

# Statistical Design Considerations for Lexicon-Based Information Extraction

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- Large proportion of information extraction (IE) via natural language processing (NLP) is based on lexicons
- Design considerations for a useful lexicon are:  
Lexicon size, document's word length, prevalence of non-stop words, unique tokens (lemmas) distribution, words' length distribution

Probability that sample will contain one or more instances of the token of interest follows a Poisson distribution<sup>1,2</sup>:

$$p(x > 0) = 1 - e^{-\lambda} \quad \lambda: \text{mean rate of token's occurrence}$$

For each token:  $\lambda_i = f_i / (N/n)$   $f_i$ : frequency of token  $i$  in the corpus

$N$ : size of corpus  $n$ : size of sample

Juckett<sup>2</sup> worked further the Poisson arrival of tokens within a sample focusing in the capture probability for each token given its length providing the following formulae:

$$P = \frac{\sum_{j=W_s}^{W_l} b_j P_j}{\sum_{j=W_s}^{W_l} b_j} \quad \begin{array}{l} P: \text{aggregate capture probability across all token sizes} \\ b_j: \text{overall frequency of tokens of size } j \\ W_s, W_l: \text{smallest and largest token sizes chosen} \end{array}$$

$$P_j = \sum_{i=1}^{m_j} a_{i,j} (1 - e^{-\lambda}) \quad \text{with} \quad \sum_{i=1}^{m_j} a_{i,j} = 1 \quad \text{for each value of } j$$

Example: for 1 token/10 documents and a desired capture probability of 0.95 it would be required 30 documents to extract the token

**Example: would like to build a corpus for words in the toxic substances and disease registry**

Glossary from [www.atsdr.cdc.gov](http://www.atsdr.cdc.gov) contains 166 terms (75 unigrams -20 are acronyms, 52 bigrams, 26 trigrams, 13 n-grams). Frequency of these terms in Pubmed documents were obtained (displayed in table below under terms and average probability columns). For comparison purposes: frequency of words from the Thorndike and Lorge English list as extracted by EllegaRd<sup>1</sup>.

Freq level	Terms	Average probability	Words <sup>1</sup>	Average probability <sup>1</sup>
10 <sup>1</sup>	1	1.8 x 10 <sup>-1</sup>	-	-
10 <sup>2</sup>	24	3.8 x 10 <sup>-2</sup>	12	1.33 x 10 <sup>-2</sup>
10 <sup>3</sup>	29	3.5 x 10 <sup>-3</sup>	109	2.67 x 10 <sup>-3</sup>
10 <sup>4</sup>	35	4.0 x 10 <sup>-4</sup>	969	2.58 x 10 <sup>-4</sup>
10 <sup>5</sup>	37	3.9 x 10 <sup>-5</sup>	4,810	3.08 x 10 <sup>-5</sup>
10 <sup>6</sup>	19	2.8 x 10 <sup>-6</sup>	11,100	3.47 x 10 <sup>-6</sup>
10 <sup>7</sup>	11	4.5 x 10 <sup>-7</sup>	16,000	3.71 x 10 <sup>-7</sup>
10 <sup>8</sup>	9	6.0 x 10 <sup>-8</sup>	20,000	3.95 x 10 <sup>-8</sup>

- Over 23K documents need to be extracted to build the desired corpus if all 166 terms are sought.
- If 5 terms with the smallest frequency are excluded, then only 6,329 documents would be necessary to obtain the other terms with a frequency of 0.047% or higher, with a 0.95 capture probability.

## References

- EllegaRd A. Estimating vocabulary size. WORD 1960;16:2:219-244.
- Juckett D. A method for determining the number of documents needed for a gold standard corpus. J Biomed Informatics 2012;45:460-470.

Corpus size for terms depending on their frequency and word length

