TRANSPORTATION RESEARCH BOARD

# Updating Designs for Mechanically-Stabilized Earth Walls in AASHTO

**October 8, 2020** 

@NASEMTRB #TRBwebinar

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# Learning Objectives

- 1. List new MSE wall design changes
- 2. Compare and contrast new design methods
- 3. Discuss how these changes impact MSE wall design

## **#TRBwebinar**

Daniel Alzamora, FHWA

October 8, 2020

### Presentation objective:

- Provide an overview of changes related to MSE wall design in AASHTO 2020
- Describe differences in the design methods
- Describe limitations in the use of these methods (extensible reinforcements)
- Discuss design impact of these changes

Торіс	Presenter	Duration
Introduction and Summary of Changes	Alzamora	5 min
<ul><li>Review of current design methods</li><li>Coherent Gravity</li><li>Simplified</li></ul>	Alzamora	10 min
<ul><li>Introduce new design methods</li><li>Limit Equilibrium</li><li>Stiffness</li></ul>	Leshchinsky Allen	20 min 20 min
Impact on Design	Alzamora	5 min
Questions	Han	25 min

Why add new design methods?

- The Simplified Method has not been good at predicting reinforcement loads as compared to measured loads, particularly for extensible reinforcements.
- Goal of the changes are to update and improve the requirements for internal stability design of MSE walls.

### How did we get here?

- AASHTO T15/FHWA MSE Task Force
  - Started 2012 one focus area was MSE internal stability
  - Composed of MSE leaders in academia, consulting, and the industry
  - Assessed best path forward (limit equilibrium, and new stiffness method)
  - Recommended research needed and eventual adoption

#### • AASHTO T15 and COBS

- Beginning in 2014, continued this assessment
- Used formal AASHTO COBS process through T15 mid-yr meetings, the annual AASHTO COBS meetings, and e-mail
- Final decision:
  - adopt the Stiffness Method for geosynthetic walls
  - allow the continued use of the Simplified Method
  - Use limit equilibrium for situations that are beyond empirical basis for the other methods, and for compound stability
- Adopted in the AASHTO LRFD Specifications as the "Stiffness Method" in 2019 (published 3-2020)

### **Changes to MSE wall design**

### **Change in Tmax calculation**

- Existing methods
  - Simplified Method
  - Coherent Gravity Method (inextensible Reinforcement)
- New methods
  - Stiffness Method
  - Limit Equilibrium
  - These methods are limited in AASHTO to extensible reinforcements only

### **Change in Overall Stability Calculation**

• Service limit vs strength limit

### What is Tmax?



 $T_{max}$  is the force acting on the MSE reinforcement at any given depth.

- $T_{max}$  is a function of the:
- vertical stress
- strength of the soil
- spacing of the reinforcement
- Reinforcement stiffness
- Facing

### Simplified Method



Source: FHWA

$$T_{MAX} = \sigma_H S_V$$

 $S_{\rm V}$  is vertical reinforcement spacing, for equally spaced reinforcements

$$\sigma_{\rm V} = \gamma_{\rm r} Z + \dots$$

$$\sigma_{\rm H} = K_{\rm a}(K_r / K_a) \sigma_{\rm V}$$



Figure 4-10. Variation of the coefficient of lateral stress ratio (K<sub>r</sub>/K<sub>a</sub>) with depth in a MSE wall (Elias and Christopher, 1997; AASHTO; 2002; & after AASHTO, 2007).

Source: FHWA NHI-10-024

### **Coherent Gravity Method**



$$W = \gamma_r Z$$
  

$$\sigma_V = W / (L - 2e)$$
  

$$\sigma_H = K_a (Kr / Ka) \sigma_V$$
  

$$T_{MAX} = \sigma_H S_V$$

 $K_r / K_a$  = Lateral earth preassure based on Figure 4 - 10

 $S_{\rm V}$  is vertical reinforcement spacing, for equally spaced reinforcements

New Methods to calculate  $T_{max}$ 

2020 AASHTO – Incorporates the use of these new design methods for extensible reinforcements.

- Limit Equilibrium
- Stiffness Method



# Limit Equilibrium Design: Overview and Instructive Examples

Dov Leshchinsky Emeritus Professor, University of Delaware Newark, Delaware Partner, ADAMA Engineering Clackamas, Oregon

#### https://www.fhwa.dot.gov/engineering/geotech/pubs/hif17004.pdf



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2. Verifies using numerical and physical models

# **Roadmap of Presentation**

- Limit Equilibrium Analysis: Global Approach
- The Safety Map Tool
- Limit Equilibrium Analysis: Baseline Solution
- Limit Equilibrium: Design Approach
   Limit Equilibrium: Instructive Examples
   Concluding Remarks

# Global Limit Equilibrium (LE)

- Simple yet applicable to complex problems
- Vast experience
- MSE: <u>Subset</u> of slope stability analysis
- No arbitrary distinction between 'wall' and 'slope'
- Global LE design is half-cooked -> Strength of reinforcement is examined globally while locally required strength, including connections, is overlooked -> Ignores local demand by smearing (shedding) the load amongst all layers

. Does not deal explicitly with 'Internal Stability' which is concerned with local demand - Provides an important, but narrow, design perspective

# **Roadmap of Presentation**

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## The Safety Map Tool

Baker and Leshchinsky (2001) introduced the concept of, and coined the term, Safety Map

Safety Map = Visual diagnostic tool for the state of stability of reinforced soil mass

Design Objective: Select strength & layout of reinforcement to produce an efficient structure that is adequately stable

## **Example Problem**



# Unreinforced Problem (Bishop)



## Adequate Reinforcement Layout using Circular Arc (Bishop)



## **Roadmap of Presentation**

Available Limit State Methods of Analysis Limit Equilibrium: Global Approach The Safety Map Tool Limit Equilibrium Analysis: Baseline Solution (aka Internal Stability) Limit Equilibrium: Design Approach **Limit Equilibrium: Instructive Examples Concluding Remarks** 

**Baseline: Inverse of Safety Map...** 

Safety Map finds the <u>spatial</u> distribution of <u>global</u> <u>safety factors</u>, SF, in a reinforced soil mass

Conversely, Internal Stability analysis in LE produces the <u>local</u> tensile resistance needed for Fs=SF=1.0 everywhere

The Internal Stability approach produces the <u>baseline solution</u>: Tension Map, T<sub>req</sub>(x), including T<sub>max</sub> and T<sub>o</sub> for each layer 

It leads to a rational and robust selection of reinforcement and facing

# **Tension Map: Visualization of T<sub>req</sub>(x)**



## The Framework: Process in Nutshell

- Check numerous test bodies adjusting T<sub>req</sub>(x) for each layer so that SF=1.0 Use a systematic top-down process
- For T<sub>req</sub>(x) distribution, failure along any surface is equally likely T<sub>req</sub>(x) therefore is termed Baseline Solution Tension Map
- The tension, T<sub>req</sub>(x), may be limited by pullout at the rear and/or front ends
- T<sub>req</sub>(x) is the resistance needed locally to yield a structure at a limiting equilibrium state

## **Details: Baseline & Pullout**



1. *T<sub>req</sub>(x)* 



2. Rear pullout constraint



3. Front pullout... oops



4. Adjust front pullout
 → Upwards shift is T<sub>o</sub>

## **Roadmap of Presentation**

Available Limit State Methods of Analysis

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- The Safety Map Tool
- Limit Equilibrium Analysis: Baseline Solution (aka Internal Stability)
- Limit Equilibrium: Design Approach
- Limit Equilibrium: Instructive Examples
- Concluding Remarks

## **Advancement of Current Design**

Apply the LE design approach in two stages: Internal Stability and Global Stability

Stage I: Internal stability - Find T<sub>req</sub>(x) in all reinforcements - Baseline Solution

Consider geometry, loading conditions, reinforcement layout, pullout resistance, batter, water, seismicity, etc.

Stage II: Global stability – consistent with current design → Standard slope stability → Not discussed here

# Conduct Global Stability -> Why use then Internal Stability?

- Global Stability tells us nothing about connection load, T<sub>o</sub>

Global Stability: Locus of  $T_{max}$  is NOT on a singular surface.



**Stage I: Internal Stability** Find T<sub>req</sub>(x) including T<sub>max</sub> & T<sub>o</sub> (connection)

Determine max(T<sub>max</sub>) to select geosynthetic

LTDS=F<sub>s-strength</sub>×max(T<sub>max-i</sub>) where F<sub>s-strength</sub>=1.5

 $\blacksquare T_{ult} = LTDS \times RF_{cr} \times RFd \times RFid$ 

Stage I is a rational and robust alternative to existing approaches -> Consistent with principles of LE and is not arbitrary -> Ensures no overstressing

## **Roadmap of Presentation**

Available Limit State Methods of Analysis Limit Equilibrium: Global Approach The Safety Map Tool Limit Equilibrium Analysis: Baseline Solution (aka Internal Stability) Limit Equilibrium: Design Approach Limit Equilibrium: Instructive Examples **Concluding Remarks** 

## **Benchmark Problem**



# **Computed Distribution of T<sub>reg</sub>(x)**



## **Computing T<sub>max</sub> in Internal Stability: Critical Circles**

 Hypothesis in AASHTO: locus of T<sub>max</sub> is defined by a singular slip surface. Is it?
 Well-defined active and resistant zones. Is it?



# T<sub>max</sub> and T<sub>o</sub> Distribution



 $max(T_{max})$ : LE  $\rightarrow$  10.9 kN/m AASHTO  $\rightarrow$  19.3 kN/m
## Horizontal Displacement Distribution: Serviceability

Knowledge of  $T_{req}(x)$  for  $Fs=1.0 \rightarrow Estimation$  of the lateral displacement at a limit state e.g., for J=500 kN/m



# **Effects of Secondary Layers**



# T<sub>max</sub> and T<sub>o</sub>: Secondary versus Close Spacing



Depending on relative length of secondary reinforcement, it may decrease  $T_{max}$ . Generally it has significant effects on  $T_o$ .

## **Effects of Backslope**



#### **Computing T<sub>max</sub> in Internal Stability: Critical Circles**

Note: Global Stability -> Top 4 layers are not needed for stability. **Baseline Solution**, Stage I -> Identifies the need for these layers!



# Effects of Backslope: T<sub>max</sub> and T<sub>o</sub>



## **Effects of Facing: Small Blocks**



# Effects of Small Blocks Facing: T<sub>max</sub> and T<sub>o</sub>

Large blocks or high interblock and toe resistance may reduce significantly the need for reinforcement (length and strength)



# 3(v):1(h) Two-Tier Wall



# **Tension Map: 2-Tier Wall**



## **Roadmap of Presentation**

Available Limit State Methods of Analysis Limit Equilibrium: Global Approach The Safety Map Tool Limit Equilibrium Analysis: Baseline Solution (aka Internal Stability) Limit Equilibrium: Design Approach Limit Equilibrium: Design Examples Concluding Remarks

# **Concluding Remarks**

- Baseline Solution: Fs=1.0 on soil strength is used to determine LTDS, consistent with Internal Stability principles 
   LRFD can be used, same as in AASHTO
- AASHTO has approved the LE approach as presented in FHWA-HIF-17-004
- T<sub>max</sub> and T<sub>o</sub>: Global stability ignores possible local overstressing while the Baseline Solution considers local demand rationally
- Global LE: Applicable to external stability -- sliding, eccentricity, and bearing load

# THE MAN OUT

# Internal Stability of MSE Walls: The Stiffness Method

Tony Allen WSDOT TRB Webinar

## Historical Background - Research

- Available methods obviously conservative
- Analysis of many instrumented case histories 1990's through 2010's
  - 85 full scale field walls (449 T<sub>max</sub> measurements), half of which were steel reinforced MSE walls
  - 16 full scale lab test walls at RMC (665 T<sub>max</sub> measurements), 2 of which were steel reinforced MSE walls, taken to near failure
  - Numerical (FLAC) modeling
- New T<sub>max</sub> prediction method (K-Stiffness) in 2003
- Reformulated in 2015 Simplified Stiffness Method

#### Advancements and /or Advantages of Stiffness Method over Prior Ones

- Addresses key parameters known to affect reinforcement loads
- More accurate T<sub>max</sub> prediction
- Can address a wider range of soils
- Handles facing batter more accurately
- Seamless across all soil reinforcement types (including steel)
- Can address service limit to assess and design for reinforcement strain
- LRFD calibrated

## Simplified Method T<sub>max</sub> Prediction



## Stiffness Method T<sub>max</sub> Prediction



#### EQUATION EQUIVALENCY

Simplified Method



#### Stiffness Method

Same equation for geosynthetic and steel reinforcement MSE wall types



Stiffness Method

#### PRACTICAL APPLICATION OF STIFFNESS METHOD



Only new input needed is 1,000 hr, 2% secant reinforcement stiffness - can be obtained from published AASHTO NTPEP reports (and Allen and Bathurst 2019)

# T<sub>max</sub> Distribution



For 
$$z < z_b$$
:  
 $D_{t \max} = D_{t \max 0} + \left(\frac{z}{z_b}\right) (1 - D_{t \max 0})$ 

For 
$$z \ge z_b$$
:

$$D_{tmax} = 1.0$$

$$z_b = C_h \left( H \right)^{1.2}$$

 $C_h = 0.32$  when *H* is in ft  $C_h = 0.40$  when *H* is in meters







#### EQUATION EQUIVALENCY





Note: this factor is usually required for very tall walls.

n = total number of layers

#### Application of the Stiffness Method to MSE Wall Design

- Limit states to be considered
  - Soil "failure" (Service Limit)
  - Reinforcement rupture (Strength Limit)
  - Connection failure (Strength Limit)
  - Pullout (Strength Limit)
- Amount of reinforcement needed
  - Soil failure limit usually controls
  - Connection failure controls only if connection is very inefficient
  - Pullout may control for polymer straps

#### Load and Resistance Factors for Stiffness Method

	Limit State	(T <sub>max</sub> ) Load Factor $\gamma_{EV}$ , $\gamma_{con}$ and $\gamma_{sf}$	Resistance Factor $\phi_{rr}$ , $\phi_{cr}$ and $\phi_{sf}$
Geosynthetic	Reinforcement and connection failure – geogrids and geotextiles	1.35	0.80
	Reinforcement and connection failure – polymer straps	1.35	0.55
	Soil failure	1.2	1.0
	Pullout (default model in AASHTO)	1.35	0.70

#### Stiffness Method Soil Failure Limit State:

- Working stress conditions may not apply if there is a contiguous failure surface through the reinforced soil zone.
- As specified in AASHTO (2020), keep factored peak reinforcement strains in wall < 2% for stiff faced walls, and <2.5% for flexible faced walls, to maintain (soil) working stress conditions.
- These target maximum strains are 0.5% strain more conservative than recommended in the Allen and Bathurst (2018) ASCE paper.

$$\varepsilon_{rein} = \frac{\gamma_{p-EVsf} T_{max}}{\phi_{sf} J_i} \le \varepsilon_{mxmx}$$

#### Relating Creep Stiffness to Tensile Strength



## Design Approach

- Soil failure limit state usually controls design (start with this)
  - Determine reinforcement stiffness needed for each layer to meet maximum allowed reinforcement strain
  - If  $\Phi_{fs} = 1.0$ , use strain limit for flexible walls even for stiff faced wall systems (i.e., per AASHTO, 2.5%)
  - Estimate reinforcement ultimate strength needed to obtain required stiffness
- Then check reinforcement rupture, connection rupture, and pullout using stiffness needed for soil failure limit to calculate T<sub>max</sub>

#### PET geogrid, AASHTO design, $T_{ultconn} = 1.0T_{ult}$



#### Range of Applicability for the Stiffness Method

```
Height Range: 15 to 35 ft for modular block facing, 13 to 41 ft wrapped facing
Average Soil Surcharge: 0 to 8 ft
Facing batter from vertical: 0 to 27°
Triaxial or direct shear \phi: 24 to 47°
Cohesion: 0 to 350 psf
Fines content: 0 to 91%
Vertical spacing of reinforcement (S<sub>v</sub>): 0.8 ft to over 3 ft
R<sub>c</sub>: 0.18 to 1.0
S<sub>global</sub> for geosynthetics = 2,500 to 192,000 psf
```

If have typical conditions for which compound stability could control, must check results with limit equilibrium analysis (e.g., steep toe slope, continuous sloping soil surcharge above wall, foundation on top of wall, weak or compressible foundation soil).

This method does not work well for MSE walls supporting bridge footings, as the soil failure limit state design becomes overly conservative.

#### **Important References**

Allen, T.M., and Bathurst, R.J., 2015, "Improved Simplified Method for Prediction of Loads in Reinforced Soil Walls," *ASCE Journal of Geotechnical and Geo-environmental Engineering*, DOI: http://dx.doi.org/10.1061/(ASCE)GT.1943-5606.0001355, 14 pp., plus Supplemental Data.

Allen, T.M., and Bathurst, R.J., 2018, "Application of the Simplified Stiffness Method to Design of Reinforced Soil Walls," ASCE Journal of Geotechnical and Geo-environmental Engineering, DOI: http://dx.doi.org/ 10.1061/(ASCE)GT.1943-5606.0001874, 13 pp., plus Supplemental Data.

Bathurst, R.J., Allen, T.M., Lin, P., and Bozorgzadeh, N., 2019, "LRFD Calibration of Internal Limit States for Geogrid Walls," *ASCE Journal of Geotechnical and Geoenvironmental Engineering*, DOI: http://dx.doi.org/10.1061/(ASCE)GT.1943-5606.0002124, 13 pp., plus Supplemental Data.

Allen, T.M., and Bathurst, R.J., 2019, "Geosynthetic Reinforcement Stiffness Characterization for MSE Wall Design," Geosynthetics International, Vol. 26, No. 6, <u>https://doi.org/10.1680/jgein.19.00041</u>, pp. 592-610, plus Supplemental Material. Design Impact Summary for Stiffness Method Relative to Previous Design Practice (i.e., Simplified Method)
#### Design Required for 20 ft High Modular Block Faced Wall



#### Design Required for 20 ft High Modular Block Faced Wall



# Impact on Design – Extensible reinforcements

#### Illustration of some of the design differences for specific parameters.



Source: FHWA

Impact on Design – Extensible reinforcements

- 1. More accurate model to represent loading of reinforcement
- 2. Generally require less reinforcement for walls under 25-ft
- 3. Little change for walls over 25-ft
- 4. New methods allow us to take into account
  - Facing contribution
  - Variable lengths of reinforcements

## **QUESTIONS?**



## Today's Panelists #TRBWebinar







Dov Leshchinsky



#### Daniel Alzamora



U.S. Department of Transportation

Federal Highway Administration Tony Allen

Washington State Department of Transportation





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