
THE THIRD ANNUAL

ROGER REVELLE
COMMEMORATIVE LECTURE

Ocean Exploration

Featuring

DR. MARCIA K. MCNUTT
Monterey Bay Aquarium Research Institute

With an introduction by:

CONGRESSMAN JAMES C. GREENWOOD

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DR. ROGER REVELLE
(1909 - 1991)

For almost half a century, Roger Revelle was a leader in the field of oceanography. Revelle trained as a geologist at Pomona College and at U.C. Berkeley. Then, in 1936, he received his Ph.D. in oceanography from the Scripps Institution of Oceanography. As a young naval officer, he helped persuade the Navy to create the Office of Naval Research (ONR) to support basic research in oceanography and was the first head of ONR's geophysics branch. Revelle served for twelve years as the director of Scripps (1950-1961, 1963-1964), where he built up a fleet of research ships and initiated a decade of expeditions to the deep Pacific that challenged existing geological theory.

Revelle's early work on the carbon cycle suggested that the sea could not absorb all the carbon dioxide released from burning fossil fuels. He facilitated the first continuous measurements of atmospheric carbon dioxide, leading to a long-term record that makes present-day discussions and research on global warming possible. Revelle kept the issue of increasing carbon dioxide levels before the public and spearheaded efforts to investigate the mechanisms and consequences of climate change.

Revelle was a proponent of daring programs, like Mohole and the International Indian Ocean Expedition, that addressed fundamental scientific questions and pioneered international cooperation. In 1960, Revelle left Scripps for important posts as science advisor to the Department of the Interior (1961-1963) and as the first director of the Center for Population Studies at Harvard (1964-1976). Revelle applied his knowledge of geophysics, ocean resources, and population dynamics to the world's most vexing problems: poverty, malnutrition, security, and education.

In 1957, Revelle became a member of the National Academy of Sciences (NAS) to which he devoted many hours of volunteer service. He served as a member of the Ocean Studies Board, the Board on Atmospheric Sciences and Climate, and many other committees. He also chaired a number of influential Academy studies on subjects ranging from the environmental effects of radiation to the study of sea-level change.



Dr. Marcia K. McNutt
President and CEO
Monterey Bay Aquarium Research Institute

Marcia McNutt is the President and Chief Executive Officer of the Monterey Bay Aquarium Research Institute (MBARI) in Moss Landing, California. MBARI is a research laboratory funded by the Packard Foundation to develop and exploit new technology for the exploration of the oceans. The institute's main focus is on designing and building new tethered and autonomous underwater vehicles and *in situ* sensor packages for increasing the spatial and temporal sampling of the ocean and its inhabitants.

Dr. McNutt was born and raised in Minneapolis, Minnesota, where she graduated as class valedictorian from Northrop Collegiate School (now The Blake Schools) in 1970. In 1973, she received a BA degree in Physics, *summa cum laude*, Phi Beta Kappa, from Colorado College in Colorado Springs. With the help of a National Science Foundation Graduate Fellowship, she next studied geophysics at Scripps Institution of Oceanography in La Jolla, California, where she earned a Ph.D. in Earth Sciences in 1978. After a brief appointment as a sabbatical replacement at the University of Minnesota, she spent the next three years working on the problem of earthquake prediction at the US Geological Survey in Menlo Park, California, before joining the faculty at MIT in Cambridge, Massachusetts, in 1982. Dr. McNutt spent the next 15 years at MIT, where she was appointed the Griswold Professor of Geophysics. While at MIT, she also served as Director of the Joint Program in Oceanography and Applied Ocean Science and Engineering, a cooperative graduate educational program between MIT and the Woods Hole Oceanographic Institution. In 1988, she won the Macelwane Award from the American Geophysical Union, presented for outstanding research by a young scientist.

Dr. McNutt's principal research involves the use of marine geophysical data to study the physical properties of the Earth beneath the oceans. Recent projects include the history of volcanism in French Polynesia and how it relates to broad-scale convection in the Earth's mantle, continental break-up in the western U.S., and the uplift of the Tibet plateau. Her research is both theoretical and field-based, using data she has collected on nearly two dozen oceanographic expeditions. Dr. McNutt is also the President-Elect of the American Geophysical Union.

Introduction

What fuels scientific discovery? The experts on this subject, the philosophers of science, have recently tended to promote the value of hypothesis-driven research, in which questions suggest experiments that lead to tests of the proposed theory. Hypothesis testing is indeed a cornerstone of the scientific method. It is what we teach our students. It is how we write our proposals and how we logically present the arguments in our scientific papers.

But as I think back on some of the more interesting scientific papers I have written, I admit that this classic application of the scientific method was mostly a farce. The hypothesis that I set out to test (as per some funded National Science Foundation proposal) was not the question I ended up answering. The paper that I ultimately wrote made it sound as though I had known all along where the project was leading, whereas in fact it was only after the data were collected that I finally was able to, in effect, "read the story that the data had to tell." The National Science Foundation implicitly seems to understand that this experience is commonplace; in evaluating your prior accomplishments, they never ask whether you found the answer to the question you had been funded to address. All that counts is that your results are original and important.

What this experience suggests to me is that there is still so much we do not know about the oceans that often we do not even know the proper questions to ask or how to test the hypotheses we do have. For that reason, I am a fan of ocean exploration.

History of Ocean Exploration

Ocean exploration dates back at least to the voyages of the *Beagle* and the RMS *Challenger*. The *Challenger* expedition in 1872-1876, in particular, radically changed our views of the deep sea. With funding from the British Royal Society, that expedition systematically collected observations of the oceans by stopping every two hundred miles. At each station, the depth to the seafloor and the temperature at various depths were measured by lowering a sounding rope over the side. Water samples were collected and the bottom was dredged for rocks and deep-sea marine life. The results from the expedition were staggering and filled fifty volumes. Surprisingly, oceans were not deepest in the middle - the first hint of the vast midocean ridge system that was so central to the seafloor spreading concepts to be proposed later. Seven hundred fifteen new genera and 4417 new species were identified but, unexpectedly, none turned out to be the living fossil equivalents to the trilobites and other ancient marine creatures found in terrestrial strata. The types of sediments on the seafloor were unusually lacking in diversity as compared with terrestrial equivalents, and were categorized by Sir John Murray as being one of only two types: chemical precipitates or accumulations of organic remains. The *Challenger* expedition set the pattern for all expeditions for the next 50 years.

After the world wars, modern oceanographic research ships resumed exploring the oceans with interdisciplinary teams of scientists funded by the newly-established Office of Naval Research and the National Science Foundation. However, gradually over the years, exploration *per se* went out of favor. By the 1970's, it was already very difficult to obtain funding to take a new array of tools to a new place just because no one had ever been there before. Instead, the emphasis was placed on testing hypotheses, which in turn means that ships keep returning to places where scientists already have enough information to pose a hypothesis. The global map of ship tracks changes little from year to year despite many nautical miles logged, because the ships are simply retracing well-worn routes. The scientific parties have changed composition as well. Except in some rare instances of special multidisciplinary programs, ships are no longer staffed with physicists, biologists, chemists, and geologists, all trying to understand the same system. It is difficult to justify the berth space and travel costs for a participant whose expertise is not necessary to test the narrow hypothesis at hand. In addition, multidisciplinary proposals are subjected to double or triple jeopardy at the hands of the typical narrowly constituted peer review panels. Educational programs have also changed in response to these trends. Students have become more narrowly trained in their own disciplines in order to acquire the depth of understanding necessary to tackle the next order of hypothesis testing. A marine geophysics student asked to describe a basalt, by far the most common rock in the oceans, will know in great detail its density and seismic velocity structure, but probably won't be able to select the basalt hand specimen from amongst a collection of rocks.

Serendipitous Discoveries

To be sure, startling finds have been stumbled upon in the course of hypothesis-driven research. One of the more important surprises of the 20th century was the discovery of the chemosynthetic communities in the deep sea. This discovery was the unintended consequence of a very deliberate attempt to solve the mystery of the missing heat at the midocean ridges. The plate tectonic model predicted that molten magma was forming new plate material at midocean ridges. The ridges stand high above the surrounding seafloor because the hot rock is thermally expanded. The seafloor gradually cools through the conduction of heat to the surface, and therefore contracts and subsides as it drifts away from the plate boundary. According to this model, the depth of the seafloor should be directly proportional to the square root of its age, and its heat flow should be inversely proportional to the square root of its age. Agreement between the model and actual seafloor depths is excellent, but the heat flow near the ridges is far less than the model would predict.

Marine geologists began to suspect that their assumption that heat is lost through conductive mechanisms only was flawed. They envisioned the undersea equivalent of "Old Faithful" transporting heat directly to the cold oceanic heat sink via the circulation of seawater through oceanic crust. Although this prediction turned out to be dead on, I know of no suggestions that deep sea hot springs would also harbor novel species. The theories of the time would not have predicted that proteins

could fold, and thus function, at such hot temperatures. The search for the hypothesized deep-sea hot springs proved elusive, because at any one time only a very small portion of the midocean ridge is volcanically active. However, in 1977 an expedition to the Galapagos Ridge offshore from Ecuador paid off mightily. Images from a camera sled towed near the bottom revealed a veritable oasis of life associated with hot waters venting from cracks along the ridge (Figure 1). The submersible *Alvin* arrived on site soon after to sample the vent waters and fauna associated with the deep-sea hot springs. Unlike all previously known life forms, the fuel for this branch of the food chain did not come from photosynthesis, but rather from novel chemical reactions mediated by bacteria. The scientific party had been so utterly unprepared for what they found that they had to preserve the biological specimens in vodkaⁱ.



Figure 1. Tube worm colony thriving in the hot vents along an active midocean ridge segment.

This story is well known and often recounted. In this case, the serendipitous discovery was so impressive that even the geologists on board the ship were able to recognize its importance. The stunning visual images so enthralled scientists that there was no question but that the funds would be found for repeat visits to the vent sites. But what about those discoveries less obvious, that might go unnoticed by a shipload of specialists on hand to test a narrow hypothesis? Or what about those chance encounters that the shipboard party is unprepared to document fully, and that cannot later be exploited either due to their ephemeral nature or lack of sufficient resources? For those reasons, the research community would benefit from a program of ocean exploration.

The Unknown Ocean

As we enter the 21st century, it is still true that the vast majority of the ocean is unknown and unexplored. It is always difficult to estimate how much of the ocean has been surveyed since the answer is scale dependent. Consider for example the simple question of how well we know the depth of the ocean basins. At scales longer than a few tens of kilometers, we can use gravity anomalies recovered from satellite altimetry to interpolate between sparse ship soundings in order to yield a first-order approximation of seafloor depth. But this is only a proxy, and is totally inadequate for geologic mapping, minerals assessment, habitat characterization, fisheries management, estimation of geologic hazards, etc. At best about 5% of the seafloor has been mapped with the more precise multibeam echo soundersⁱⁱ, and even that is only a first step towards useful bottom characterization.

As we move upward into the water column, the situation is even worse. The midwater zone, between the sunlit upper layers and the benthos, is the largest habitable living space on the planet. Just prior to World War II, it was thought to be a wasteland. The soft-bodied denizens of this world (Figure 2) are mostly destroyed by traditional sampling gear (such as net tows) and leave no fossil record. Sonars deployed by U.S. Navy ships in WWII indicated that indeed something was living down there. Reflecting layers appeared on the sonar screen as phantom bottoms. And the bottom moved up and down in a daily cycle. This was the first hint at the largest animal migration on the planet.



Figure 2. Chain of salps in Monterey Bay. This particular species is *Tethys vagina*, the largest salp species. This image, from high-definition television deployed on MBARI's ROV *Ventana*, reveals embryos inside the individual members of the chain, as well as amphipods and a fish. The amphipods are stealing food from the mucous strands of the salps, and the fish are hiding out. In a region devoid of physical substrate, such as rocks or trees, this chain of salps is providing habitat for other marine animals. ©2001 MBARI.

It took some thinking out of the box to actually learn what these midwater organisms look like. Bill Hamner, an ornithologist then at UC-Davis, had become allergic to bird feathers, and therefore was in search of a new profession. Oceanography seemed safe for someone with allergies. On one sampling trip to the Gulf of California in 1969, he was surprised to see the marine biology graduate students combing the deep sea with nets to sample organisms. Bill asked them why they were doing that. They answered that it was the way deep-sea biology was done. Bill asked why they didn't simply go down and look at what was there. This simple question led to a complete turnaround in the methodology for studying deep-sea biology, with blue-water diving, followed by human occupied submersibles, and remotely operated vehicles (ROVs) replacing net tows.

These new tools have revolutionized our thinking about the midwater. Now its biomass is thought to exceed that of all of Earth's rainforests combined. The potential for fundamental discovery is great, but we know so little about this realm that it is difficult to even pose questions within the context of a hypothesis-based research system. For example, on a recent sampling expedition Bruce Robison from MBARI found a doliolid with a copepod in its gut. This was completely unexpected: doliolids are filter feeders. The body parts (Figure 3) and subsequent DNA analysis confirmed that this creature was indeed a close relative of the filter feeders, but the fact that even in the lab it would consume copepods indicated that this particular specimen was a carnivore. This discovery would be analogous to finding a cow eating like a tiger. So what caused this peculiar turn of evolution? We don't yet have the answer, but how would we even know to ask such a question if we hadn't stumbled across this bizarre animal. This is, in my view, one of the most important outcomes of exploration. It leads to posing questions that no one would otherwise have thought to ask.

The Ocean Exploration Initiative

Just two years ago I was asked by NOAA Administrator Jim Baker to chair a panel of distinguished researchers, explorers, educators, and marine archaeologists to develop a national strategy for ocean explorationⁱⁱⁱ. The report was commissioned by the White House on the bicentennial of the Lewis and Clark expedition, and was intended to expand exploration of our planet to the portions that lie under the sea.

The panel embraced the charge with relish, and recommended that the nation implement a program of ocean exploration with 4 elements:

1. Voyages of discovery.
2. Platform and instrumentation development.
3. Data management and dissemination.
4. Formal and informal educational outreach.



Figure 3. The unusual carnivorous doliolid captured on video by the ROV *Tiburón* on a transit between Monterey Bay and Hawaii. ©2001 MBARI.

In this presentation today, I would like to focus on the promise for ocean exploration based on developments in two areas: new tools (platforms and instruments) for exploration and progress in how we manage and distribute data.

New Platforms

One of the reasons why an effort in ocean exploration is timely is that we now have a wonderful array of new research platforms that were unavailable during the earlier forays into ocean exploration. These platforms help to overcome the fact that man as a species is completely unsuited to survival in the deep sea, an environment in some ways more challenging than outer space in terms of exploration.

ROVs

One of the most promising tools for ocean exploration, in my opinion, is remotely operated vehicles, or ROVs. These sophisticated, unmanned vehicles are deployed from surface ships, and remain connected to the surface via an umbilical cord that provides power and two-way communication between the scientists and the vehicle. ROVs serve as extensions of the scientists' eyes, ears, hands, and other senses in the deep sea. MBARI's *Tiburon* is an electric vehicle (Figure 4). Quiet, like its namesake, the shark, it can sneak up on animals in the water column. Beneath the vehicle is a tool sled custom-equipped with the sampling and observing gear needed for the type of mission at hand - e.g., midwater biology, benthic biology and geology, etc. Tool sleds can be easily swapped in and out in a matter of minutes to reconfigure the vehicle for another mission.



Figure 4. The MBARI ROV *Tiburon*. This vehicle was first launched in 1997 and allows scientists to explore the ocean to depths of 4000 m. Its variable ballasting system and electric motors make it an ideal platform for silently hovering in the midwater to observe animal behavior. Photo by David French ©1997 MBARI.

These remotely operated vehicles have a number of advantages over human occupied submersibles. They can be much cheaper to build and operate, because it is unnecessary to equip them with life support systems for human occupants. Second, one can take greater risks with them in terms of operations, because no lives are at stake. If a storm whips up the waves on the surface, the ROV can stay in the calm of the deep sea for days if necessary until the storm blows over, while the manned

submersible probably would not even have been launched that day with a threat of bad weather. Third, whereas human-occupied submersibles carry a very limited party of observers (for example, *Alvin* carries one pilot and two scientists), there is no limit to the number of "participants" in an ROV mission. MBARI currently transmits the images that come up the umbilical cord to an audience at the Monterey Bay Aquarium via microwave. There is no impediment to even wider participation via the internet. And finally, with an ROV there are few limits to the duration of a mission. Unlike the humans in a manned sub, the ROV never gets hungry, never gets cold, and nature never calls.

So what sort of discoveries are we making with this versatile class of vehicle? As one example, we now know that light is the most common form of language on the planet. For decades we have been listening in the ocean, and indeed sound is a mechanism for communication and navigation by marine mammals, many fish, and even some invertebrates. By comparison, little effort has been expended on exploring the use of light in the ocean, despite the fact that probably 90% of animals in the ocean communicate using bioluminescence^{iv} (Figure 5).

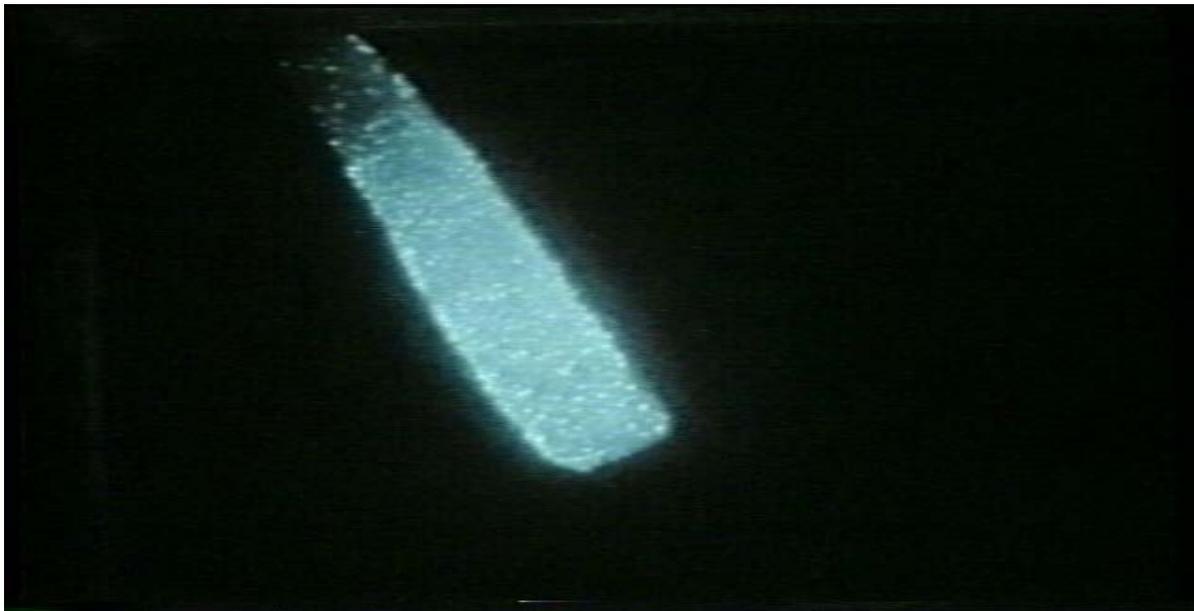


Figure 5. Pyrosomes exhibit unusually bright bioluminescence. These passive filter feeders, about 30 centimeters in length, are common near the surface, where sailors can see them shining at night. Their dead bodies become habitats for other marine organisms. Photo courtesy of Edith Widder, Harbor Branch Oceanographic Institution.

The modern generation of ROVs provides a superb platform for studying the use of light in the ocean and other examples of animal behavior. Based on chance observations, a number of uses of bioluminescence have been proposed, such as:

1. Counter-illumination to cancel shadows against the ocean surface in order to avoid predation;
2. attracting a mate and indicating sex;
3. attracting prey;
4. attracting like species for aggregating and schooling;
5. bringing attention to a predator in hopes that it will then become a meal for its own predators^v.

Chance encounters with marine animals have also suggested that body language might be an important means of communication. Imagine the vocabulary possible with different combinations of postures from 8 arms! Someday we might be able to understand this language.

AUVs

Another very promising class of deep-sea exploration platform is the autonomous underwater vehicle, or AUV. Unlike the tethered ROV, AUVs are free-swimming vehicles that execute pre-programmed missions under battery power. They are about one hundred times cheaper than ROVs and can be launched from small ships of opportunity (or potentially even from shore, helicopter, or airplane). These vehicles are the platforms of choice when real-time connection to the human brain (via the ROV umbilical) and high power are not necessary.

MBARI's *Dorado*-class vehicle is modular in design. The tail cone contains the propulsion system, the navigation, and the batteries. The nosecone is equipped with a standard suite of water column sensors (to measure conductivity-temperature-depth [CTD], etc.) and sonars. The midbody can be individually configured by any research team for the mission at hand. Payloads we are currently integrating include such instruments as a high-resolution multibeam sonar, a fluorometer, and a bioluminescence detector. A research institution need only invest in a few tailcones (the expensive part) to be shared among research groups, with no limit to the number of midbody payload sections in development at any one time.

With funding from the National Science Foundation, this AUV is currently exploring the physical oceanography and hydrography under the Arctic ice. For this mission, the Atlantic Layer Tracking Experiment, the vehicle has been equipped with two midbody sections (Figure 6). One section contains extra batteries for a two-week mission. The other section contains expendable buoys that are periodically launched to transmit the data collected so far back to shore. The buoys rise under the ice, and release a chemical that allows them to melt their way up through the Arctic ice cap. Once the nose of the buoy emerges from beneath the ice, it deploys a satellite antenna that beams the information back to MBARI.

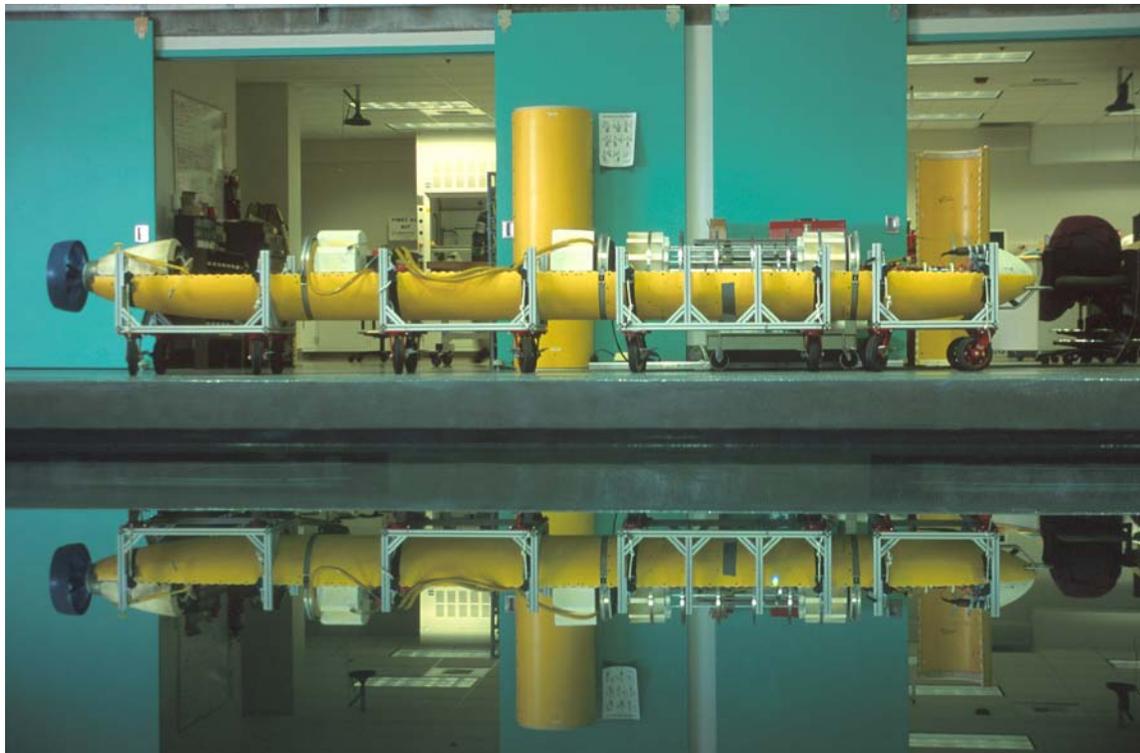


Figure 6. The MBARI AUV *Dorado*. The vehicle can be configured in lengths from 2.2 m (no midsections) to 5.6 m (two midsections). The vehicle minimizes the use of pressure housings by putting most systems in smaller, lighter, oil-filled enclosures. Its depth rating is 4500 - 6000 m, depending on the payload. The vehicle is steered by a novel articulated tail cone and propelled by a ducted propeller, resulting in more robust, efficient operation. Photo by Todd Walsh ©2001 MBARI.

Vehicles such as this hold great promise for affordable exploration of the ocean by fleets of AUVs equipped with a broad suite of physical, chemical, and biological sensors on long-term missions.

New Sensors for Probing the Depths

The platforms described so far are only as useful and versatile as the sensors available for equipping them. Until recently, most of the available in situ sensors were designed for monitoring the physics or the geophysics of the ocean. For example, CTDs, current meters, acoustic doppler current profilers, hydrophones, and seismometers all represent mature technologies that are widely available. By comparison, in the fields of ocean chemistry and biology, few in-situ sensors are available. Researchers are still using the sampling technology that was used on the *Challenger*! Water samples are collected in bottles, returned to shore, and then subjected to various chemical and biological assays and investigations. To be sure, the laboratory techniques have advanced substantially, but sampling itself is still, relatively speaking, in the Dark Ages. But all of that is changing. With the recognition

that the oceans are not just a big body of water, but a living organism, more effort is being placed on exploring their biological and chemical properties *in situ*.

One of the developments of which we are most proud at MBARI is the Environmental Sample Processor, or ESP (Figure 7). This device allows conventional lab-bench exploratory genomics to be performed in the ocean. The device, which could be deployed from a ship, mooring, ROV, AUV, or cabled observatory, automatically pulls in a sample of seawater on a pre-programmed schedule. The seawater is filtered to preserve a desired size fraction of microorganisms. The cell walls of the organisms are then ruptured to release their genetic material. Spots on the filters contain molecular probes engineered to identify any of a number of species of interest. When the target is found, the probe fluoresces, and the result can be transmitted back to shore via satellite or microwave. A researcher sitting comfortably at his or her desk can instantly find out not only what organisms are in the ocean, but also how many of them are there. This device was originally designed and built by scientist Chris Scholin and engineer Gene Massion to detect the onset of harmful algal blooms. While this application is certainly important for understanding the health of the ocean ecosystem and those who consume its products, like us, the potential of this type of instrument for ocean exploration is limitless.

Ed DeLong, and his postdoctoral student Oded Bèjà, illustrated recently just how much we don't know about the microbial ocean. We do know that as many as 1000 bacteria are found in each drop of seawater, but most of them are not identified in terms of species or function. For example, it was thought that marine bacteria were heterotrophs, living off dissolved organic matter in the ocean left over from the activity of the plant photosynthesizers. These bacteria are difficult to study, because 99% of them cannot be cultured in the lab.

For that reason, DeLong and Bèjà were using exploratory genomics to randomly search for identifiable gene fragments in one of the most common bacteria in the oceans, SAR86. To their surprise, they found an unusual sequence that encodes a protein they now call proteorhodopsin^{vi}. This protein is morphologically and functionally very similar to bacteriorhodopsin. Despite its name, bacteriorhodopsin had actually never been found in bacteria before. Its only previous occurrence was in the Archaeal domain, in an extremophile that lives only in hyper-saline environments. But the protein has an important function. It resides in the cell wall of the organism, and when hit with a photon of light, it changes shape such as to expel a proton from the cell. This then sets up a potential difference across the cell that generates ATP, the currency for cellular energy (Figure 8). DeLong estimates that 20,000 proteorhodopsin molecules reside in each bacteria cell, enough to provide them with the energy to live and reproduce from sunlight alone.

We are just now beginning to imagine the possibilities for exploring the microbial ocean's genetic material using this ESP. It can tell us not only what organisms are there and in what numbers, but also what they are doing in the environment.

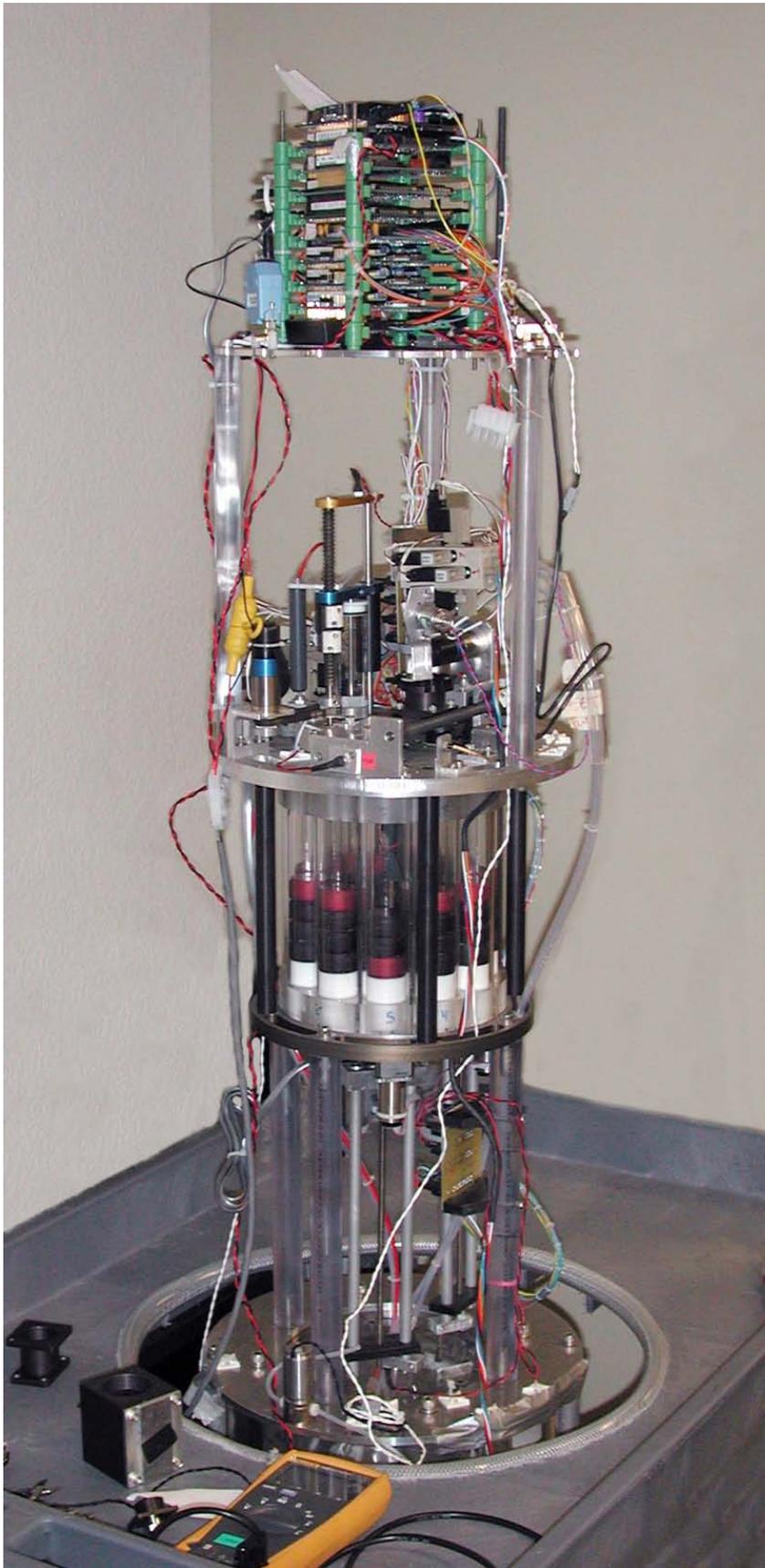


Figure 7. The MBARI Environmental Sample Processor (ESP). This device is designed to conduct autonomous, preprogrammed chemical processing (such as DNA probe arrays) on material collected, filtered, and concentrated from seawater while deployed in the ocean environment. Samples can also be preserved for later analysis in the laboratory after the filters are recovered. Two-way communication with the shore is provided by radio modem. Photo by Todd Walsh ©2001 MBARI.

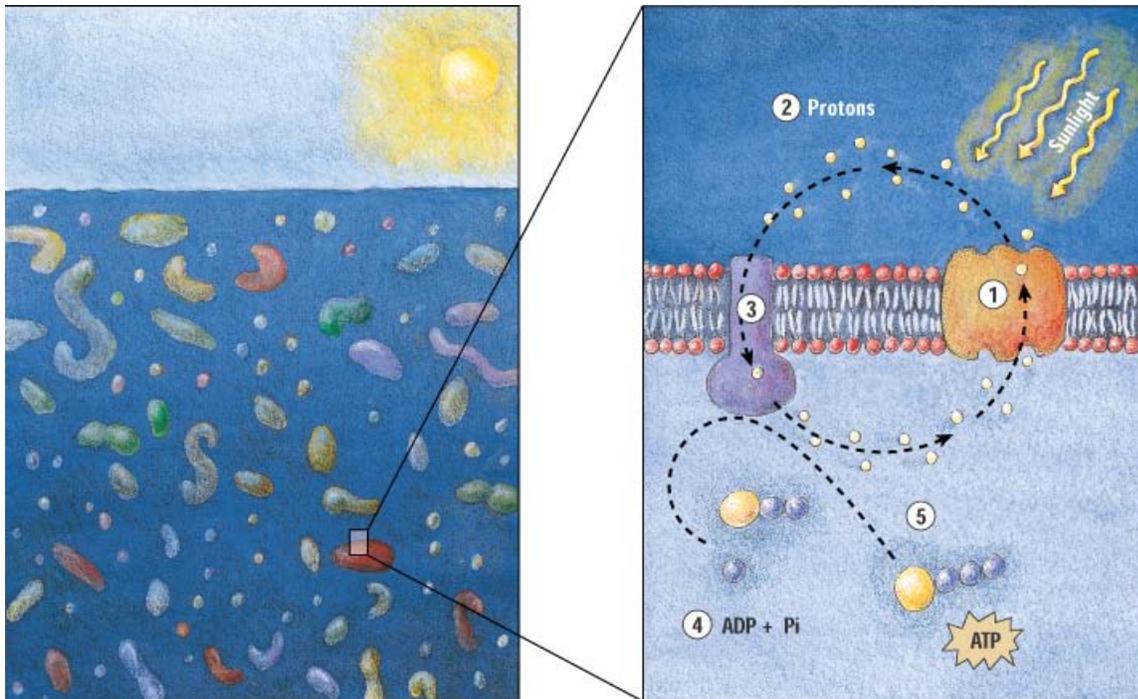


Figure 8. Schematic showing the conversion of light energy to cellular energy by proteorhodopsin proteins in the cell wall of SAR86 bacteria. Illustration by Kirsten Carlson ©2001 MBARI.

Data Management

New oceanographic sensor systems can collect more data in one hour than the *Challenger* expedition collected in one year. But frankly, as a nation, we do a poor job at managing that data. There are some exceptions: for decades, the National Geophysical Data Center has kept an archive of all of the underway depth, magnetic anomaly, and gravity data collected by research ships. But for the most part, data reside in the collection of the investigator who was funded to acquire them, and it is often difficult to find who has what. In particular, results that are not easily reduced to a manageable series of numbers are difficult to share, no matter how generous the "owner" might be. At MBARI, we are currently developing new archiving strategies for video data and for information from molecular probes, such as the ESP, in order to make this information useful to the wider community. One of the great benefits of an ocean exploration program is the fact that it would facilitate the archiving of data and make it widely available to researchers and students who were not participants in the original data collection exercise. If done well, this treasure of data will be the fuel for hypothesis-driven research for decades to come. Anyone with access to the internet and a good idea can test his or her hypothesis against all the available data.

A good example of data being used to solve a problem unrelated to the problem being addressed at the time the data were originally collected is provided by Ken Johnson's study of the processes that lead to the formation of submarine canyons. This is one of the oldest questions in all of marine geology, posed by Francis

Sheppard himself. Most canyons lie offshore from the mouth of a river, but because river water is less saline (and often warmer) than ocean water, the river water should float on the surface, not cut a seafloor canyon by hugging the bottom. One early explanation was that the canyons were carved by subaerial fluvial processes during the Pleistocene when glaciers covered the continents and sea level was lower. However, it became clear that canyons are well developed far below the lowest stand of sea level during the ice ages. Other hypotheses proposed forces related to internal waves, tides, and ongoing mass wasting of the walls followed by downslope movement. One of the more interesting suggestions was that canyons are formed by infrequent but high energy hyperpycnal flows created during flood events. The idea is that these flows entrain so much suspended sediment that they move along the bottom of the ocean despite being fresher and warmer than the ambient sea water. It is an interesting idea, but hard to test. No one had ever documented a hyperpycnal flow associated with a river system the size of the Salinas River. Although current meters had been deployed along the axis of the canyon, they did not survive long enough to tell the story of what might have happened.

Ken Johnson knew that MBARI had more than 10 years of records of ROV dives in Monterey Bay, many of those dives for the purpose of exploring Monterey Canyon (Figure 9). These dives are annotated in a database that includes the day, time, year, latitude, longitude, depth, temperature, salinity, oxygen content, density, transmissivity, as well as annotations and "frame grabs" of any significant observations seen in the accompanying video, such as marine life, geological formations, or samples taken. Ken asked the relational database to identify all dives that descended to the bottom of Monterey Canyon within 24 hours of the Salinas River hitting flood stage as recorded by the USGS river gauge on the Highway 68 bridge.

He found an example of a dive that occurred as the Salinas River reached flood stage in March of 1995. The instruments on the ROV recorded a profile that showed the temperature in the water column dropping as the ROV descended through the thermocline, but then mysteriously rising again just above the floor of the canyon (Figure 10). The salinity was low on the surface, presumably due to the high influx of fresh river water from winter rains, climbed to normal values in the midwater, and mysteriously dropped again at the base of the canyon. The transmissometer showed high levels of light transmission in the upper water column, with values plummeting to zero once the ROV plunged below the shoulders of the canyon wall at 1-km depth. The pictures from the video cameras told the whole story. The ROV encountered a mudflow so thick that the video cameras could not detect the energy from their own lights. The meaning was apparent to Johnson: he had found a hyperpycnal flow actively eroding Monterey Canyon^{vii}. The ROV was diving into the Salinas River, except that it was flowing more than a kilometer deep, 30 km offshore in Monterey Bay.

Although the researchers out in the canyon that day felt that the dive was a bust, Johnson's study 5 years later was made possible because the MBARI database didn't pass judgement on that dive. Although it was aborted, the video was annotated and the data archived in the same way as every dive before and every dive since.

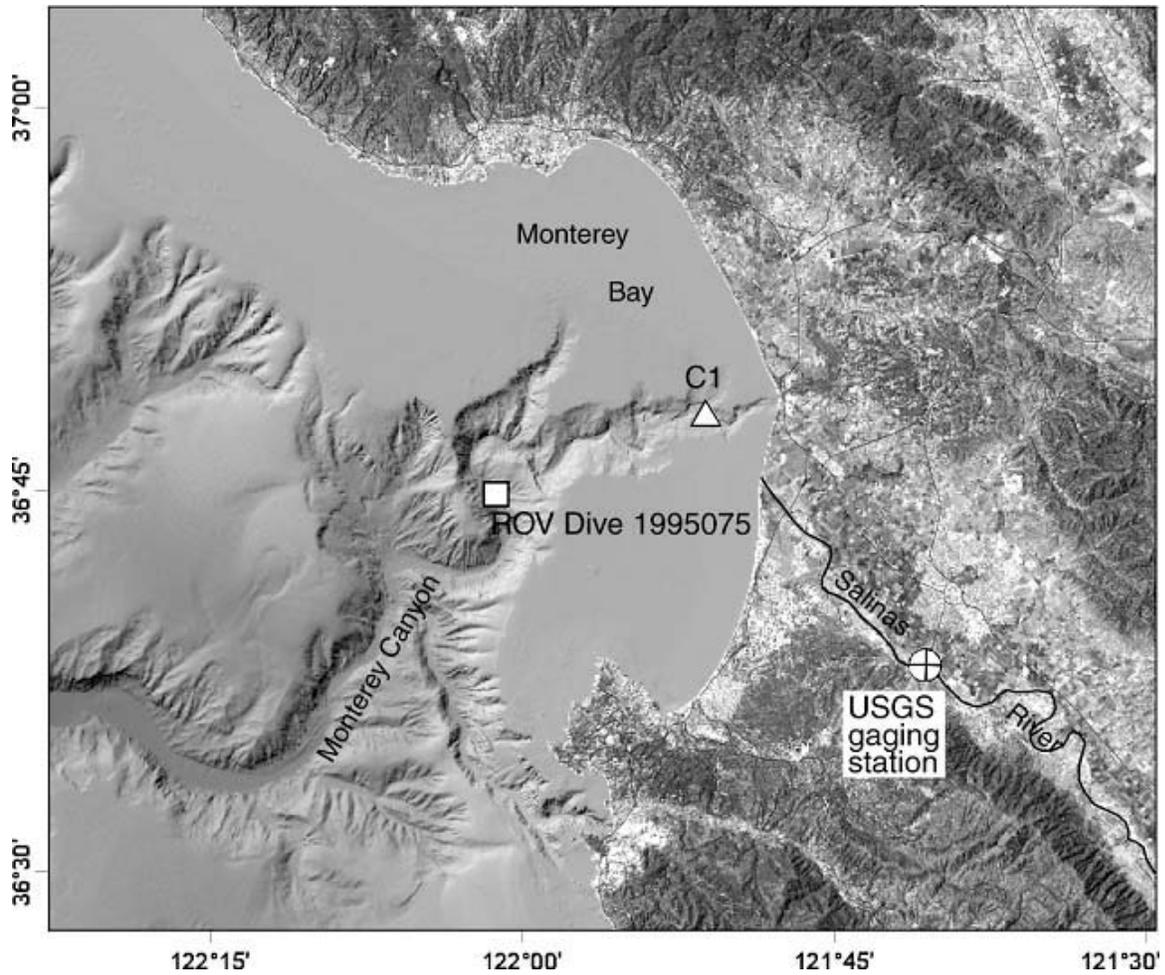


Figure 9. The Monterey Canyon system, showing the locations of the March 16, 1995 ROV dive (white square), a moored instrument array which also recorded the event (white triangle), and the USGS tide gauge station on the Salinas River (white circle with cross). ©1999 MBARI.

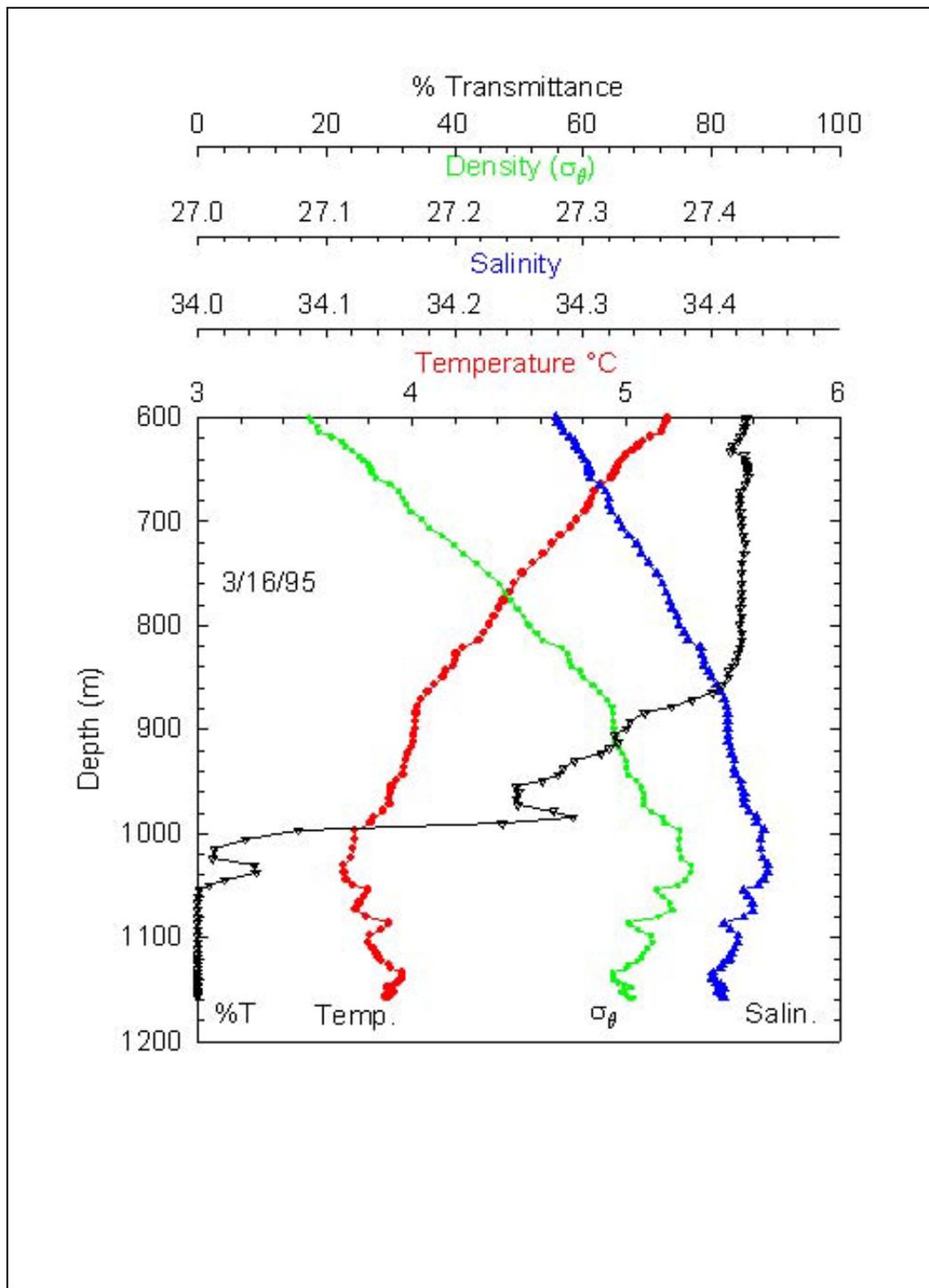


Figure 10. Record from MBARI's ROV *Ventana* as it descended into Monterey Canyon on March 16, 1995. The physical parameters show a near-bottom inversion as the ROV entered what appears to be a hyperpycnal flow from the Salinas River.

This last point is important to keep in mind in putting forth an ocean exploration program. It must be led by explorers, not researchers engaged in hypothesis testing. Sometimes the best researchers do not make the best explorers. The explorers must be willing to pass on the fruits of their efforts to the widest possible audience, and sit back as others profit from their labors. This might be one reason why philosophers of science have tended to discount new observations as the impetus for advancement. Most data are not collected by explorers. They are instead gathered by those engaged in hypothesis testing, who tend not to see patterns and trends that are not relevant to the questions they are addressing or that they do not expect. And it is not always easy to get the observations into the hands of the people who will be able to read the story that the data have to tell.

Concluding Remarks

For a number of years I was involved in the Frontiers of Science symposia originated by Frank Press and organized by the National Academy of Sciences. Participating in these meetings was a fascinating experience, and during those years I spent some time reflecting on the occasions when one group of researchers had a difficult time communicating to another group from a different discipline. One session from a number of years ago I recall vividly. A group of seismologists had debated the question of whether earthquakes are fundamentally predictable. The reaction of some of the meeting participants was amazement. "Why," some of them asked, "doesn't an unbiased person perform the definitive experiment to determine which of the two competing hypotheses is right?" Comments such as this typically came from researchers addressing systems (e.g., a cell or a laser beam) that could be isolated on a lab bench and subjected to experimentation that would be completed before the current grant cycle ended. Questions such as this never came from astronomers or astrophysicists. They knew exactly what the geoscientists were up against. They knew they were dealing with large, complex systems that cannot be recreated in the lab and that they were dealing with time scales in many cases much longer than the tenure of man on this planet. For the same reason that we need a space exploration program, we need an ocean exploration program.

References

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- ⁱ For a first-hand account of the discovery of the deep sea communities, see Ballard's "The History of Alvin" in *Fifty Years of Ocean Discovery*, National Academy Press, 2000.
- ⁱⁱ This percentage was estimated by David Caress who maintains, under NSF funding, general purpose software called MB-System that enables plotting and manipulation of multibeam mapping data from all systems in general use now or in the past.
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