

Enhancing Public Access to the Results of Research Supported by the Department of Health and Human Services A Workshop

November 30, 2023, 9:00 am – 5:00 pm (ET)

December 1, 2023, 9:00 am – 1:30 pm (ET)

**National Academy of Sciences Building, Room 120
2101 Constitution Avenue NW Washington, DC 20418**

Webcast available at the event webpage: [Click Here](#)

PURPOSE

This public workshop is convened by the National Academies to inform the National Institutes of Health (NIH) and other agencies of the Department of Health and Human Services (HHS) as they develop or update policies to enhance public access to the results of HHS-funded research. The workshop will focus on topics related to scholarly publications and convene interested individuals and communities, including authors, investigators, research institutions, libraries, scholarly publishers, scientific societies, healthcare providers, patients, students, educators, and research participants.

The workshop will feature invited presentations and discussions addressing:

- Additional steps that agencies could consider to help ensure that changes to public access policies promote equity in publication opportunities for investigators supported by HHS agencies- with a particular focus on the NIH, Administration for Children and Families (ACF), Administration for Community Living (ACL), and the Food and Drug Administration (FDA)- and do not create new or reinforce existing inequities in publishing opportunities.
- Steps for improving equity in access and accessibility of publications by diverse communities of users, in addition to removal of the currently-allowable 12-month embargo period for federally-supported publications.
- Effective approaches for monitoring trends in publication fees and impacts on affected communities, including perspectives on what constitutes a “reasonable” cost.
- Considerations to increase findability and transparency of research, including efforts to improve use of persistent identifiers (PIDs) (e.g., ORCID IDs, DOIs, RoR ID) and metadata, as well as institutions and researchers’ experiences with adoption of different identifiers across publications and research data.

DAY 1: THURSDAY, NOVEMBER 30, 2023

9:00 am WELCOME AND OPENING REMARKS

Clay Johnston, *Workshop Co-chair*
Chief Health Officer, Harbor Health
Adjunct Professor of Neurology, University of California San Francisco

Bonnielin Swenor, *Workshop Co-chair*
Director, Disability Health Research Center
Associate Professor
School of Nursing
Wilmer Eye Institute, School of Medicine
Department of Epidemiology, Bloomberg School of Public Health
Johns Hopkins University

9:15 am MORNING COFFEE CHAT

Clay Johnston, *Workshop Co-chair*
Chief Health Officer, Harbor Health
Adjunct Professor of Neurology, University of California San Francisco

Bonnielin Swenor, *Workshop Co-chair*
Director, Disability Health Research Center
Associate Professor
School of Nursing
Wilmer Eye Institute, School of Medicine
Department of Epidemiology, Bloomberg School of Public Health
Johns Hopkins University

Carrie Wolinetz, *Workshop Planning Committee Member*
Principal and Chair
Health and Bioscience Innovation Practice Group
Lewis-Burke, LLC

9:15-9:45 am Presentation

9:45-10:20 am Moderated Discussion

Carrie Wolinetz, *Moderator*
Principal and Chair
Health and Bioscience Innovation Practice Group
Lewis-Burke, LLC

10:20 am COFFEE BREAK (30 minutes)

10:50 am SESSION I – VALUE-COST OF PUBLIC ACCESS: POLICIES, MODELS, OPPORTUNITIES

Session Objectives:

- Discuss equity opportunities and barriers associated with different models that support public access throughout the entirety of the publication process.
- Discuss the impacts of shifted publication costs in response to changes in public access policies, including impacts on affected communities, trends in publication fees, and what constitutes a “reasonable” cost.

10:50 am

Panel Discussion

Adriene Lim, *Moderator*
 Dean of Libraries
 University of Maryland College Park

Panelists

Stefano Bertuzzi
 Chief Executive Officer
 American Society for Microbiology

Kimberley Bugg
 Associate Library Director
 Atlanta University Center Robert W. Woodruff Library

Heather Joseph
 Executive Director
 Scholarly Publishing and Academic Resources Coalition

Jessica Sebeok
 Vice President for Global Government Partnerships and Public Policy
 Wiley

Günter Waibel
 Executive Director, California Digital Library
 Associate Vice Provost
 University of California Office of the President

12:30 pm

LUNCH BREAK (1 hour)

1:30 pm

SESSION II – BEYOND EMBARGOS: ACCESSIBILITY CONSIDERATIONS FOR BUILDING NEW PUBLIC ACCESS POLICIES

Session Objectives:

- Discuss barriers for public access to HHS-funded research results from diverse perspectives, including from communities often excluded from research discussions.
- Discuss how to develop, evolve, and sustain bridges to various communities so that many perspectives are included in the process of making and improving public access to research through publishing.
- Consider steps for improving equity in access and accessibility of publications by a diverse community of users in addition to removal of the currently allowable 12-month embargo period for federally supported publications.

1:30 pm

Panel Discussion

Pamela Padilla, *Moderator*
Professor & Vice President of Research and Innovation
University of North Texas

E. Yvonne Lewis

Co-Director
Healthy Flint Research Coordinating Center

Lisa McCorkell

Co-Founder
Patient-Led Research Collaborative

Yvette Pearson

Vice President for Diversity, Equity, and Inclusion
University of Texas at Dallas

Jenny Peng

Senior Publisher
Oxford University Press

John-Ross Rizzo

Health Systems Director, Disability Inclusion
NYU Langone

3:00 pm

COFFEE BREAK (25 minutes)

3:25 pm

SESSION III – BREAKOUT DISCUSSION: SUPPORTING NEW PUBLIC ACCESS POLICIES THAT PROMOTE EQUITY AND ACCESSIBILITY

Session objectives:

- Identify approaches and practices to support implementation of new public access policies that reduce inequities in publishing opportunities and increase accessibility to research results.
- Discuss short-, medium- and long-term actionable steps that stakeholders, including HHS agencies, can take to ensure that new public access policies enable equity in publication opportunities and accessibility to research results for a diverse community of users (including those historically excluded from research discussions).

Discussion Questions:

- Consider the different needs and uses of research results by different stakeholder groups. Are these needs being met by the current scientific publishing ecosystem? If not, what are possible big picture approaches to address those needs, including specific public access policy changes?
- What are the intended outcomes of those approaches?
- Who what specific actions are to support implementation of those desired outcomes, and who is responsible?

3:25 pm

Breakout Discussion (50 mins)

Breakout Group Moderators

Igor Bado
Assistant Professor

Department of Oncological Sciences
Tisch Cancer Institute at Mount Sinai

Svasti Haricharan
Assistant Professor
Sanford Burnham Prebys Medical Discover Institute

Iheoma Iruka
Director, Equity Research Action Coalition
Research Professor, Department of Public Policy
University of North Carolina Frank Porter Graham Child Development Institute

Julie Maués
Co-Founder
Guiding Researchers and Advocates to Scientific Partnerships

Jessica Polka
Executive Director
ASAPbio

Chris Shillum
Executive Director
ORCID

Günter Waibel
Executive Director, California Digital Library
Associate Vice Provost
University of California Office of the President

4:15 pm **Report Out Discussion (45 mins)**
Milagros Nores, *Moderator*
Associate Research Professor & Co-Director for Research
National Institute for Early Education Research
Rutgers The State University of New Jersey

5:00 pm **ADJOURN WORKSHOP DAY 1**

DAY 2: FRIDAY, DECEMBER 1, 2023

9:00 am **OPENING AND KEYNOTE**

Phillip Sharp
Institute Professor
Professor of Biology Emeritus
Massachusetts Institute of Technology

9:00-9:30 am **Presentation**

9:30-10:00 am Moderated Discussion

Bodo Stern, *Moderator*
Chief of Strategic Initiatives
Howard Hughes Medical Institute

10:00 am SESSION IV – RESEARCH RESULTS: FINDABILITY, TRANSPARENCY, AND ACCESSIBILITY

Session Objectives:

- Discuss possible benchmarks that could be used to monitor trends for equity in publication opportunities.
- Discuss possible metrics that could be used to assess and evaluate accessibility for HHS-funded research results, including findability.
- Consider ways to improve use of persistent identifiers and metadata, as well as institutions and researchers' experiences with adoption of different identifiers across publications and research data.

10:00 am Panel Discussion

Lori Schultz, *Moderator*
Assistant Vice President for Research Intelligence
University of Arizona

Roger Schonfeld

Vice President, Organizational Strategy and Libraries, Scholarly Communication, and Museums
ITHAKA

Chris Shillum

Executive Director
ORCID

Jamie Wittenberg

Assistant Dean of Research & Innovation Strategies
University of Colorado Boulder

Joseph Yracheta

Executive Director
Native BioData Consortium

11:25 am COFFEE BREAK (20 minutes)

11:45 am SESSION V – BREAKOUT DISCUSSION: EVALUATING THE SUCCESS OF FUTURE PUBLIC ACCESS POLICIES

Session Objectives:

- Consider success criteria for successful implementation of the actions identified in Session III (Breakout Discussion: Supporting New Public Access Policies that Promote Equity and Accessibility) to ensure that new public access policies promote equity and accessibility.
- Discuss benchmarks to assess whether new public access policies are successful in promoting equity and accessibility.

Discussion Questions:

- What are 1-2 success criteria for successful implementation of big picture approaches and/or specific actions identified in Session III?
- What are measurable benchmarks to assess implementation progress and success of big picture approaches or specific actions identified in Session III?

11:45 am Breakout Discussion (50 mins)

Breakout Group Moderators

12:35 pm Report Out Discussion (50 mins)

Darla Henderson, *Moderator*

Director, Open Science and Research Integrity

Director, Publications

Federation of American Societies for Experimental Biology

1:25 pm Workshop Closing Remarks

Clay Johnston, *Workshop Co-chair*

Bonnielin Swenor, *Workshop Co-chair*

1:30 pm ADJOURN WORKSHOP DAY 2