Evaluating the Process to Develop the *Dietary Guidelines for Americans*,

2020-2025:

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- When the National Academies 2017 report was released, there
 were practical and other barriers to creating the Dietary
 Guidelines Planning and Continuity Group (DGPCG), Technical
 Expert Panels (TEPs), and Dietary Guidelines Scientific Advisory
 Committee (DGSAC)?
 - a. Are there barriers to implementing these recommendations now?
 - b. Please describe how you will address underlying barriers that impede the full implementation of recommendation 1.
 - c. Given the effectiveness of the Technical Expert Collaboratives (TECs) for the Pregnancy and Birth to 24 Months project, what were the barriers to implementing the TEPs, which seem similar to the TECs, as proposed in recommendation 1?

Response to Question 1: Dietary Guidelines Planning and Continuity Group **Benefits and Barriers** Who played this role Who will play this role **NASEM 2017** in 2020? DGPCG Generalists and Led by staff from Similar to 2020 Benefits of the current approach: USDA CNPP and HHS Supports goals of the 2017 NASEM rec specialists. Federal and (e.g., diversity of expertise and non-Federal who: ODPHP who support Expansion of role of · Support strategic DGA development and ICHNR SC on DG separation of roles); public comments planning implementation-with process successful and well-received; · Identify, select, and input by Federal Addition of NESR . CNPP/ODPHP staff are uniquely nutrition SMEs. ICHNR prioritize topics continuous evidence positioned to support strategic planning · Oversee monitoring of Subcommittee on monitorina (e.g., monitor nutrition science and new evidence Dietary Guidance, and international guidance, lead ICHNR SC public comments Addition of stating on DG, support implementation of DGA) "rationale" for prioritized No monitoring of questions Barriers to change Formation of additional Federal advisory evidence: monitoring requires the questions Additional question committee adds significant costs and to be identified and refinement with DGAC resources within existing budget ceiling selected, and protocols for Federal advisory committees at both Departments and is difficult to justify to be developed when existing approach addresses goals; additionally, 2017 NASEM acknowledged multiple approaches to DGPCG not formed by name, but the function of the DGPCG has been accomplished and will continue to evolve

Response to Question 1: Technical Expert Panels Role as proposed by Who played this role Who will play this role Benefits and Barriers NASEM 2017 in 2020? Domain and DGAC subcommittees Similar to 2020 Benefits of the current approach: methodological experts with review and input DGAC's participation in developing from full committee Expansion of role of protocols and synthesizing the evidence, Help NESR develop ICHNR SC on DG and developing conclusion statements, and Based on input from: Federal SMEs to inform and refine grading the strength of the evidence systematic review Federal SMEs, as ensures the review is transparent and the systematic review protocols needed protocols independent work of an external, expert Help USDA/HHS Previous DGACs and Committee - which enhances its data team identify TECs (by using/ USDA/HHS food pattern trustworthiness, and reduces the perception updating their existing of bias or conflict of interest. modeling and data teams Promotes diversity of expertise and (food pattern NESR reviews) anticipate identifying and modeling and analyzing data prior to experience by leveraging input from Federal descriptive data USDA/HHS food pattern convening DGAC SMEs, previous DGACs, TECs, public analyses) prior to modeling and data teams comments convening DGSAC Allows NESR to focus on conducting CQA started work prior to convening DGAC and assessing research availability Public comments Barriers to change: Staff availability, limited transparency, and timeliness of work TEPs not formed by name, but pre-work occurred and included a range of expertise and will continue to evolve *TEPs are different from TECs. TEC members develop and refine systematic review protocols, and participate in evidence synthesis, conclusion statement development, and grading the strength of the evidence

	Role as proposed by NASEM 2017	Who played this role in 2020?	Who will play this role in 2025?	Benefits and Barriers
DGSAC	Domain and methodological experts who: • Assess systematic reviews and other types of evidence to develop conclusions for USDA/HHS consideration • Identify new questions and topics if needed and seek TEP to assist • Identify topics for DGPCG to consider for the next DGSAC	Integrated evidence across approaches and developed conclusions for USDA/HHS Refined list of questions, but, per charter, limited review to questions identified by USDA/HHS Identified topics to consider for next DGAC (in Future Directions of Report)	DGAC, similar to 2020 but will have opportunity to identify new questions, if needed, to inform advice	Benefits of the current approach: Accomplishes division of roles and has more transparency and public deliberation and participation than proposed TEP(s) and DGSAC approach. Barriers to change: The DGAC is an existing Federa advisory committee that is reestablished for each edition; it is not a simple renaming. Additionally, communications challenge of adding "scientific" when previous DGACs have

- 2. How do USDA and HHS decide to recommend something different in the *DGA* than the DGAC concluded?
 - a. Is there a formal review process with specific criteria by which they compare DGAC conclusions against the DGA to identify such differences?
 - b. For the 2020-2025 DGA, how did the agencies decide to specifically highlight differences in alcohol and added sugar intake?
 - c. How did the agencies identify other differences between DGAC conclusions and *DGA* recommendations that they did not highlight?

Response to Question 2: Background

- 2. How do USDA and HHS decide to recommend something different in the *DGA* than the DGAC concluded?
- The 2017 NASEM recommendation was specific to when the DGA "omit or accept only parts," not all differences
- The DGAC's scientific report is a detailed technical document on the current state of nutrition science on specific topics for HHS and USDA DGA developers. The DGA is an evidence-based policy document for programs, policy makers and health professionals.
- · Both discuss topics in multiple places
- USDA/HHS stated that:
 - DGAC scientific report is not a draft of the DGA
 - o DGA build from the previous edition of the DGA
 - o Some topics will be addressed using existing federal guidance
- DGAC answered many scientific questions, and several questions addressed the same topic across different outcomes and using different approaches
 - o DGAC conclusion statements are not "one-for-one" with DGA recommendations
 - Important to look across the conclusion statements and ultimately consider the DGAC's advice to the Departments
 - > DGAC advice summarized in Part B integration chapters and Part D chapter summaries

Response to Question 2: Subquestions

- a. Is there a formal review process with specific criteria by which they compare DGAC conclusions against the DGA to identify such differences?
- b. For the 2020-2025 DGA, how did the agencies decide to specifically highlight differences in alcohol and added sugar intake?
- c. How did the agencies identify other differences between DGAC conclusions and DGA recommendations that they did not highlight?
- The DGA writing team included Federal staff who supported the DGAC, and the draft DGA
 was peer-reviewed by additional Federal staff who supported the DGAC process, members
 of the 2020 DGAC, and additional external peer reviewers. Process of writing and review
 included comparison of the DGAC report to the DGA.
- Alcoholic beverages and added sugars were highlighted because they were identified as the only 2 topics related to the 2017 NASEM rec regarding "omit or accept only parts." *DGA* peer reviewers did not comment on other inconsistencies between DGAC report and *DGA*.

Response to Question 2: Examples

TABLE 3-3 Selected Examples of Potential Differences Between the 2020 Dietary Guidelines Advisory Committee Scientific Report and the 2020–2025 Dietary Guidelines for Americans

Not an example of an omission; USDA/HHS made reference to the fact that "most health care providers" make this recommendation.

2020 DGAC Report also states, "Thus, the Committee was unable to make a specific recommendation about routine supplementation with omega-3 fatty acids during pregnancy." Not an example of an omission; DGA aligns with DGAC Report.

DGA also states, "Seafood choices higher in EPA and DHA and lower in methylmercury are encouraged." Not an example of an omission. EPA and DHA are the omega-3 fatty acids in seafood; DGA aligns with DGAC Report.

Excerpt from, "Evaluating the Process to Develop the Dietary Guidelines for Americans, 2020-2025: A Midcourse Report"

Response to Question 2: Transparency

- 2. How do USDA and HHS decide to recommend something different in the DGA than the DGAC concluded?
- USDA and HHS provided more transparency to the process to develop the DGA as well as decisions around DGA recommendations than ever before.
 - o https://www.dietaryquidelines.gov/usda-hhs-development-dietaryguidelines
- · We will continue to explore ways to enhance transparency.

- 3. The NASEM 2017 report recommended that USDA separate the roles of the NEL (now NESR) from those of the DGSAC (still implemented as the DGAC) relative to conducting, reviewing, and synthesizing the evidence from the systematic reviews created for the development of the DGA. Do you anticipate being able to establish a DGSAC as part of the 2025-2030 DGA process?
 - a. If not, how do you plan to address separating the roles of NESR and the DGAC in handling the systematic reviews?

	Role as described by NASEM 2017	Who played this role in 2020?	Who will play this role in 2025?	Benefits and Barriers
NESR	NESR should plan and conduct individual systematic reviews (using protocols developed with input from the TEPs)	DGAC, with NESR support in subcommittees, with review and input from full committee • The DGAC made all substantive decisions throughout the process of conducting its systematic reviews, and NESR supported the DGAC by facilitating and documenting the work necessary for timely execution of the systematic reviews in accordance with NESR methodology. DGAC developed and refined systematic review protocol, synthesized the evidence to develop conclusion statements, and graded the strength of the evidence. NESR supported the DGAC by executing their protocol to search for and screen studies, extract data, and conduct risk of bias assessments.	DGAC, with NESR support, similar to 2020	Benefits of the current approach: Accomplishes division of roles and provides a more deliberative process—and supports resource management and has more transparency and public deliberations : Ensures the DGAC's review and integration of the evidence, and their advice to the government, is the independent work of an external, expert committee — which enhances its trustworthiness, and reduces the perception of bias or conflict of interest. Leverages trained and qualified NESR scientists to execute the DGAC's large scope work and tight timelines Barriers to change: If NESR were to conduct the systematic reviews without DGAC involvement, the reviews would not be the product of a transparent, external, expert committee which could reduce its trustworthiness, and introduce the perception of bias or conflict of interest. NESR uses the interim time between DGACs to conduct non-DGAC projects, continuous evidence monitoming, and continuous quality advancement of our methods
DGSAC	DGSAC should interpret the scientifice evidence and draw conclusions. "The DGSAC would be charged with integrating all data inputs such as systematic reviews, food pattern modeling, and descriptive data analyses to develop its conclusions regarding diet and its relationship to health."	DGAC, without NESR support Integrated the evidence by looking across all of its conclusions - from systematic reviews, data analysis, and food pattern modeling - to develop overarching advice for USDA and HHS to consider as the Departments developed the next edition of the Dietary Guidelines. (in Part B, Chap 2: Integrating the Evidence)	DGAC, similar to 2020	

- 4. What actions were taken to develop a plan for integrating systems thinking and data into the *DGA* process?
 - a. What actions have been taken to build capacity in systems science?
 - b. What specific resources will be needed (staff, time, funding)?



Response to Question 4

- The DGA provides a framework intended to be customized based on:
 - Individual needs (e.g., based on age, sex, height, weight, physical activity level, and pregnancy or lactation status)
 - o Personal preferences
 - o Cultural traditions
 - o Budgetary considerations
- Continuous quality advancement activities for food pattern modeling are underway to better reflect the complex interactions involved, variability in intakes, and range of possible healthful diets
- CNPP is pursing a contract to support a workshop to begin exploring and mapping
 out the applicability of systems science approaches to the DGA
 - Deliverables will include short- and long-term goals, which will help inform resource needs
 - o Expected that additional funding will be required