# Rheumatoid Arthritis: Biomarkers and Impairments

Joan M Bathon, MD Columbia University



# **Rheumatoid Arthritis**



(CV disease; depression)

nterstitial Lung Disease

## Premature Mortality in Patients with RA



SMR = standardized mortality ratio for patients with RA compared with non-RA controls. Wolfe F, et al. *Arthritis Rheum.* 1994;37:481-494.

# Current State of the Art of Biomarkers in RA

- Limited in number (but not for lack of investigation)
- In general, laboratory biomarkers in RA are not independently adequate for diagnosis, prognosis, response to treatment

 Require coupling with measures from exam and patient questionnaires → Composite Measures

### **RA: Natural History**



# **RA: Diagnostic Biomarkers**

# **RA: Diagnostic Biomarkers**

- There are no defined clinical criteria for diagnosis of RA, only classification criteria
  - Due to slow evolution, different patterns, can mimic other types of inflammatory arthritis
- Nonetheless, 2 antibodies very helpful for diagnosis

   Anti-citrullinated peptide Ab (anti-CCP or ACPA)
   Rheumatoid factor (RF)
- ACPA is more specific than RF for diagnosis of RA
- But a positive Ab can precede symptoms by up to 10 years !

### Anti Citrullinated Peptide Antibodies (ACPA; anti-CCP)

- High specificity for RA<sup>1,2</sup>
- Highly associated with HLA-DR SE+
- High positive predictive value for RA<sup>3</sup>
- Detectable earlier than RF<sup>4</sup>
- Found in up to 40% of patients who are RF negative especially early in disease<sup>5</sup>
- Predictive of erosive disease and joint damage<sup>6</sup>
- But also not 100% specific for RA

1. Schellekens GA et al. *Arthritis Rheum* 2000; 43: 155-63. 2. Lee DM Schur PH. *Ann Rheum Dis* 2003; 62: 870-4. 3. Jansen LMA et al. *J Rheumatol* 2002; 29: 2074-6. 4. Nielen MMJ et al. *Arthritis Rheum* 2004; 50: 380-6. 5. Vallbracht I, *et al. Ann Rheum Dis* 2004; 63: 1079-84. 5. van Gaalen FA et al. *Ann Rheum Dis*. 2005 Mar 30; [Epub ahead of print]

### Classification Criteria for RA Includes Autoantibody

Category	Sub-category	Points
Joint Involvement	1 large joint	0
	2-10 large joints	1
	1-3 small joints	2
	4-10 small joints	3
	> 10 joints ( <u>&gt;</u> 1 small)	5
Serology	Neg RF and neg anti-CCP	0
	Low-pos RF <u>or</u> low pos anti-CCP	2
	High pos RF <u>or</u> high pos anti-CCP	3
Acute phase reactants	Normal CRP <u>and</u> normal ESR	0
	Abnormal CRP <u>or</u> abnormal ESR	1
Duration of symptoms	< 6 weeks	0
	≥ 6 weeks	1

### "Definite" RA $\geq$ 6/10 points

# RA: Disease Activity Biomarkers

## Individual Reversible Core 'Biomarkers' of Disease Activity\*

- Tender joint count (28 vs 44 vs 68 joints)
- Swollen joint count (28 vs 44 vs 68 joints)
- Patient global assessment of disease activity
- Physician global assessment of disease activity
- Level of C-reactive protein (CRP) or erythrocyte sedimentation rate (ESR)

\*Assessed at a Single Time Point ("State")

### Composite Scores Incorporate Core Biomarkers

- Disease activity score (DAS/DAS28)
- Simplified Disease Activity Index (CDAI)
- Clinical Disease Activity Index (SDAI)

Limitations:

- Poor reproducibility between physician joint examinations
- Complex calculation (DAS)
- Strengths:
  - Can be done in the clinic at no additional cost
  - Responsive; nothing better as of yet

# Can a Laboratory Biomarker(s) Substitute for Composite Disease Activity Scores?

- CRP, ESR alone are poor substitutes
- Interleukin-6 (IL-6) alone likely inadequate
- Multi-biomarker of Disease Activity (MBDA; Vectra-DA<sup>™</sup>)1
  - 12 proteins; algorithmic calculation of score
  - Commercially available

1Eastman PS et al, J Pharm Biomed Anal 2012:70:415

# MBDA; Vectra-DA<sup>™</sup>

- Validated against DAS but DAS cheaper
- Is it a <u>better</u> predictor than DAS of poor outcomes – e.g., progression of radiographic damage ?

Study	Number Studied	Result
CAMERA	120	No
DRESS	171	No
SweFot	235	Yes

Bakker et al, *Ann Rheum Dis* 2012; Bouman et al, *Rheumatology* 2017; Hambardzumyan et al, *Ann Rheum Dis* 2015

# RA: Treatment Response Biomarkers

### Response to RA Therapies : Current State of the Art (Used in Clinical Trials)

#### Clinical (Disease Activity) Outcomes

- ACR-20, -50, and -70
  - Uses ACR core measures
  - Change score only
- EULAR response
  - State plus change scores

### Radiographic Outcome (Damage)

- Modified total Sharp scores
  - Erosion scores
  - Joint space narrowing (JSN)

### HRQoL/Patient-Reported Outcomes

- Short Form-36 (SF-36)
- Health Assessment Quest (HAQ)

Felson DT, et al. Arthritis Rheum. 1995;38:727–735; Felson DT, et al. Arthritis Rheum. 1993;36:729–740; Tugwell P, Boers M. J Rheumatol. 1993;20:528–530; Van Riel PL, van de Putte LB. Curr Opin Rheumatol. 1994;6:132–139; Prevoo ML, et al. Arthritis Rheum. 1995;38:44–48.

# RA Remission Criteria use same biomarkers w/cut-offs

Meet and sustain remission criteria Better Surrogate Outcomes

- Lower HAQ

?

?

- Absent/reduced radiographic progression

Lower disability rates Lower unemployment rates Reduced mortality

### Validity of Index Remission Definitions: Predicting a Good Outcome for X-ray and HAQ

	Percent in Remission with Good Outcome	Percent NOT in Remission with Good Outcome	Positive Likelihood Ratio	P Value		
TJC28, SJC28, CRP, PtGA ≤1	66%	17%	7.2	<.0001		
INDEXES						
DAS28<2.6	38%	18%	2.2	0.01		
DAS28<2.0	56%	20%	4.5	0.01		
SDAI≤3.3	56%	17%	4.8	<.0001		



# RA: Biomarkers of Poor Prognosis

# RA: Biomarkers for Poor Prognosis (Assessed At or Near Time of Diagnosis)

### Antibodies

- High titer of APCA and/or RF
- Inflammatory markers
  - High levels of CRP and/or ESR
  - ??Interleukin-6 (IL-6) or (Vectra DA<sup>™</sup>)??
- Exam
  - High number of swollen and tender joints
- Imaging
  - Erosive joint damage on xray at baseline





+ APCA, RF + CRP, ESR



![](_page_20_Picture_4.jpeg)

![](_page_20_Picture_5.jpeg)

# **RA: Surrogates of Outcomes**

# Imaging as a Surrogate Outcome

- Goal of treatment
  - Rapidly attain and sustain remission
  - Thereby preventing functional impairments
- **Progression of joint damage** = common surrogate
  - Xrays reflect permanent damage
    - But, extent of damage doesn't necessarily correlate with patient reported disability

![](_page_22_Picture_7.jpeg)

# **RA: Other Imaging Biomarkers**

- MRI: expensive, 1-2 joints only
- Ultrasound: time intensive in clinic
  - doesn't improve remission when added to traditional clinical composite measures
- PET/CT with FDG uptake
  - all joints assessed simultaneously
  - not validated yet
  - radiation

![](_page_23_Picture_8.jpeg)

### Health Assessment Questionnaire (HAQ) Another Surrogate for RA Outcome

Widely accepted, validated, rheumatology-specific instrument to assess physical function in RA

- Gold standard of OMERACT/FDA
- 20 questions covering eight types of activities
  - Dressing and grooming, arising, eating, walking, hygiene, reaching, gripping, activities of daily living
  - A mean decrease of at least 0.22 in HAQ score is considered a minimum clinically important difference

### HAQ Disability Index (HAQ-DI)

- Scores the worst items within each of the eight scales
- Based on use of aids and devices

Buchbinder R, et al. *Arthritis Rheum.* 1995;38:1568–1580; Sullivan FM, et al. *Ann Rheum Dis.* 1987;46:598–600; Kosinski M, et al. *Arthritis Rheum.* 2000;43:1478–1487.

### Health Assessment Questionnaire (HAQ)

HAQ has been proven to be predictive of RA disease progression<sup>1,2</sup>

 An increase of 1 point per year in HAQ-DI scores during the first 2 years of disease results in 90% greater disability and 87% greater costs over the next 3 years

**HAQ scores predict:** 

- Functional status<sup>3,4</sup>
- Work disability<sup>5,6</sup>
- Costs of treatment<sup>7</sup>
- Joint replacement surgery<sup>8</sup>
- Death<sup>1,3,9</sup>

Pincus T, et al. Ann Intern Med. 1994;120:26–34; 2. Fries JF, et al. Arthritis Rheum. 1996;39:616–622;
 Pincus T, et al. Arthritis Rheum. 1984;27:864–872; 4. Wolfe F, et al. J Rheumatol. 1991;18:1298–1306;
 Callahan LF, et al. J Clin Epidemiol. 1992;45:127–138; 6. Wolfe F, et al. J Rheumatol. 1998;25:2108–2117;
 Lubeck DP, et al. Arthritis Rheum. 1986;29:488–493; 8. Wolfe F, et al. Arthritis Rheum. 1998;41:1072–1082;
 Wolfe F, et al. Arthritis Rheum. 1994;37:481–494.

## HAQ

- Advantage: Patient reported measure
- Also a measure of disease activity
- Varies considerably from visit to visit
- AUC over time may be better measure of disability

![](_page_26_Figure_5.jpeg)

Wolfe F. Arthritis Res 2002

### Other Usually Unmeasured Factors that Affect Outcomes in RA

### GOOD OUTCOME

- Early treatment
- Health behaviors
  - Adherence to medications
  - Weight management
  - Exercise
- No co-morbid illness

### POORER OUTCOME

- Delayed treatment
- Demographics:
  - − ↓ SES status
- Affective: depression
- Poor health behaviors
- Co-morbid illnesses
  - Cardiovascular dz

# Muscle Atrophy and Osteoporosis in RA

![](_page_29_Picture_1.jpeg)

![](_page_29_Picture_2.jpeg)

![](_page_30_Picture_0.jpeg)

### Rheumatoid Scleritis and Vasculitis

![](_page_30_Picture_2.jpeg)

![](_page_31_Picture_0.jpeg)

### Rheumatoid Nodules: Subcutaneous, Pulmonary

![](_page_31_Picture_2.jpeg)