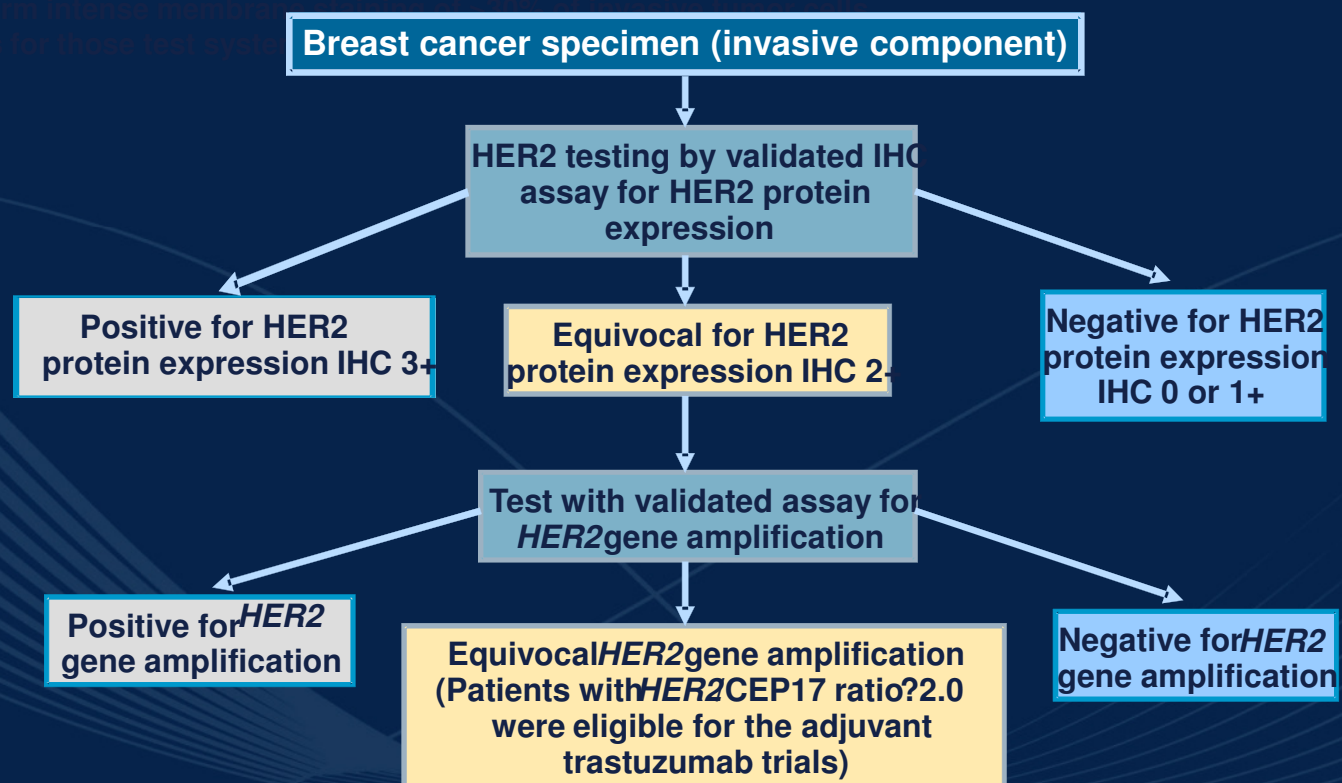
*Making a world of difference in cancer care*

**Guideline Recommendations for HER2 Testing in  
Breast Cancer ASCO/College of American Pathologists  
Practice Guideline Recommendations**

| Result Category | IHC Score<br>HER2 Protein Expression | FISH Score<br>HER2 Gene Amplification                                                  |
|-----------------|--------------------------------------|----------------------------------------------------------------------------------------|
| Positive        | 3+ <sup>**</sup>                     | HER2/CEP 17 ratio >2.2, or<br>Average HER2 gene copy number >6 <sup>§</sup>            |
| Equivocal       | 2+                                   | HER2/CEP 17 ratio of 1.8 – 2.2, or<br>Average HER2 gene copy number 4 – 6 <sup>§</sup> |
| Negative        | 0 – 1+                               | HER2/CEP 17 ratio <1.8, or<br>Average HER2 gene copy number <4 <sup>§</sup>            |

<sup>\*\*</sup> Defined as uniform intense membranous staining in >10% of cells

<sup>§</sup> Signals/nucleus for those test systems





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**American Society of Clinical Oncology (ASCO)/  
College of American Pathologists (CAP)  
Guideline Recommendations for Immunohistochemical Testing of  
Estrogen/Progesterone Receptors in Breast Cancer**

# ASCO Guidelines for Tumor Markers in Patients with Breast Cancer

|                                                                                                                    | Not Recommended                                                                                                                                                                                          | Recommended                                                                                                                                  |
|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|
| CA 15-3, CA 27.29 (Circulating)                                                                                    | Screening, diagnosis, staging, prognosis, or surveillance. Using alone for monitoring.                                                                                                                   | For monitoring patients with metastatic disease during active therapy, in conjunction with diagnostic imaging, history, and physical exam.   |
| CEA (Circulating)                                                                                                  | Screening, diagnosis, staging, prognosis, or surveillance. Using alone for monitoring.                                                                                                                   | For monitoring patients with metastatic disease during active therapy, conjunction with diagnostic imaging, history, and physical exam.      |
| ER (tissue), PgR (tissue)                                                                                          | For women with DCIS who are candidates for hormonal therapy.                                                                                                                                             | For diagnosis, treatment planning – on every primary invasive breast cancer and on metastatic lesions if would influence treatment planning. |
| DNA Flow Cytometry-based proliferation (tissue)                                                                    | Screening, diagnosis, staging, prognosis, surveillance, or monitoring.                                                                                                                                   |                                                                                                                                              |
| Ki67, Cyclin D, Cyclin E, p27, p21, thymidine kinase, topoisomerase II, or other markers of proliferation (tissue) | Screening, diagnosis, staging, prognosis, surveillance, or monitoring.                                                                                                                                   |                                                                                                                                              |
| HER2 (tissue)                                                                                                      | Screening, diagnosis, staging, prognosis, surveillance, or monitoring. Should not be used to withhold or select one specific type of endocrine treatment. Not to Guide use of adjuvant taxane treatment. |                                                                                                                                              |
| Circulating Extracellular Domain of HER2                                                                           | Screening, diagnosis, staging, prognosis, surveillance, or monitoring.                                                                                                                                   |                                                                                                                                              |

|                                                         | Not Recommended                                                                                                                                           | Recommended                                                                                                                                                                                    |
|---------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| P53 (tissue)                                            | Screening, diagnosis, staging, prognosis, surveillance, or monitoring.                                                                                    |                                                                                                                                                                                                |
| Cathepsin D (tissue)                                    | Screening, diagnosis, staging, prognosis, surveillance, or monitoring.                                                                                    |                                                                                                                                                                                                |
| uPA and PAI-1 (tissue)                                  | Screening, diagnosis, staging, surveillance, or monitoring.                                                                                               | To determine prognosis. For treatment planning. To guide use of CMF-based adjuvant chemotherapy.                                                                                               |
| Cyclin E Fragments (tissue)                             | Screening, diagnosis, staging, prognosis, surveillance, or monitoring.                                                                                    |                                                                                                                                                                                                |
| Proteomic Analysis (tissue)                             | Screening, diagnosis, staging, prognosis, surveillance, or monitoring.                                                                                    |                                                                                                                                                                                                |
| Multiparameter Gene Expression Analysis (tissue)        | Screening, diagnosis, staging, surveillance, or monitoring. Not for prediction of hormonal therapies other than tamoxifen or other chemotherapy regimens. | Oncotype™ for prognosis for patients with node-negative, ER positive breast cancer who will receive tamoxifen. Guiding use of adjuvant tamoxifen and adjuvant chemotherapy (specifically CMF). |
| Multiparameter Gene Expression Analysis, (tissue) other | Screening, diagnosis, staging, prognosis, surveillance, or monitoring.                                                                                    |                                                                                                                                                                                                |
| Bone Marrow Micrometastases                             | Screening, diagnosis, staging, prognosis, surveillance, or monitoring.                                                                                    |                                                                                                                                                                                                |
| Circulating tumor cell assays                           | Screening, diagnosis, staging, prognosis, surveillance, predicting or monitoring.                                                                         |                                                                                                                                                                                                |

## ASCO Guidelines for Tumor Markers in Patients with Breast Cancer

| Diagnosis State                                                 | Recommended                                  | Not Recommended                                   |
|-----------------------------------------------------------------|----------------------------------------------|---------------------------------------------------|
| Newly Diagnosed Primary Invasive                                | ER/PgR test, HER2 test                       |                                                   |
| Newly Diagnosed Metastatic Invasive                             | ER/PgR test, HER2 test, CA 15-3 and CA 27.29 | Using CA 15-3 and CA 27.29 alone; Using CEA alone |
| Newly Diagnosed Primary Invasive LN -and either ER and/or PgR + | Oncotype DX™, uPA and PAI-1 test             | Other multiparameter gene expression assays       |
| Newly Diagnosed Primary Invasive Node - and ER and PgR -        | uPA and PAI-1 test                           |                                                   |
| Recurrent Primary Invasive                                      | HER2 test                                    | ER/PgR test; Oncotype Dx; uPA and PAI-test        |
| DCIS                                                            | n/a                                          | ER/PgR test                                       |

## ASCO Guidelines for Tumor Markers in Patients with Breast Cancer

### Breast Cancer Tumor Marker Recommendations

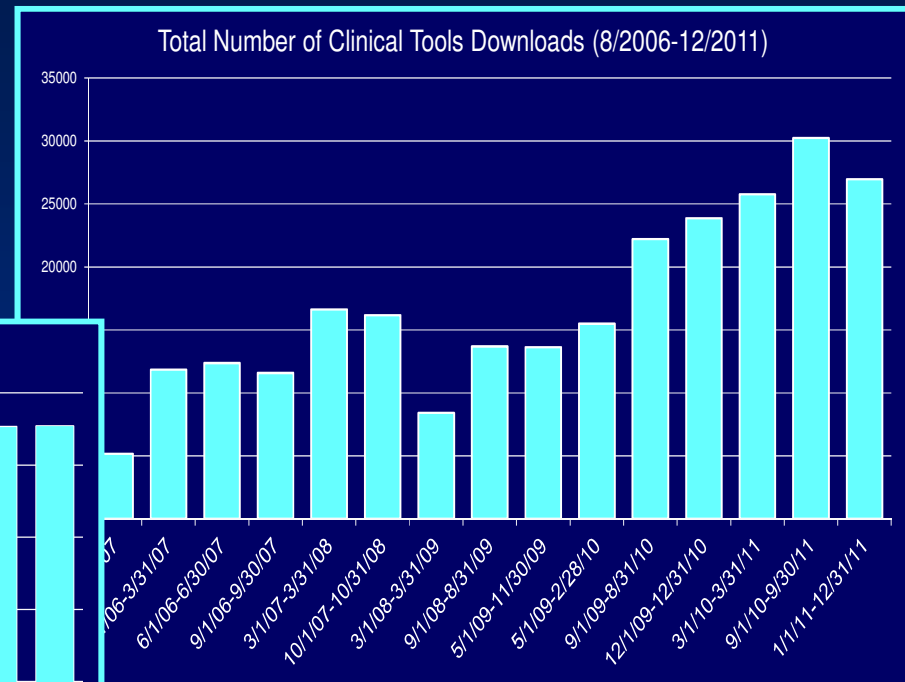
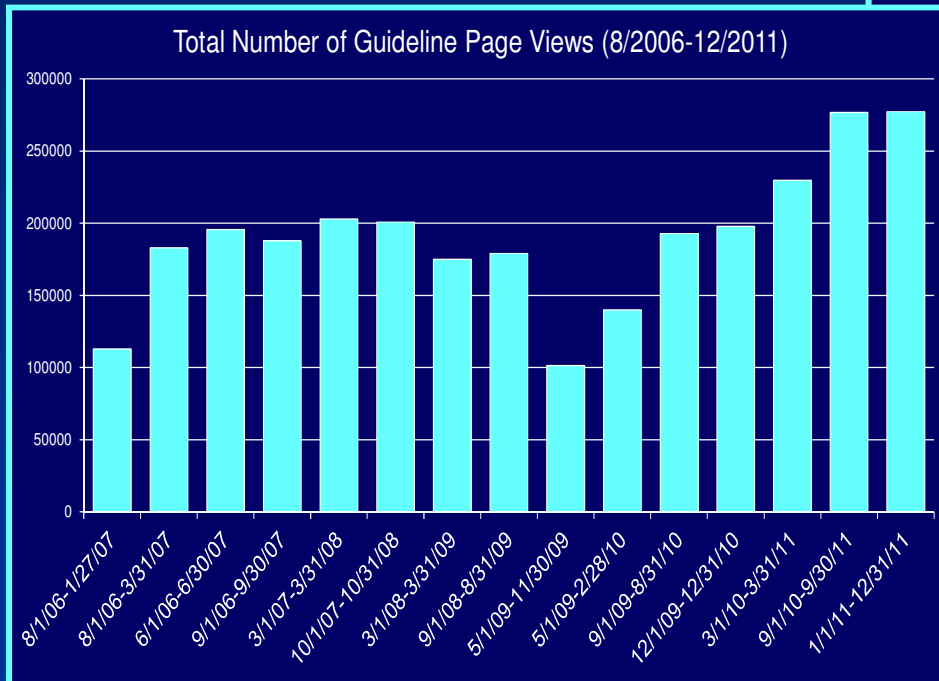
- |                 |                              |
|-----------------|------------------------------|
| – ER, PgR +     | Select Endocrine Therapy     |
| – HER2 +        | Select Trastuzumab/Lapatinib |
| – UPA/PAI -1    | Avoid Chemo if ER+/Node neg  |
| – Oncotype DX - | Avoid Chemo if ER+/Node neg  |

### Why Are the Guidelines So Conservative?

- Recommend only those markers for which results would change clinical decisions
- Evidence-based
- Lack of Level of Evidence I or II studies

# Measuring ASCO Guideline Access and Utilization

## *ASCO.org Statistics*

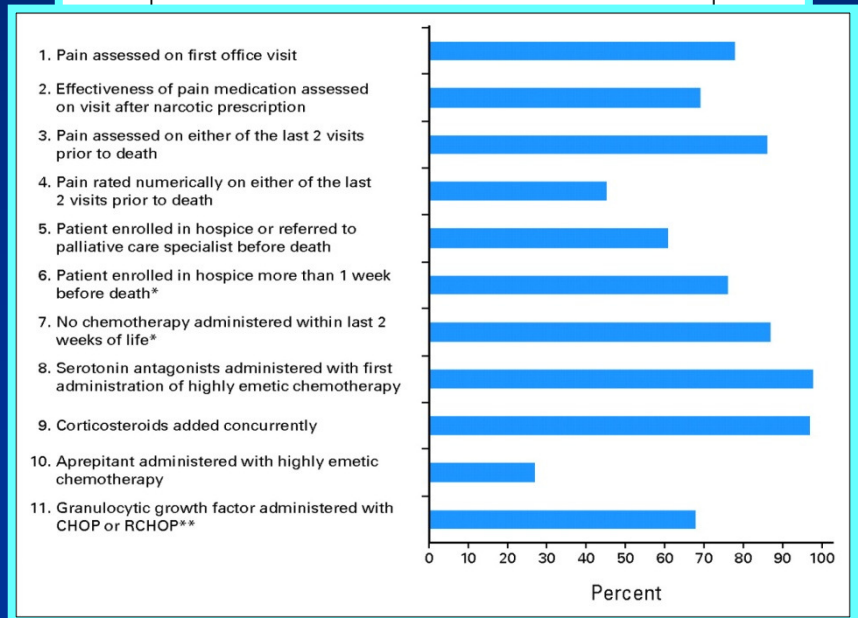


# Measuring the Impact of Guidelines

## Quality Oncology Practice Initiative (QOPI)

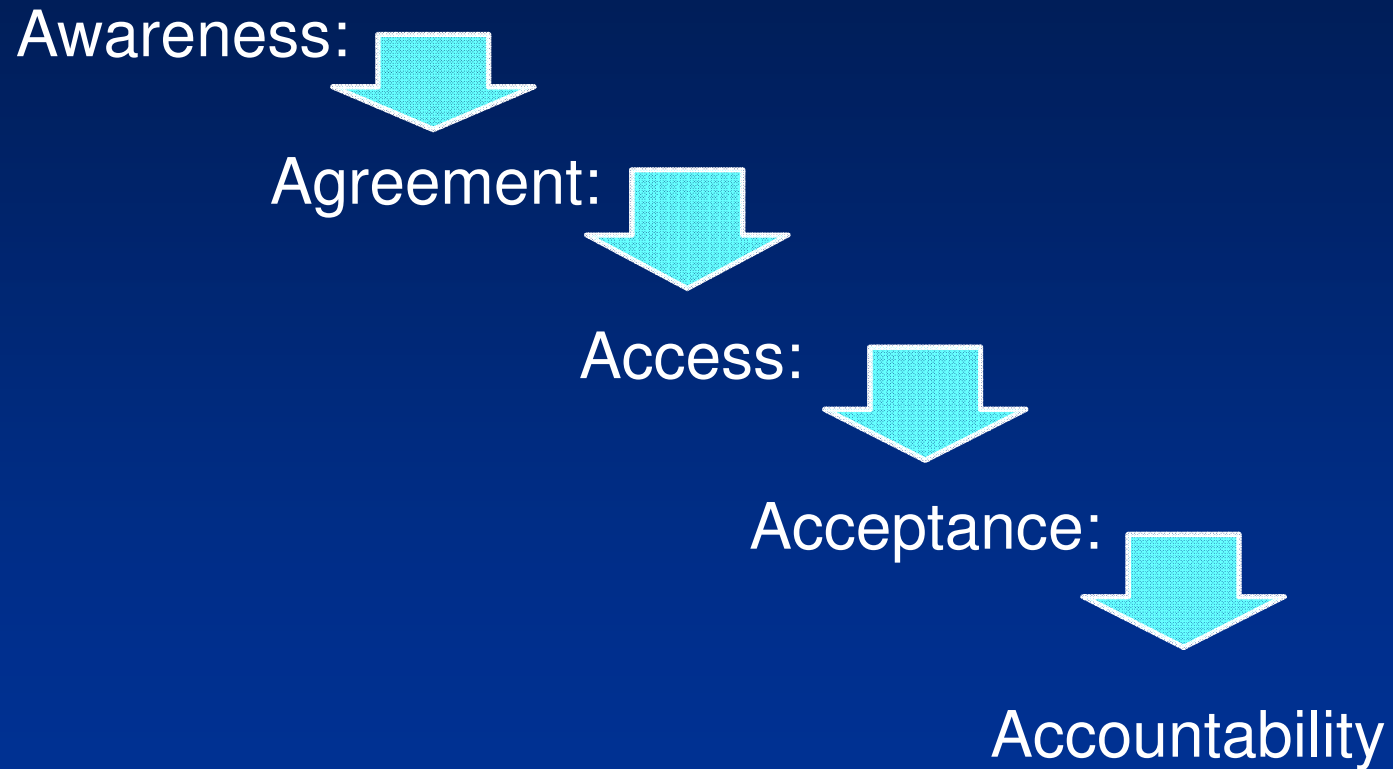
- Quality of care measures identified with all new ASCO Guidelines
- Measures offered to QOPI library of quality of care tools
- Integration of measures in QOPI data collection to evaluate sites and benchmark compliance over time.
- Pilot: QOPI provides a “rapid and objective measurement of practice quality that allows comparisons among practices and over time”

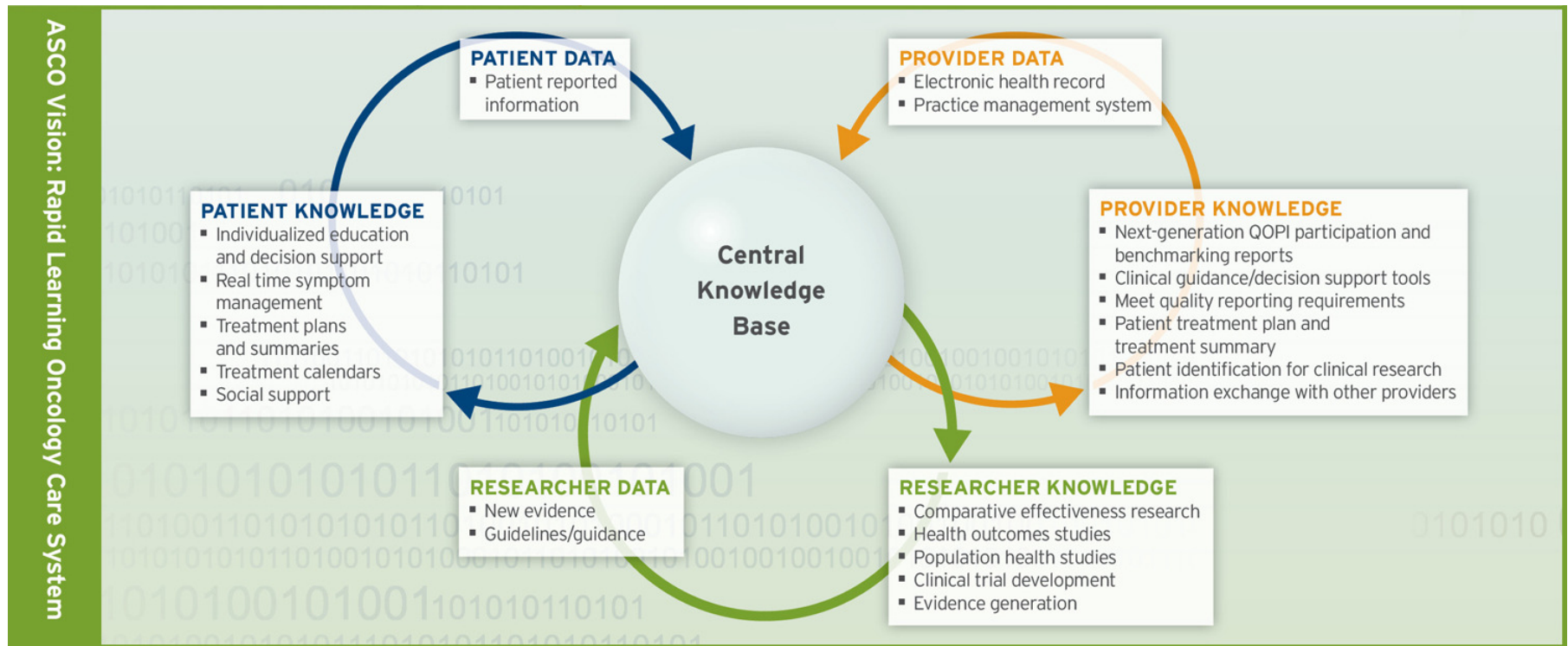
Neuss MN, et al. *J Clin Oncol*. 2005;23:6233-6239.



## Practice Guideline Barriers Remain

*Awareness, Agreement, Access, Acceptance, Accountability*





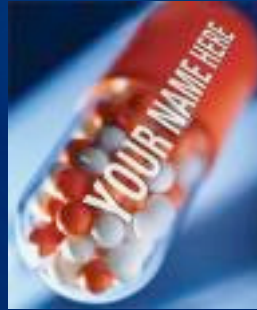
## RLS Knowledge Generation

- Phase IV Studies/Monitoring
- REMS
- Appropriate Use Studies
- Test Quality Measures and Guidelines
- Health Outcomes Studies
- Population Health / Epidemiology Research
- Comparative Effectiveness Research
- Clinical Trials
- Endless other research generation

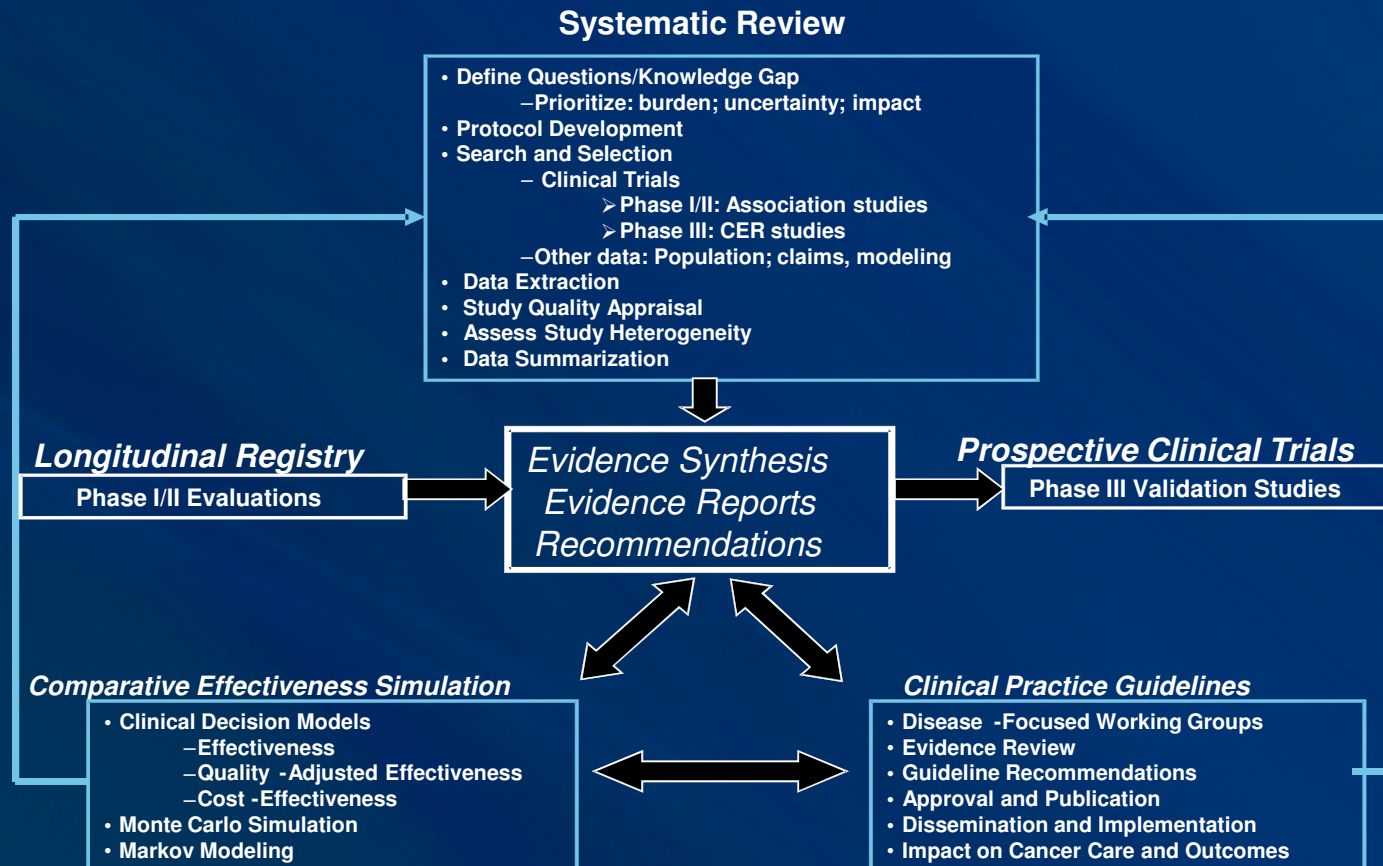
## Cost Estimates Associated with VTE Prophylaxis and Treatment

| Management                                                          | Drug                     | Regimens <sup>1</sup>                                                          | Estimated Weekly Cost | Estimated 6 month Cost |
|---------------------------------------------------------------------|--------------------------|--------------------------------------------------------------------------------|-----------------------|------------------------|
| <b>Prophylaxis</b>                                                  |                          |                                                                                |                       |                        |
| <b>Hospitalized Medical or Surgical Cancer Patients<sup>4</sup></b> | Unfractionated Heparin   | 5000 U q 8 h <sup>2</sup>                                                      | \$12.08               | <b>\$313.95</b>        |
|                                                                     | Dalteparin (Fragmin ®)   | 5000 U daily                                                                   | \$152.40              | <b>\$3,962.50</b>      |
|                                                                     | Enoxaparin (Lovenox ®)   | 40 mg daily                                                                    | \$154.59              | <b>\$4,019.29</b>      |
|                                                                     | Fondaparinux (Arixtra ®) | 2.5 mg daily                                                                   | \$199.92              | <b>\$5,197.92</b>      |
| <b>Treatment</b>                                                    |                          |                                                                                |                       |                        |
| <b>Initial<sup>5</sup></b>                                          |                          |                                                                                |                       |                        |
|                                                                     | Dalteparin (Fragmin ®)   | 100 U/kg q 12 hr                                                               | \$426.73              | <b>n/a</b>             |
|                                                                     |                          | 200 U/kg daily <sup>7</sup>                                                    | \$426.73              | <b>n/a</b>             |
|                                                                     | Enoxaparin (Lovenox ®)   | 1 mg/kg q 12 hr                                                                | \$541.06              | <b>n/a</b>             |
|                                                                     |                          | 1.5 mg/kg daily <sup>7</sup>                                                   | \$405.79              | <b>n/a</b>             |
|                                                                     | Heparin                  | 80 U/kg IV bolus, then 18 U/kg/hr IV [adjust level based on PTT <sup>8</sup> ] | \$24.99               | <b>n/a</b>             |
|                                                                     | Fondaparinux (Arixtra ®) | <50 kg, 2.5 mg daily                                                           | \$199.92              | <b>n/a</b>             |
|                                                                     |                          | 50-100 kg, 5 mg daily                                                          | \$399.84              | <b>n/a</b>             |
|                                                                     |                          | >100 kg, 7.5 mg daily                                                          | \$599.76              | <b>n/a</b>             |
|                                                                     | Tinzaparin (Innohep ®)   | 175 U/kg daily                                                                 | \$198.17              | <b>n/a</b>             |
| <b>Long Term<sup>9</sup></b>                                        |                          |                                                                                |                       |                        |
|                                                                     | Dalteparin (Fragmin ®)   | 200 U/kg daily for 1 m; then 150 U/kg daily                                    | \$334.12              | <b>\$8,687.04</b>      |
|                                                                     | <b>Warfarin</b>          | <b>5-10 mg po daily; adjust dose to INR 2-3</b>                                | <b>\$4.43</b>         | <b>\$115.15</b>        |

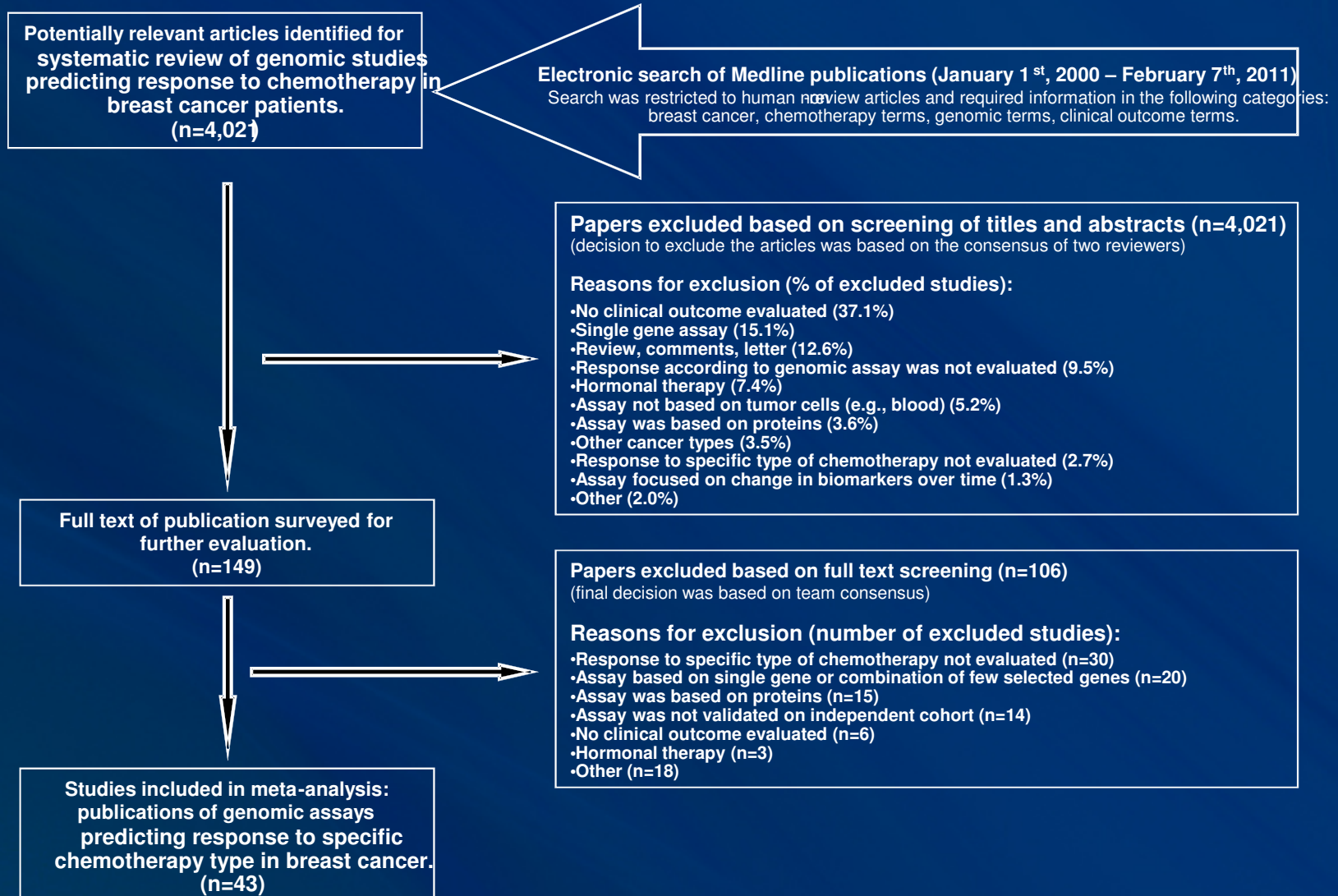




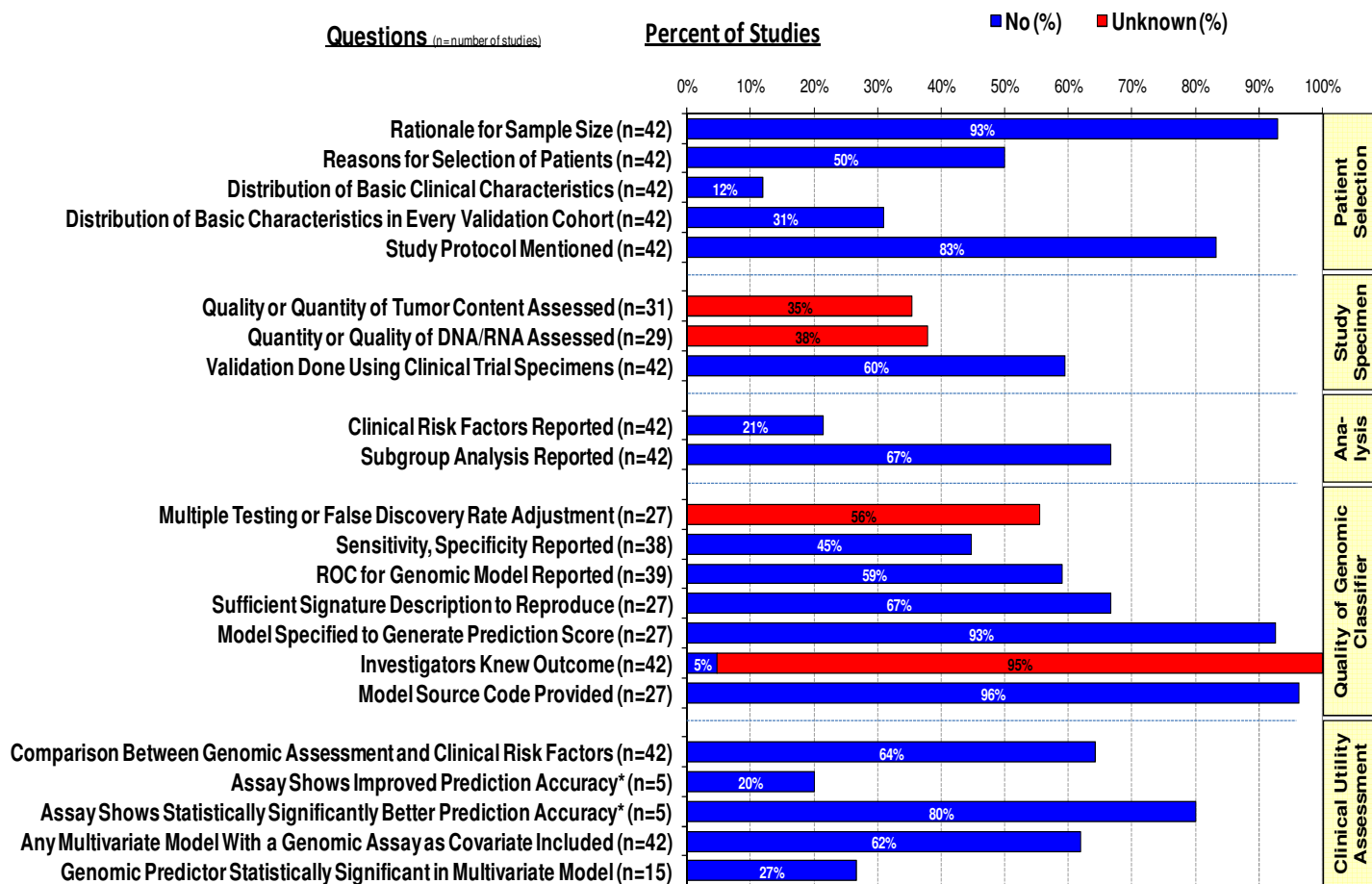
## Programs in Clinical Effectiveness of Cancer Pharmacogenomics



## QUOROM Diagram



## Quality Assessment 1



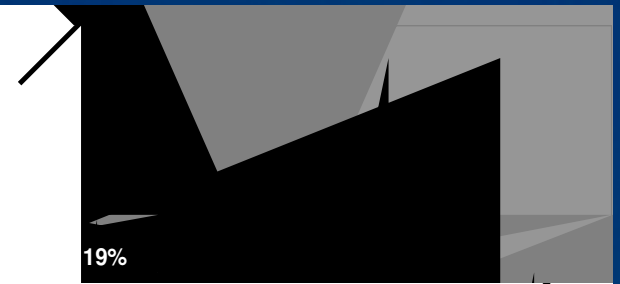
\* Compared to standard risk factors (for 2-model comparisons).

## Quality Appraisal of Multigene Assay Response Prediction Assays

*Quality Scoring Based on 22 study parameters*

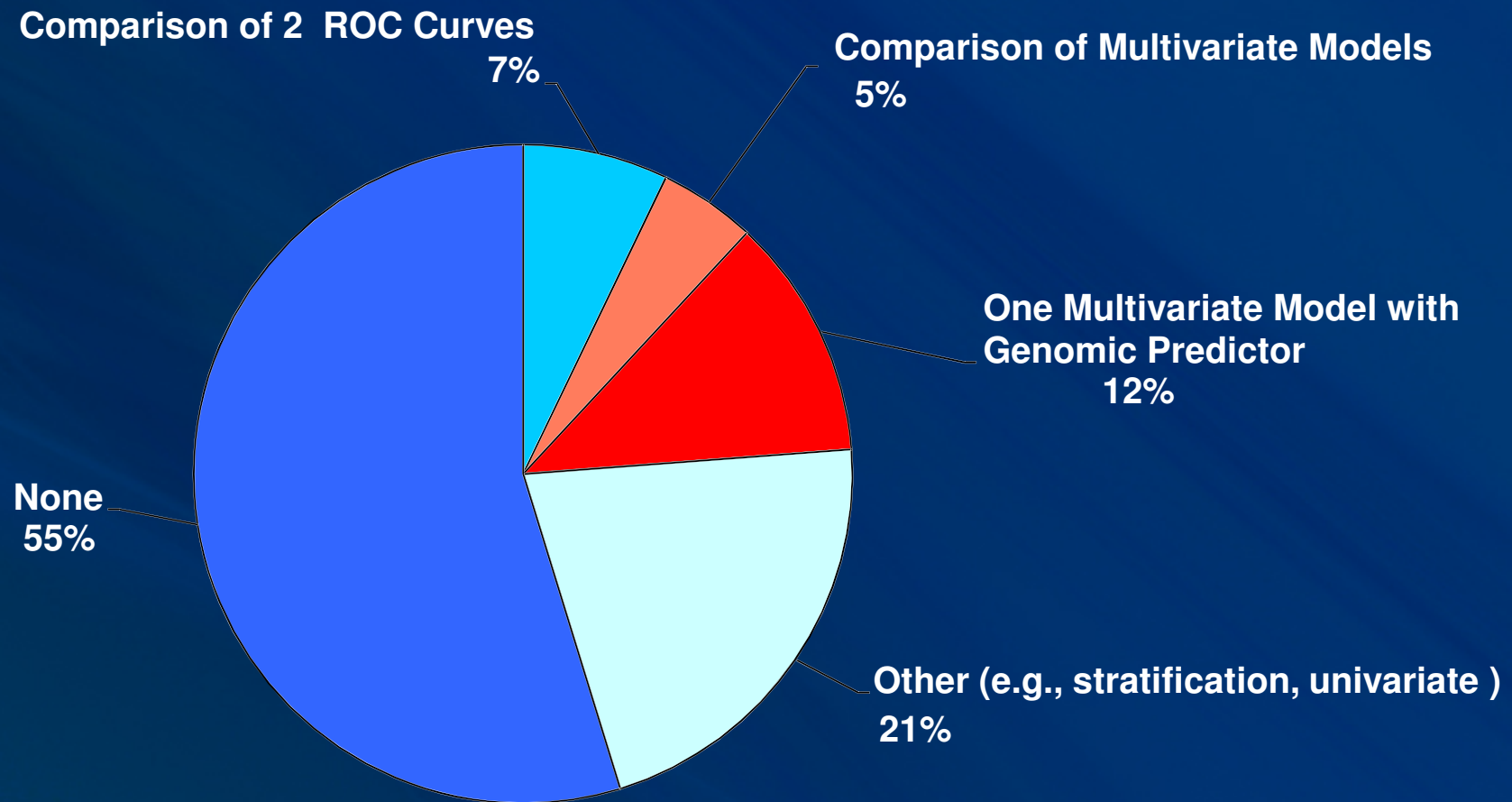
### Quality Domains Considered

- Patient selection criteria
- Specimen quality
- Analysis
- Classifier derivation and validation
- Clinical utility



## Clinical Validity of the Genomic Classifiers

### *Statistical Methods Utilized*



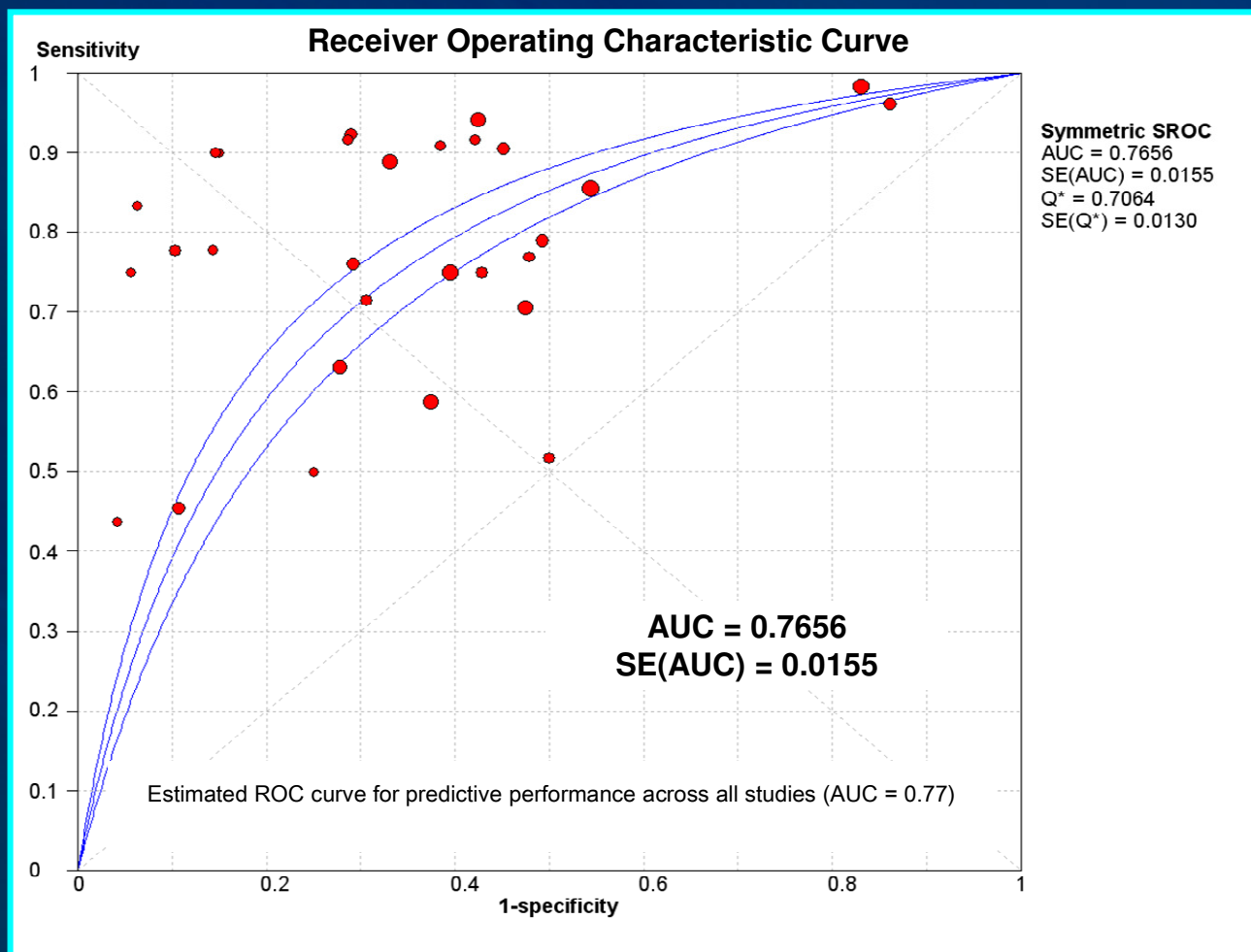
## Multigene Prediction Signatures in Patients with ESBC Study Characteristics (N=33)

**Multigene Prediction Signatures in Patients with ESBC Study Characteristics**  
*Response Prediction Assay Performance in the Neoadjuvant Setting (N=33)*

| STUDY                                    | COHORT                      | DESIGN                | SOURCE                       | STUDY                      | REGIMEN TYPE         | RESPONSE      | VALIDATION |
|------------------------------------------|-----------------------------|-----------------------|------------------------------|----------------------------|----------------------|---------------|------------|
| de Ronde (2010) Breast Cancer Res Treat  | NKI-191                     | Intrinsic             | NKI                          | cohort                     | AC, CD, PTC, FEC, AT | pCR           | EX         |
| Stravar (2010) Breast Cancer Res Treat   | NKI-167                     | MammaPrint            | NKI                          | clinical trials            | AC, AC-CD/AD, PTC    | pCR           | EX         |
| Baselga (2009) JCO                       | ixabepilone-trt             | 10-gene PLR           | ixabepilone-Baselga          | clinical trial             | ixabepilone          | pCR           | SSM        |
| Lietke (2009) JCO                        | MDA (HER2 norm)             | GGI                   | MDA                          | See Hess 2006              | T-FAC                | pCR/RCB-1     | EX         |
| Lin (2009) Breast Cancer Res Treat       | Basal-like                  | Basal type (lin)      | MDA-UW                       | prospective clinical trial | ET, T-FAC            | pCR           | IIS        |
| Parker (2009) JCO                        | MDA133                      | FAM50 intrinsic ROR   | MDA                          | cohort                     | T-FAC                | pCR           | EX         |
| Vegran (2009) British Journal of Cancer  | TAXHER01, GEMTAC1-Val       | Vegran-28             | Centre Georges Fran. Leclerc | phase I clinical trials    | TH or TC-H           | pCR           | IIS        |
| Williams (2009) Cancer Res               | MDA133                      | COXEN                 | MDA                          | cohort                     | T-FAC                | pCR           | EX         |
| Zemlitsky (2009) Int. J. of Oncology     | Japan-Sapporo-Val           | classification score  | Tokyo, Japan                 | cohort                     | docetaxel            | PR            | SSM        |
| Chang (2006) Breast Cancer Res Treat     | Baylor-72                   | Oncotype DX           | Baylor College+ Mount Vernon | cohort                     | Docetaxel            | clinical CR   | EX         |
| Julia (2008) British Journal of Cancer   | India Gem+Doc->Gem+Clis     | Intrinsic             | phase II study               | Phase II trial             | Gem+Doc Gem+Clis     | pCR           | EX         |
| Natowicz (2008) BMC Bioinformatics       | MDA-147                     | CLDA90-bioinformatics | MDA                          | cohort                     | T-FAC                | pCR           | IIS        |
| Salter (2008) PLoS one                   | MDA133                      | Duke-T-FAC            | MDA                          | cohort                     | T-FAC                | pCR           | EX         |
| Esteve (2007) Breast cancer research     | Buzdar-HER2-                | CLDA90                | MDA                          | part RCT, part cohort      | T-FEC                | pCR           | EX         |
| Ikeeda (2007) Anticancer Research        | JAF Doc/6-DF                | JAF Doc/6-DF          | JAF Doc/6DF                  | cohort                     | Docetaxel/6-DFUR     | path response | SSM        |
| Lee (2007) PNAS                          | Baylor-24 Docetaxel         | Coxen-Docetaxel       | Baylor College+ Mount Vernon | cohort study               | Docetaxel            | Response      | EX         |
| Peintinger (2007) Clin Cancer Res        | MDA133(n=61+23)             | CLDA90                | MDA                          | cohort                     | T-FAC                | pCR           | IIS        |
| Rody (2007) The Breast                   | GEPARTRIC-50                | Intrinsic             | GEPARTRIC trial              | clinical trial             | TAC TAC->VX          | pCR           | EX         |
| Hess (2006) JCO                          | MDA133(n=51)                | CLDA90                | MDA                          | cohort                     | T-FAC                | pCR           | IIS        |
| Thuermer (2006) JCO                      | GEDoc                       | Thuermer-512          | Heidelberg                   | clinical trial             | GEDoc                | pCR           | IIS        |
| Iwao-Kozumi (2005) JCO                   | Jap+Doc-Val                 | Jap+Doc               | Jap+Doc                      | cohort study               | Docetaxel            | CR or PR      | IIS        |
| Modlich (2005) J Translational Medicine  | Dusseldorf-63               | leNN                  | City Hospital Dusseldorf     | cohort                     | EC                   | pCR           | IIS        |
| Rouzier (2005) Clin Cancer Res           | MDA133(n=82)                | Intrinsic             | MDA                          | cohort                     | T-FAC                | pCR           | EX         |
| Ayers (2004) JCO                         | MDA2-Val                    | Ayer-74               | MDA                          | cohort                     | T-FAC                | pCR           | IIS        |
| Coherent (2011) Clinical Cancer Research | MDACC/MAQC-142              | 39-gene p53 status    | MDA                          | cohort study               | T-FAC                | pCR           | EX         |
| Nadi (2011) Cancer                       | Osaka-P-FEC                 | GGI                   | Osaka University             | cohort study               | P-FEC                | pCR           | EX         |
| Eitel (2011) Cell Cycle                  | MDA133ER+                   | RE-loss signature     | MDA cohort ER+ as in Eitel   | cohort                     | FAC                  | pCR           | EX         |
| Rodriguez (2010) Breast Cancer Res Treat | BCM2-Baylor Triple negative | CNA-recall signature  | Baylor College of Medicine   | cohort study               | AC                   | pCR           | EX         |
| Talbot (2010) Clin Cancer Res            | MDA-RCT-TFAC                | CLDA90                | MDA-TFAC-RCT                 | RCT                        | T-FAC                | pCR           | EX         |

## Multigene Prediction Signatures in Patients with ESBC

*Response Prediction Assay Performance in the Neoadjuvant Setting (N=33)*



## Method of Genomic Classifier Development

Study Design      Statistics for each study      Diagnostic Odds Ratio [95% CI]

MH OR [95% CLs]      p-Value

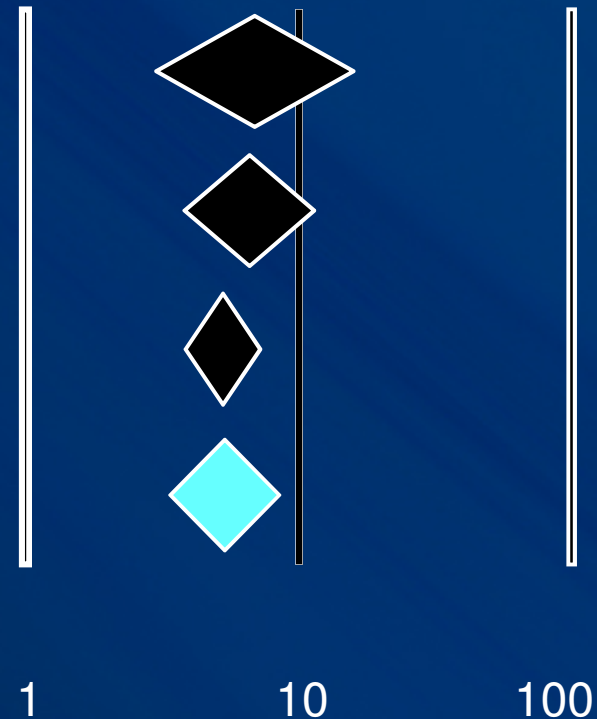
Intrinsic      6.935 3.019 15.933 <.0001

Prognostic      6.609 3.816 11.446 <.0001

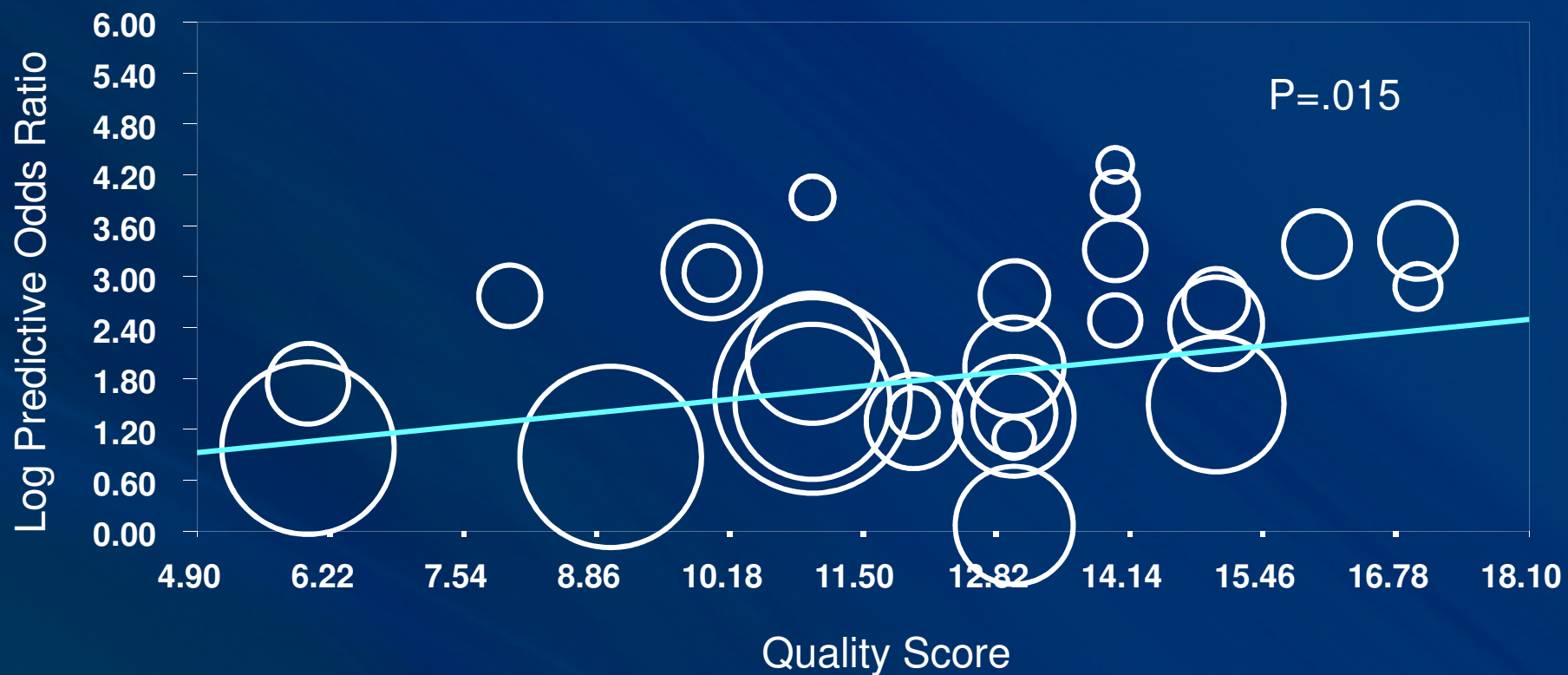
Response      5.313 3.877 7.280 <.0001

Overall      5.788 4.462 7.509 <.0001

$P_{\text{interaction}} = .103$

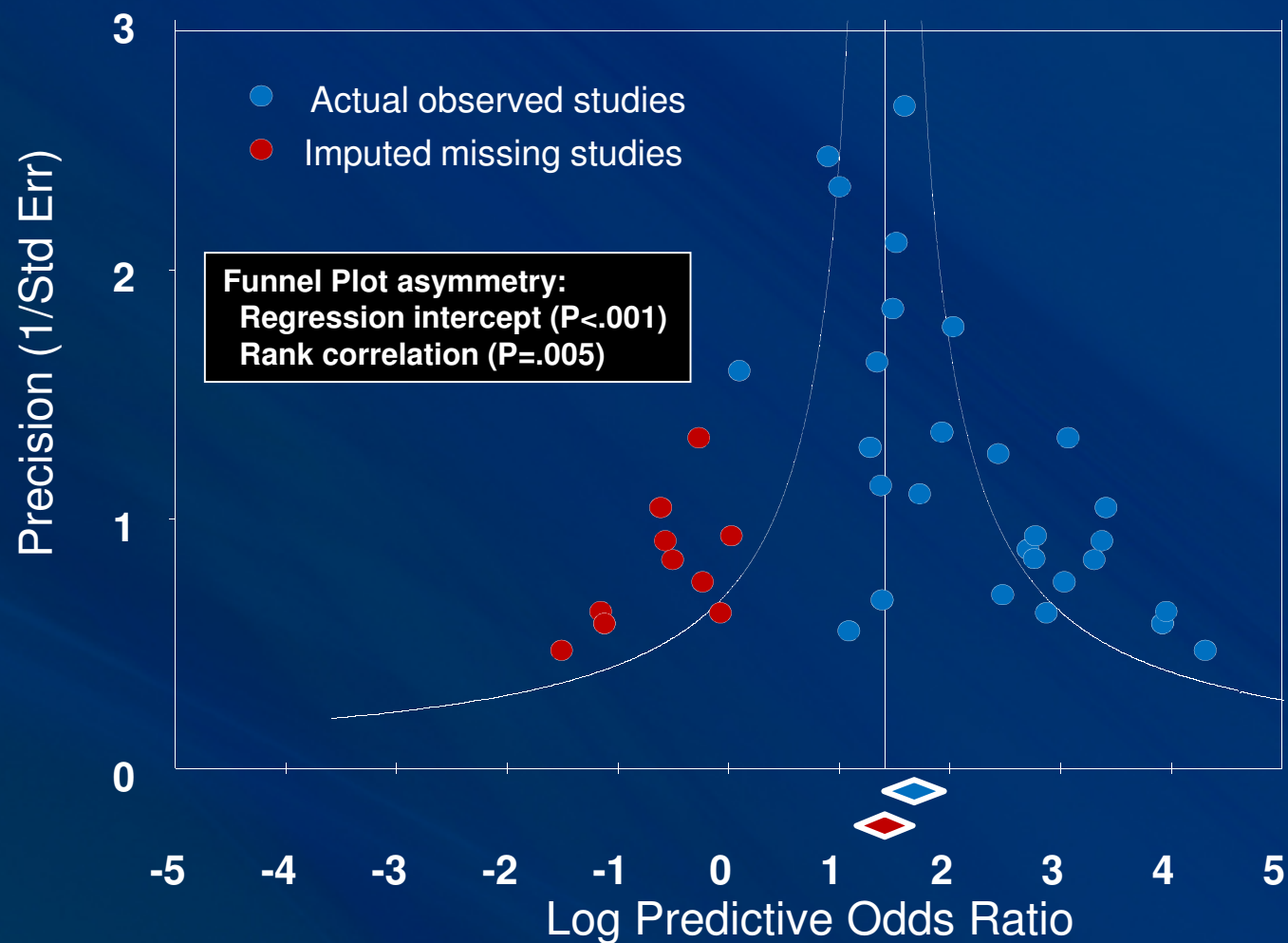


## Regression of Quality Score on Predictive Odds Ratio



Lyman GH et al; AACR-SABCS 2011

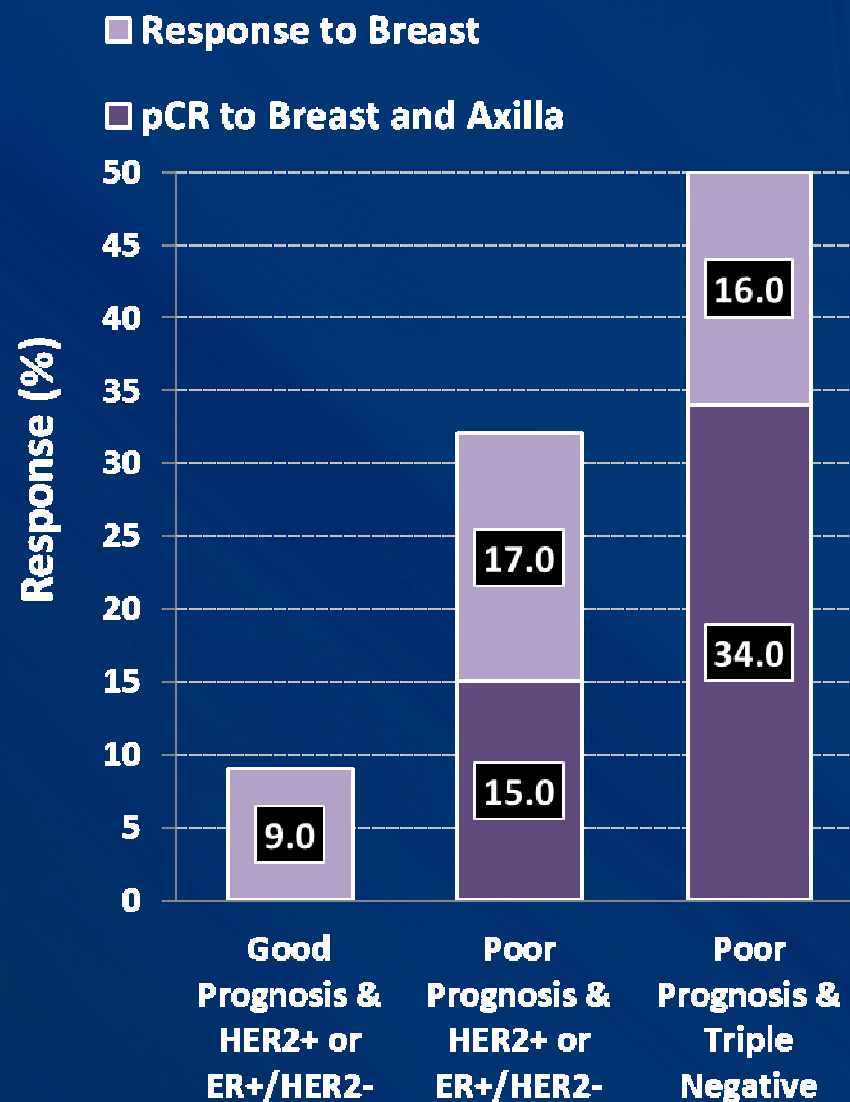
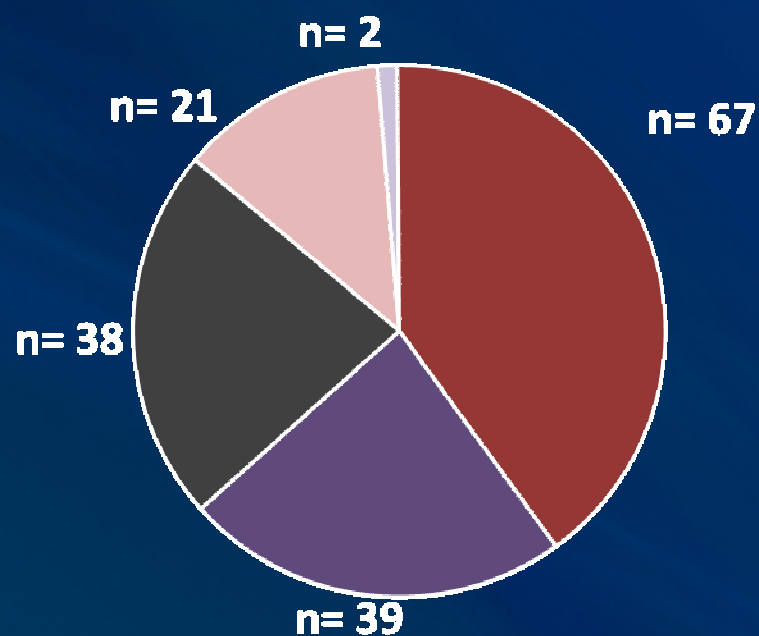
## Funnel Plot of Precision by Log Predictive Odds Ratio



Adjusted POR: 4.09 [95% CI: 3.18 – 5.28]

Lyman GH et al; AACR-SABCS 2011

- Good Prognosis & ER+/HER2-
- Good Prognosis & HER2+
- Poor Prognosis & ER+/HER2-
- Poor Prognosis & HER2+
- Poor Prognosis & Triple Negative



## Multigene Prediction Signatures Conclusions

- A compelling need exists for greater methodologic rigor and standardization of reporting.
- Analytic and clinical validity of genomic response prediction assays should be evaluated in patient cohorts independent of those utilized for signature development.
- The clinical utility of these assays must then be further assessed ideally in comparative effectiveness studies compared to common utilized clinical and laboratory measures.

## Personalized Medicine and Cancer Supportive Care

*Where do we go from here?*

- Innovative clinical trial designs
- Better data capture of treatment-related complications in pivotal RCTs and post approval.
- Strongly encourage routine tumor and blood sample collection for concurrent or future biomarker development and validation in pivotal trials of new targeted therapies
- Go beyond clinical validity to studies of clinical utility to demonstrate meaningful balance of effectiveness and toxicity compared to conventional strategies
- Reach consensus of stakeholders on the appropriate value of diagnostic, treatment and prevention strategies.

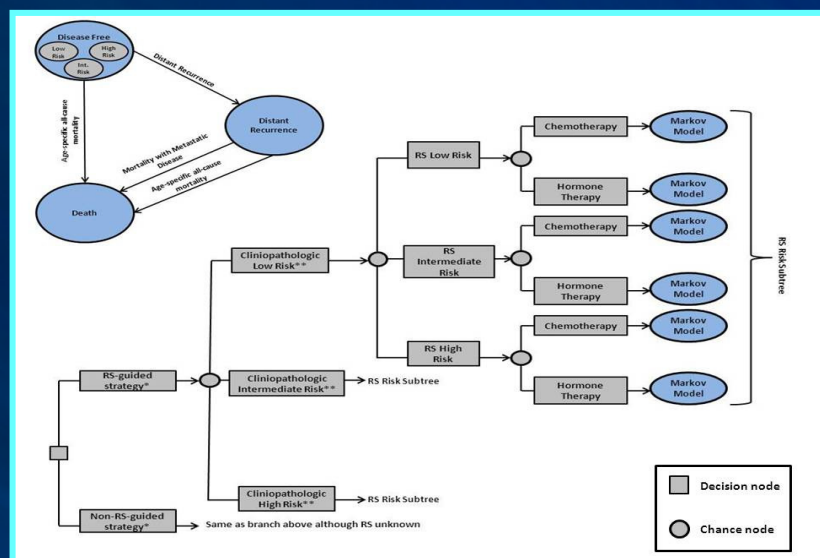
## Targeted Therapies and Predictive Biomarkers

### *Recommendations for Co-Development*

1. Source studies should be well designed, large, RCTs with appropriate control groups and clinically relevant endpoints of efficacy and safety.
2. Biomarker should be based on a biologically plausible rationale, have good test performance and reproducibility.
3. Biomarker results should be available on a majority of subjects with a detailed accounting of the reasons for unavailable samples or results.
4. Biomarker subgroups and the planned analysis prespecified.
5. Adequate power to establish with confidence any differential treatment effect in subgroups based on the biomarker.
6. Biomarker measurement should be blinded to the treatment group assignment and study outcomes.
7. Appropriate adjustment for multiple testing.
8. Formal testing of any drug-biomarker interaction.
9. Results adjusted for all known prognostic and predictive factors.
10. Consistent findings on biomarker observed in at least two large trials

## Cost-Effectiveness of Oncotype DX in the Setting of Multifactorial Decision Making for Chemotherapy in Early-Stage Breast Cancer\*

Shelby D. Reed, PhD; Michaela A. Dinan, PhD<sup>1</sup>; Kevin A. Schulman, MD; Gary H. Lyman, MD



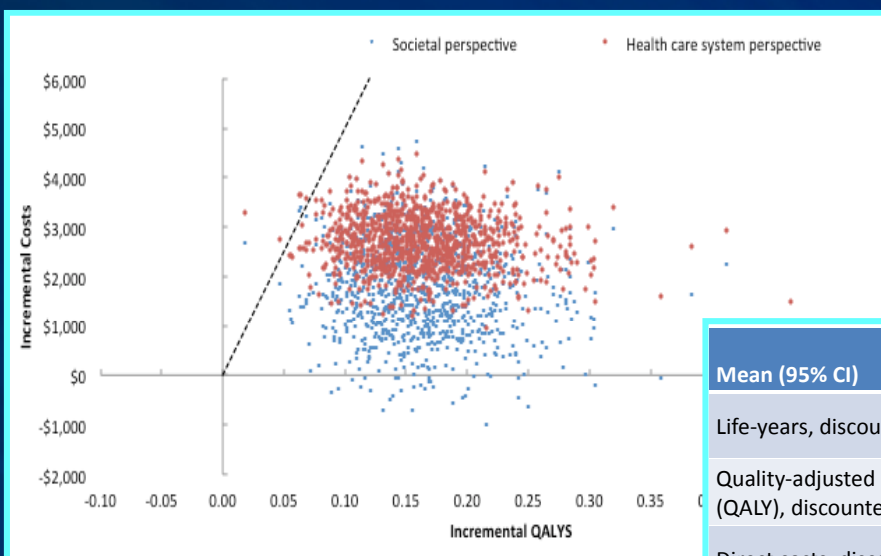
| Health state utilities                                                 | Mean (SE)                   |
|------------------------------------------------------------------------|-----------------------------|
| Chemotherapy in the first year                                         | 0.48 (0.06) <sup>4</sup>    |
| Hormonal therapy                                                       | 0.68 (0.06) <sup>4</sup>    |
| Remission                                                              | 0.68 (0.06) <sup>4</sup>    |
| Distant recurrence                                                     | 0.42 (0.06) <sup>4</sup>    |
| Direct medical costs, \$                                               | Mean (SE)                   |
| 21-Gene Recurrence Score Assay                                         | 4075                        |
| Chemotherapy, first year                                               | 16,947 (1655) <sup>5</sup>  |
| Hormonal therapy, annually for 5 years                                 | 105                         |
| Monitoring and follow-up during remission, annually for up to 10 years | 1,108 (61) <sup>6</sup>     |
| Distant recurrence, one-time cost                                      | 17,478 (2,444) <sup>7</sup> |
| Indirect costs, \$                                                     | Mean                        |
| Absence from work attributable to chemotherapy                         | 12,686 <sup>3</sup>         |
| Patient time during last year of life with metastatic breast cancer    | 3,902 <sup>8</sup>          |

| % of women by risk group     |     | RS Assay Risk Group <sup>1,2</sup> |              |      |
|------------------------------|-----|------------------------------------|--------------|------|
| Clinicopathologic risk group | All | Low                                | Intermediate | High |
| Low                          | 53% | 0.61                               | 0.24         | 0.15 |
| Intermediate                 | 19% | 0.46                               | 0.19         | 0.35 |
| High                         | 29% | 0.34                               | 0.21         | 0.45 |
| % Adjuvant Chemotherapy      |     | RS Assay Risk Group <sup>1,2</sup> |              |      |
| Clinicopathologic risk group | All | Low                                | Intermediate | High |
| Low                          | 0   | 0.05                               | 0.10         | 1.0  |
| Intermediate or High         | 1.0 | 0.25                               | 0.62         | 1.0  |

\* ASCO 2012; Chicago IL

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| Mean (95% CI)                                  | RS-Guided Strategy                 | Non-RS-Guided Strategy         | Difference                |
|------------------------------------------------|------------------------------------|--------------------------------|---------------------------|
| Life-years, discounted                         | 15.02<br>(14.66 to 15.24)          | 14.82<br>(14.46 to 15.07)      | 0.19<br>(0.09 to 0.32)    |
| Quality-adjusted life-years (QALY), discounted | 10.09<br>(8.24 to 11.79)           | 9.93<br>(8.12 to 11.60)        | 0.16<br>(.08 to 0.28)     |
| Direct costs, discounted                       | \$21,090<br>(19,306 to 23,139)     | \$18,398<br>(16,535 to 20,448) | \$2692<br>(1546 to 3821)  |
| Indirect costs, discounted                     | \$5307<br>(4615 to 6178)           | \$6257<br>(5794 to 6745)       | -\$950<br>(-1732 to -111) |
| Total costs, discounted                        | \$26,397<br>(24,073 to 28,957)     | \$24,656<br>(22,599 to 26,887) | \$1741<br>(-85 to 37100)  |
| ICER, health care system perspective           | \$16,677/QALY (\$7613 to \$27,219) |                                |                           |
| ICER, societal perspective                     | \$10,788/QALY (\$6840 to \$28,912) |                                |                           |

\* ASCO 2012; Chicago IL