

Technology Changes

NAS Innovation Policy Forum
December 11, 2015

Gary E. Marchant, Ph.D., J.D.
gary.marchant@asu.edu

CENTER FOR
Law, Science & Innovation

ASU SANDRA DAY O'CONNOR
COLLEGE of LAW
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Innovating law, policy and ethics for science & technology

Change

“[P]oliticians – and judges for that matter – should be wary of the assumption that the future will be little more than an extension of things as they are.”

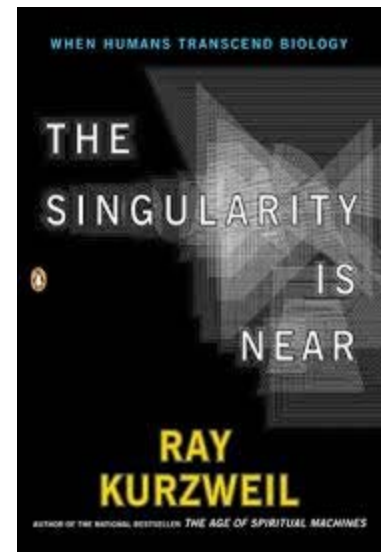
– from Jeffery Rosen, *Roberts v. The Future*, *NY Times*, Aug. 28, 2005



Chief Justice Roberts

Ray Kurzweil: The Law of Accelerating Returns

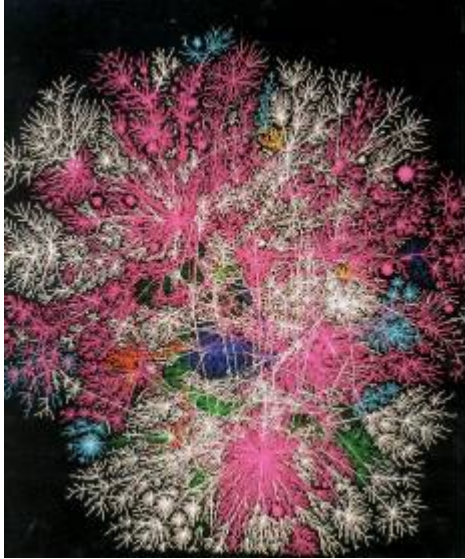
“An analysis of the history of technology shows that technological change is exponential, contrary to the common-sense ‘intuitive linear’ view. So we won’t experience 100 years of progress in the 21st century – it will be more like 20,000 years of progress (at today’s rate).”



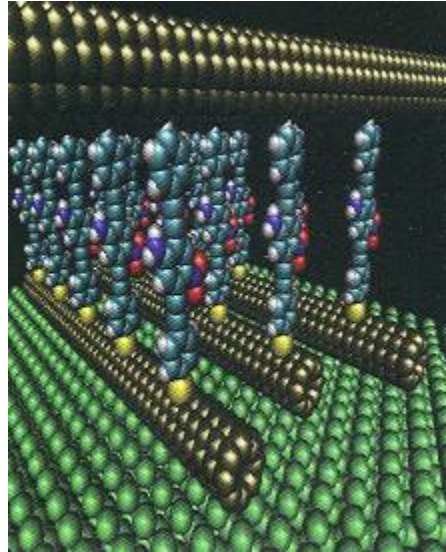
Technology Revolutions of the 20th Century



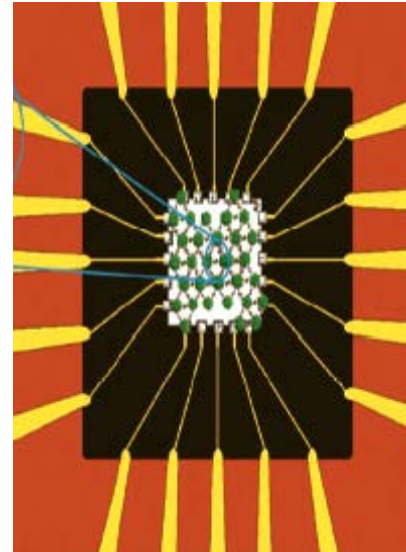
Early 21st Century: Multiple Converging Technology Revolutions



Biotechnology and
Genetics



Nanotechnology



Computing
and
Communication
Technologies



Neurobiology
and
Cognitive
Sciences



- technologies with radical,
pervasive
and enduring impact

Big Data

Bitcoin

Virtual
Reality

mHealth

Autonomous
Cars

Synthetic
Biology

Precision
Medicine

Robotics

Smart Dusts

3D Printers

Biotech-
nology

Brain-
Machine
Interfaces

Brain
Scanning

Internet of
Things

Artificial
Intelligence

Nanotech-
nology

Genomics

Drones

Geoengine-
ering

Cognitive
Enhancement

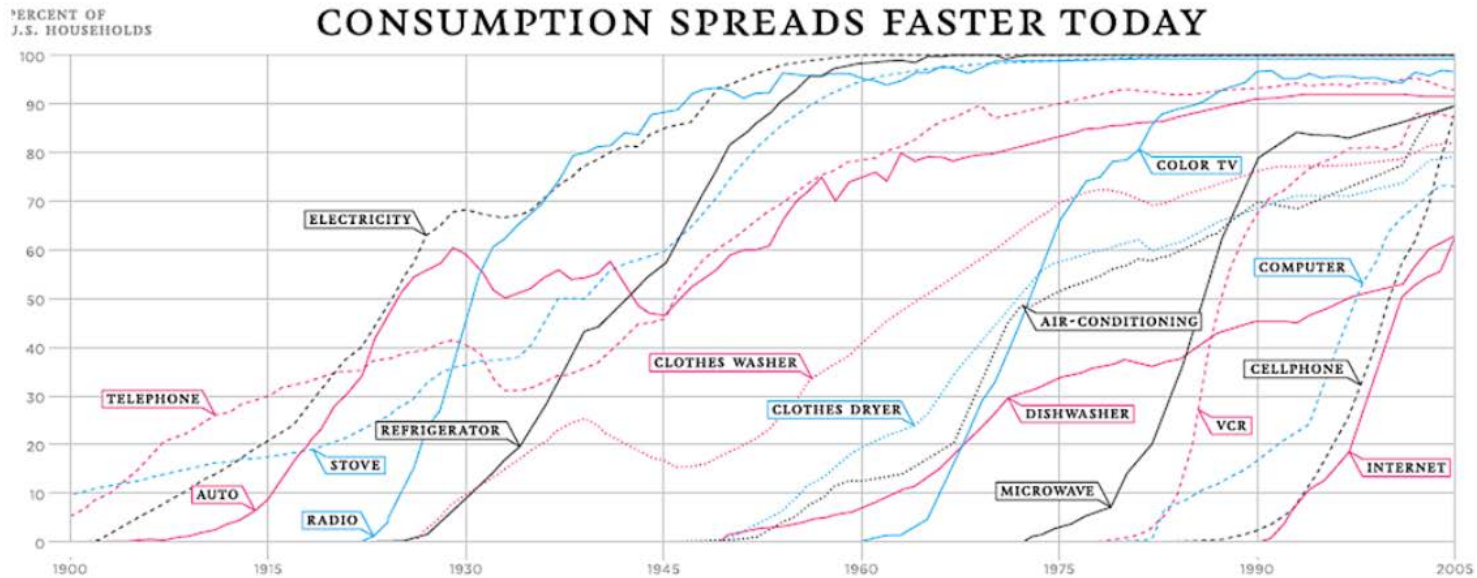
In vitro Meats

Regenerative
Medicine

CRISPR/
Gene Editing

Wearables

Technology Adoption Rates Acceleration 7



Source: Charlie Catlett, Argonne Nat'l Laboratory

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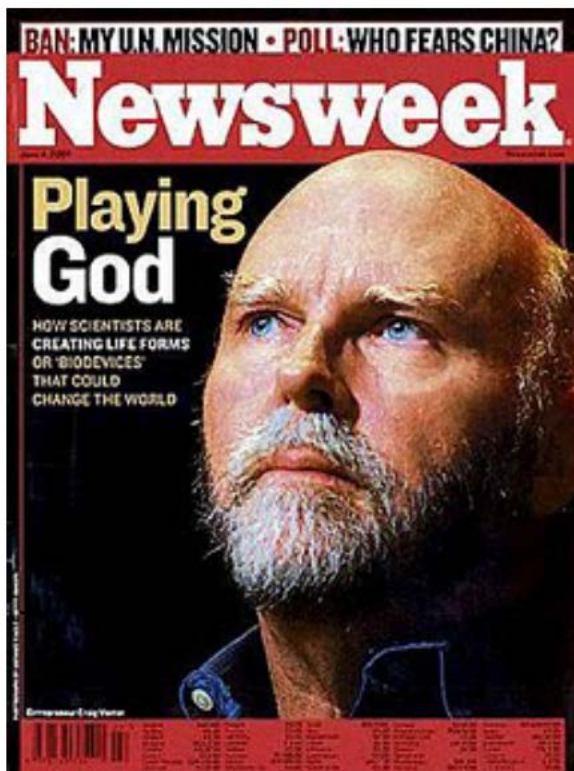
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SYNTHETIC BIOLOGY

Attempt to Patent Artificial Organism Draws a Protest

An activist group's concern about maverick genome sequencer J. Craig Venter's intention to patent an entirely synthetic free-living organism has thrown a spotlight on the emerging intellectual-property landscape in this hot new field. The protesters claim that Venter

In a press release, the ETC Group, a technology watchdog in Ottawa, Canada, called Venter's "monopoly claims ... the start of a high-stakes commercial race to synthesize and privatize synthetic life forms." ETC calls for the U.S. and international patent offices to

he says; his team is working on several species. "We haven't given any thought to" the licensing conditions, but in any case, that would not impede work in academic labs, says Venter, adding, "This is a problem that we hope will have hundreds of solutions."

(19) United States (12) Patent Application Publication Glass et al.

(54) MINIMAL BACTERIAL GENOME

(75) Inventors: **John I. Glass**, Germantown, MD (US); (51)
Hamilton O. Smith, Reisterstown, MD
(US); **Clyde A. Hutchison III**,
Rockville, MD (US); **Nina Y.**
Alperovich, Germantown, MD (US);
Nacyra Assad-Garcia, Rockville, MD
(US)

Correspondence Address: (52)
VENABLE LLP
P.O. BOX 34385 (57)
WASHINGTON, DC 20043-9998 (US)

(73) Assignee: **J. Craig Venter Institute, Inc.**, Rock- Th
ville, MD pre
req
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Syllabus

NOTE: Where it is feasible, a syllabus (headnote) will be released, as is being done in connection with this case, at the time the opinion is issued. The syllabus constitutes no part of the opinion of the Court but has been prepared by the Reporter of Decisions for the convenience of the reader. See *United States v. Detroit Timber & Lumber Co.*, 200 U. S. 321, 337.

SUPREME COURT OF THE UNITED STATES

Syllabus

MAYO COLLABORATIVE SERVICES, DBA MAYO
MEDICAL LABORATORIES, ET AL. *v.* PROMETHEUS
LABORATORIES, INC.



**MIT
Technology
Review**

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BIOMEDICINE: NEWS 5 COMMENTS

Questions over Gene Patents Shake Diagnostics Industry

The impending Supreme Court ruling on gene patents is creating uncertainty in the molecular diagnostics sector.

By Susan Young Rojahn on April 24, 2013

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IP PIRACY & 3D Printing



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SUPREME COURT OF THE UNITED STATES

Syllabus

BOWMAN *v.* MONSANTO CO. ET AL.

CERTIORARI TO THE UNITED STATES COURT OF APPEALS FOR
THE FEDERAL CIRCUIT

No. 11–796. Argued February 19, 2013—Decided May 13, 2013



**MIT
Technology
Review**

**As Patents Expire,
Farmers Plant
Generic GMOs**

August 3, 2015

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Innovation

AMERICA'S JOURNAL OF TECHNOLOGY COMMERCIALIZATION

Published on *Innovation America* (<http://www.innovation-america.org>)

Home > Nanotechnology and the Law > Nanotech May Face Patent Problems

Nanotech May Face Patent Problems

October 2010

CLASSIFICATION DEFINITIONS

977 - 1

CLASS 977, NANOTECHNOLOGY

SECTION I - CLASS DEFINITION

CROSS-REFERENCE ART COLLECTIONS

This Nanotechnology art collection provides for disclosures related to:

i. Nanostructure and chemical compositions of nanostructure;

ii. Device that include at least one nanostructure;

which impart special properties or functions to the nanostructural assemblage related to the altering of basic chemical or physical properties attributed to the nanoscale.

- (3) Note. Special properties and functionalities should be interpreted broadly, and are defined as those properties and functionalities that are significant, distinctive, non-nominal, noteworthy, or unique as a result of the nanoscale dimension. In general, differences in properties and functionalities that constitute mere differences of scale are

COMMENTARY

Trends in nanotechnology patents

HSINCHUN CHEN¹, MIHAIL C. ROGO², XIN LI¹ AND YILING LIN¹

¹at of VLSI Microelectronics Lab, Department of Mechanical and Industrial Engineering, The College of William and Mary, Williamsburg, Virginia 23185, USA. ²at of VLSI Microelectronics Lab, Department of Mechanical and Industrial Engineering, The College of William and Mary, Williamsburg, Virginia 23185, USA. e-mail: hchen@vlsi.wm.edu; mrogo@vlsi.wm.edu; xli@vlsi.wm.edu; yllin@vlsi.wm.edu

An analysis of 30 years of data on patent publications from the US Patent and Trademark Office, the European Patent Office and the Japan Patent Office confirms the dominance of companies and selected academic institutions from the US, Europe and Japan in the commercialization of nanotechnology.

The paper that reported the invention of the scanning tunneling microscope in 1981 and the atomic force microscope in 1986 have been credited in part with opening the doors to the nanoworld¹, and the fact that these papers have been cited thousands of times by other researchers is a testament to the impact that these two instruments have had in the field of nanoscale science and technology. There were also significant advances in other areas such as molecular self-assembly and nanomechanics around the same time, and these fragmented areas were brought together by the increased availability of techniques to control and measure matter at the nanoscale at the end of 1990s. Today, more than 60 countries have national programs in nanotechnology² and hundreds of nanotechnology-based products are commercially available³. In addition to scientific papers and commercial products, however, there is another way to gauge the rate of progress in nanotechnology over the past few decades – patents.

Various authors have made significant efforts to identify and analyze nanotechnology patents, but this is not a simple task because applicants tend to file patents with their national patent office rather than with foreign patent offices⁴, and because different patent offices have different policies and examination procedures^{5,6}. To gain a global perspective on trends in nanotechnology patents, we have analyzed those granted by the United States Patent and Trademark Office (USPTO), the

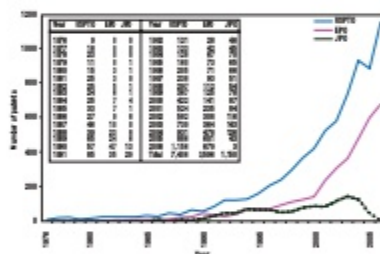


Figure 1. Number of nanotechnology patents published by the USPTO, EPO and JPO according to publication date. The dip in the number of USPTO patents in 1992 is due to a USPTO system update that resulted in a delay in the number of JPO patents for 2005 and 2006 to date. The delay between the publications and granting of patents is 1 year.

European Patent Office (EPO) and the Japan Patent Office (JPO). These three patent offices cover most of the world's patents in nanotechnology^{7,8}.

Data for this study were collected by searching for a list of nanotechnology keywords in the titles and abstracts of patents published by the USPTO, EPO and JPO between 1975 and 2006. These keywords, which were provided by domain experts⁹⁻¹¹, included atomic force microscope (and variations thereof), molecular electronics, nano¹², quantum

dot, and self-assembly. We removed some noise from the data, and included only patents that had been granted in our analysis. (See Table S1 in Supplementary Information for a full list of keywords and details of the analysis).

RESULTS AND CONCLUSIONS

We found that the USPTO had granted 7,406 nanotechnology patents during this period, which was about two times the number granted by the EPO

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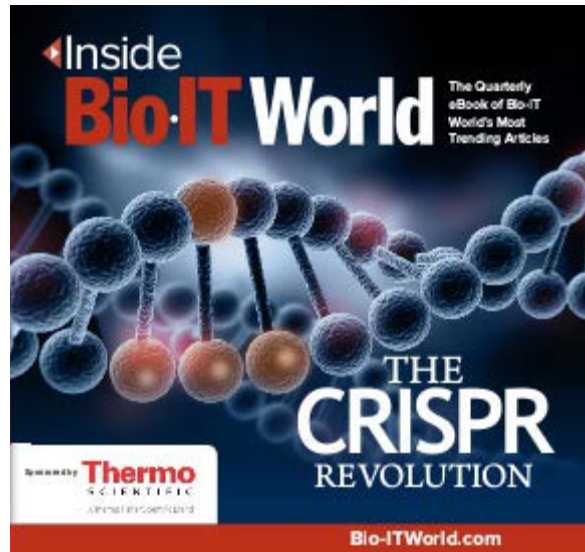
Wearables



CRISPR Patent Fight Now a Winner-Take-All Match

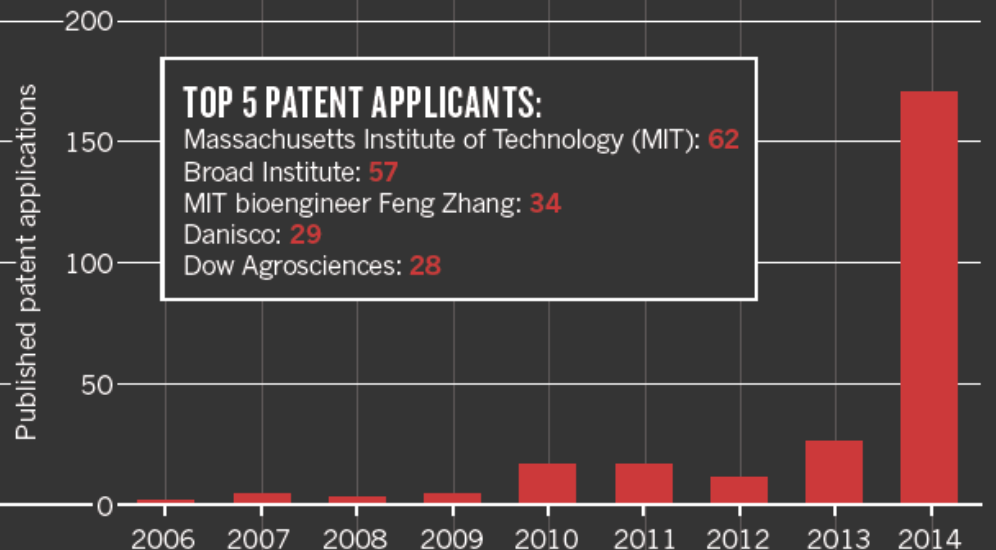
Lab notebooks could determine who was first to invent a revolutionary gene-editing technology.

By Antonio Regalado on April 15, 2015



PATENTS

In 2014, worldwide patent applications that mention CRISPR leapt and a patent battle intensified.



Too Fast for Regulation

- “If you think that any existing regulatory framework can keep pace with this rate of change, think again.”
 - David Rajeski, Wilson Center

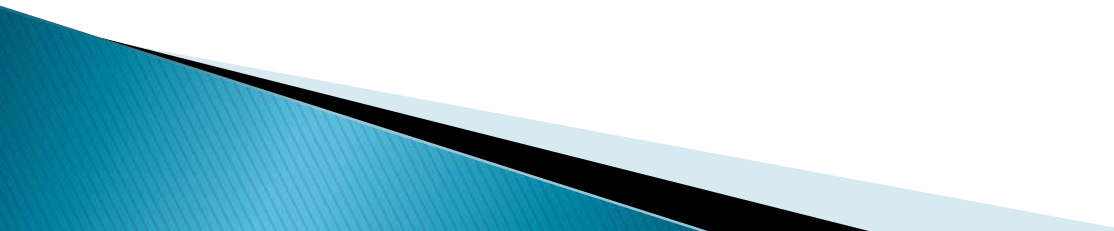


Congress: **Gridlock**

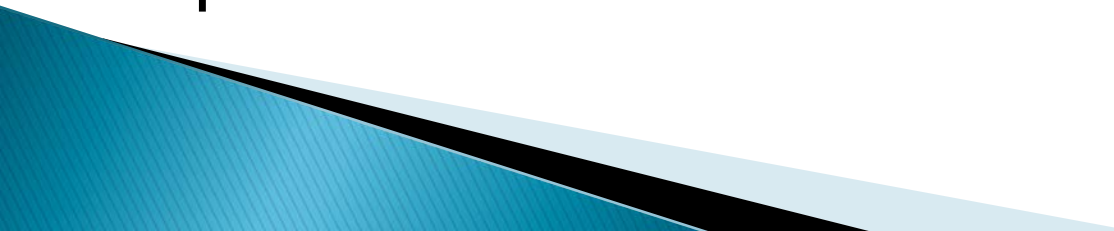


Executive Agencies: **Ossification**

Today's Unique Context

- ▶ We are in a period of unprecedented rapid technological change that will radically and repeatedly change the way we live our lives, interact with others, conduct business, and practice law
 - ▶ Over the next two decades, new technologies, industries, companies, products, lawsuits, and practice areas will rapidly rise and fall
 - ▶ No previous generation of policymakers, judges, lawyers, and scientists have faced such a tumultuous and rapidly changing near future
 - ▶ **Implications for how we plan and conduct our professional responsibilities?**
- 

IP Implications of Rapidly Emerging Technologies

- ▶ New technologies often raise unique IP issues
 - ▶ Fundamental technology patents co-existence with commercialization
 - ▶ Potential for patent thickets
 - ▶ Confusion/controversy over overlapping inventions and refinements
 - ▶ Questions about competency of patent offices to handle new technologies
 - ▶ Most emerging technologies highly international; international IP issues more prominent
- 

***“If facts are
changing, law
cannot be static.”***

-Felix Frankfurter, The Zeitgeist and
the Judiciary, Address at the Harvard
Law Review Twenty-fifth Anniversary
Dinner (Mar. 30, 1912).

