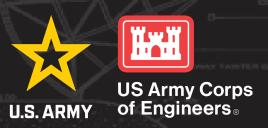
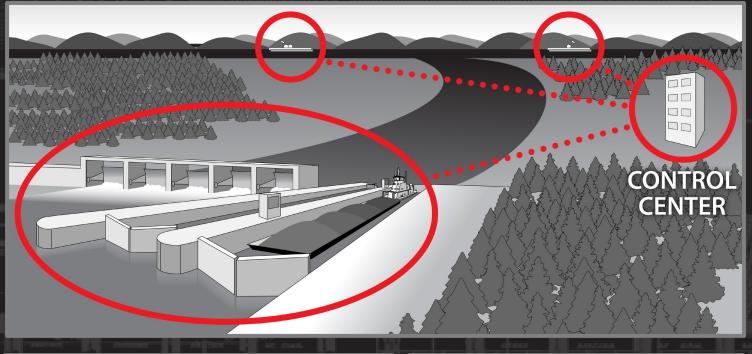
LOCK & DAM REMOTE OPERATIONS

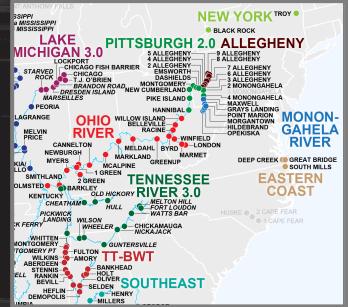
Marine Transportation Board

November 13, 2023









USACE NAVIGATION MISSION





National Assessment

Program Delivery

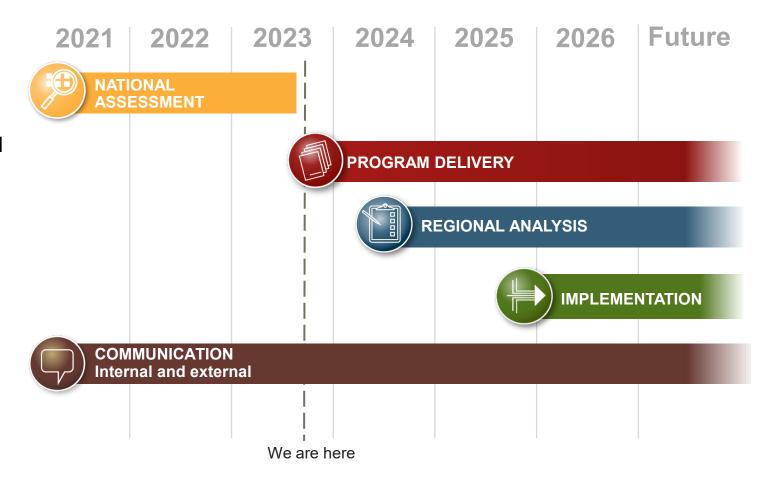
- Develop national standards, policies, and guidance
- Ensure consistent implementation

Regional Analysis

Detailed site assessment & implementation decision

Implementation

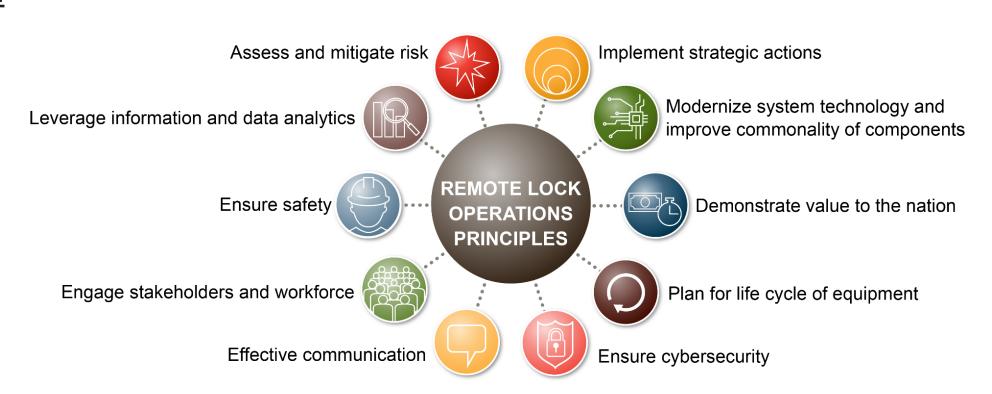
 Project construction and operation transition



PRINCIPLES FOR REMOTE LOCK OPERATIONS

USACE commitment:

- Safety
- Reliable & consistent locking
- Maintain **public** access & assistance during recreational locking
- Protecting system ensure physical & cybersecurity





VISION:

Implement lock & dam remote control to modernize operations for navigation in the future.

GOALS:



Consistent national implementation. Establish national policies to enable consistent implementation.



2. Standardization. Promote standardization / commonality through development of common operating procedures, staffing, design, and equipment / components.

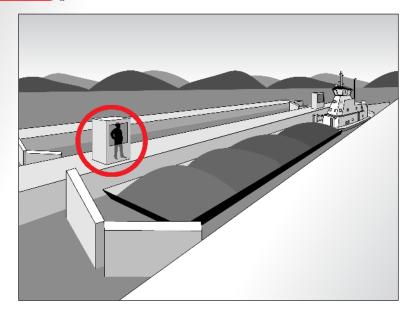


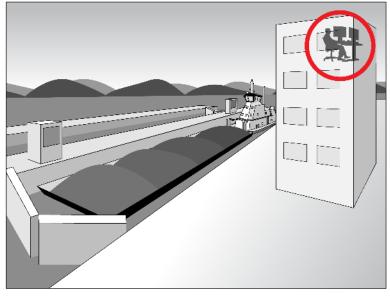
3. Life cycle investment. Develop a multi-year life cycle investment plan that is flexible and adaptable to each MSC, District, river, & L&D.

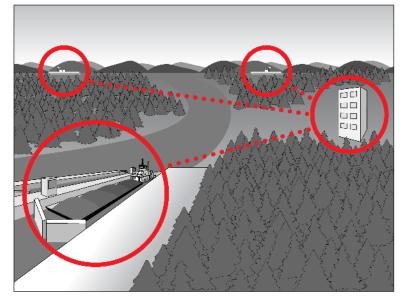


4. Prioritized for people. Take care of people. Implement staffing transition and training plan.

OPERATIONAL SCENARIOS







Local Operation

- Lock operated from lock wall
- Maintain capability to operate from lock wall.

Control Room

- Lock operated from *local control* room using camera & sensors.
- ➤ The Corps has 20+ years experience operating locks from a control room
- Maintain capability to operate from lock wall.

Currently operating at many of "new" locks

Remote Operation?

- Lock operated from off-site control room using camera & sensors.
- Remote Operation is not automated locking.
- Multiple locks operated from primary control center with alternate control center & standby local operation capabilities.

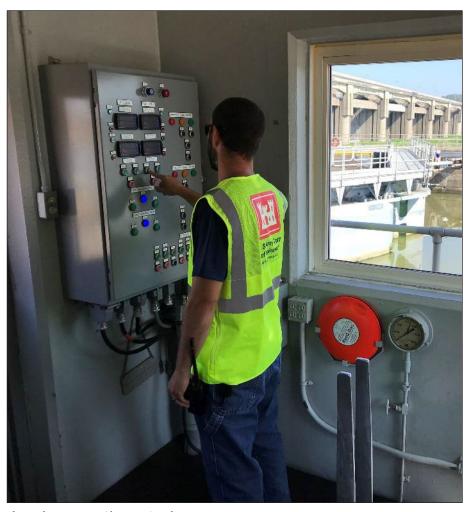
Future operations?



PERSONNEL MANAGEMENT KEY TENETS

Command commitment: FOCUS ON PEOPLE

- No layoffs
- Cooperation & involvement of all employees
- Future staffing studies will inform the planning and execution of workforce transition strategy
 - Achieve efficiencies without involuntary separations or involuntary geographic moves
 - Follow previous successful workforce shaping efforts and tools
 - Voluntary workforce shaping tools are effective but need time to work; the longer the time, the better the results
- Close collaboration with unions
- Open communication



Lock operations today

MATIONAL ASSESSMENT







Yesterday

Today



- Modernize USACE locks
- Increase resiliency
- Continuity of operations
- Standardized components
- Workplace safety
- Reduced operating costs

Challenges

- Organizational implementation
- Staff & stakeholder acceptance
- Recreational lockages
- Cost (initial and recurring)
- Portfolio diversity
- Cyber & physical security
- Telecommunication

Opportunities

- System based operations
- Traffic coordination
- Align with AIS initiatives
- R&D opportunities

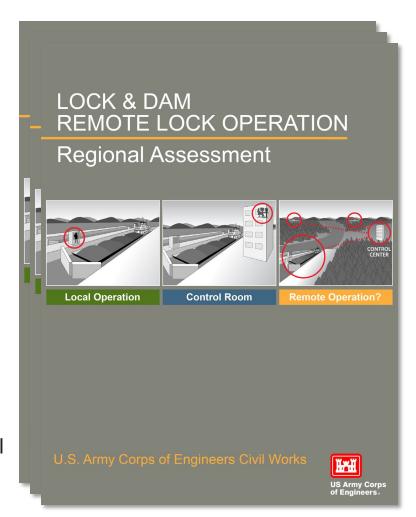
NATIONAL ASSESSMENT

KEY TAKEAWAYS

- Modernize waterway operation
- Maintain safety of workforce & users
- Proven technology exists
 - Over 50% of USACE hydropower is remote
 - USACE has been locking boats for 20+ years using camera & sensors
 - Other countries have successfully implemented locking remotely
- Cyber risks can be addressed

RECOMMENDATIONS

- Detailed site-specific assessment to determine viability
 - Purpose is to compare existing & remote
 - Infrastructure upgrades, revised operation procedures, costs, & staffing model
 - Recommendation to implement or not implement
- Adaptive management
- Establish national standards to ensure consistency



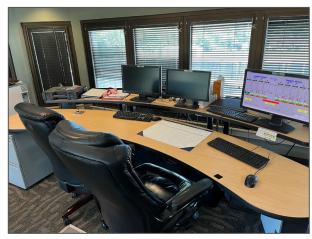
- Goal is to modernize operations to prepare for future navigation.
- USACE will ensure safe, reliable & consistent locking
- Recreational locking assistance
- Stakeholder outreach



Tow at Colorado River Locks (SWG)



Recreational vessels at Chicago Harbor Lock (LRC)



Operator station at McAlpine Locks & Dam (LRL)