
Fundamental Engineering Research to Societal Benefits

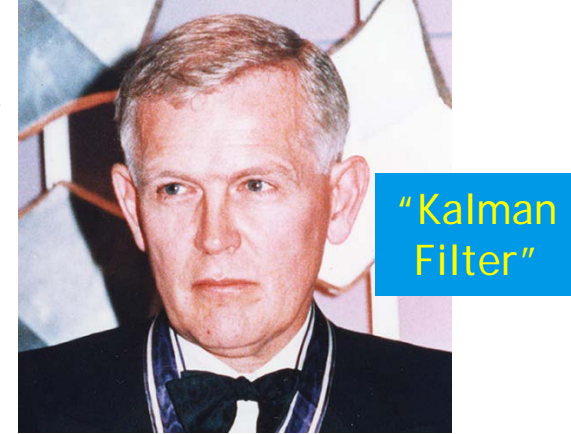
Extraordinary Engineering Impacts
National Academy of Engineering Symposium
August 18, 2022

Pramod P. Khargonekar
University of California, Irvine

Professional Evolution

- B. Tech. in Electrical Engineering, Indian Institute of Technology. Bombay, India
- MS in Math and PhD in Electrical Engineering, University of Florida
- Rising through the ranks at Universities of Florida, Minnesota, and Michigan

PhD Mentor



R. E. Kalman

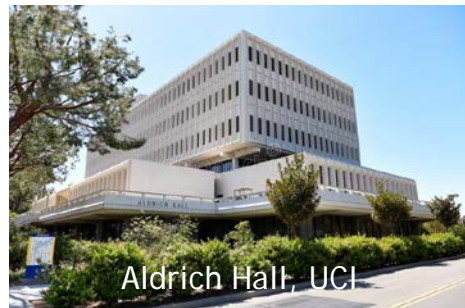
Evolution of Research Interests

- Control Systems Theory
- Manufacturing: Semiconductor, Reconfigurable
- Renewable Electricity Integration and Smart Grids
- Machine Learning and Control
- Funding from NSF, AFOSR, ARO, DARPA and the private sector
- Collaborations with industry: Honeywell, GE, SRC, Xerox, ...



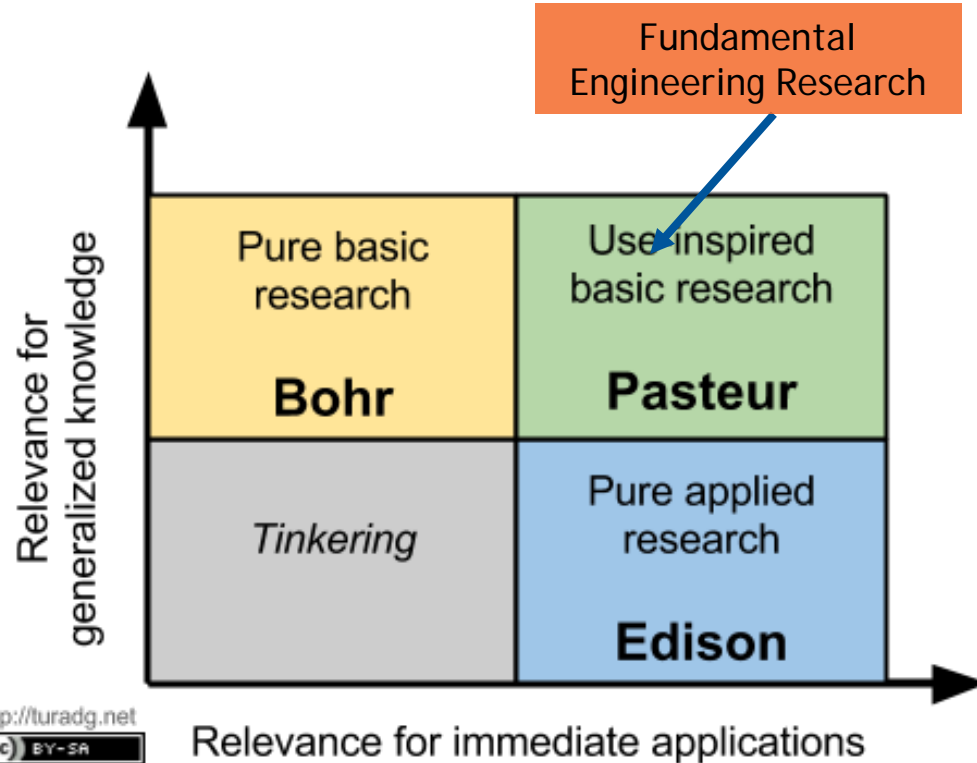
Professional Evolution: Leadership Roles

- EECS Department Chair at Michigan
- Dean of Engineering at Florida
- Vice Chancellor for Research at California, Irvine
- Head of Engineering Directorate at National Science Foundation
- Deputy Director of Technology ARPA-e



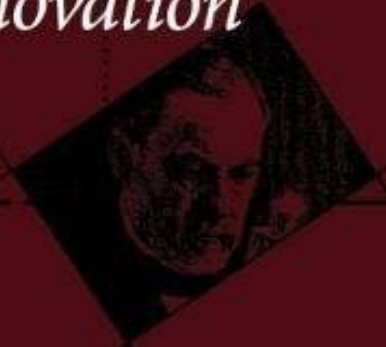
**How does Fundamental Engineering
Research lead to Societal Benefits?**

Pasteur's Quadrant



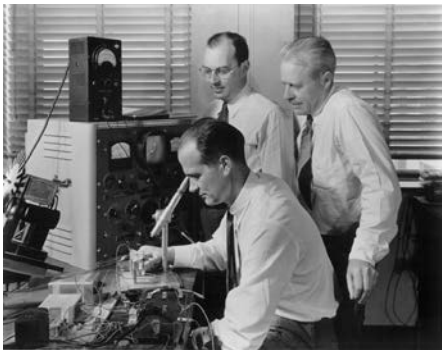
PASTEUR'S QUADRANT

*Basic Science
and Technological
Innovation*



Donald E. Stokes

Example 1: Semiconductor Chips



Bell Labs
1947

The Nobel Prize in Physics 1956



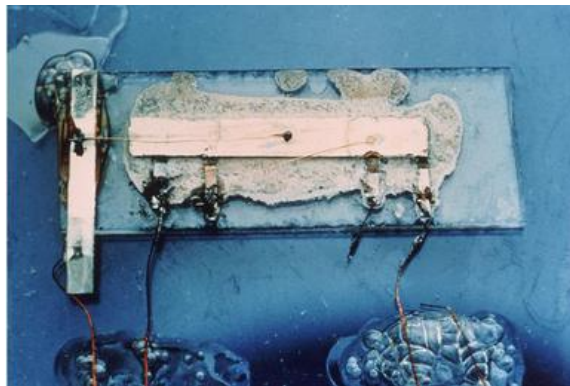
William Bradford
Shockley
Prize share: 1/3



John Bardeen
Prize share: 1/3



Walter Houser
Brattain
Prize share: 1/3



Kilby's first
integrated
circuit

The Nobel Prize in Physics 2000

"for basic work on information and communication technology"

"for developing semiconductor
heterostructures used in high-speed- and
opto-electronics"

"for his part in the
invention of the
integrated circuit"



Zhores I.
Alferov
b. 1930



Herbert
Kroemer
b. 1928



Jack S.
Kilby
1923–2005

Our Work in Data

Our Work in Data

Our Work in Data

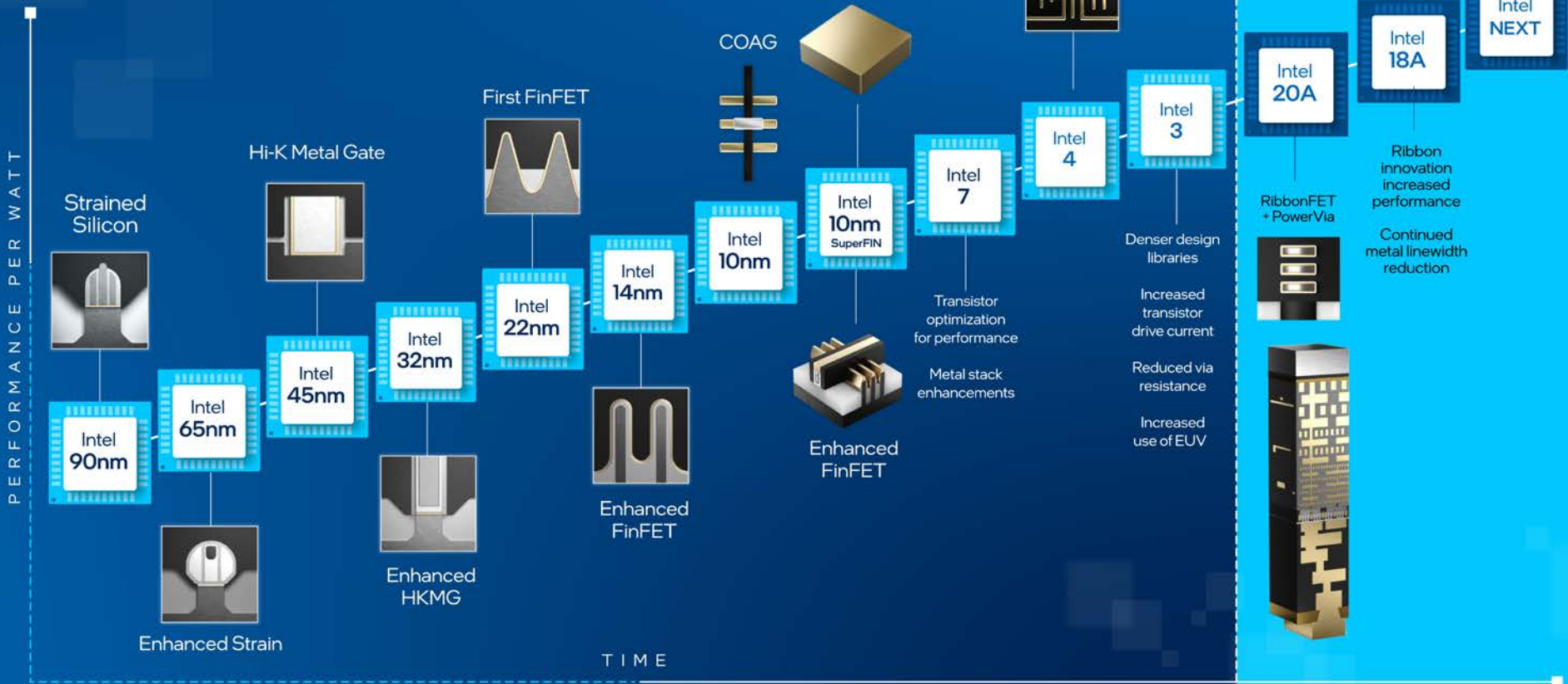
Our Work in Data



Our Work in Data

Our Work in Data

Our Work in Data



Source:

Moore's Law: Fundamental Research Intertwined with Technological Progress

U N D E R S T A N D I N G MOORE'S LAW



Four Decades of Innovation

Edited by David C. Brock

“Indeed, the technology led the science in a sort of inverse linear model ...”

Gordon Moore

Continuing Innovation in Information Technology

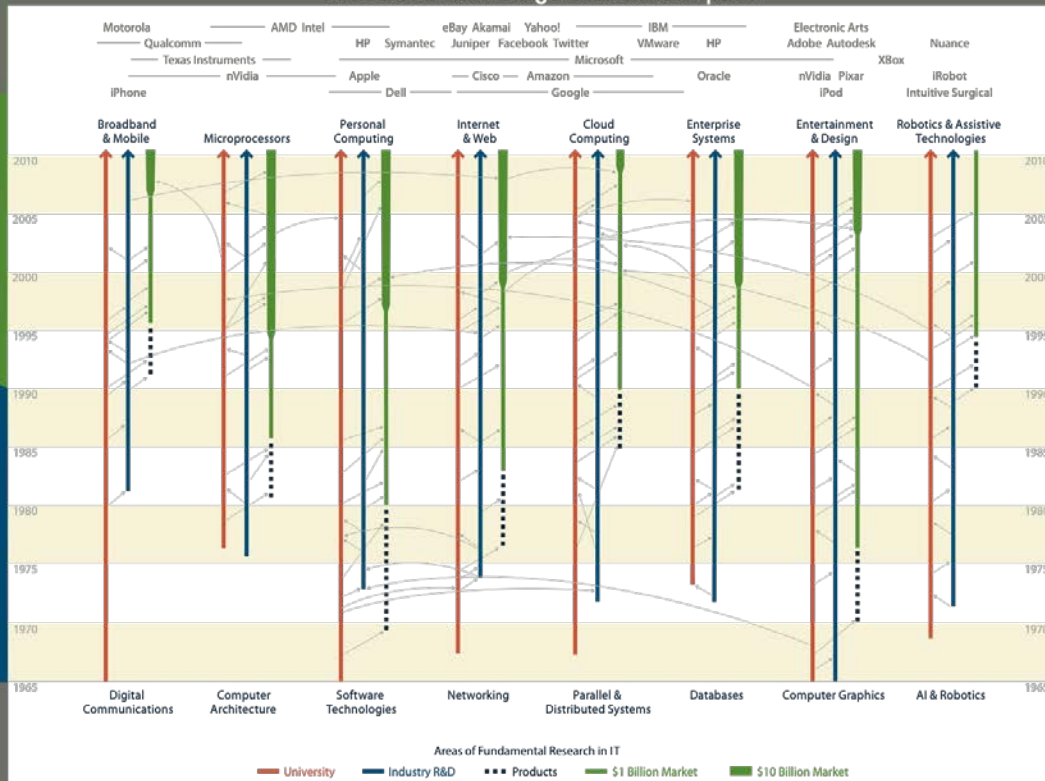
Fundamental research in IT underpins the creation of billion-dollar-plus IT market segments and a vital U.S. IT industry through a complex partnership between universities, industry, and government.

The first version of this figure was published in the 1995 report *Evolving the High Performance Computing and Communications Initiative to Support the Nation's Information Infrastructure*. The original figure, which was updated in 2002 and 2003, dispelled the assumption that the commercially successful IT industry is self-sufficient. It underscored the extent to which industry instead builds on government-funded university research—sometimes through long incubation periods of years and even decades.

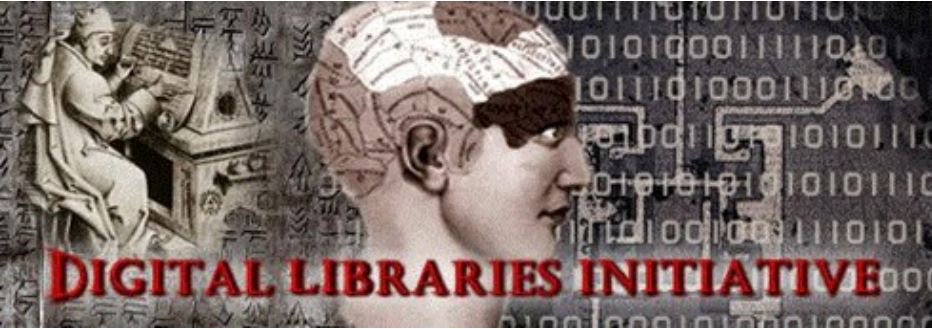
As illustrated in this figure from the 2012 report *Continuing Innovation in Information Technology*, computing research and its impacts have since continued to evolve and blossom. The figure illustrates how fundamental research in IT, conducted in industry and universities, has led to the introduction of entirely new product categories that ultimately became billion-dollar industries. It reflects a complex research environment in which concurrent advances in multiple subfields have been mutually reinforcing, stimulating and enabling one another and leading to vibrant, innovative industries exemplified by top-performing U.S. firms. Such research often starts as a search for fundamental knowledge but time and again produces practical technologies that enable significant economic impact.

The gray lines illustrate the rich interplay between academic research, industry research, and products and indicate the cross-fertilization resulting from multi-directional flows of ideas, technologies, and people.

IT Sectors with Large Economic Impact



Origins of Google



NSF Digital Libraries Initiative, 1994



S. Brin and L. Page

Award Abstract # 9411306

The Stanford Integrated Digital Library Project

PI: H. Molina-Garcia
and T. Winograd

NSF Org:	IIS Div Of Information & Intelligent Systems
Awardee:	
Initial Amendment Date:	September 16, 1994

PageRank Algorithm

The PageRank Citation Ranking: Bringing Order to the Web.

Page, Lawrence and Brin, Sergey and Motwani, Rajeev and Winograd, Terry (1999) *The PageRank Citation Ranking: Bringing Order to the Web*. Technical Report. Stanford InfoLab.

The importance of a Web page is an inherently subjective matter, which depends on the readers interests, knowledge and attitudes. But there is still much that can be said objectively about the relative importance of Web pages. This paper describes PageRank, a method for rating Web pages objectively and mechanically, effectively measuring the human interest and attention devoted to them.

We compare PageRank to an idealized random Web surfer. We show how to efficiently compute PageRank for large numbers of pages. And, we show how to apply PageRank to search and to user navigation.

The History of

INNOVATION CYCLES

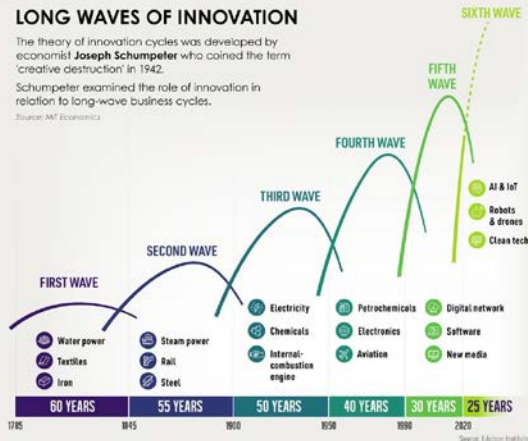
Below, we show waves of innovation across 250 years, from the Industrial Revolution to sustainable technology.

LONG WAVES OF INNOVATION

The theory of innovation cycles was developed by economist **Joseph Schumpeter** who coined the term 'creative destruction' in 1942.

Schumpeter examined the role of innovation in relation to long-wave business cycles.

Source: MIT Economics



KEY BREAKTHROUGHS

FIRST WAVE

During the Industrial Revolution, the first factory emerged – a cotton mill in Britain.



THIRD WAVE

Henry Ford's Model T introduced the assembly line, revolutionizing the automotive industry.



FIFTH WAVE

In 1990, 2.3M used the internet – by 2015 this reached 3.4B.



SECOND WAVE

As railways proliferated, their networks strongly influenced urban growth.

Source: Reuters Bureau, USA

FOURTH WAVE

Aviation gains mass adoption on a global scale, providing a lever to economic integration.

Source: OED

SIXTH WAVE

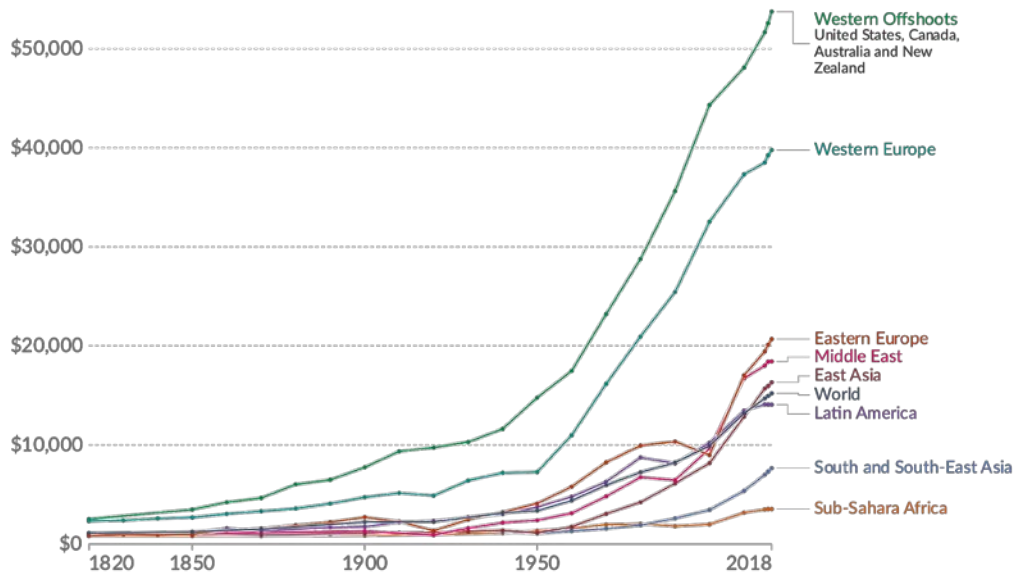
As climate challenges intensify, clean tech may reshape business models and consumption patterns.

Source: OED

GDP per capita, 1820 to 2018

GDP per capita adjusted for price changes over time (inflation) and price differences between countries – it is measured in international-\$ in 2011 prices.

Our World
in Data



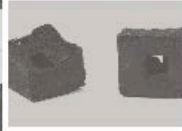
Source: Maddison Project Database 2020 (Bolt and van Zanden, 2020)

OurWorldInData.org/economic-growth • CC BY

Example 2: Origins of 3D Printing in the 80's



1 of 8: Dr. Joe Beaman and Carl Deckard, ca. 1989. In the 1980s, student Carl Deckard and his advisor Dr. Joe Beaman developed and patented a type of additive manufacturing called selective laser sintering (SLS).



'Revolutionary' Machine makes 3-D objects from drawings

By Kathleen Sullivan
American-Statesman Staff

Welded into the corner of an unused photo lab at the University of Texas is an ungainly machine that can transform a computer drawing into a three-dimensional model at the touch of a button.

Sometime next year, the machine, which was developed by a UT graduate student, will make its way out of the lab and into the commercial arena. It will leave with the blessing of the UT Board of Regents, which Thursday gave an Austin company exclusive licensing rights to the "revolutionary" new technology embodied in the machine.

The licensing pact paves the way for the first transfer of technology from the University of Texas at Austin to a commercial venture. The company that won the rights to market the invention is Nova Automation Corp., whose principal shareholders are an Austin consulting engineer and Nova Graphics International Corp., an Austin-based computer graphics software firm.

The agreement represents a "hard fought" victory for UT's Redding Center for Technology Development and Nova Automation. May Wilson, coordinator of the center, which was given life during the last Texas Legislature and got

See Inventor, A11



Staff photo by Ralph Harms
Associate Professor Joe Beaman shows some three-dimensional plastic models made by the "selective laser sintering" device developed by Carl Deckard, left.

United States Patent [19] Deckard

- [54] **METHOD AND APPARATUS FOR PRODUCING PARTS BY SELECTIVE SINTERING**
- [75] **Inventor:** Carl R. Deckard, Austin, Tex.
- [73] **Assignee:** Board of Regents, The University of Texas System, Austin, Tex.
- [21] **Appl. No.:** 920,580
- [22] **Filed:** Oct. 17, 1986

NSF Role in 3D Printing

“NSF funded precursors of AM technologies in the 1970s (development of computer numerical controlled machining and solid modeling tools) and turned early AM patents in the 1980s into proof-of-concept and prototype machines in two major commercial technology areas (binder jetting and laser sintering).”

Award Abstract # 8707871

Part Generation by Layerwise Selective Sintering

NSF Org:	CMMI Div Of Civil, Mechanical, & Manufact Inn
Awardee:	UNIVERSITY OF TEXAS AT AUSTIN
Initial Amendment Date:	March 6, 1987
Latest Amendment Date:	March 6, 1987

Foundational Research

IEEE Computer, 1977

Geometric Modeling of Mechanical Parts and Processes

Herbert B. Voelcker and Aristides A. G. Requicha
University of Rochester

Acknowledgments

The work reported in this paper was supported primarily by the National Science Foundation under grants GI-34274X and APR76-01034.

SELECTIVE LASER SINTERING

by

CARL ROBERT DECKARD, M.S.M.E., B.S.M.E.

DISSERTATION

Presented to the Faculty of the Graduate
School of

The University of Texas at Austin

in Partial Fulfillment

of the Requirements

for the Degree of

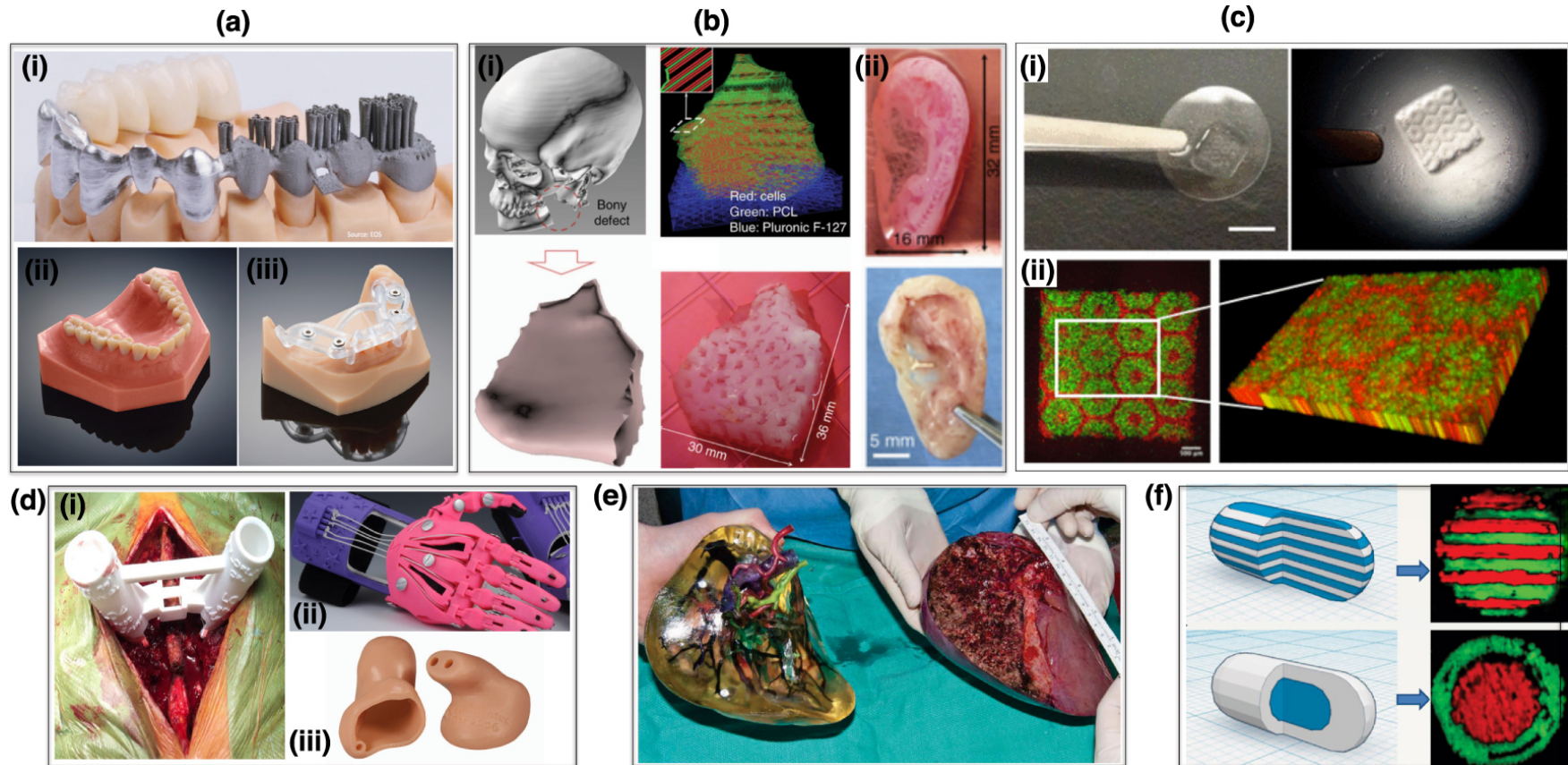
Doctor of Philosophy in Mechanical Engineering

THE UNIVERSITY OF TEXAS AT AUSTIN

December, 1988.



Societal Impact of 3D Printing: Medical Applications



**We aspire to accelerate and optimize
the engineering research to innovation to
technology cycle to assist
people and society to flourish.**

Comments

Ideas

Questions?

pramod.khargonekar@uci.edu
<http://faculty.sites.uci.edu/khargonekar/>