Department of Energy

Basic Energy Sciences Overview

Condensed Matter and Materials Research Committee
National Academy of Sciences

May 7, 2012

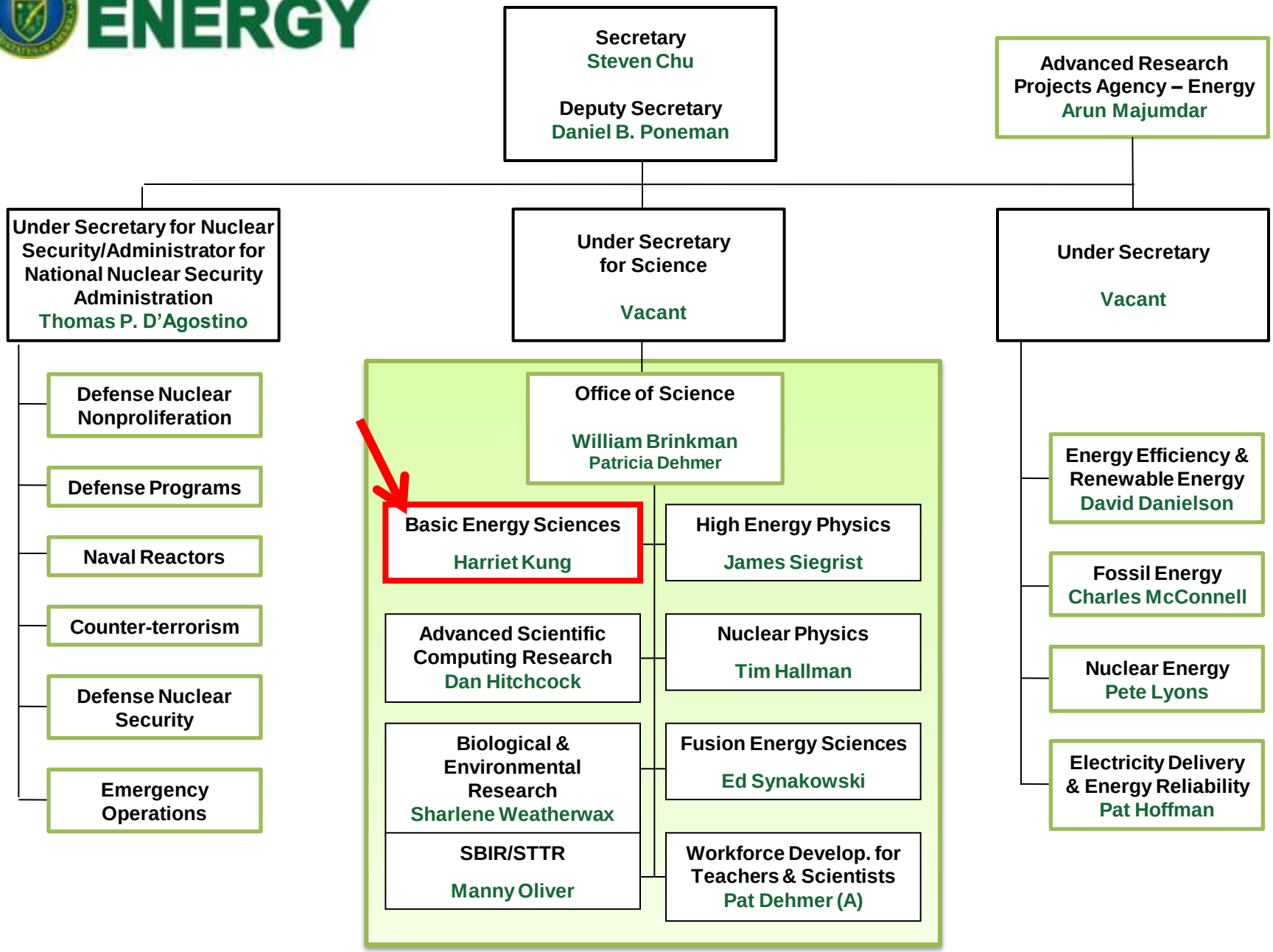
Linda L. Horton
Director, Materials Sciences and Engineering Division
Basic Energy Sciences
Office of Science
U.S. Department of Energy

DOE Missions

DOE Secretary, Dr. Steven Chu

- **Sustain basic research, discovery and mission driven**
- **Catalyze a transformation of the national/global energy system**
- **Enhance nuclear security**
- **Contribute to US competitiveness and jobs**

U.S. DEPARTMENT OF ENERGY



Basic Energy Sciences

The Program:

Materials sciences & engineering—exploring macroscopic and microscopic material behaviors and their connections to various energy technologies

Chemical sciences, geosciences, and energy biosciences—exploring the fundamental aspects of chemical reactivity and energy transduction over wide ranges of scale and complexity and their applications to energy technologies

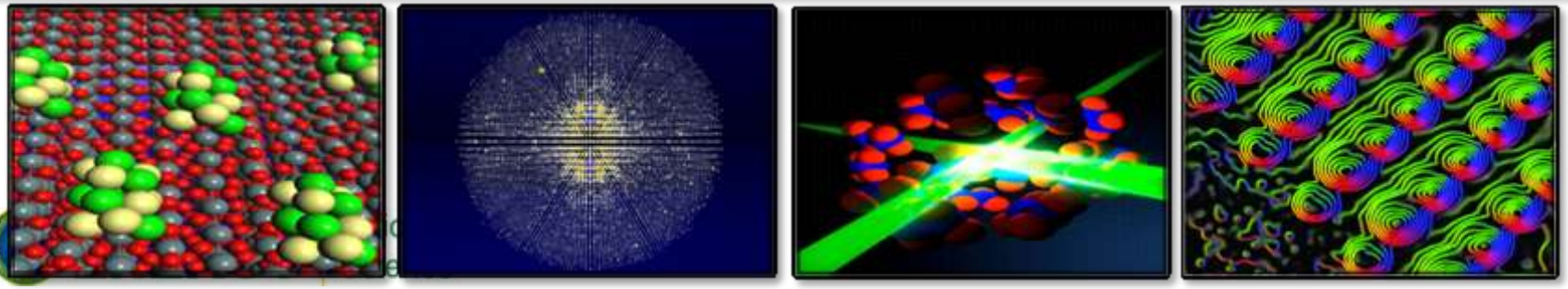
Supporting:

- 46 Energy Frontier Research Centers
- Solar Fuels and Batteries and Energy Storage Hubs
- The largest collection of facilities for electron, x-ray, and neutron scattering in the world

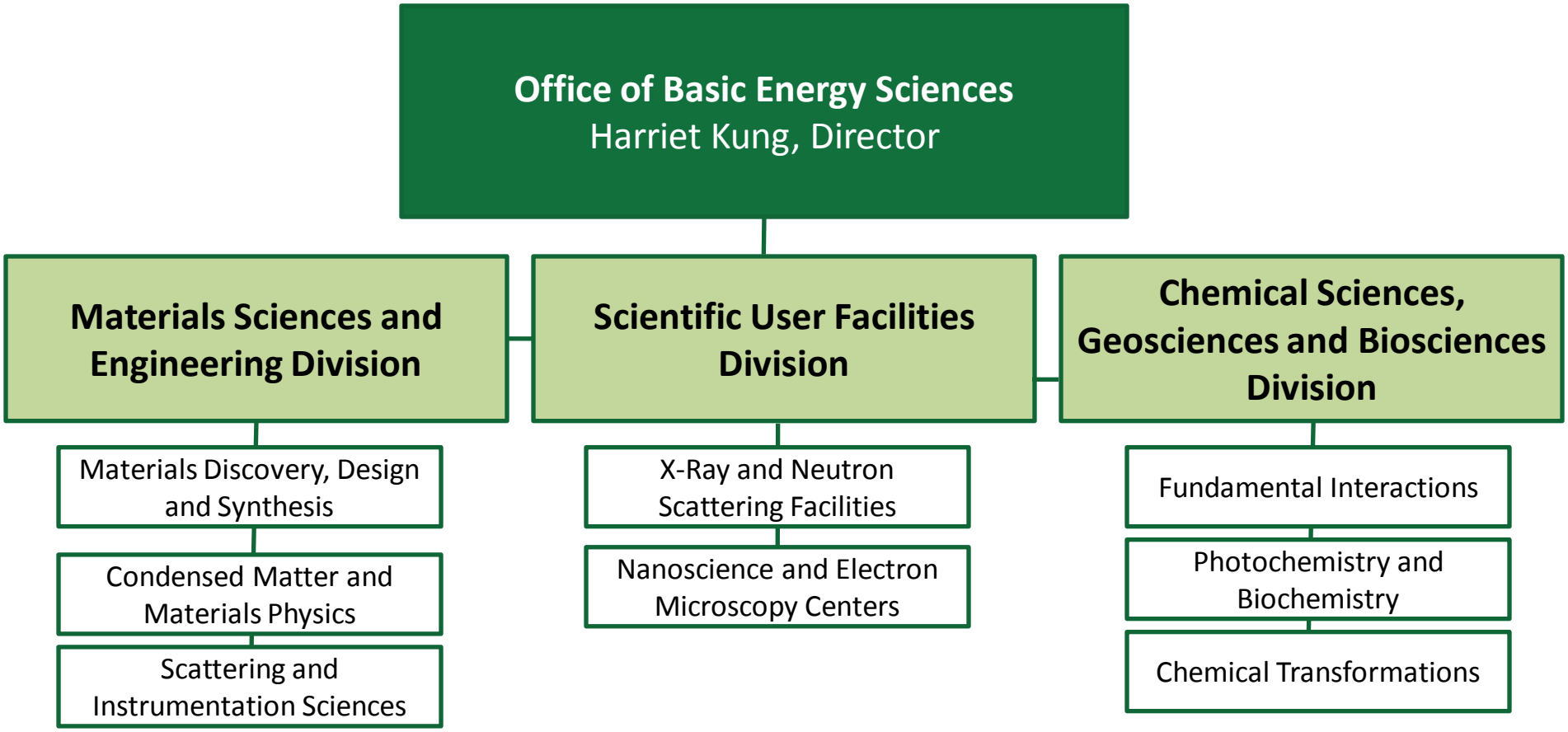
The Scientific Challenges:

- Synthesize, atom by atom, new forms of matter with tailored properties, including nano-scale objects with capabilities rivaling those of living things
- Direct and control matter and energy flow in materials and chemical assemblies over multiple length and time scales
- Explore materials and chemical functionalities and their connections to atomic, molecular, and electronic structures
- Explore basic research to achieve transformational discoveries for energy technologies

Understanding, predicting, and ultimately controlling matter and energy flow at the electronic, atomic, and molecular levels



Office of Basic Energy Sciences

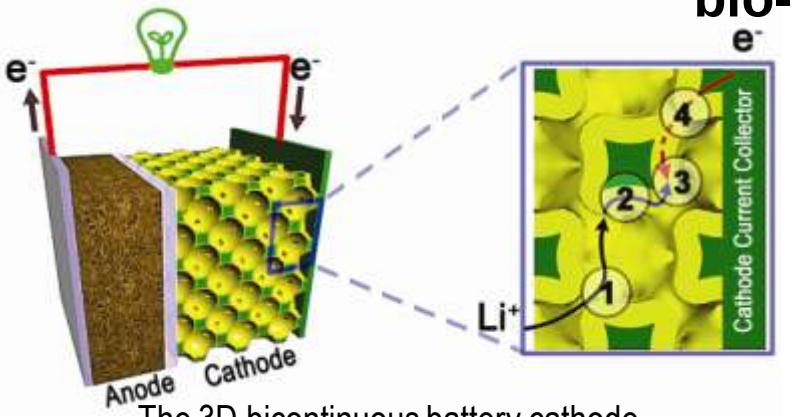


Research grouped by scientific topics -- not by specific energy technologies

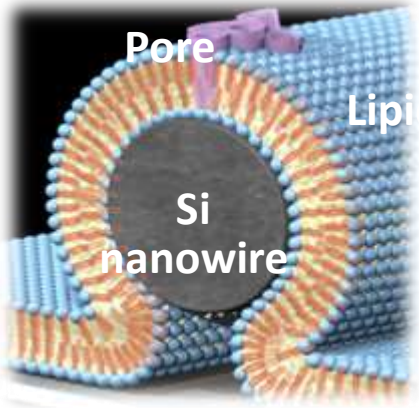
MSE Division-wide themes: strongly correlated electron systems; materials synthesis; nanoscale science; theory, modeling, & simulation

Materials Discovery, Design, and Synthesis

Rational design and synthesis of materials via physical, chemical, and bio-molecular routes



The 3D bicontinuous battery cathode provides both high power and energy density.

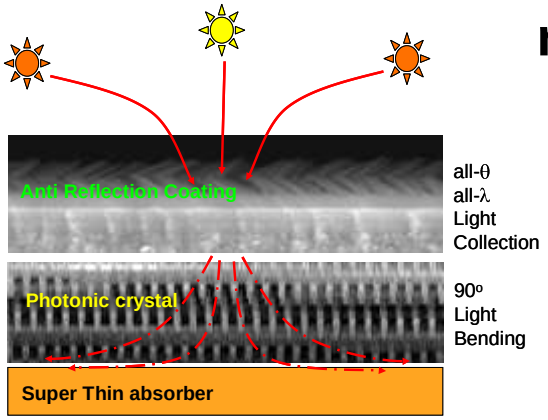


A bionanoelectronic system that integrates membrane proteins and nanowire electronics. It uses electric field to open and close the pores and to detect ions.

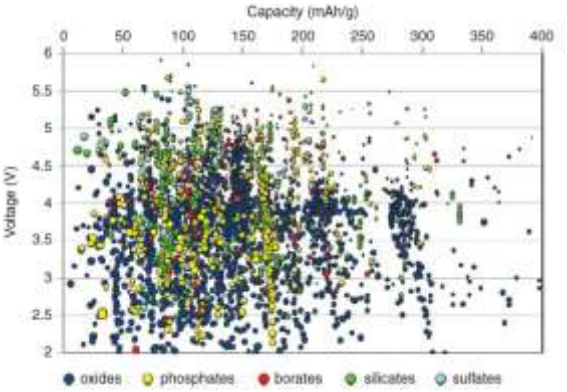
- **Synthesis and Processing Science**
  - Learn to control synthesis and processing by developing scientific foundations, *in situ* studies, and for a wide range of materials
- **Biomolecular Materials**
  - Discovery, design and synthesis of biomimetic and bioinspired functional materials and energy conversion processes based on principles and concepts of biology
- **Materials Chemistry**
  - Nanoscale chemical synthesis and assembly; solid state chemistry; novel polymeric materials and complex fluids; surface and interfacial chemistry

Condensed Matter and Materials Physics

Control and understanding of materials behavior and discovery of new emergent phenomena



Anti-reflection coating and a 3D photonic-crystal for achieving a super-thin solar absorber. (S.Y. Lin, ***MRS Bulletin*** **36**, 434, 2011)



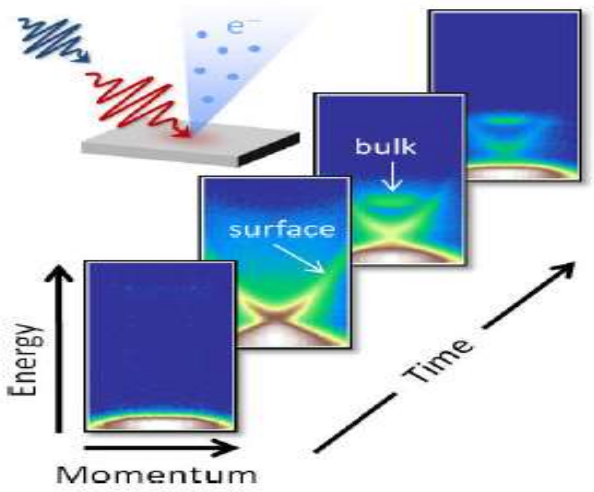
Voltage versus theoretical capacity for thousands of compounds. (G. Ceder. MRS Bulletin **35**, 693 ,2010)

- **Experimental Condensed Matter Physics**
  - Fundamental understanding of the relationships between intrinsic electronic structure and the properties of complex materials
- **Theoretical Condensed Matter Physics**
  - Theory, modeling, and simulation of electronic correlations, with a particular emphasis on nanoscale science
- **Mechanical Behavior and Radiation Effects**
  - Experimental and modeling studies of defects in materials and their effects on the properties of strength, structure, deformation, and failure.
- **Physical Behavior of Materials**
  - Behavior of materials in response to temperature, electromagnetic fields, chemical environments, and the proximity effects of surfaces and interfaces.

Scattering and Instrumentation Sciences

Study of photon, neutron, and electron interactions with matter for characterization of materials structures and excitation

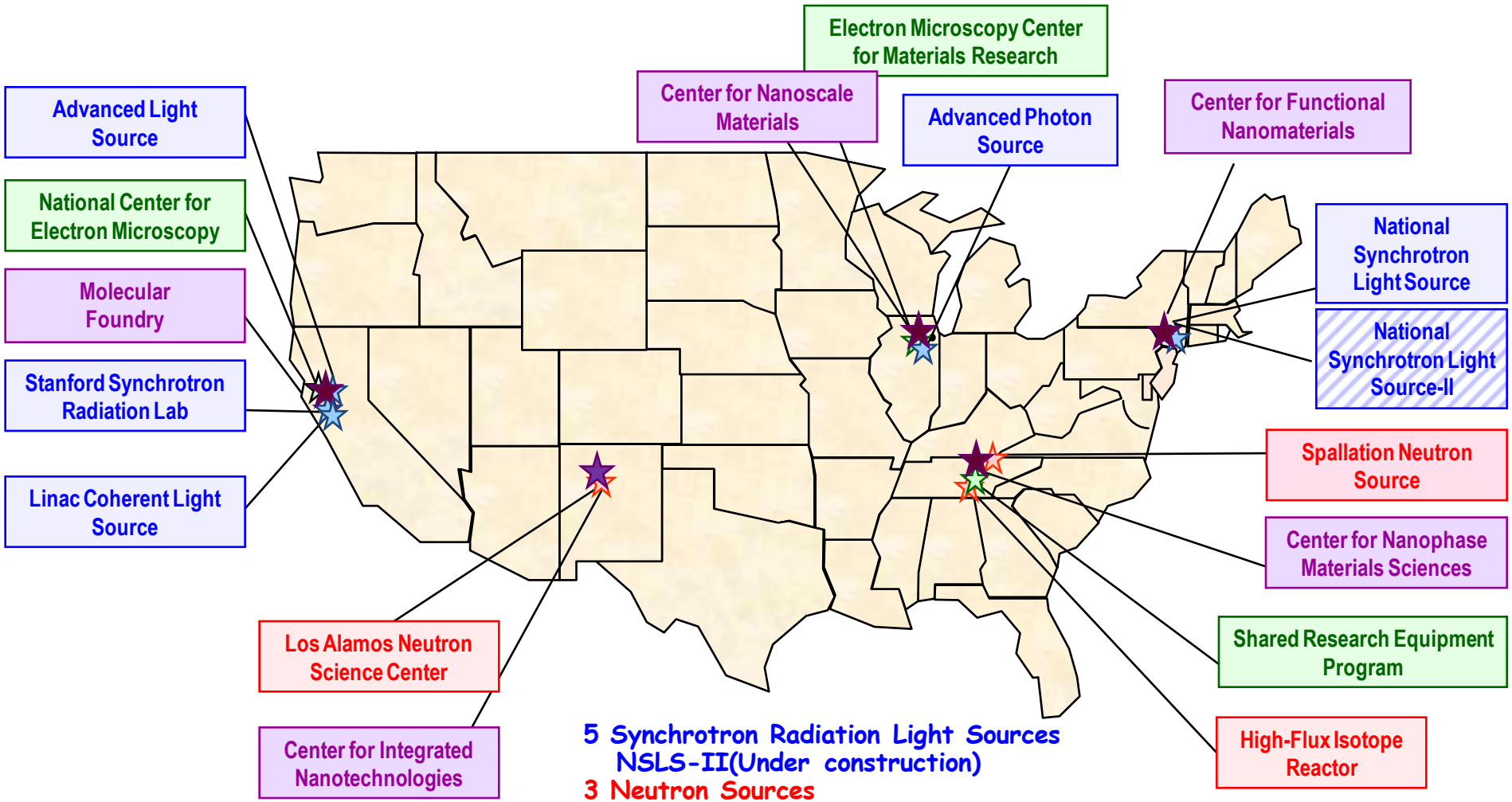
Ultrafast Movie Reveals Electron Dynamics in a Topological Insulator



Pump/Probe photo-emission time series

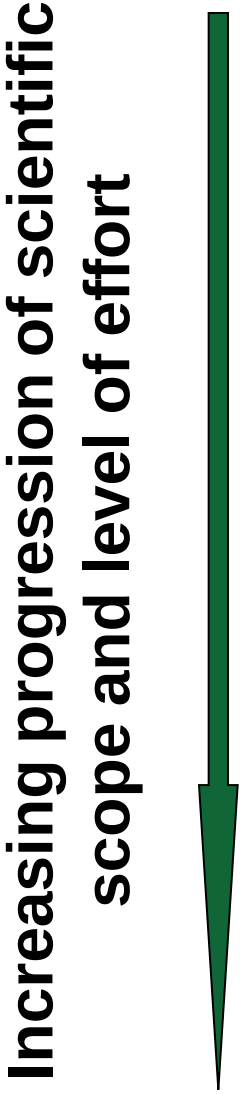
- **Elucidate the mechanisms that control superconductivity and other phenomena in correlated electron systems**
  - Use scattering probes to determine important correlations (spin, lattice, charge, orbital) that govern superconductivity, magnetism, and other phenomena.
- **Develop a structural and dynamical understanding of nanostructured materials**
  - Understand the interplay between properties and structure at the nanometer length scale and develop new nanoscience tools
- **Understand the behavior of materials using Ultrafast Diffraction, Spectroscopy and Imaging Techniques**
  - Understand how entities form, grow, and move under the influence of external fields, and understand functionality.
- **Unify the complementary information obtained through multiple techniques**
  - Develop the capability to analyze, visualize, and understand data from different experimental probes.

BES Scientific User Facilities: Resources for Research



BES Research — Science for Discovery & National Needs

Three Major Types of Research Thrusts



- **Core Research (many)**

  Support single investigator and small group projects to pursue their specific research interests

- **Energy Frontier Research Centers (46)**

  $2-5 million-per-year research centers, established in 2009, focus on fundamental research related to energy

- **Energy Innovation Hubs (1 in BES)**

  $20 million+ -per-year research centers focus on integrating basic & applied research with technology development to enable transformational energy applications

DOE Energy Innovation Hubs

Hubs funded in FY 2010:

- **Fuels from Sunlight (SC lead) – Joint Center for Artificial Photosynthesis (JCAP) – Caltech and LBNL**
- **Energy Efficient Building Systems Design (EERE) – Penn State**
- **Modeling and Simulation for Nuclear Fuel Cycles and Systems (NE) – ORNL**

Coming in FY 2012:

- **Batteries and Energy Storage**
- **Critical Materials**

Each Hub has a world-class, multi-disciplinary, and highly collaborative research, development and deployment team

Strong scientific leadership is located at the primary location of the Hub.

- **Clear organization and management plan for achieving the HUB goal**
- **"Infuses" a culture of empowered central research management**

Energy Frontier Research Centers
Opportunities for Collaboration

46 EFRCs in 35 States were Launched in Fall 2009

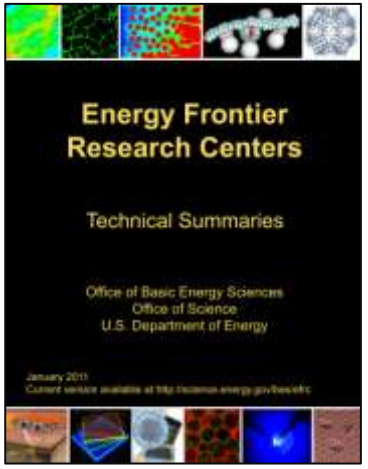
- ~**860** senior investigators and ~**2,000** students, postdoctoral fellows, and technical staff at ~**115** institutions
- > **250** scientific advisory board members from **12** countries and > **35** companies

Impact to date:

- >**1,000** peer-reviewed papers including more than **30** publications in *Science* and *Nature*.
- > **40** patents applications and nearly **50** additional patent/invention disclosures by **28** of the EFRCs.
- at least **3** start-up companies with EFRC contributions

Assessment of Progress:

- All EFRCs are undergoing mid-term peer review to assess progress towards goals and plans for the next 2 years of R&D



http://science.energy.gov/bes/efrc/

Core Research Program
All peer-reviewed and renewed on a ~3-year basis

University Grant Program

- Research emphasis on innovation-driven science in academic environment
- Single PI and multi-PI projects with focused scientific themes
- Limited labor costs (typically one month faculty summer salary, with support for post-doc and students)
- White papers may be submitted prior to submission of new proposals – feedback provided by program managers

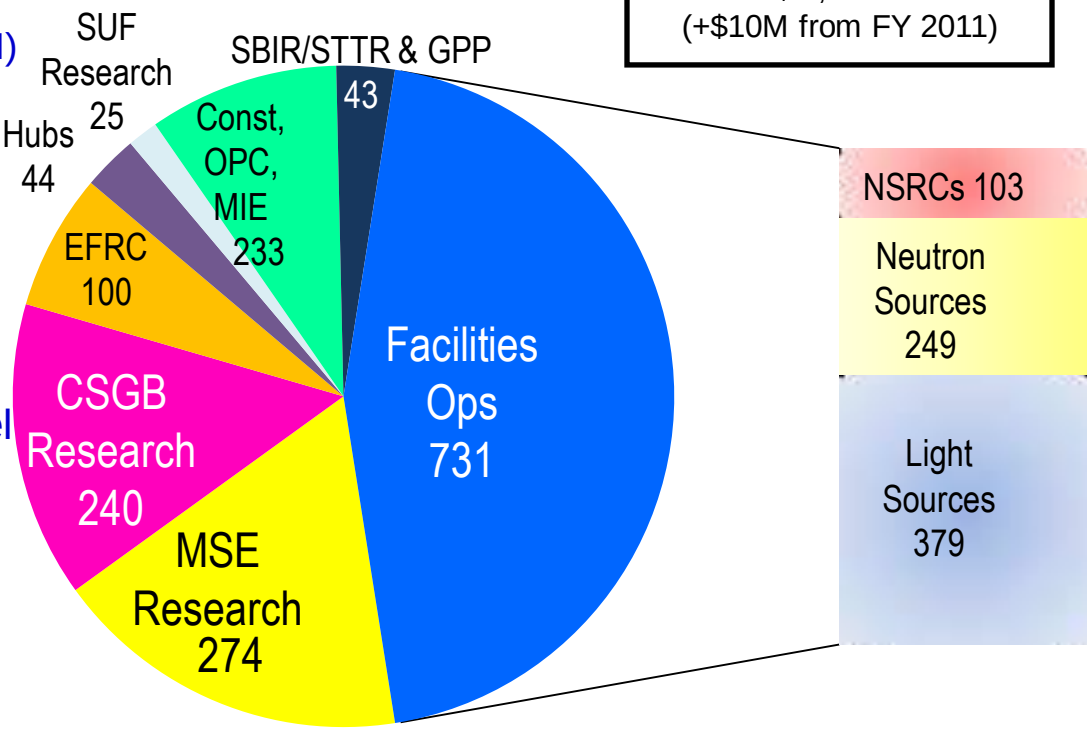
DOE Lab Program

- Interdisciplinary, large research team approach; Projects have multiple PIs
- Support large fraction of investigators' salary, research equipment costs, and other materials
- Aligned with DOE laboratory identified vision, priority, and strengths
- Focus on building and maintaining mission-driven research capabilities, synergistic with other DOE programs
- For new activities, white papers must be submitted through Laboratory Management –full proposals may be encouraged

FY 2012 BES Budget Appropriation

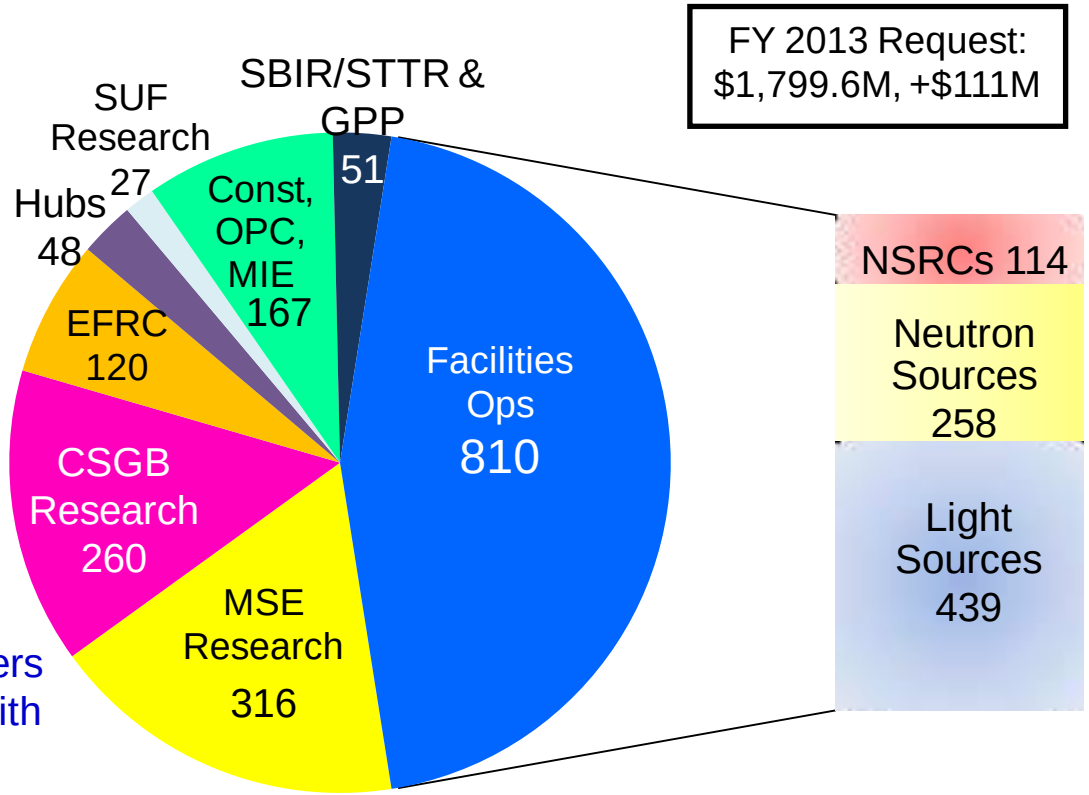
- **Research programs**
  - Energy Innovation Hubs
    - Battery and Energy Storage Hub (+$20M)
  - Energy Frontier Research Centers
  - Core Research
    - Plan to initiate new projects in materials and chemistry by design
- **Scientific user facilities operations**
  - All facilities operate below optimum level
    - Synchrotron light sources
    - Neutron scattering facilities
    - Nanoscale Science Research Centers
- **Construction and instrumentation**
  - National Synchrotron Light Source-II ($159M) and NEXT instrumentation ($12M)
  - Spallation Neutron Source instruments ($12M)
  - Advanced Photon Source upgrade ($20M)
  - Linac Coherent Light Source-II ($30M)

FY 2012 Approp:
$ 1,688M
(+$10M from FY 2011)



FY 2013 BES Budget Request

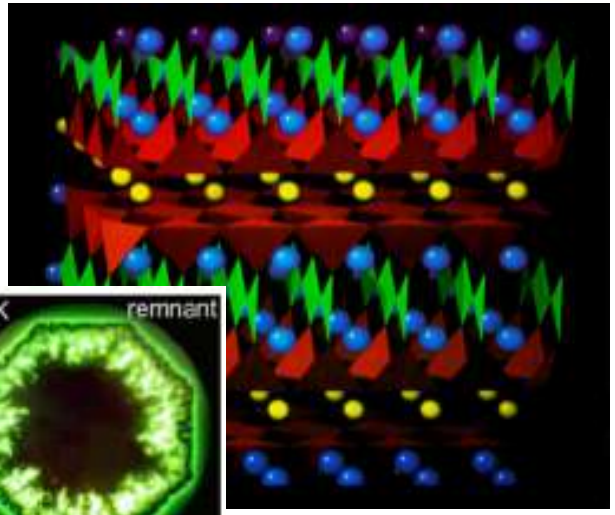
- Research programs
  - Energy Innovation Hubs (+$5M)
  - Energy Frontier Research Centers
    - Joint EERE R&D (+$20M)
  - Core Research
    - Materials and Chemistry by Design (+$20M)
    - Science for Clean Energy (+$42M)
- Scientific user facilities operations
  - Near optimum operations of all facilities (+$42M)
    - Synchrotron light sources
    - Neutron scattering facilities
    - Nanoscale Science Research Centers
  - Instrumentation for clean energy, joint with EERE (+$15M)
  - NSLS-II Early Operations (+$22M)



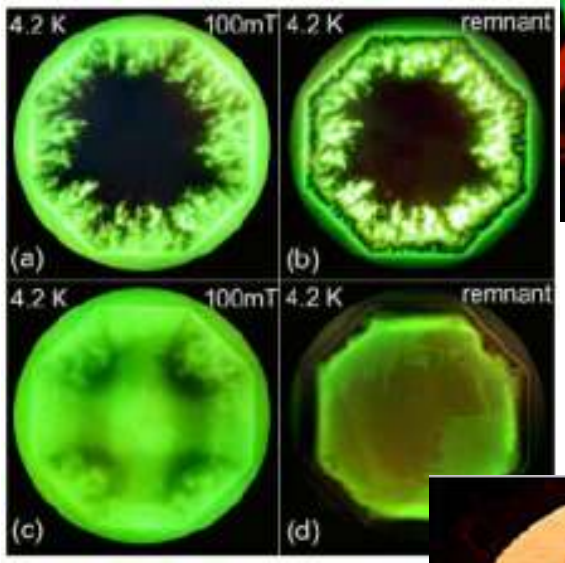
- Construction and instrumentation
  - National Synchrotron Light Source-II
  - NSLS-II instrumentation (NEXT) ($12M)
  - Advanced Photon Source upgrade ($20M)
  - Linac Coherent Light Source-II ($64M)

Science for Clean Energy: Nanoscale to Mesoscale Sciences

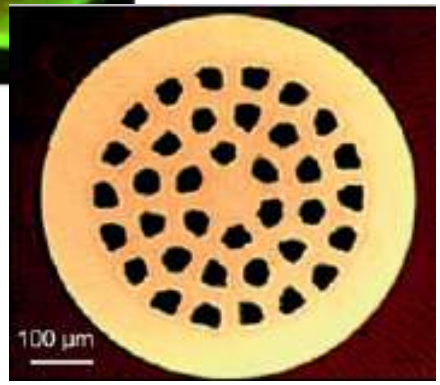
- Developing the next generation of materials, chemicals, and game-changing processes - understanding structure, properties, and function from atoms and molecules, through the nanoscale, and to the mesoscale (+$42M).
- Research will enable science-based chemical and materials design and manufacturing in, for example:
  - direct conversion of solar energy to fuels
  - generation of electricity from clean energy sources
  - storage and transmission of electrical energy
  - carbon capture, utilization, and sequestration
  - the efficient use of energy



First determination of the structure of the high Tc super-conductor $YBa_2Cu_3O_{7-x}$ determined using neutron scattering.



Magneto-optical images of superconducting films

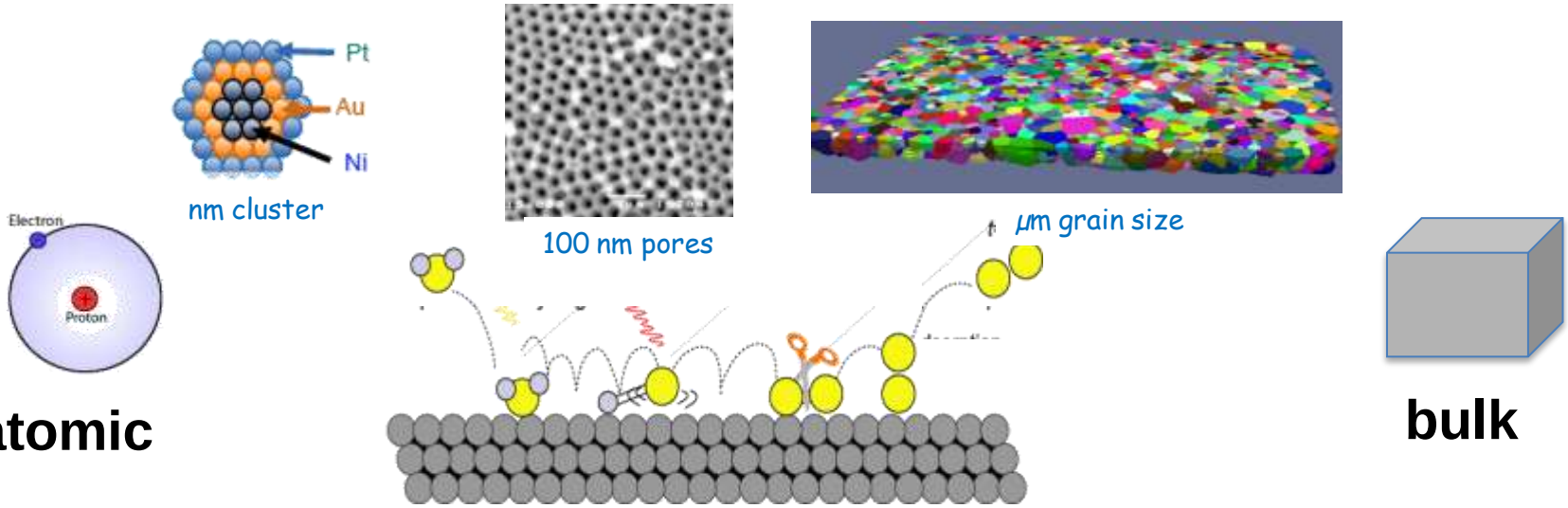


Fabricated industrial wires of MgB_2 superconductors used in MRIs and commercial magnets.

Nanoscale and Mesoscale Sciences

A path to enabling manufacturing innovations

Structure, Dynamics, Function



Visionary Outcomes:

- Complexity and functionality of biology with inorganic earth abundant materials
- Systems with many degrees of freedom; new organization principles
- Paradigm shift from top down design with classical building blocks to bottom up design with atomic, molecular and nano components

Meso Website – Opportunities for Input

http://www.meso2012.com/index.html

Mesoscopic Materials and Chemistry

Mesoscopic Materials and Chemistry

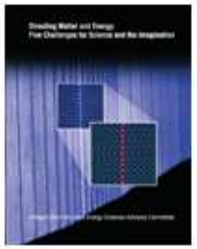
Basic Energy Sciences Advisory Committee

- Home
- Town Halls
- Background Material
- Presentations
- Public Input
- In the News
- Archive

The Basic Energy Sciences Advisory Committee will carry out a study of mesoscale materials and chemistry, the regime where classical, microscale and nanoscale science meet. The study will address two areas: (i) *new mesoscale phenomena and functionality*, and (ii) *facilities and tools* needed to make, characterize and describe mesoscale materials, phenomena and functionality.

The mesoscale materials and chemistry report draws its motivation from three themes developed in earlier BESAC and BES reports:

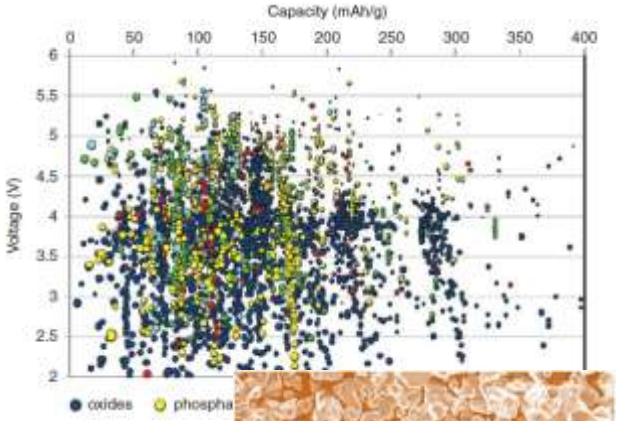
- The need for innovation, articulated in the Science for Energy Technology Report
- The insights and tools we have gained and are still gaining from nano, articulated in the New Science for a Secure and Sustainable Energy Future Report
- The grand challenges of materials and chemistry, articulated in the Grand Science Challenges Report



Materials and Chemistry by Design
Accelerating Discovery for Global Competitiveness

- Research to establish design rules to launch an era of predictive modeling, changing the paradigm of materials discovery to rational design (+$20M).
  - New software tools and data standards to catalyze a fully integrated approach from material discovery to applications
- Discovery of new materials has been the engine driving science frontiers and fueling technology innovations. Research would utilize the powerful suite of tools for materials synthesis, characterization, and simulation at DOE's world-leading user facilities
- Integrated teams to focus on key scientific knowledge gaps to develop new theoretical models
  - Long-term: realization in reusable and broadly-disseminated software
  - Collection of validated experimental and modeling data for broader community use

Prediction: New battery materials starting from first principles theory



Validation: Materials fabrication

MATERIALS PROJECT

http://materialsproject.org/

End Use: Software on-line for general community use

Materials Genome Initiative – What is Different?

- Will move theory from research-use by experts to more general use by the community --- then industry
- Will fund teams of theorists and experimentalists with the express purpose of accelerating the development of multiscale, validated computational software
  - Fill in theory and scientific knowledge gaps
  - Open source software, maintained for broad-use
- Builds on the current portfolio of theory research and experimental characterization facilities, including user facilities
  - Augment with databases of validated information from both theory and experiments
  - User Data Workshop to evaluate data requirements and format
- Will provide a U.S. computational software for materials discovery – restoring U.S. as a leader in the field

Office of Science Early Career Research Program

To support individual research programs of outstanding scientists early in their careers and to stimulate research careers in the disciplines supported by the Office of Science

Eligibility: Within 10 years of receiving a Ph.D., either untenured academic assistant professors on the tenure track or full-time DOE national lab employees

Award Size: University grants $150,000 per year for 5 years to cover summer salary and expenses; Lab Awards cover ~ annual research costs for 5 years

About 70 awards per year since FY 2010

FY 2012 Process:

- Funding Opportunity Announcement issued in July 2011
  - Pre-applications deadline Sept. 1, 2011
  - Full proposals due November 29, 2011
- Awards to be announced in the Spring of 2012

BES Research FAQs

- All research funded at laboratories and universities, including facilities construction and operations, is awarded through a peer-reviewed, merit-based process.
- About 1/3 of DOE Office of Science research funding goes to support grants at more than 300 colleges and universities nationwide
- The Small Business Innovative Research (SBIR) Program may be an opportunity for funding for commercial growth of research activities
- BES User Facilities provide resources for research
  - ~15,000 users of BES scientific facilities a year
  - No cost to users, research must be published
  - Time and access are awarded through competitive review

BES Publications for Improved Communication

BES 2011 Summary Report

http://science.energy.gov/bes/research/

- Overview of BES
- How BES does business
- Descriptions and representative research highlights for 3 BES divisions, EFRCs, and Energy Innovation Hubs

BES FY 2011 Research Summaries

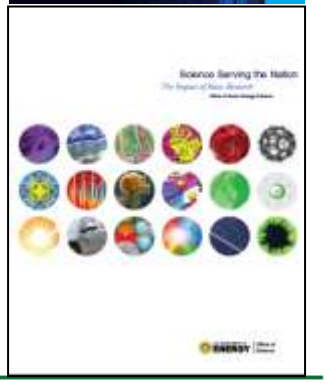
http://science.energy.gov/bes/research/

- Summaries of more than 1300 research projects across 3 BES divisions, including senior investigators, postdocs, graduate and undergraduate students, and a brief project description

Science Serving the Nation

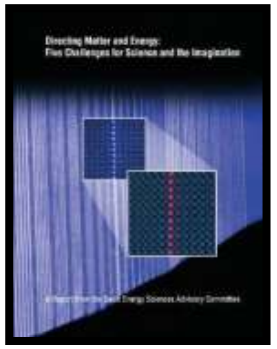
http://science.energy.gov/bes/benefits-of-bes/

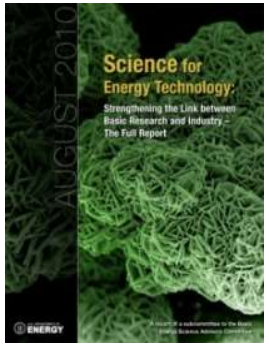
- Brief vignettes describing the impact of BES funded research on scientific innovation and its impact on end-use technology



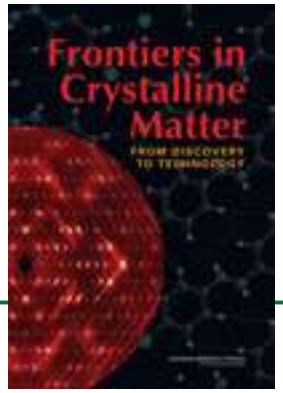
Input for BES Strategic Planning Activities

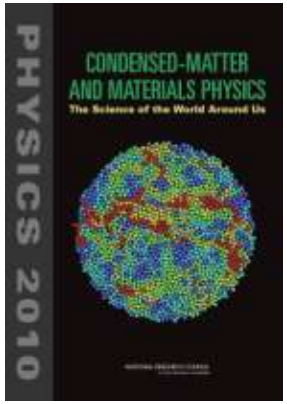
- **BES and BESAC**
  - Basic Research Needs Workshops/Reports (2002-2007)
  - Directing Matter and Energy: Five Challenges for Science and the Imagination (2007)
  - Science for Energy Technology: Strengthening the Link between Basic Research and Industry (2010)
  - Mesocale Science Report (to be released, 2012)





- **BES-MSE Materials Council**
  - "Towards an Integrated Materials Characterization Toolbox" (published in *J. Mat. Research*, 2011)
  - "Imaging physical phenomena with local probes – from electrons to photons" (to be published in *Rev. Mod. Phys*.)
- **NAS**
  - CMMP 2010
  - Frontiers in Crystalline Matter
  - High Magnetic Field Science





- **What next?**

Recurring Themes - BES Strategic Planning

>>1,500 participants from academia, industry, and federal labs

Disruptive, Transformational Advances Require "Control"

Control of materials properties and functionalities through electronic and atomic design

- New materials discovery, design, development, and fabrication, especially materials that perform well under extreme conditions
- "Control" of photon, electron, spin, phonon, and ion transport in materials
- Science at the nanoscale, especially low-dimensional systems
- Designed catalysts
- Designed interfaces and membranes
- Structure-function relationships
- Bio-materials and bio-interfaces, especially at the nanoscale
- New tools for spatial characterization, temporal characterization, and for theory/modeling/computation

http://science.energy.gov/bes/news-and-resources/reports/

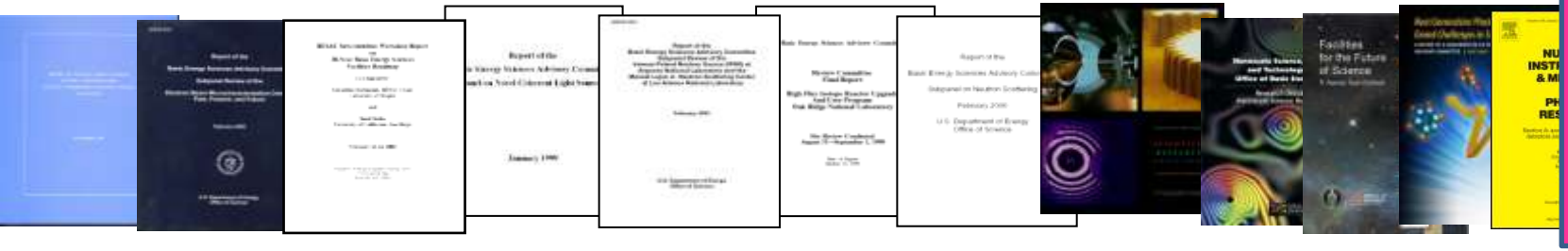
BES Strategic Planning Activities

- **Science for Discovery**

Mesoscale Science

- **Science for National Needs**

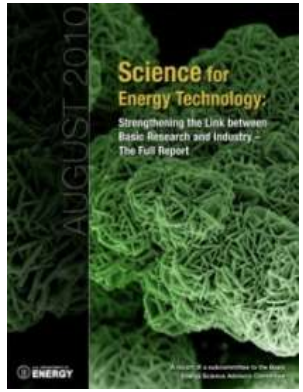
- **National Scientific User Facilities, the 21st century tools of science**



Detector R&D

Input for BES Strategic Planning Activities

- **BES and BESAC**
  - Basic Research Needs Workshops/Reports
  - Grand Challenge Report
  - Science for Energy Technology Report
  - Mesocale Science Report (2012)



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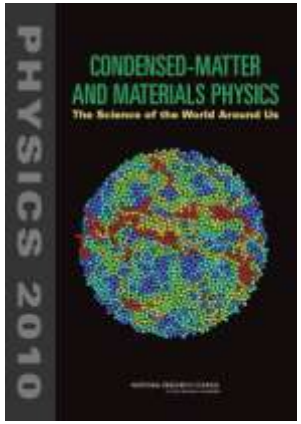


- **What next?**

Questions?

Administrative Staff
Marsophia Agnant, Cheryl Howard, Jorge Mariani
Teresa Crockett

Materials Sciences and Engineering Division

Linda Horton, Division Director

Materials Discovery, Design, and Synthesis Team

Team Lead - Arvind Kini

Materials Chemistry


Michael Sennett Craig Henderson

Biomolecular Materials

Mike Markowitz

Synthesis & Processing Science

Bonnie Gersten

Integrated Energy Research


John Vetrano Craig Henderson

Condensed Matter and Materials Physics Team

Team Lead - Jim Horwitz

Experimental Condensed Matter Physics

Andrew Schwartz

Theoretical Condensed Matter Physics

Jim Davenport

Physical Behavior of Materials

Refik Kortan

Mechanical Behavior & Radiation Effects

John Vetrano

Scattering and Instrumentation Sciences Team

Team Lead - Helen Kerch

X-ray Scattering

Lane Wilson

Neutron Scattering

Thiyaga P. Thiyagarajan

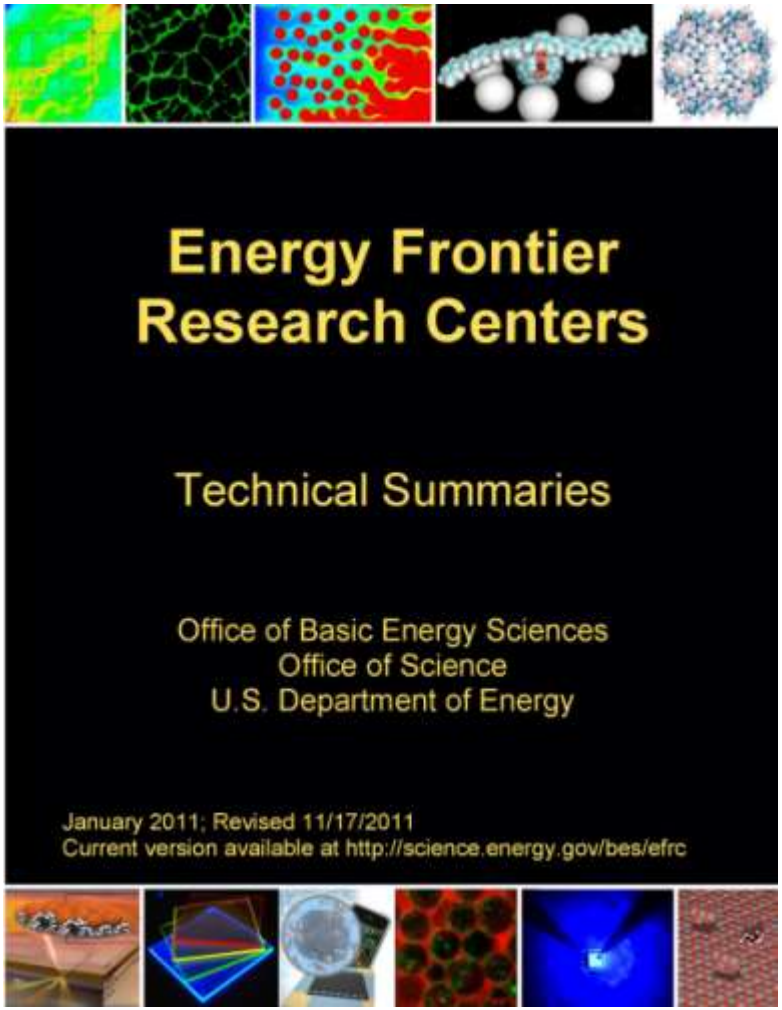
Electron and Scanning Probe Microscopies

Jane Zhu

Experimental Program to Stimulate Competitive Research (EPSCoR)

Tim Fitzsimmons

EFRCs Technical Summaries Book



Two-page technical summaries provided by EFRCs PLUS

- EFRC contact information and
- Indexed by
  - investigator, institution,
  - basic research needs,
  - grand challenges,
  - topical keywords,
  - experimental and theoretical methods

Available and downloadable on-line

Collaboration Opportunities!

FY 2013 Budget Highlights
Materials Science and Engineering

- Scattering and Instrumentation Sciences Research
  - Research increased for materials and chemistry by design to enhance experimental validation techniques (+2,000,000) and for clean energy to advance scattering research to characterize relevant functionality in materials (+7,000,000).
- Condensed Matter and Materials Physics Research
  - Research increased for materials and chemistry by design to develop experimentally validated software including new theoretical tools (+$10,000,000) and for clean energy to explore mesoscale phenomena to advance new materials and functionalities for energy (+15,000,000).
- Materials Discovery, Design, and Synthesis Research
  - Research increased for materials and chemistry by design to develop experimentally validated computational tools for predictive materials synthesis (+2,000,000) and for clean energy to explore related mesoscale phenomena to extend the lifetime and self-repair of materials and novel materials for carbon capture and storage (+6,000,000).
- Experimental Program to Stimulate Competitive Research (EPSCoR)
  - Research continues at the FY 2012 level