# Rationale for Laparoscopy and Minimally Invasive Surgical Methods (Scientific & Clinical) For Cancer: In Theory and In Practice

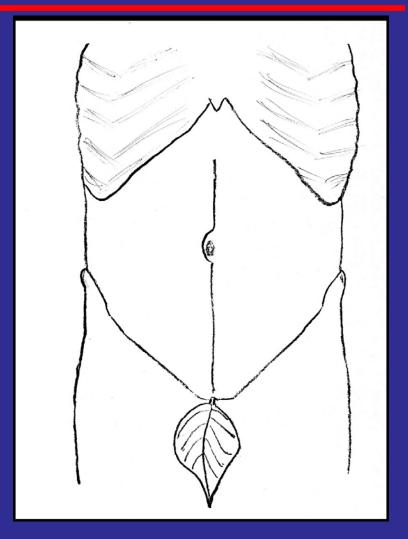
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#### Disclosures

- Olympus Corporation (investigator initiated research, consultant)
- Ethicon Endosurgery (stapling education)

### Abdominal Surgery Methods Before 1990

- Only 1 way into abdomen
- Via sizable incision over target area
- Provides direct access to organ in question
- Allows manual palpation
   & dissection
- Tumor/pathology resected

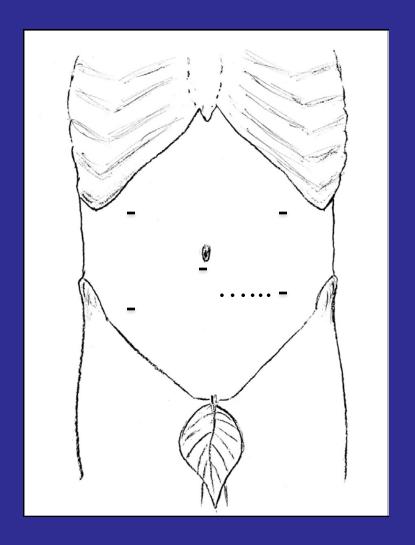


### The Downside or "Cost" of Open Surgery

- Access trauma: abdominal wall (muscles, fascia, skin, etc)
- Intra-abdominal trauma (unavoidable)
- Systemic response (2° to trauma + wound healing)
- Pain (mostly related to abdominal wall trauma)
- Need for wound healing at multiple sites
- Disruption of GI function

### Video Laparoscopy & Advanced Laparoscopic Methods

- Introduced in early 90's
  - Paradigm shift
  - "Sea change"
- Radically changed the approach to majority of abdominal operations



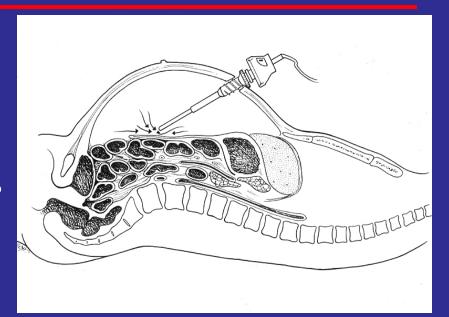
### Advantages of Laparoscopy

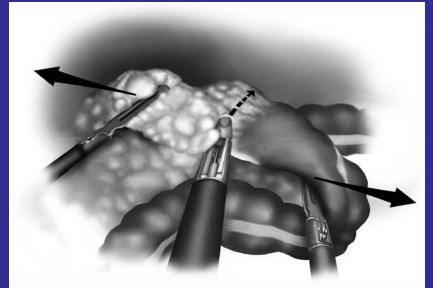
- Less abdominal wall trauma & injury
- Short term outcome
  - Less pain (→ less pain meds)
  - More rapid recovery
  - Fast return bowel function
  - Better ambulation
  - Shorter length of stay
  - Faster return to work



#### Advantages of Laparoscopy

- Long term
  - Fewer adhesions
  - Fewer bowel obstructions
  - Fewer incisional hernias
  - Better cosmesis
- Physiologic Benefits ??
- Oncologic benefits ??
- Cost benefits ???





### Scientific Underpinning of Laparoscopy

- At the start, surprisingly little scientific support
- As regards new approaches & new techniques:
  - If feasible & logical then it will be attempted
  - "Better to beg for forgiveness rather than ask for permission."
  - No group decision made as to when new procedure will be attempted
  - Market pressures (real or perceived) are huge
  - Data and scientific evaluation occur after initial adoption

#### Laparoscopic Cholecystectomy

(1st common general surgery procedure introduced)

- Video laparoscopy introduced
- Case reports & small series initially
- Rash of weekend courses
- Stampede to do first cases (vast majority of surgeons had minimal lap. experience)
- Overall results pretty good BUT
- Incidence of common duct injuries initially much higher than for open operation

#### Cholecystectomy

### Short Term Clinical Results, MD & Patient Perception Drive Adoption

- By the time the basic science studies were done "the horse was out of the barn"
- Basic science studies found differences between open and laparoscopic responses to the surgery
  - Laparoscopy comes out on top
  - Clinical ramifications of these differences unclear
- Lap methods became "gold standard"
- Common duct injury rate dropped back down

### Laparscopic Colectomy: A Unique Situation

- Lap. colectomy much more difficult than cholecystectomy
  - Requires bowel mobilization,
     devascularization, transection, & anastomosis
  - Takes 1-2 hours longer initially
- Most surgeons, after initial try, did not want to do lap. colons
- Issue of port wound tumor recurrences provided the rationale for not doing lap. ops.

#### Port Site Tumor Recurrences

- Numeous anectodal reports early on
- Impression of many that wound recurrences not seen after open cancer operations (not true).
- Majority view: Concern that CO2 pneumoperitoneum or other laparoscopic technique related factor was cause (disproved in time).
- Alternate view: Traumatization of the cancer (poor technique) was the cause. (current view)

### Lack of Enthusiasm & Fear of Wound Tumor Recurrences

- Most colorectal surgeons desisted
- Small band of zealots embraced laparoscopy
- Randomized trials for cancer set up (3-7 years to complete studies)
- Provided window of time to do basic science studies
- Ultra-unique: Randomized trial data + basic science results preceded large scale adoption

## Basic Science Physiolgic Impact of Surgery: What to Assess?

- Immune Function
- Plasma protein changes that may impact
  - Physiology
  - Cancer cell growth
  - Angiogenesis
- Plasma's impact on in vitro tumor growth & endothelial cell growth (angiogenesis)

### Does Immune Function After Surgery Matter? YES

- Immunosuppressed populations have higher complication rates
- Anergic patients fare worse
  - Higher infection & mortality rates
  - Lower cancer resectability rates
- Transfused cancer patients do worse

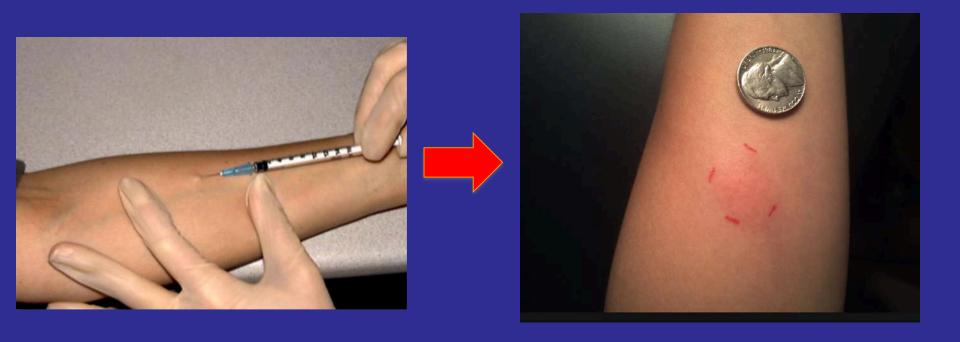
### Less Immunosuppression after Laparoscopic Surgery: Evidence\*

- Both animal and human studies
- Less marked changes in immunomodulator levels
- Lymphocytes more readily stimulated
- Greater Th-1/Th-2 ratio post op
- Macrophage & PMN marker studies: systemic vs peritoneal (hard to interpret)
- Preserved DTH responses postoperatively

<sup>\*</sup> Short lived 1-2 day differences for most parameters

### Delayed Type Hypersensitivity

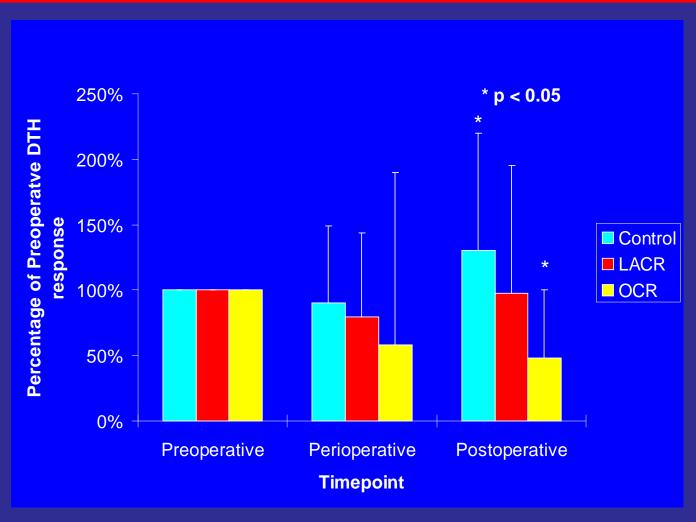
- Assesses immune systems ability to recognize pathogen it has seen in past
- Ability to respond is verification that immune system is working



### Periop DTH Testing

- Preop test to determine size of baseline response (area of wheal)
- Repeat test day of surgery
- Repeat test Postop day 3
- Size of response thought to be rough measure of immune systems functional status

### Percentage change in DTH response from preoperative baseline\*



\* Whelan et a. Surg Endosc 2003;17(6):972-978.

### Less immunosuppression after laparoscopic vs open colectomy

- Differences are small and short lived.
- Clinical importance unclear
- May contribute to:
  - •Significantly lower rate of wound complications (odds ratio 0.65; p=0.01)\*
  - •Incidence post-op complications significantly lower (18.2%) vs open (23%) P=0.02\*\*
- Oncologic significance unclear

<sup>\*</sup>Schwenk et al. *Cochrane Systematic Reviews* 2006 No. 3 ISSN 1464-780X \*\* Tjandra JJ et al. Colorectal Dis 2006;8:375-388.

#### Short Term Cytokine Changes: Lap vs Open Colectomy

- Less marked acute phase inflammatory response
  - IL-6, CRP, TNF-α, IL-8, IL-10 \* \*\* ++++
  - Granulocyte elastase<sup>++</sup>
- Serum levels significantly higher after open procedure
- Duration 18-36 hours at most
- Suggests open op more stressful
- Clinical significance unclear

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*Ordemann et al. Surg Endosc DOI:1007/s004640090032
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- \*\*Harmon et al. Dis Colon Rectum 1994;37:754-759.
- +Sietses et al. Dis Colon Rectum 2003 Feb;46(2):147-55.
- ++Hildebrandt et al. Surg Endosc. 2003;17(2):242-6.

#### Long Duration Changes: Lap Vs Open

- Significantly higher levels of VEGF, ANG-2, PLGF, sVCAM, MMP-3, MCP-1, etc. X 2-5 weeks \* \*\*
- Pro-angiogenic
- May stimulate tumor angiogenesis in residual metastases
- Longer duration blood composition changes similar for Lap & Open methods

<sup>\*</sup> Kumara et al. Ann Surg. 2009 Jun;249(6):973-7.

<sup>\*\*</sup>Kumara et al. Surg Endosc. 2011 Jul;25(7):2153-8.

#### Linking Surgical Trauma and > Tumor Growth

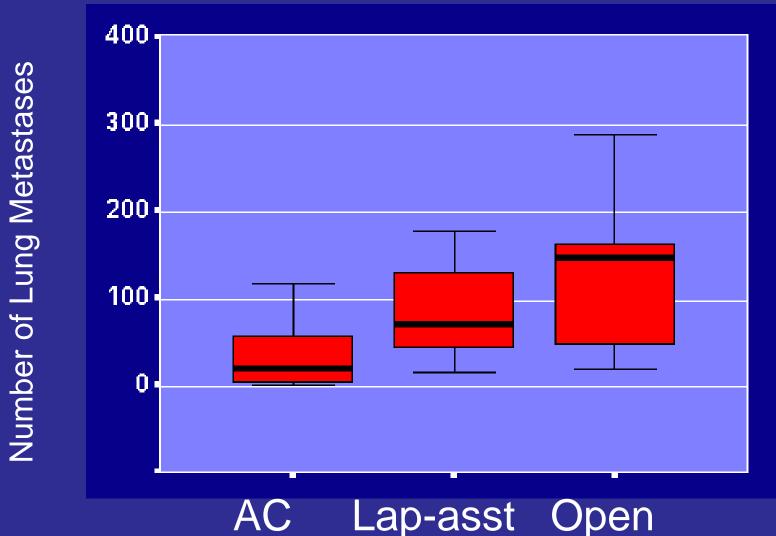
- Tagliabue E, et al. Europ J Cancer 2006;42:1057-1061.
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- Abramovitch R, et al. Br J Cancer 1999;79:1392-96.
- Qadri SS, et al. Ann Thorac Surg. 2005 Sep;80(3):1046-50.

### Blood Borne Metastases Model: Methods\*

- <u>Tail vein injection</u> of 1x10<sup>5</sup> TA3Ha cells after procedure
- Groups: Laparoscopic cecectomy
  Open cecectomy
  Anesthesia control
- Mice sacrificed on postoperative day 14
- Surface lung metastases counted

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#### Human Plasma Factor Study\*

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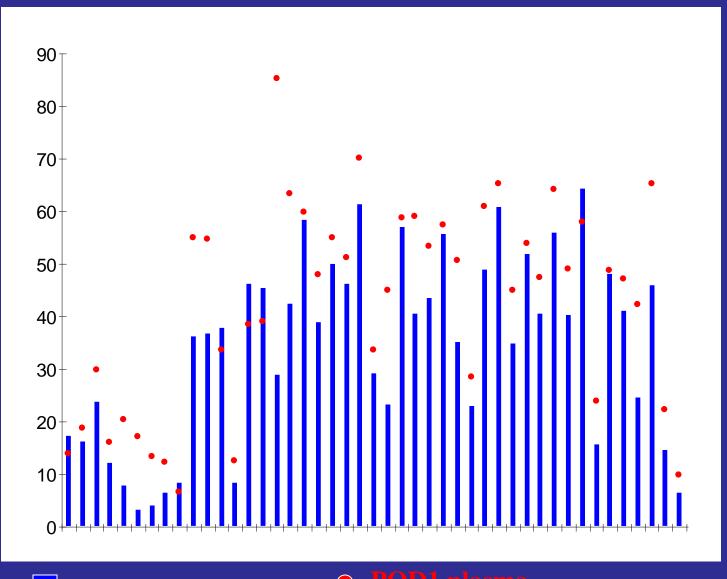
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<sup>\*</sup> Kirman et al. Surgery 2002;132:186-92.

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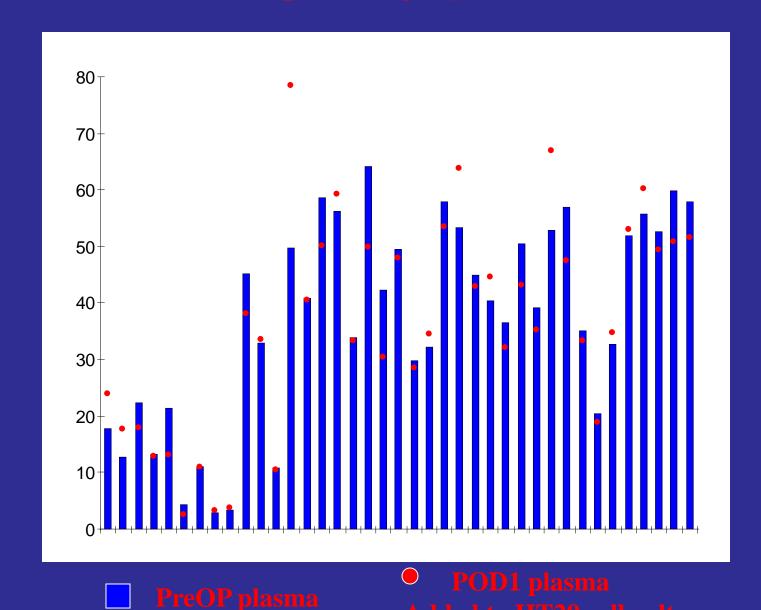
#### Open Surgery Patients



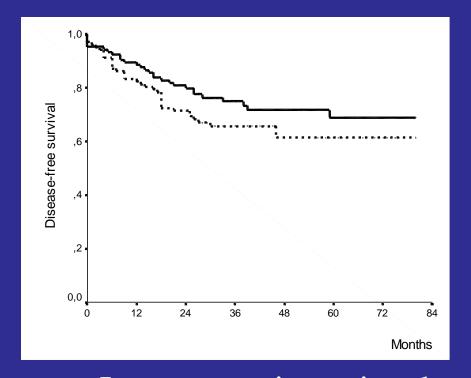
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#### Endoscopic Surgery Patients



### Barcelona Trial Interim Results: Cancer-related Survival\* \*\*



p = 0.02

\_\_\_\_\_ Laparoscopic-assisted group ...... Open group

\* Kaplan-Meier method

\*\*Lacy et al. Lancet. 2002 Jun 29;359(9325):2224-9.

#### Colon Cancer: Randomized trials

- Serious concerns over safety of laparoscopic methods for cancer
- Multi-center randomized trials started
- Colon cancer first, then rectal cancer
- Hypothesis: Laparoscopic method is not inferior to open method as regards DFS, OS

### Laparoscopic vs Open Colectomy for Cancer: Randomized Trials

Trial	n
C.O.S.T. (American)	863
C.O.L.O.R 1 (European)	1082
Classic (British)	794
COLOR 2 (European Rectal)	1044
COREAN (Korean Rectal Ca)	340

All showed short term recovery benefits (p<0.05)

#### Lymph Node Harvest (median)

Series Laparosc.(%) Open(%) p value

COST	12	12	ns
COLOR 1	10 (0-41)	10 (0-42)	ns
Classic	12	13.5	ns
COLOR 2	13	14	ns
COREAN	17	18	ns

### Intermediate & LongTerm 3 & 5 Year Oncologic Outcome\*

Trial	DFS	OS	
COST	ND	ND	
COLOR 1	ND	ND	
CLASSIC	ND	ND	
COLOR2 (rectal)	ND	ND	
COREAN (rectal)	ND	ND	

\* ND = No Difference

### Morbid Obesity Surgery: Benefits of Lap vs Open Methods\* \*\*

- Less pain, shorter LOS
- Improved postop pulmonary function \*
- Lower wound infection rate (1.2 vs 10%)\*
- Initially leak rates, bleeding were higher in lap pts, but with time rates decreased
- Lower overall complication rates<sup>+</sup>
- Volume of cases done increased 6-8 X

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*Nguyen et al. J Am Col Surg 2005;200(4):621-9
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<sup>\*\*</sup>Paxton JH, et al. Obes Surg. 2005 Jan;15(1):24-34.

<sup>+</sup>Nguyen NT, et al. <u>J Am Coll Surg.</u> 2007 Aug;205(2):248-55.

#### Morbid Obesity: Mortality Decrease

- Open gastric bypass periop mortality between 1.9 to 4 %
- MIS methods associated mortality is 0.1 to 0.3%\*\*\* (9 X lower vs 1998 mortality)\*
- Attributed to significantly less robust cytokine / stress response in MIS vs Open patients
- ? role of increased volume of cases
- \*Flum et al. N Engl J Med. 2009 Jul 30;361(5):445-54.
- \*\*Stroh et al. Obes Surg. 2009 Jan;19(1):105-12.
- +Broderick RC, et al. Surg Endosc 2015 PMID: 25986426 [PubMed]

### Laparoscopic GYN vs Open Hysterectomy: NSQIP Review\*

- N = 2076 patients
- MIS use rate: 2006, 16%  $\rightarrow$  2010, 48%
- Length of surgery: longer in lap. group
- LOS: Open 3.8 vs Lap 1.6
- Complications: significantly lower in Lap group

### Basic Economics of Laparoscopy

- Cost of procedure is higher
  - Much OR equipment & devices are needed
  - Disposable cost higher
  - Lengthier op's in general (more anesthesia, etc)
- Cost of postop hospitalization usually lower
  - Shorter length of stay
  - Lower wound complications & associated costs
- For multiple operations the complication rates and readmission rates are lower for MIS
- Overall, most studies show cost savings

### Costs: Lap. Methods Vs Open

- Ventral hernia repair: Lap cheaper (\$3451)
   when cost of complications considered\*
- Colectomy: 90 day overall costs 1.26 x higher (p<0.05) in Open pts\*\*</li>
- Hepatectomy/pancreatic resection: Lap. cheaper (≈\$4000) +
- Gastric Bypass: Lap cheaper (\$2,500)++

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*Ecker BL, et al. Surg Endosc 2015 PMID: 26092027 [Epub]
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<sup>\*\*</sup> Cranshaw et al. JAMA Surg 2015;150(5):410-5.

<sup>+</sup>Limongelli P, et al. World J Gastroenterol. 2014 Dec 14;20(46):17595-602.

<sup>++</sup>Livingston EH, et al. Am J Surg. 2005 Nov;190(5):816-20.

### Splinter Laparoscopic Methods

- Straight laparoscopic
- Laparoscopic-assisted
- Hand-assisted laparoscopy
- Single port laparoscopy
- Robotic laparoscopy

• Each method has its proponents



### DaVinci Robot in OR



### Robotic Laparoscopy: Advantages

- 3 D images (vs 2D for standard laparoscopy)
- Greater range of motion in robotic "wrists"
- Camera position is secured (no cameraman)
- 4<sup>th</sup> arm permits surgeon to assist themselves (less reliance on assistants)
- Surgeon sits at console (less taxing)
- In deep pelvis
- Suturing
- Easier to learn than laparoscopy

#### Robotic Laparoscopy: Disadvantages

- Robot (≈\$2,000,000) + yearly maintenance (\$150,000/robot)
- Devoted robotic tissue division tools, staplers (cost)
- Disposable robotic graspers, scissors, etc (cost)
- Loss of haptic feedback (how hard am I squeezing?)
- Added OR time (generally longer ops)
- Overall increased cost per case:  $\approx $1,500$  (colon),  $\approx $2,000$  (GYN)\*
- Since op done alone, harder to train residents
- Once taught on robot must have robot to do case

#### Robotic Penetration

- Highest utilization rate in Urology
  - Prostate operation is ideal for robot
  - Number prostatectomies increased greatly
- Gynecology and Colon & rectal surgery
- Biggest proponents are surgeons with less straight laparoscopic experience
- If straight laparoscopic approach well established in field there is less penetration

## Robotic Results: Prostatectomy (no MIS alternative)

- Breakdown: Robotic, 85%; open, 14%; lap, 1%
- Many expert robotic GU surgeons (few straight lap G/U surgeons)
- Short term results are better than open
- Functional results same or ? Better (controversial)
- Long term oncologic results similar to open
- Utilization rates have plateaued
- Non-operative alternatives with similar efficacy (RT, hormonal Rx, observation)

### Robotic Results (where Laparoscopic alternative is present)

- Rectal cancer indication: Purported robotic advantage in pelvis → increased utilization
- Vs open operation, clear advantages
- Vs laparoscopic op's (ROLAR, randomized):
  - No difference in quality of resection
  - No difference in short term outcome, LOS
  - Trend to lower conversion rate (obese males)
- Robot results best if used for all colorectal resections but if there is no advantage to patient & higher cost hard to justify

## Gynecologic Robotic Surgery (laparoscopic Vs Robotic)

- Robotic cases: significantly longer than lap\*
- LOS: 7 hours shorter for Robotic\*
- Costs: Higher for robotic \*\* +
- Complications: Bit higher for robotic +
- Use of robotics: Advised limiting use robotics to trial setting

- \* Liu H et al. Cochrane Library, 2014, Issue 12
- \*\*Xie Y, et al. Curr Opin Obstet Gynecol. 2015 Feb;27(1):73-6.
- +Wright JD et al. <u>Obstet Gynecol.</u> 2014 Nov;124(5):886-96.

### Summary

- Laparoscopic methods are now the gold standard for:
  - cholecystectomy, appendectomy, colectomy, morbid obesity surgery, adrenalectomy, splenectomy, prostectomy, etc
- Scientific evidence (and sound clinical evidence) in support did not precede the introduction of these methods (except for colectomy)

### Summary: Splinter Methods

- Numerous offshoot MIS methods
- Robotic laparoscopy has had most traction
- Less data available for splinter methods
- Robotic clinical results are equivalent to laparoscopic
- Costs are higher for robotics
- Unless clinical results (critical parameters such as survival in cancer pts) are superior
   & costs reasonable → limited growth

### Summary: Scientific + Clinical Data (Laparoscopy)

- Less abdominal wall trauma
- Less marked acute inflammatory response & immunosuppression
- Less stimulation cancer growth (murine studies)
- Similar long term oncologic outcome (clinical)
- Short term clinical results (vs open):
  - Quicker return bowel function (colon)
  - Less pain, pain medication use
  - Shorter length of stay
  - Lower wound infection and hernia rates (colon)

# Clinical Results (Real & Perceived) Drive Adoption

- Initial reports invariably show good results
- Community outcomes (multiple hospitals & many different surgeons) hard to come by and will always be worse than "champion's" results
- Today: NSQIP Database, NCDB, National In Patient Sample Data Base provide more robust data more rapidly

### Human Plasma Factor Study\*

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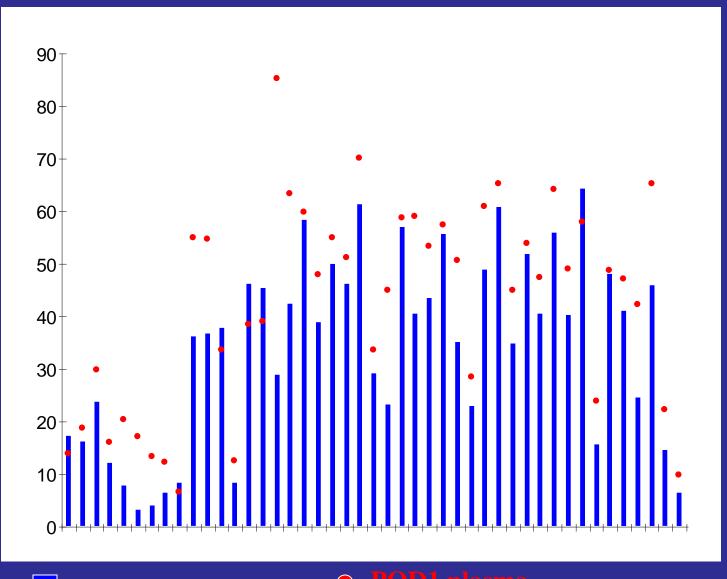
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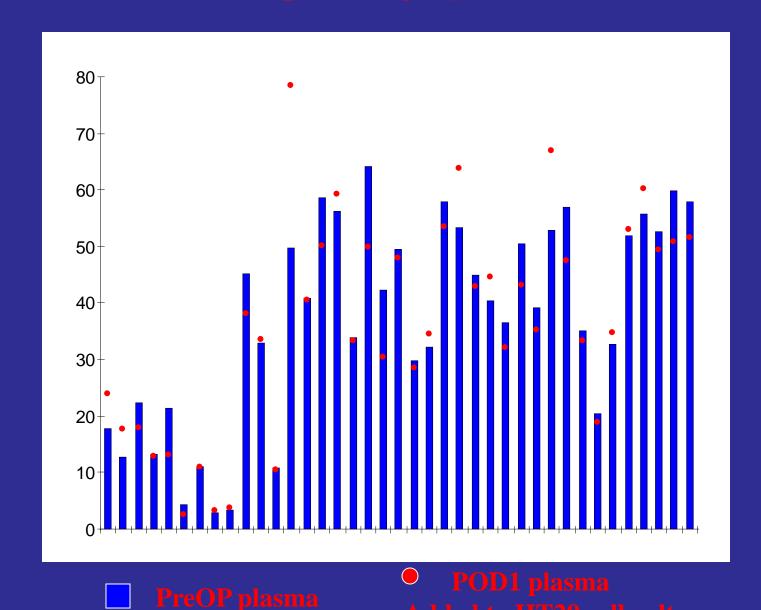
#### Open Surgery Patients



PreOP plasma

POD1 plasma
 Added to HT29 cell cultures

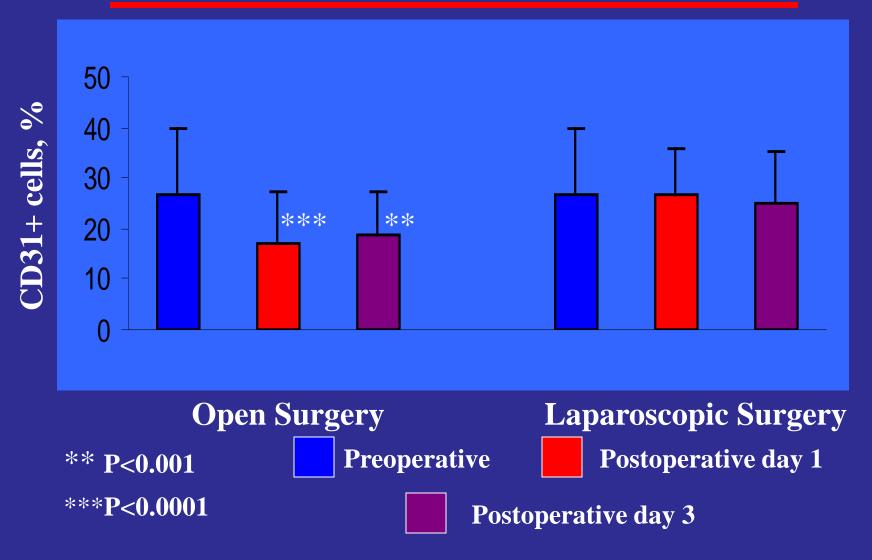
#### Endoscopic Surgery Patients



# Immunologic, Physiologic, and Oncologic Ramifications of Abdominal Surgery

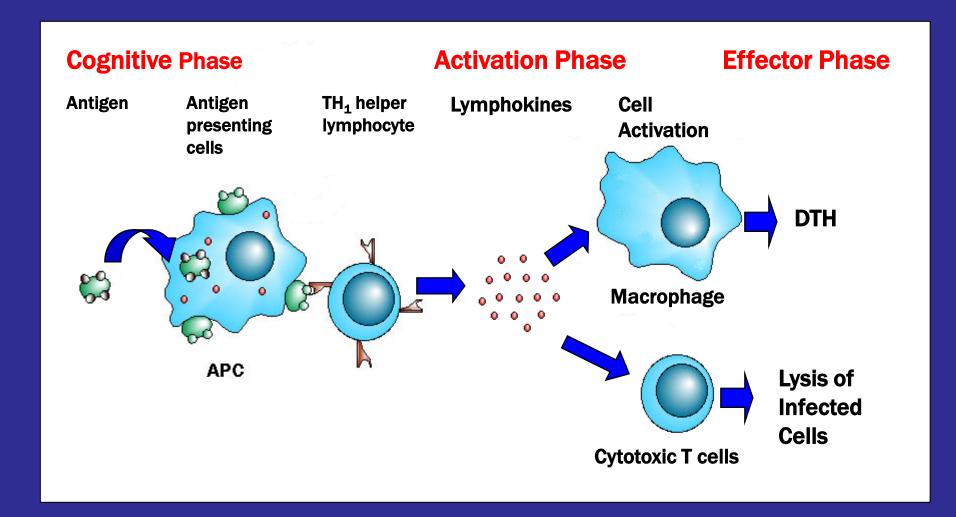
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### Decrease in the percentage of CD31+ T Cells after Colon Resection\*



<sup>\*</sup> Kirman et al. Surg Endosc 2003;DOI: 10.1007/s00464-002-8942-3.

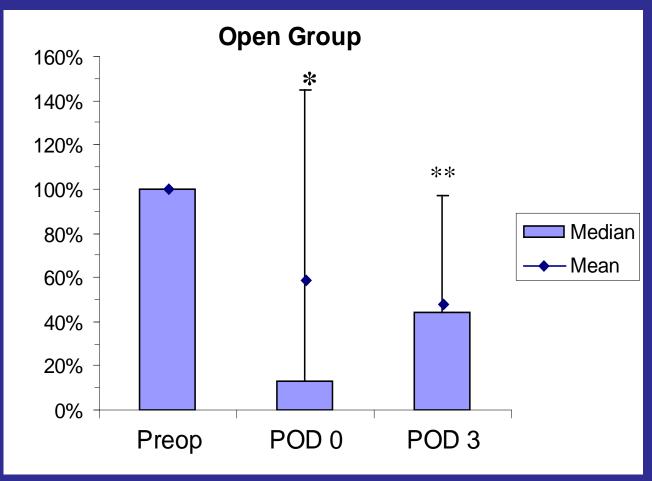
### DTH Response



### Serial DTH Testing Assesses Cell-Mediated Immune Function Over Time\*

- Baseline DTH response determined preop
- Several postop challenges with same antigen
- Size of postop responses compared to baseline value for each animal
- Effect of surgery on DTH response thus measured

### DTH Response After Open Colorectal Resection\*



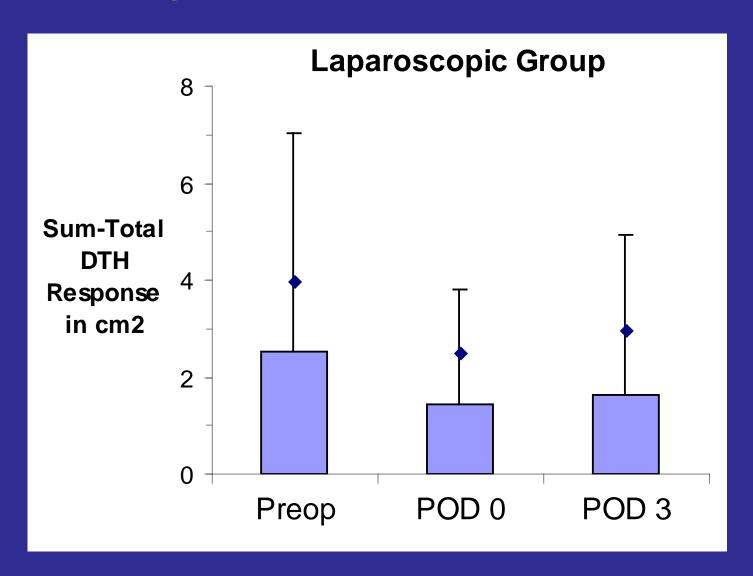
\*P < 0.0005 vs. preop

\*\*P < 0.0003 vs preop

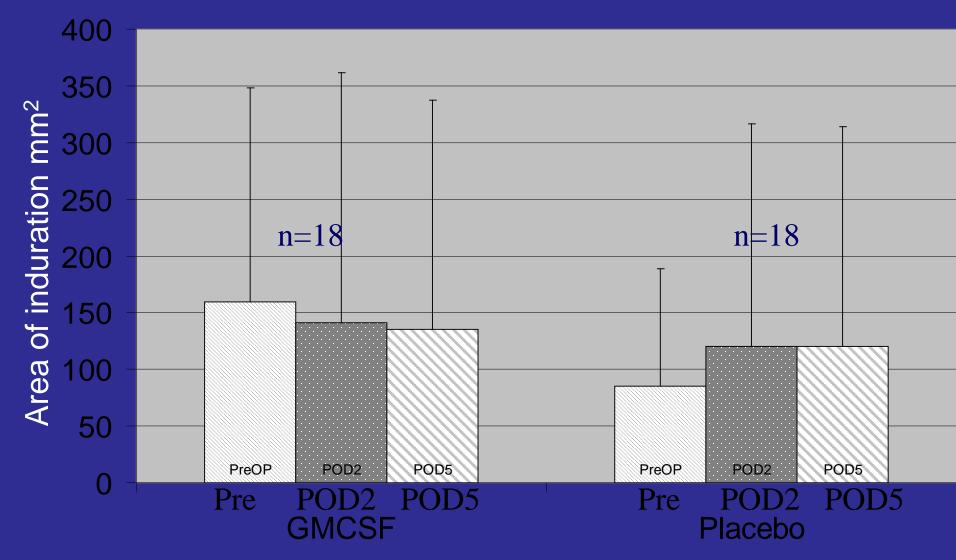
n=17 patients

\* Whelan et al. Surg Endoscopy 2003;17(6):972-978

## DTH Response After Laparoscopic Colorectal Resection



#### **DTH Results: MIS Colorectal Resection**



<sup>\*</sup> Belizon et al. Europ J Surg Oncol. Pub.pending

### Lymphocyte Microarray Studies

- Affymetrix oligonucleotide microarrays
- 22,000 unique genes assessed
- Murine study
- Laparotomy, C02 pneumo, & anesthesia alone
- ½ animals sacrificed at 12 and 24 hours
- Splenic T cells isolated & mRNA extracted

## Splenocyte Microarray 12 Hour Results: Versus Anesthesia Control Group\*,+,\*\*

	# Genes	# Genes	Total
Group	† Regulated	<b>↓</b> Regulated	# Genes
CO2 Pneumo	86	30	116
Laparotomy	362	36	398

- •Threshold difference between groups > 2 X expression
- + Results validated with RT-PCR for 8 selected genes
- \*\* Sylla et al. submitted for publication

## Microarray Results at 24 Hours: Versus Anesthesia Control Group\*

	# Genes	# Genes	Total
Group	† Regulated	<b>↓</b> Regulated	# Genes
CO2 Pneumo	118	14	132
Laparotomy	133	24	157

<sup>\*</sup> Threshold difference between groups > 2 X expression

### Where the Same Genes Effected?\*

Group	# Genes 12 hours	# Genes 24 hours
Altered expression in both groups	60 (13%)	77 (39%)
Increased expression in <i>Open Group</i> only	338	80
Increased expression in <i>CO2 Group</i> only	59	41

<sup>\*</sup> Threshold difference between groups > 2 X expression

## Impact of Surgical Approach on Cancer Growth in Murine Studies

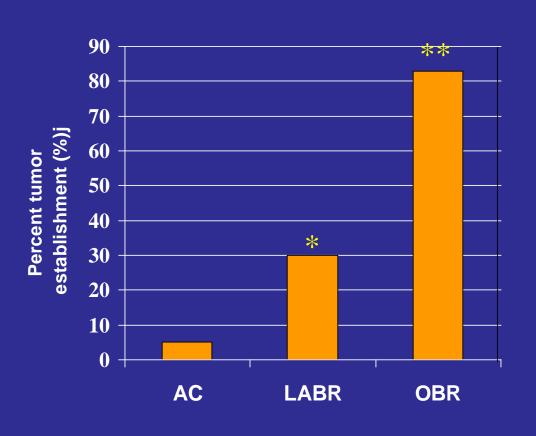
- Most studies suggest that laparotomy is associated with increased tumor growth than seen with CO2 pneumo
- Numerous cell lines assessed
- Differences observed been attributed to immune function differences

### Murine Experiment: Tumor Establishment Study \*

- Study Groups:
  - Anesthesia control Laparoscopic-assisted cecectomy Open cecal resection
- Low dose flank injections of tumor cells on day of operation
- On POD 30 presence or absence of tumors determined

<sup>\*</sup> Allendorf JD et al. Surgical Endoscopy 1998;12(8)1035-1038.

### Lap.-assisted vs Open Cecectomy: Tumor Establishment by POD 30<sup>+</sup>



\* p<0.01 vs control and open resection

\*\* p<0.001 vs

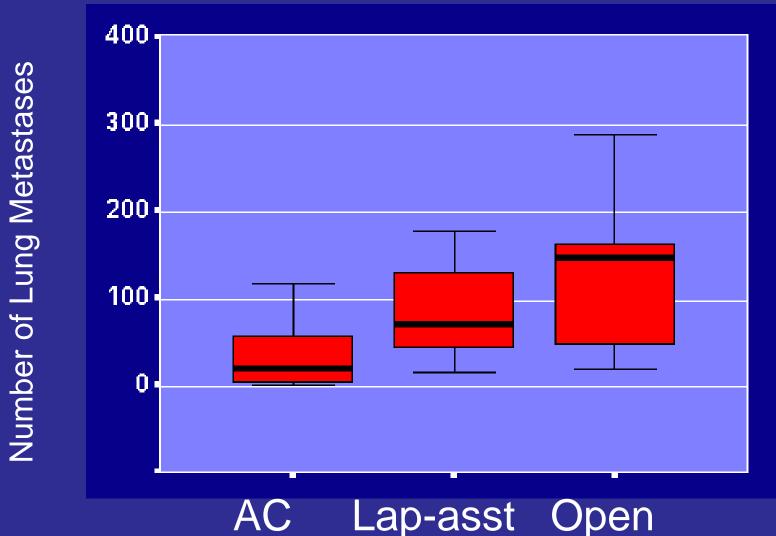
+ MMC Tumor Cell Line

## Blood Borne Metastases Model: Methods\*

- <u>Tail vein injection</u> of 1x10<sup>5</sup> TA3Ha cells after procedure
- Groups: Laparoscopic cecectomy
  Open cecectomy
  Anesthesia control
- Mice sacrificed on postoperative day 14
- Surface lung metastases counted

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### Number of Lung Metastases Following Open Cecal Resection



### Problems with Murine Studies vs Human Setting

- In mice, laparotomy alone or with cecetomy associated with higher tumor growth & establishment rates
- In humans, this does not seem to be the case
- The difference is the extent and magnitude of the intrabdominal trauma
- Intrabdominal trauma in major human cases is likely greater than access related trauma
- Regardless, in human setting cancer outcome is similar after MIS and Open resection

## What is the Mechanism that Accounts for Tumor Growth Differences?

- Immunosuppression
- A surgery related serum factor? (cytokine, growth factor, protein, etc.)

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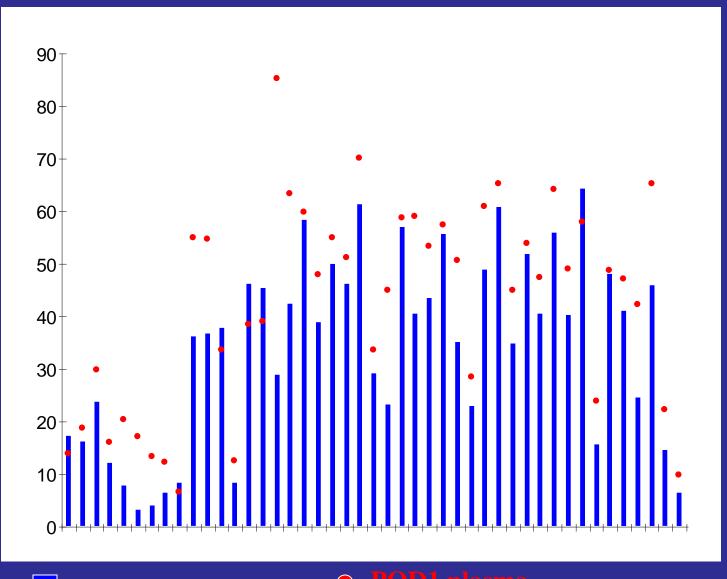
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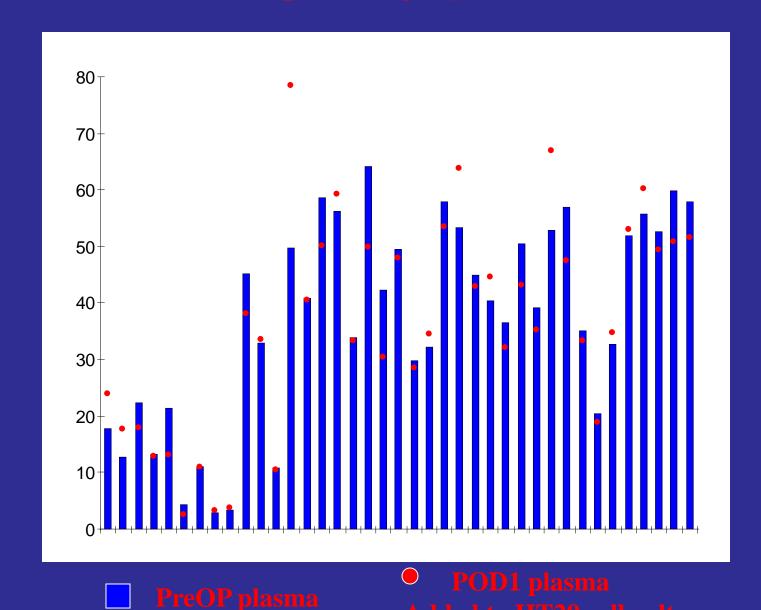
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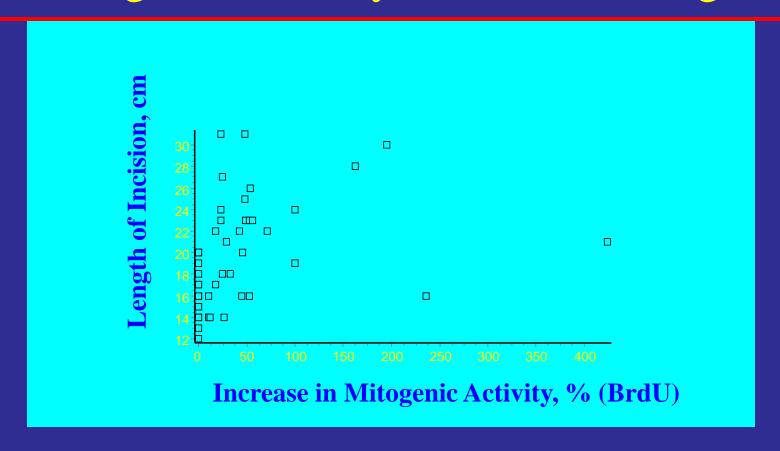
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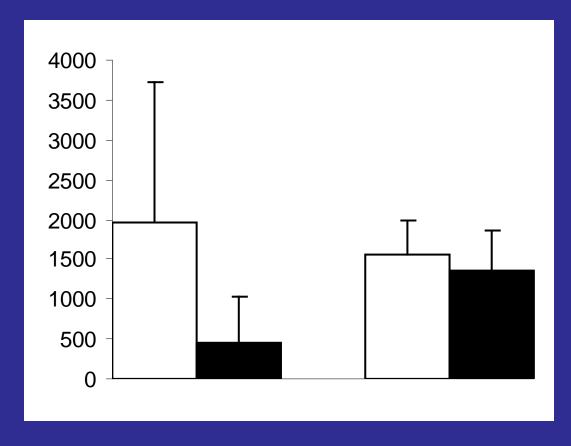
### Correlation of Increase in OS Plasma Mitogenic Activity & Incision Length\*



\*POD1 OS BrdU results at 48 hrs vs incision length

### Levels of Intact IGFBP-3 on POD2

Intact
IGFBP-3
ng/ml



Open

Laparoscopic

### IGFBP-3

- Binds IGF-1 (cell growth factor)
- Induces apoptosis of most tumor cell lines
- Inhibits DNA synthesis of poorly differentiated cell lines
- Lower rates of adenoma formation noted in mice that overexpress IGFBP-3
- Prognostic indicator for prostate cancer, ? IBD, and ? colon cancer

## Plasma Non Immune Protein Changes: Open > Laparoscopic (1st 3 days)

- IGFBP-3 (tumor inhibitor, baseline levels)
- Ang 1/Ang 2 ratio (low ratio proangiogenic)
- † MMP-9 (proangiogenic, degrades ECM, stroma)
- TIMP-1 (lower, shorter lived in lap pts.)

## Other Short Term Protein Changes After Laparoscopic Surgery

- Soluble Tie-2
- HGF
- TGFβ
- FGF
- sVEGFR1
- sVEGFR2
- Clusterin

### What About VEGF?

- Vascular Endothelial Growth Factor
- Most potent inducer of angiogenesis
- Critical to wound repair and healing.
- Critical for tumor growth beyond 2-3 mm\*
- Huge effort underway by Pharmaceutical Companies to develop anti-VEGF and anti-angiogenesis therapies.
  - Avastin, VEGF Trap, Sunitinib, Vatalanib, etc.

### Pre-resection Blood VEGF Levels

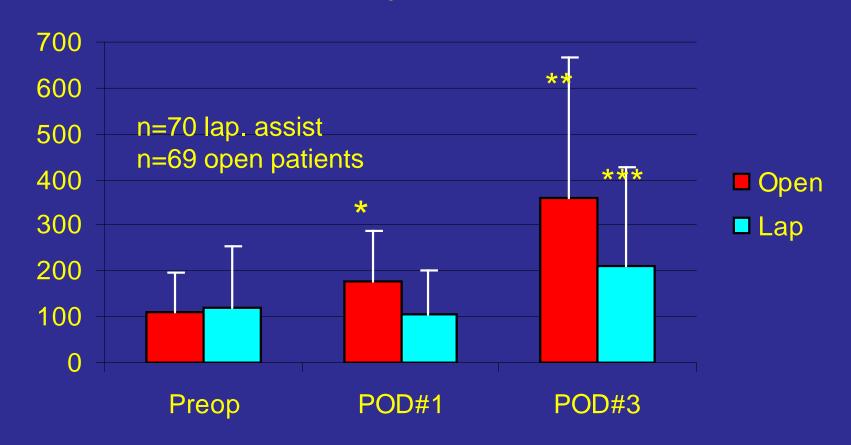
- Significantly higher in colon, gastric, renal cell, lung cancer patients.
- For colorectal cancer, correlation between VEGF level and:
  - -Stage of Disease \*\*\* +++
  - -Survival \* + ++
    - \* Werther K, et al. Eur J Surg Oncol. 2000;26(7):657-62.
    - \*\*Karayiannakis AJ, et al. Surgery 2002; 131(5): 548-55.
    - \*\*\*Landriscina M et al. Br J Cancer, 1998; 78:765-70.
    - + DeVita F, et al. Cancer 2004;100:270-278
    - ++ Akbulut et al. Cytokine, 2002; 20(4):184-90.

### How Does Surgery Influence VEGF Levels?

- Wound fluid VEGF levels are increased\*
- May be spillover into systemic circulation
- Increased blood VEGF levels may stimulate the growth of residual tumor microfoci and circulating viable tumor cells.

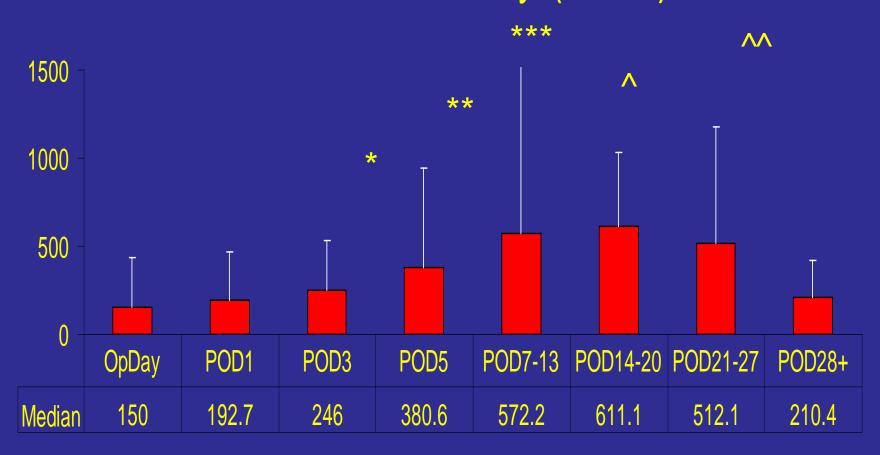
\*Karayiannakis AJ et al. Eur Surg Res. 2003 Nov-Dec;35(6):492-6.

## VEGF levels Open vs. Laparoscopic Colectomy for Cancer\*



- \* p<0.05 open POD1 vs preop, \*\*p<0.05 open POD3 vs preop, pod1
  \*\*\*p<0.05 Lap POD3 vs preop
- + Belizon et al. Annals Surgery 2006 Nov;244(5):792-8.

## Cancer group: median VEGF levels MIS Patients Only (n=69)

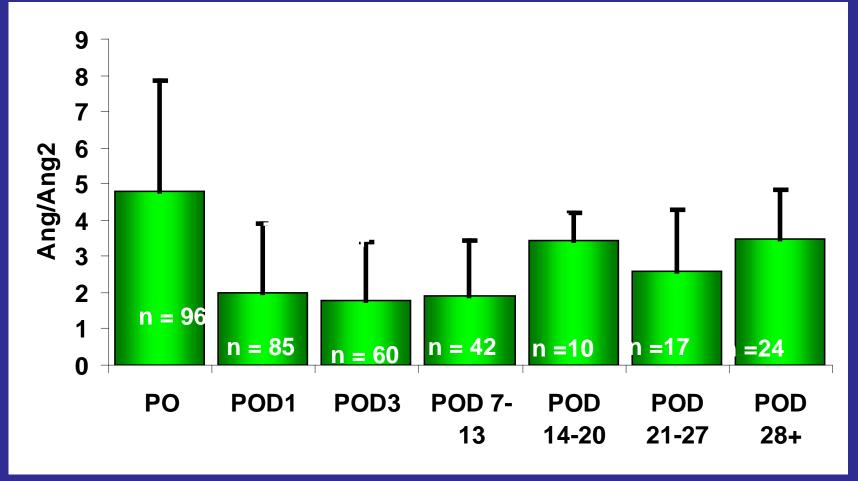


0.3644 0.009 0.0012 0.0008 0.0098 0.0117 0.4648

#### Ang 1 and Ang 2

- Angiopoietin 1 (Ang 1) stabilizes mature blood vessels and inhibits VEGF initiated early angiogenesis
- Angiopoietin 2 (Ang 2) facilitates the angiogenic response to VEGF by preventing the Ang 1 response (blocks Tie2 receptor)
- Ratio of Ang 1/ Ang 2:
  - − † ratio inhibits VEGF-related angiogenesis
  - − ratio facilitates VEGF-related angiogenesis

#### Plasma Ang1/Ang2 - Cancer Patients



### VEGF and Ang 2 Changes Persist for 2-4 Weeks

- Impact bloods ability to support angiogenesis
- More likely to influence cancer recurrence rates than short term changes
- We believe that open surgery is associated with similar changes

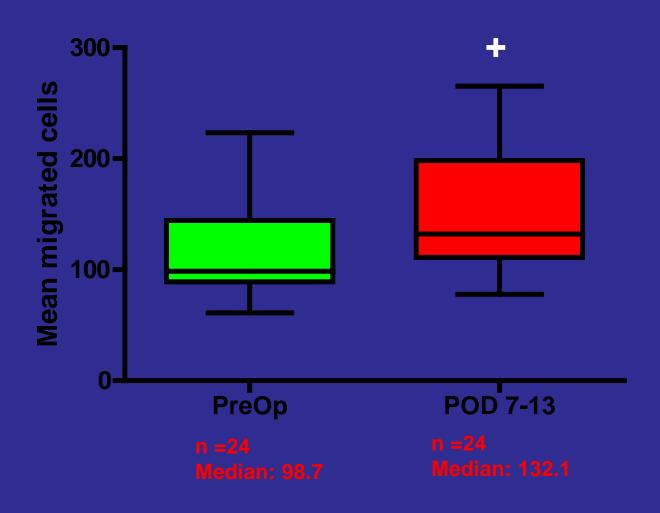
#### Plasma Contains Many Proteins

- Good number influence angiogenesis
- We have assessed only a handful
- Cannot draw conclusions regarding the net impact of surgery on plasma from such a limited survey
- Can determine the pre and postop plasma's impact on in vitro
  - Tumor cell growth
  - Endothelial cell growth & behavior

### What is the <u>Net</u> Effect of Postop Plasma in Regards to Angiogenesis?

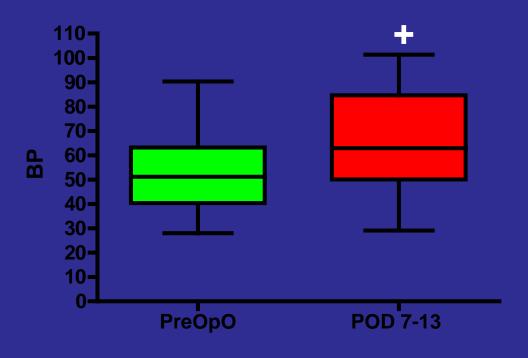
- Target of angiogenesis related proteins is the endothelial cell (EC)
- Assess behavior of endothelial cells in culture when plasma is added to medium
- Preoperative & postop EC cultures
- Branch point (microtubule) formation
- Invasiveness and migration in culture

### In vitro Endothelial Cell Migration Assay: Preop vs POD 7-13



+:p=0.005 vs. PreOp

### In vitro Endothelial Branch Point Formation: Preop vs POD 7-13



n = 24

Median: 51.15

1 **=24** 

Median: 62.950

+:p=0.039 vs. PreOp.

### In vitro EC Culture Assays Significantly Greater Results Postop? +

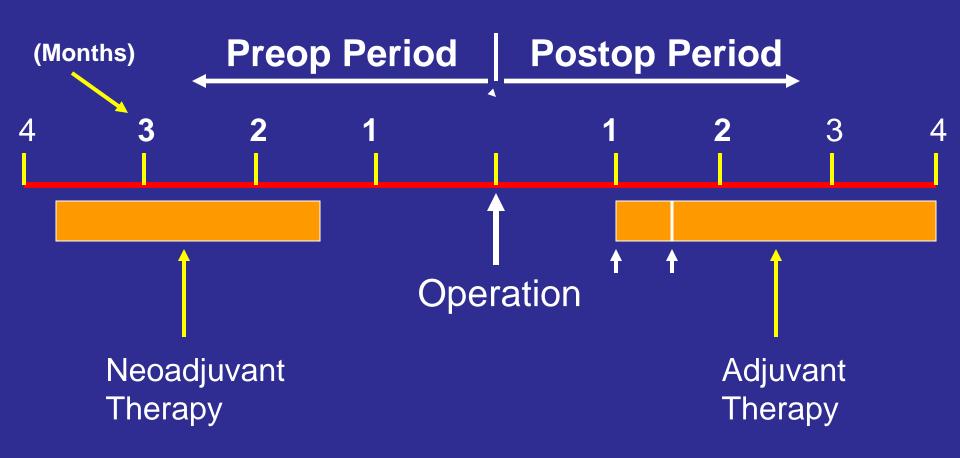
Vs Preop Plasma	BPF	Migration	Invasion
POD 7-13 (n=30)*	+	+	+
POD 14-20 (n=26)**	+	+	+

- \* Mean sampling day = 11
- \*\* Mean sampling day = 17.5
- + Extent of change between pre and post op results is 17 25 %

#### Summary: Early Postoperative Period

- Detrimental surgery-related alterations:
  - Immunosuppression
  - Serum protein changes
  - Proangiogenic state
- Changes occur after both open & closed surgery
- Tumor growth may be accelerated
- Potentially dangerous window
- Strengthens case for early adjuvant & neoadjuvant treatment

### Typical Time and Treatment Line for Patient with Resectable Cancer



# Early Adjuvant & Neoadjuvant Treatment Options

- Immunotherapy
- Conventional chemotherapy
- Angiogenesis inhibitors
- Monoclonal Ab's
- Alternative therapies

#### Drugs Being Evaluated

- GMCSF (human study completed)
- Erbitux (human study underway)
- CPG (animal studies done, human next)
- EGCG
- Pinocembrin

#### Summary

- Laparoscopic methods associated with significantly less changes as regards
  - Numerous cytokines and proteins
  - Immune function parameters
  - Gene expression changes
- Most are short lived changes, in general
- Murine studies suggest laparotomy vs laparoscopy is associated with increased tumor growth rates
- These results suggest cancer benefit for MIS methods

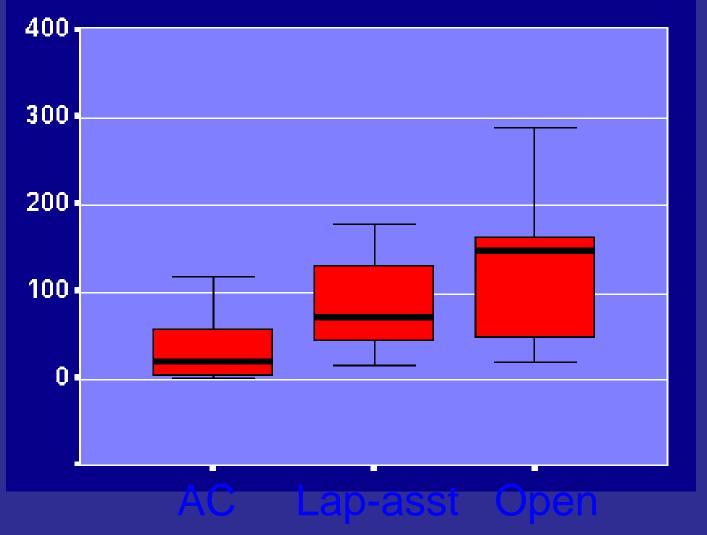
#### Summary

### Blood Borne Metastases Model: Methods\*

- <u>Tail vein injection</u> of 1x10<sup>5</sup> TA3Ha cells after procedure
- Groups: Laparoscopic cecectomy
  Open cecectomy
  Anesthesia control
- Mice sacrificed on postoperative day 14
- Surface lung metastases counted

<sup>\*</sup> Carter et al. Surgery 2003;134(3):432-6.





#### Problems with Murine Studies vs Human Setting

- In mice, laparotomy alone or with cecetomy associated with higher tumor growth & establishment rates
- In humans, this does not seem to be the case
- The difference is the extent and magnitude of the intrabdominal trauma
- Intrabdominal trauma in major human cases is likely greater than access related trauma

### Human Situation Regarding Abdominal Surgery

- Open and Closed methods are more alike than they are different in regards to cancer
- Intrabdominal trauma is similar
- Both methods are associated with increased rates of tumor growth after resection
- Will not cure cancer by using MIS methods alone

#### Duration & Magnitude of Surgery's Effects

- Vast majority of surgery-related physiologic/immunologic changes are of short duration
  - Hours to days
  - May not have significance
  - May impact short term outcome
  - Less likely to impact oncologic outcome
- A few changes persist for 2-4 weeks
  - Proangiogenic blood protein changes
  - More likely to impact cancer outcome
  - Lap & Open surgery effects very similar

#### Murine Study Conclusions

• Open methods associated with clearly higher rates of tumor growth & establishment (vs CO2 pneumo)

#### Other Plasma Compositional Changes

(Proteins not associated with immune function)

- May prove more important than immune function differences
- Surgery alters the composition of the blood such that the plasma postop may stimulate tumor growth
- Early PostOp: Open surgery associated with greater changes than laparoscopic
- Late PostOp: Unclear if open & lap effects are different

# Surgery Associated With Proangiogenic Plasma Protein Changes That Persist for 3-4 Weeks

- Most enduring changes found, to date
- Factors generated in wound → blood
- Angiogenesis critical to both wound healing and tumor growth
- Sustained pro-angiogenic conditions may stimulate growth of tumor metastases
- Need to develop "close neoadjuvant" and "immediate adjuvant" strategies for cancer patients

#### Summary

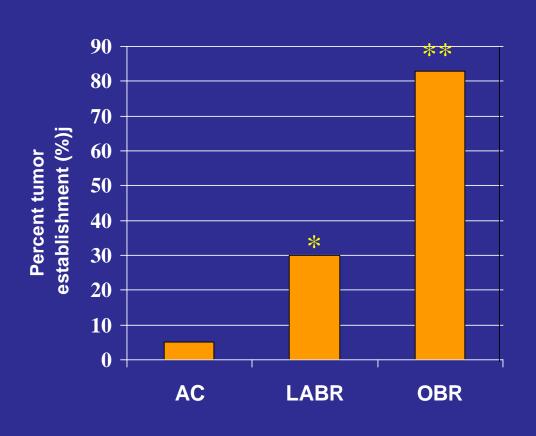
- Less immunosuppression after lap. surgery
- Most changes short lived and of ? significance
- Individual parameters not tied to outcome, however
- Overall, morbidity and wound complications lower after laparoscopic (? immune related)
- Regardless, would want to give patient benefit of the doubt (better preserved function)
- Other blood protein changes may be more important (angiogenesis)
- Need to find anti-cancer drugs for month prior and after surgery (bridge to standard adjuvant Rx)

# Murine Experiment: Tumor Establishment Study \*

- Study Groups:
  - Anesthesia control
    Laparoscopic-assisted cecectomy
    Open cecal resection
- Low dose flank injections of tumor cells on day of operation
- On POD 30 presence or absence of tumors determined

<sup>\*</sup> Allendorf JD et al. Surgical Endoscopy 1998;12(8)1035-1038.

#### Lap.-assisted vs Open Cecectomy: Tumor Establishment by POD 30<sup>+</sup>



\* p<0.01 vs control and open resection

\*\* p<0.001 vs

+ MMC Tumor Cell Line

# Cochrane Evidence Based Review: Short Term Benefits of Laparoscopic vs Open Colectomy (All indications)\* \*\*

Main findings regarding laparoscopic method:

- Operative time longer
- Less pain, blood loss
- Shorter postoperative ileus, LOS
- Pulmonary function improved
- Incidence post-op complications lower (18.2%) vs open (23%) P=0.02
- Improved Quality of life x 1 month

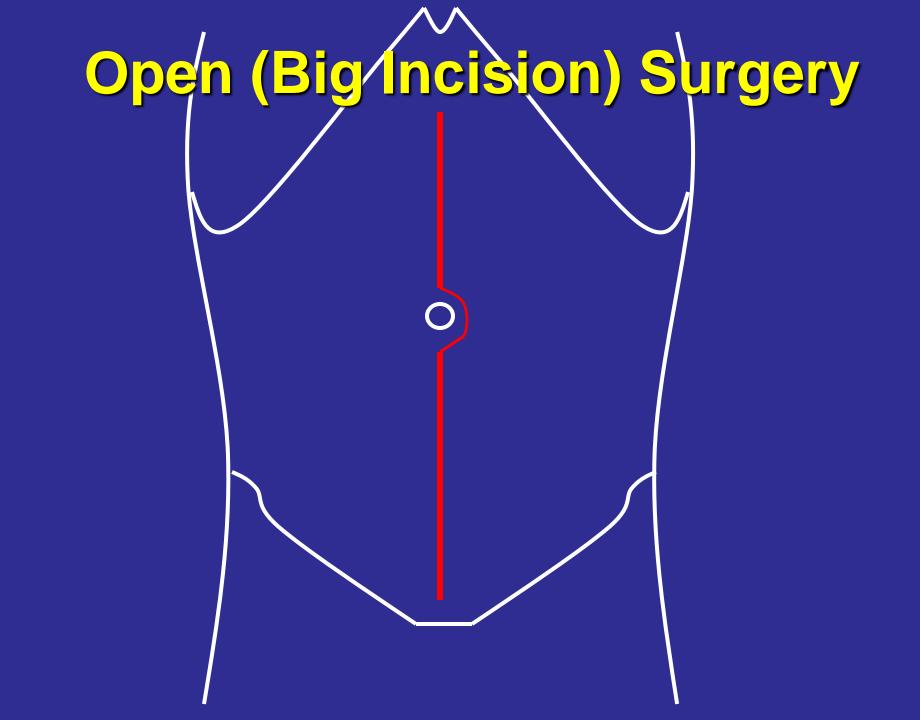
<sup>\*</sup>Schwenk et al. Cochrane Database of Systematic Reviews 2006 Issue 3 ISSN 1464-780X

<sup>\*\* 25</sup> Randomized control trials reviewed.

## Wound Infection Rates After Laparoscopic Colectomy

Author No. Pts. Rate of Infection

Degiuli et al 108 1.8 %



# Immune Function After Surgery. Does It Matter??

#### Surgical Outcome: Anergic vs Immunocompetent Patients

- Significantly higher rate of postop sepsis and mortality
- Significantly lower resectability rates and higher recurrence rates in cancer patients

### Importance of Immune Function: Impact of Blood Transfusions

• Transfusions in cancer patients undergoing curative open colectomy are associated with higher recurrence rates and a worse survival.

## Surgery in Immunocompromised Patients

- Population:
  - Transplant patients
  - Pts. on immunosuppresive drugs
  - Disease-related immunosuppression
- Higher complication rates
- Higher mortality

# What effect on immune function do laparoscopic and open procedures have?

# Immune Function After Laparoscopy: Summary of Results

- Most studies suggest that laparoscopy is associated with less immunosuppression than open methods
- In many cases the differences are small and short lived
- Clinical importance uncertain

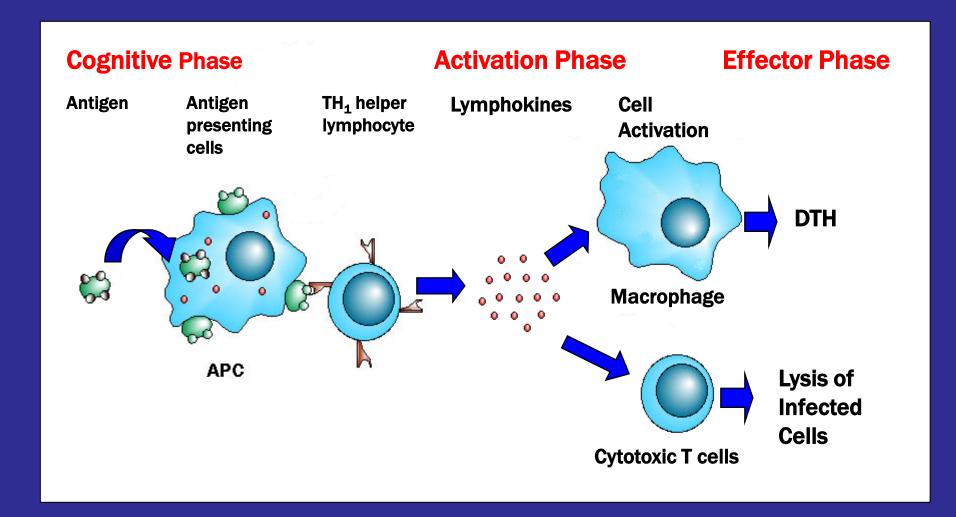
# Less Immunosuppression after Laparoscopic Surgery: Evidence

- Both animal and human studies
- Serum levels of cytokines & proteins
- DTH responses
- Lymphocyte proliferation assays
- Lymphocyte subpop. & marker studies
- Macrophage / monocyte studies
- Microarray analysis of lymphocytes

# Delayed-type Hypersensitivity Testing (DTH)

- Assesses cell-mediated immune function
- Most often used to establish anergy or immune competence
- Tests for prior exposure to specific infectious agent (ex. TB)
- Presence or absence of DTH response defines the anergic patients

#### DTH Response



#### Serial DTH Testing Assesses Cell-Mediated Immune Function Over Time\*

- Baseline DTH response determined preop
- Several postop challenges with same antigen
- Size of postop responses compared to baseline value for each animal
- Effect of surgery on DTH response thus measured

#### Serial DTH Studies: Premise

#### Assumption of serial DTH studies:

- That cell-mediated immune function varies directly with size of DTH response
- A smaller DTH response after surgery will be associated with a diminished ability to respond immunologically

#### Human DTH Results: Kloosterman et al. Surg 1994;115:424-8.

- Cholecystectomy study
- Non randomized study
- 8 open & 8 laparoscopic patients
- Decreased response to PHA 24 hours post op in open patients only

#### Human Colectomy DTH Study\*

- Prospective but not randomized study
- 23 laparoscopic and 17 open patients (well matched for indication and op)
- DTH response to panel of 6 antigens determined, total of 3 challenges
- Data difficult to interpret because multiple antigens were assessed

<sup>\*</sup> Whelan et al. Surgical Endoscopy, publication pending

### Immune Function Post Colectomy: Randomized Trial\*

- 40 colorectal cancer patients
- Laparoscopic vs. open resection
- Several different immune parameters assessed
- WBC, CD4, CD8, HLA-DR, IL-6,
- PBMC cytokine elaboration

<sup>\*</sup> Ordemann et al. Surg Endosc DOI:1007/s004640090032

#### Ordemann et al: Results

- Significantly greater WBC increase after open vs closed colectomy (POD 1-4)
- No change in number of CD4+ and CD8+ or in ratio
- Decreased monocyte HLA-DR expression in both groups
- Open HLA-DR result significantly less than closed result on POD 4
- IL-6 significantly higher in open group shortly after surgery

### Sietses et al. Dis Colon Rectum 2003 Feb;46(2):147-55.

- Randomized 26 patients with colon cancer
- Assessed systemic and peritoneal cytokine and immune response
- Parameters assessed: IL-6, IL-8, TNF, CRP, HLA-DR expression
- Serum & fluid from the peritoneal cavity obtained and studied

### Siestes et al Study of Immune Function: Systemic Results

- Significant differences in IL-6 and IL-8 levels 2 hours after surgery
- Leukocyte counts and monocyte HLA-DR expression normalized more rapidly after laparoscopic resection
- Small and short lived differences in favor of the laparoscopic group found

### Hildebrandt et al. Surg Endosc. 2003;17(2):242-6.

- Prospective study of 42 patients with either Crohn's Disease or Neoplasms
- Serum levels of IL-6, IL-10, C-RP, and granulocyte elastase determined
- Significantly smaller increases in all 4 parameters noted in laparoscopic patients
- Greatest differences were in IL-6 & granulocyte elastase
- Differences were short lived

#### What Can We Study?

#### Blood

- Plasma or serum (proteins, etc)
- Harvested cells (lymphocytes, PMN's, PBMC's, etc)
- In vitro studies
  - assess function of harvested cells
  - impact of plasma on growth of cell cultures
- DTH studies (ex. PPD, mumps, candida)
- Clinical outcome (short term, long term)

### Does Surgery Have an Impact on Postoperative Tumor Growth?

- What are the consequences, from an oncologic point of view, of a laparotomy?
- Tumor cells remain in the body after resection in 40+ %
- Is the host environment different after surgery?

# Increased Tumor Growth After Laparotomy

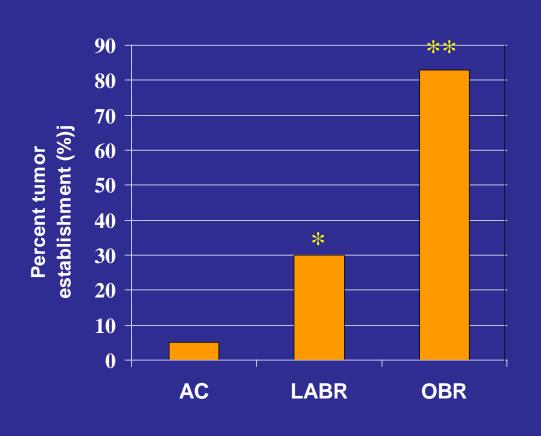
- Fisher B et al. Cancer 1959;12:929-932.
- Lewis MR et al. Arch Surg 1958;77:621-626
- Eggermont et al. Cancer Detect Prevent 1988:12:421-9.
- Cole WH. Journal Surg Oncology 1985;30:139-44
- Goshima et al. J Japan Surg Soc 1989;90:1245-50.
- Ratajczak et al. Proc Soc Exp Biol Med 1992;199:432-440.
- Kodama et al. Anticancer Res 1992;12:1603-16.
- Allendorf et al. Archives Surg 1995;130:649-53.
- Bouvy et al. Surg Endosc 1996;10:186.
- Southall et al. Dis Colon Rectum 1998;41(5)564-569.
- DaCosta et al. British J Surgery 1998;85(10):1439-42

### Murine Experiment: Tumor Establishment Study \*

- Study Groups:
  - Anesthesia control Laparoscopic-assisted cecectomy Open cecal resection
- Low dose flank injections of tumor cells on day of operation
- On POD 30 presence or absence of tumors determined

<sup>\*</sup> Allendorf JD et al. Surgical Endoscopy 1998;12(8)1035-1038.

### Lap.-assisted vs Open Cecectomy: Tumor Establishment by POD 30<sup>+</sup>



\* p<0.01 vs control and open resection

\*\* p<0.001 vs

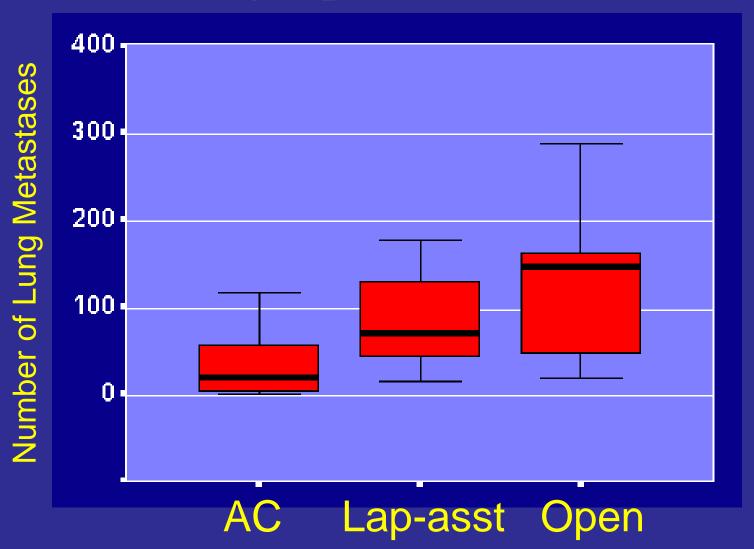
+ MMC Tumor Cell Line

### Blood Borne Metastases Model: Methods\*

- Tail vein injection of 1x10<sup>5</sup> TA3Ha cells after procedure
- Groups: Laparoscopic cecectomy
  Open cecectomy
  Anesthesia control
- Mice sacrificed on postoperative day 14
- Surface lung metastases counted

<sup>\*</sup> Wildbrett et al. Surgical Endoscopy 2002;16:889-94.

### Number of Lung Metastases Following Open Cecal Resection



# What is the Mechanism that Accounts for these Differences?

- Immunosuppression
- A surgery related serum factor? (cytokine, growth factor, protein, etc.)

#### Human Plasma Factor Study\*

1. To determine if major abdominal surgery carried out via open or laparoscopic means was associated with alterations in the composition of plasma such that *in vitro* tumor growth would be enhanced.

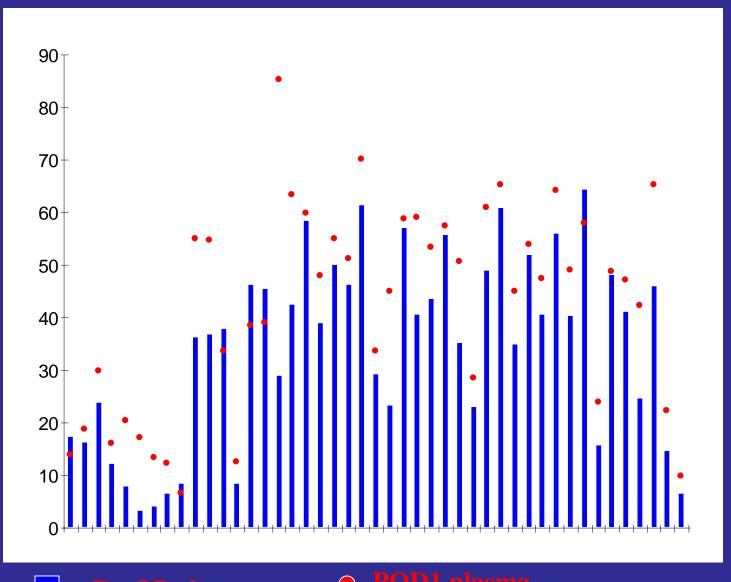
2. To identify the responsible factor(s).

<sup>\*</sup> Kirman et al. Surgery 2002;132:186-92.

### Human Plasma Factor Study:

Operation Performed	No. Patients
Open Colectomy	32
Closed Colectomy	31
Open Gastric bypass	13
Closed Gastric bypass	8

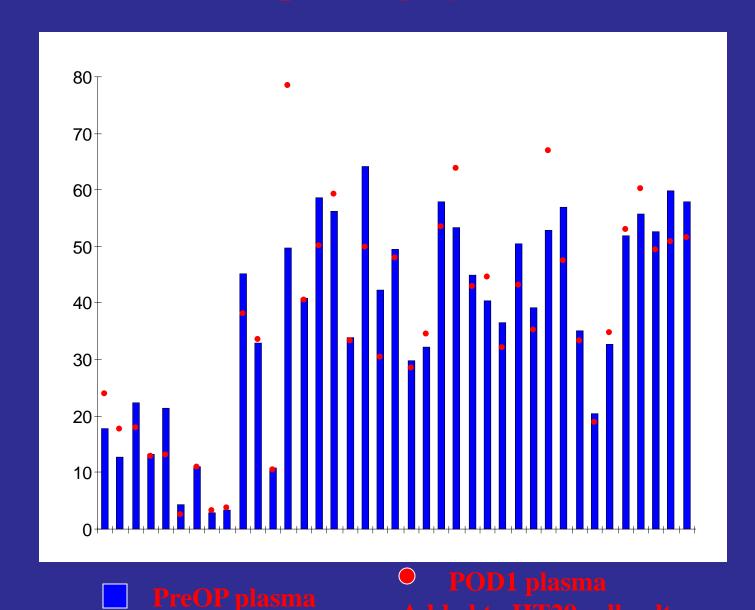
#### Open Surgery Patients



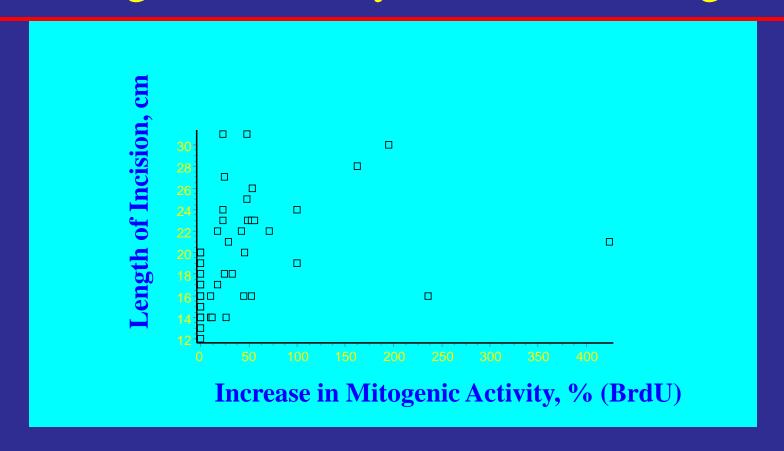
PreOP plasma

POD1 plasma
 Added to HT29 cell cultures

#### Endoscopic Surgery Patients



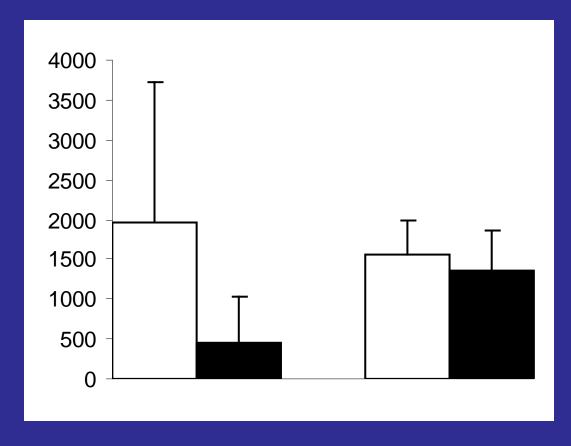
#### Correlation of Increase in OS Plasma Mitogenic Activity & Incision Length\*



\*POD1 OS BrdU results at 48 hrs vs incision length

### Levels of Intact IGFBP-3 on POD2

Intact
IGFBP-3
ng/ml



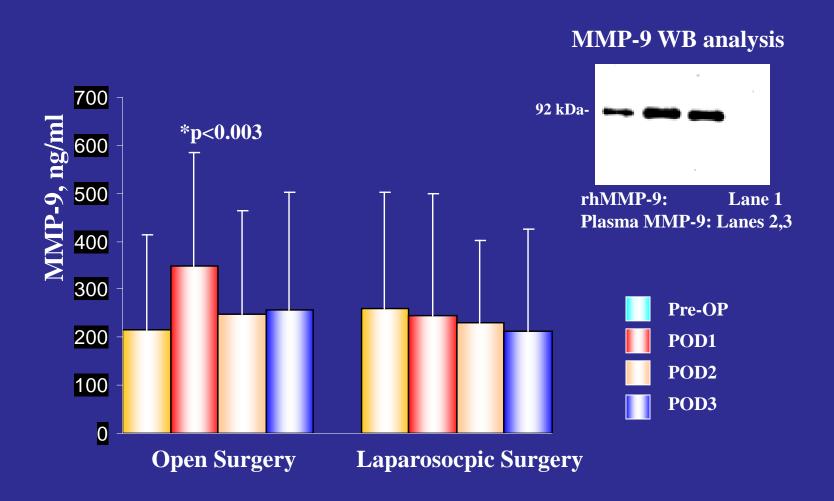
Open

Laparoscopic

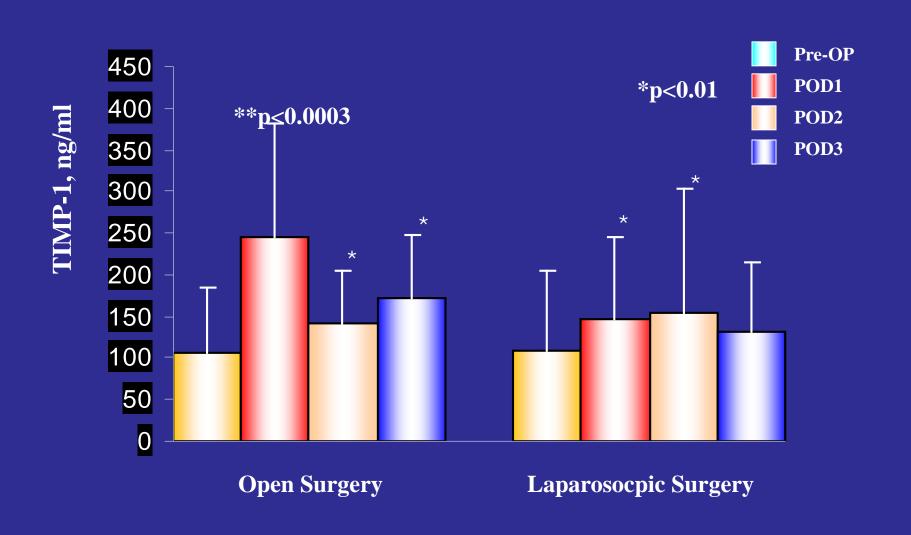
### IGFBP-3

- Binds IGF-1 (cell growth factor)
- Induces apoptosis of most tumor cell lines
- Inhibits DNA synthesis of poorly differentiated cell lines
- Lower rates of adenoma formation noted in mice that overexpress IGFBP-3
- Prognostic indicator for prostate cancer, ? IBD, and ? colon cancer

### Results: MMP-9 ELISA and WB



### Results: TIMP-1 ELISA



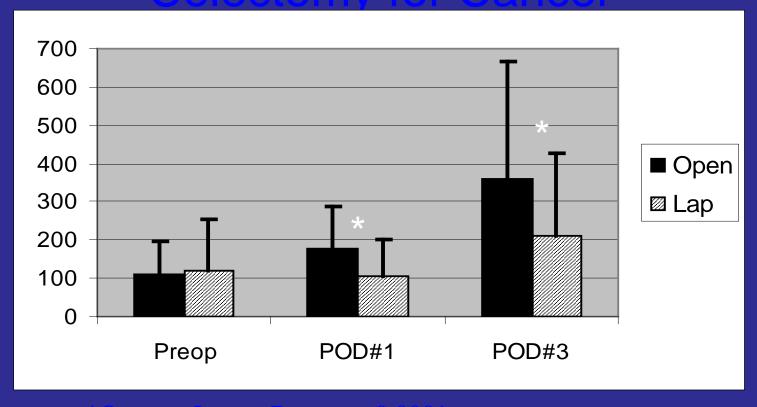
### Vascular Endothelial Growth Factor

- Most important angiogenesis promoter
- Wounds require VEGF to heal
- Tumors also need VEGF to grow > 2mm
- Blood VEGF levels preop correlate with stage of disease and prognosis
- Anti-agniogenesis agents coming onto market (Avastin is first)
- What does surgery do to VEGF levels??

#### FIGURE #1

VEGF level pg/ml

## VEGF levels Open vs. Laparoscopic Colectomy for Cancer\*

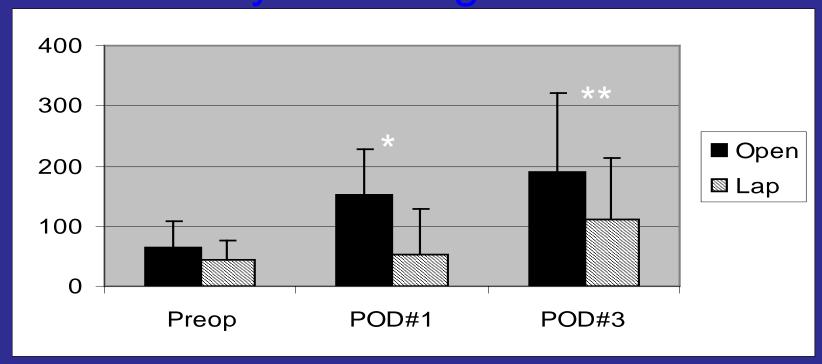


\*\* Mean incision size: open 19.9 cm, closed 5.1 cm
(n= 140 pts [70 laparoscopic, 69 open])

\*Belizon et al. Annals Surg (publication pending)

VEGF level pg/m

## VEGF levels Open vs. Laparoscopic Colectomy for Benign Disease\*

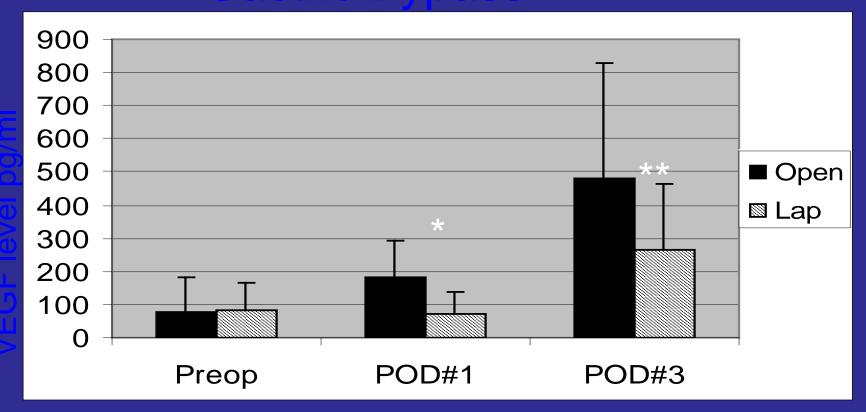


\* Open vs Lap, p<0.05

\*\* Mean incision size: open 4.5 cm, closed 21 cm) (n= 40; 20 open & 20 lap.-assisted pts.)

\*Belizon et al. Annals Surg (publication pending)

## VEGF levels Open vs. Laparoscopic Gastric Bypass\*



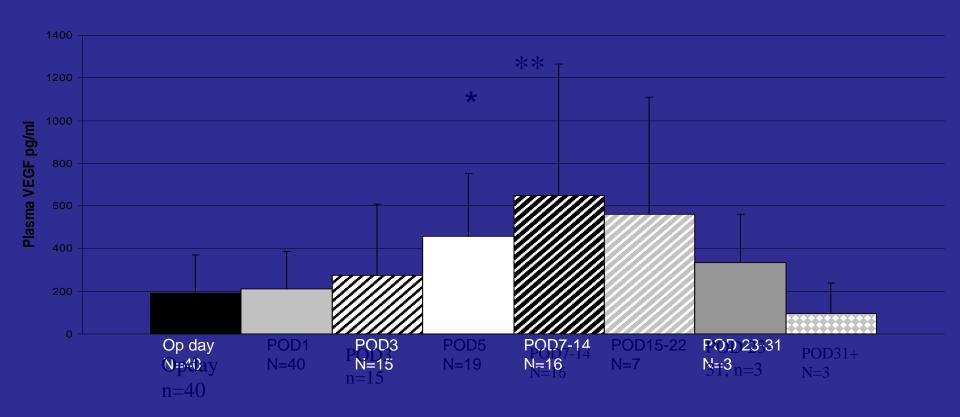
\* Open vs Lap, p<0.05

\*\* Mean incision size: 22 cm)

(n= 40; 20 open & 20 lap,-assisted pts.)

Belizon et al. Annals Surg (publication pending)

### Figure 2: VEGF Levels Cancer Patients



\*Op day VS. POD#5, p=0.003
\*\*Op day VS. POD7-14, p=0.0026

### Conclusions

- Open surgery alters the plasma composition more so than laparoscopic surgery.
- Both open and closed methods have profound effect on VEGF levels (open > ?)
- Are the differences between open and closed methods enough to effect oncologic outcome???
- Regardless, we must develop neoadjuvant and immediate adjuvant therapies for cancer patients.
- The 1<sup>st</sup> month after surgery is a dangerous time for cancer patients.

### Conclusions

- Motivation for doing laparoscopic colectomy for cancer may be to diminish the plasma compositional changes.
- Additional studies are ongoing
- Replacement of IGFBP-3 may lessen the negative oncologic impact of open surgery
- Block VEGF postoperatively? (GMCSF)
- Erbitux?
- Desiccation of peritoneum may play a role in stress response. (? role humidification, warming of CO2 gas)

### Conclusion

- Perioperative immunomodulation with GMCSF preliminary results soon
- Microarray studies ongoing
- Other serum proteins being studied
- The choice of surgical access method may have an impact on the long term oncologic outcome.

### Perioperative Adjuvant Therapies?

- Early postop period is window of opportunity
- Immune stimulating drugs (GMCSF, FLT-3, etc)
- Tumor vaccines
- H-2 blockers
- IGFBP-3 protease inhibitors

### Thanks & Acknowledgement

Many of the studies whose results were presented were carried out with PEER reviewed grants from SAGES, the Columbia MASC, and other organizations via USSC/TYCO Educational Grants and Center of Excellence funding

### Mitogenic Activity of OS and LS POD 1 Plasma

			Plasma Mitogenic Activity				
Patient Groups	n	Age Yrs.	BrdU+cells, %		Cell Counts (x10 <sup>5</sup> )		
			PreOP	POD1	PreOP	POD1	
OS, All Patients	45	56.6±15.8	34.2±17. 9	42.4±19.*	5.6±1.6	7.0±1.8* *	
LS, All Patients	39	59.8±19.3	37.2±18.1	36.6±18.9	5.2±1.3	5.1±1.7	
OS, Colon Cancer <sup>a</sup>	20	65.4±12.6	30.5±19.1	36.3±18**	5.4±1.7	6.7±1.8* *	
LS, Colon Cancer <sup>a</sup>	22	63.9±17.6	32.6±19.	31.6±18.4	4.9±1.4	4.8±1.7	

<sup>\*</sup>P<0.05; \*\*P<0.005 PreOP versus POD1 \*p<0.05 compared to identical OS subgroup. ¶Insufficient n for a statistical analysis.

<sup>&</sup>lt;sup>a</sup> Patients with colon cancer stage I-III were included; distribution of stages was comparable in OS and LS groups.

### Mitogenic Activity of OS and LS POD 1 Plasma

			Plasma Mitogenic Activity			
Patient Groups	n	Age Yrs.	BrdU+cells, %		Cell Counts (x10 <sup>5</sup> )	
			PreOP	POD1	PreOP	POD1
OS, Obesity	13	43.1±10.9	48.5±7.8	56.7±6.4**	6.7±0.6	7.8±0.8**
LS, Obesity	8	38.9±14.7	47.0±14.9	42.1±15.9	5.9±1.3	5.8±1. 6
OS, Colon Adenoma	8	50.2±15.0	23.7±14.3	33.8±22.3*	4.3±1.5	6.3±3.0*
LS, Colon Adenoma	5	74.8±8.3	49.6±8.7	56.5±16.5¶	5.4±1.0	5.8±1.9

<sup>\*</sup>P<0.05; \*\*P<0.005 PreOP versus POD1 ¶Insufficient n for a statistical analysis.

# What is Responsible for the Increased Tumor Growth after Laparotomy?

Two leading hypotheses

- 1. The incision\*
- 2. Exposure of peritoneal cavity to air\*\* +
- \* Allendorf et al. Surg Endosc 1997;11:427-30
- \*\* Watson et al. Br J Surg 1995;82:1060-65
- + Kobayashi et al. Arch Surg 1995;130:676.

### Old Wives' Tales

If a cancer patient has surgery, afterward, the tumor will grow faster and spread more rapidly.

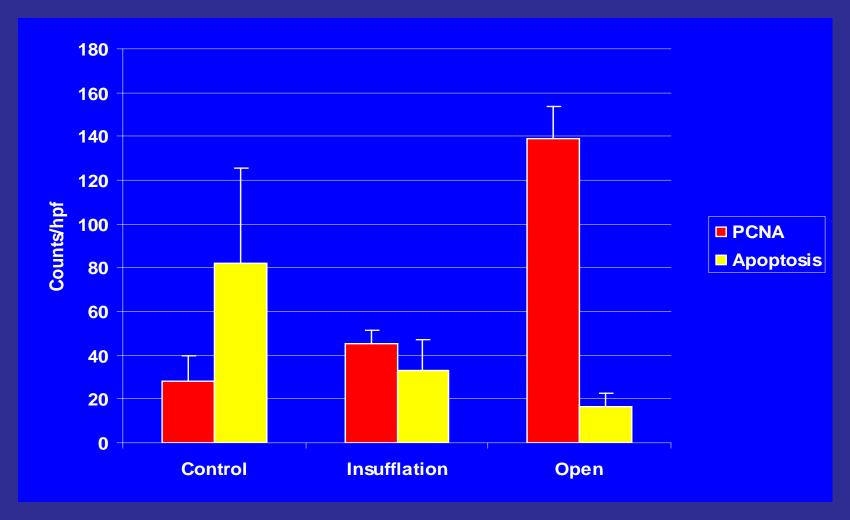
## What Accounts for the Differences in Tumor Growth?

- Increased tumor cell turnover?
- Decreased tumor cell death?

## Tumor Cell Proliferation and Apoptosis<sup>+</sup> after Surgery\*

- Groups:
  - Anesthesia control
  - -CO2 pneumo
  - -Sham laparotomy
- High dose flank tumor cell injections on day of surgery
- Tumors harvested on POD 14
- Cell proliferation and apoptotic rates determined
  - + Apoptosis = programmed cell death
  - \* Lee et al. Surgical Endoscopy 1998;12(5):514.

# Figure 4: Comparison of Proliferative Rates and Apoptotic Rates on POD 14



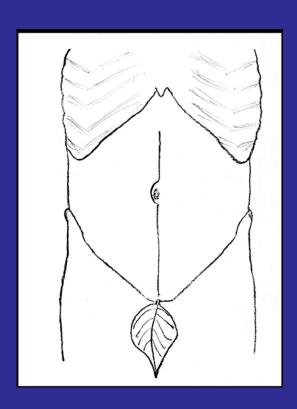
### Mitogenic Activity of Plasma from Patients in OS and LS Groups: Adenoma and Diverticulitis. Cell Numbers.

	n	Age Yrs.	Cells recovered from culture x10 <sup>5</sup>		
Patient Groups			PreOP	POD1	
OS, Colon Adenoma	8	50.2±15.0	4.3±1.5	6.3±3.0*	
LS, Colon Adenoma	4	74.8±8.3•	5.4±1.0	5.8±1.9¶	
OS, Diverticulitis	5	69.2±6.2¶	$5.7 \pm 1.6$	8.0±1.7¶	
LS, Diverticulitis	4	60.8±17.6 ¶	4.9±0.7	5.0±0.8¶	

<sup>\*</sup>P<0.05 PreOP versus POD1 using Wilcoxon's matched-pairs signed-ranks test. \*p<0.05 compared to identical OS subgroup. ¶Insufficient n for a statistical analysis.

# Critical Outcome Parameters for Curative Cancer Surgery

- 5 year survival
- Local and distant recurrence rates



## Laparoscopic Colectomy for Cancer: Technical Issues

Richard L. Whelan
Columbia University
New York Presbyterian Hospital

### Surgical Technique Considerations

- Anchor all ports
- Do not touch tumor
- Rely on gravity and position changes
- Grasp epiploica or mesentery
- Atraumatic graspers
- Localize tumors preoperatively (tatoo)

### Cancer Technique

- Ultrasound liver
- Devascularize early
- As radical as you need to be
- Wound protection or bag
- Tumoricidal irrigation
  - Betadine (dilute)
  - Taurolidine

### Rectal Surgery

- TME technique
- Wide mobilization and resection
- Distal stapling a problem in some
- Hybrid method an option
  - -Intentional small incision (8-11cm)
  - Anastomosis and distal transection

## Laparoscopic Colectomy for Cancer: Issues

- Adequacy of resection
- Port site tumors
- Short term
- Long term oncologic results
- Randomized trial

## Randomized Colectomy Trials: Adequacy of Resection

**Trial** 

LAR versus Open

NCI/COST

NSD +

Milsom \*

**NSD** 

Lacy\*\*

**NSD** 

+ NSD= no significant difference

\* Cleveland Clinic Trial

\*\*\* Barcelona Trial

### Port Site Tumor Recurrences

- At port site or "assisted" incision
- Over 89 reported to date \*
- Colon, gallbladder, lung, & ovarian
- Most in Duke's C patients but some in Duke's A & B-1 patients
- True incidence unknown

<sup>\*</sup> Johnstone et al. J Clin Oncol 1996;14:1950-6

## Do Wound Tumors Develop After Open Surgery?

### **Yes**

- Two large reviews of open colectomy patients have been carried out \* \*\*
- More than 1000 patients in each
- 0.6 % to 0.8 % incidence of abdominal wound tumors

<sup>\*</sup> Hughes et al. Dis Col Rectum 1983;26:571

<sup>\*\*</sup> Reilly et al. Dis Col Rectum 1996;39:200.

## Most Recent Human Laparoscopic Colectomy Results

- Incidence between 0 and 1.2 % (CPMC rate = 0.7 %, 1 case)
- Review of literature by Wexner (17 studies) found mean incidence of 1 %
- Anticipated very high port tumor rates have not been reported

## Etiology of Port Wound Tumors: <u>Direct</u> Route of Spread Most Likely

#### Prerequisites for tumor formation

- Liberated viable tumor cells
- Mode of transportation to wound
- Receptive wound environment for tumor growth

## Wound Tumors: Possible Contributing Etiologic Factors

- Tumor stage
- Biology of the tumor
- Operating environment (C02 pneumo)
- Technique

## Port Wound Tumors: Possible Etiologic Role of C02 Pneumo

- Aerosolization of tumor cells? (NO)
- Desufflation related transport of liberated cells ?
- C02 as stimulator of tumor cell growth? May play a minor role.

## The Role of Technique in Port Wound Tumor Formation

- Controversial
- Discrepancy in results between various centers suggests that technique is an important variable
- Perforated cancers have worse outcome
- Bad technique should increase chances of local recurrences

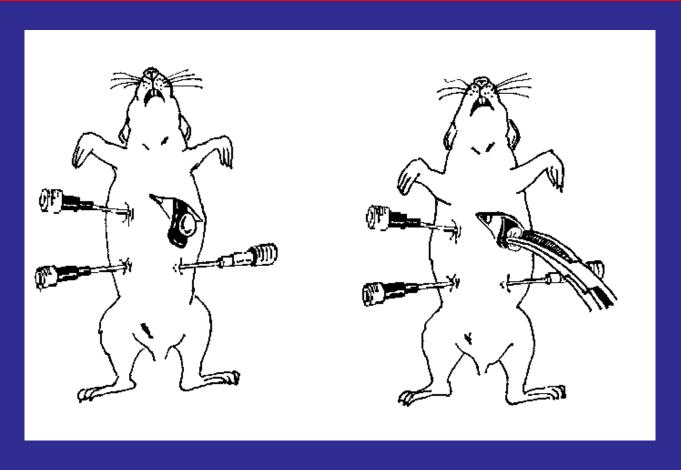
## Study of Technique: Murine Splenic Tumor Model

- Isolated splenic tumors established via splenic injection
- "Primary" tumor resected via splenectomy under a variety of conditions 10 days later
- Allows assessment of technique

### First Port Tumor Experiment: Lee et al. Surg Endosc 1998;12(6)828.

- Studied 2 variables:
  - Presence or absence of pneumo
  - Poor surgical technique
- Three ports placed in all animals at start of procedure
- Splenectomy carried out extracorporeally via subcostal incision in <u>all</u> animals

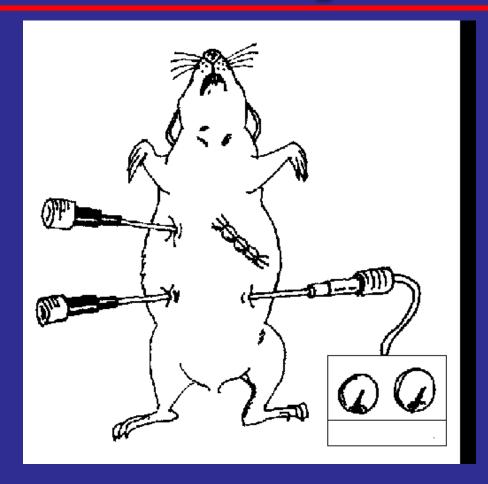
### Tumor Capsule Crushed Before Splenectomy in Half the Animals



Non-Crush Group

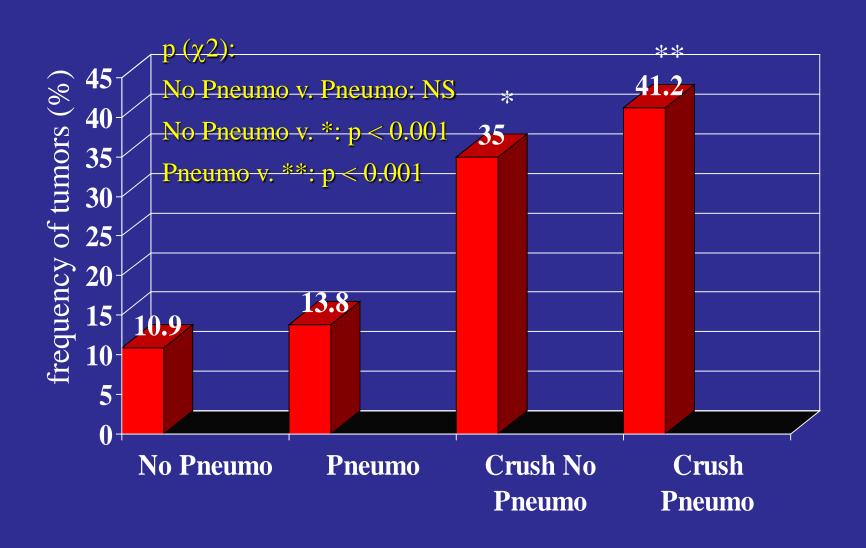
Crush Group

## Half the Animals of Each Group: Underwent CO<sub>2</sub> Pnuemo



C02 pneumo: 5 mmHg for 15 min.

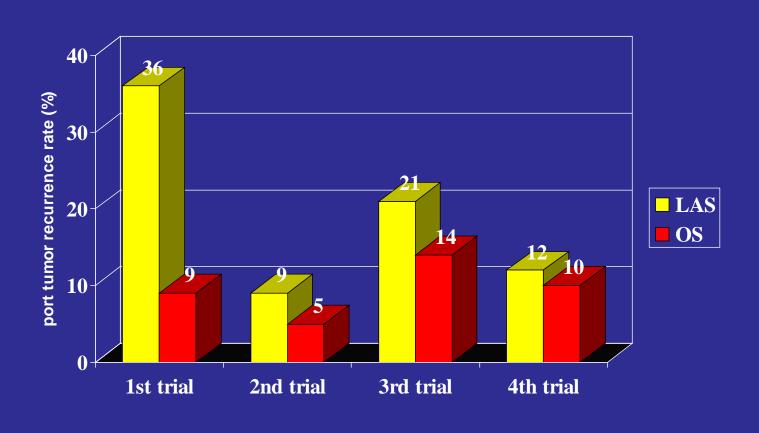
### Comparison of Tumor Implantation at Trocar Sites



### Second Splenic Tumor Model Study

- Compared laparoscopic-assisted to open splenectomy
- Spleen mobilized laparoscopically
- 3 ports & subcostal incision for all
- Splenectomy via subcostal wound
- Study done in 4 different trials
- Single surgeon

# Port Site Tumor Recurrence Rate in a Murine Model Decreased With Increased Experience



p = NS

p = NS

p = NS

p = 0.003

### Summary of Port Site Data

- Technique appears to be the most important variable
- Human incidence in same range as for open incisional recurrences (1%)
- Port wound tumors are local recurrences
- Irrigation with tumoricidal agents further lowers incidence

### Lumley et al

- Survival data
  - Stage A: 91 %
  - Stage B: 83 %
  - Stage C: 74 %
- Recurrence data

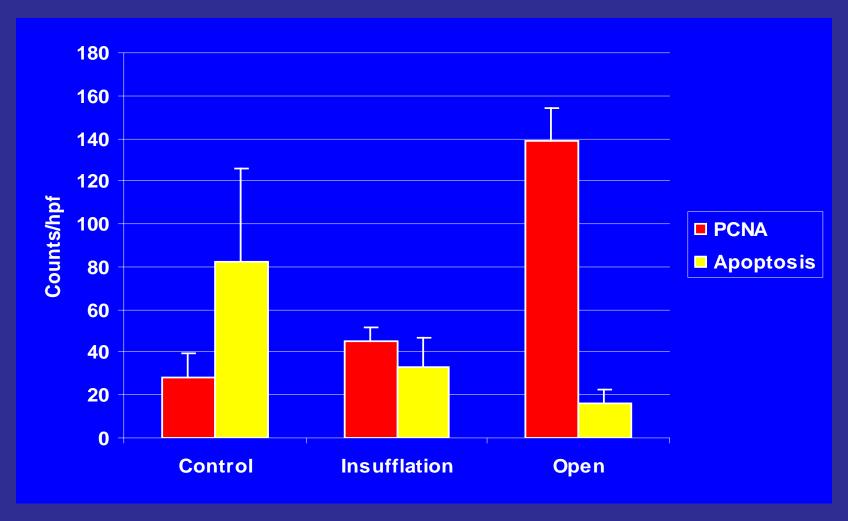
## What Accounts for the Differences in Tumor Growth?

- Increased tumor cell turnover?
- Decreased tumor cell death?

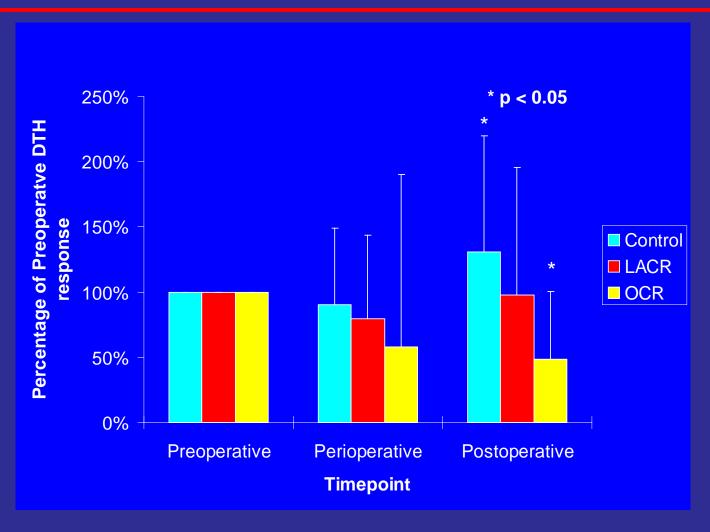
### Tumor Cell Proliferation and Apoptosis<sup>+</sup> after Surgery\*

- Groups:
  - Anesthesia control
  - -CO2 pneumo
  - -Sham laparotomy
- High dose flank tumor cell injections on day of surgery
- Tumors harvested on POD 14
- Cell proliferation and apoptotic rates determined
  - + Apoptosis = programmed cell death
  - \* Lee et al. Surgical Endoscopy 1998;12(5):514.

# Figure 4: Comparison of Proliferative Rates and Apoptotic Rates on POD 14

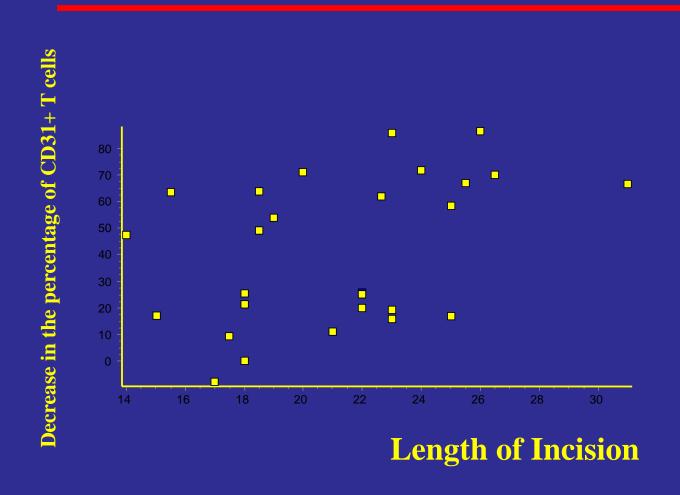


#### Human Lap. Vs Open Colectomy Study Percentage Change in DTH Response from Preoperative Baseline\*



\*Whelan et al. Surgical Endoscopy 1998;12(5):586

### OS: Correlation between the Decrease in CD3+CD31+ Cells and the Length of Incision



### Identification of Lung Metastases







## What Accounts for the Differences in Tumor Growth?

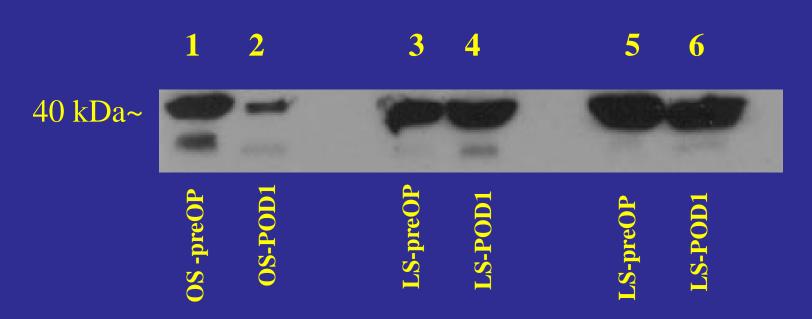
- Increased tumor cell turnover AND

- Decreased tumor cell death

## Increased Tumor Growth After Laparotomy

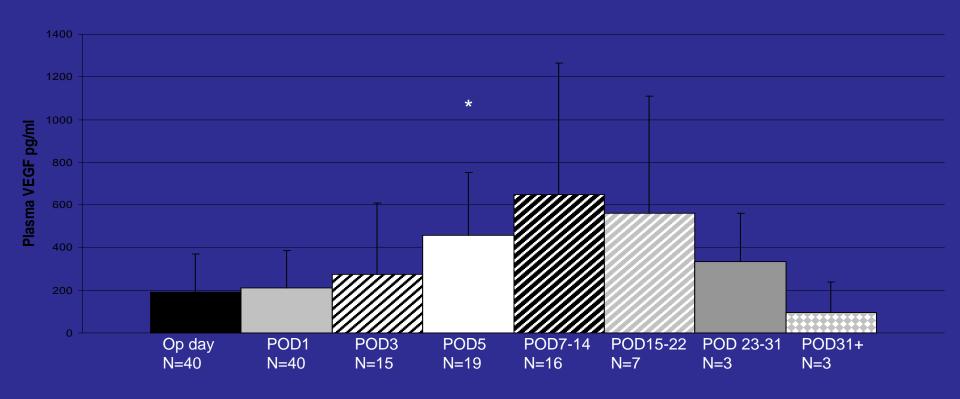
- Mouse mammary carcinoma (MC-2)
- Melanoma B-16 \* \*\*
- Colon 26 (C-26 adenocarcinoma)\*
- CC531 tumor line<sup>+</sup>
- TA3Ha
  - \* Southall et al. Dis Colon Rectum 1998;41:564-569.
  - \*\*DaCosta et al. Br J Surg 1998;85:1439-42
  - + Bouvy et al. Surg Endo 1996;10:186

#### IGF-BP3 Western Blot Analysis



- -Adding IGFBP-3 to POD 1 plasma < 's tumor growth
- -Adding Ab to IGFBP-3 to Preop plasma > growth

### Figure 2: VEGF Levels Cancer Patients



\*Op day VS. POD#5, p=0.003
\*\*Op day VS. POD7-14, p=0.0026

### Does Immune Function Impact Short Term Outcome Parameters ??

- Less pain ?
- Better pulmonary function?
- Quicker resolution of ileus?

### What About Non-oncologic Long Term Outcome measures ??

- Fewer SBO admissions?
- Fewer Reops for SBO?

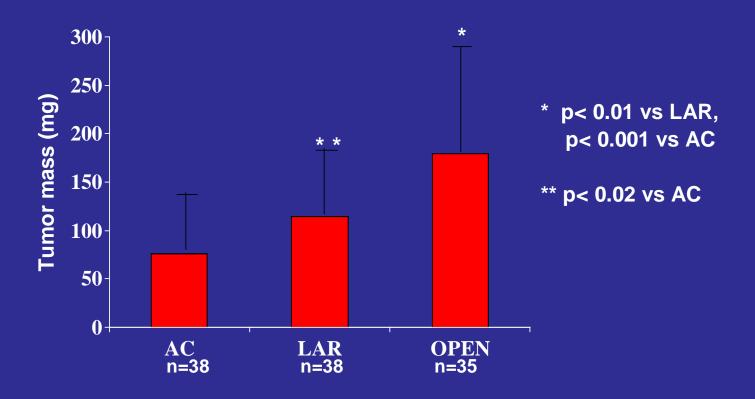
## Role of CD31 Surface Protein in T Lymphocyte Function

- T-cells migrating from circulation to periphery express the CD 31 protein
- CD 31 involved in T cell transendothelial trafficking\*
- An indicator of T cell activation\*\*

<sup>\*</sup> Prager E et al. J Immunol 2001 Feb 15;166(4):2364-71.

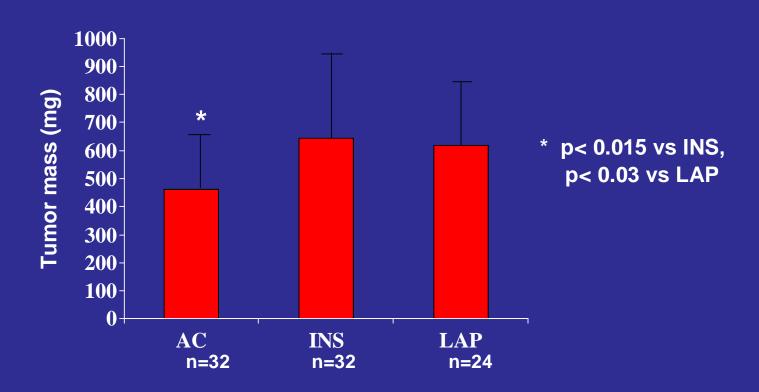
<sup>\*\*</sup>Brezinschek RI et al. J Immunol 1999;162:1677-84.

# Immunocompetent Mice Tumor Size After Lap-assisted vs Open Cecectomy (POD 12) \* \*\*

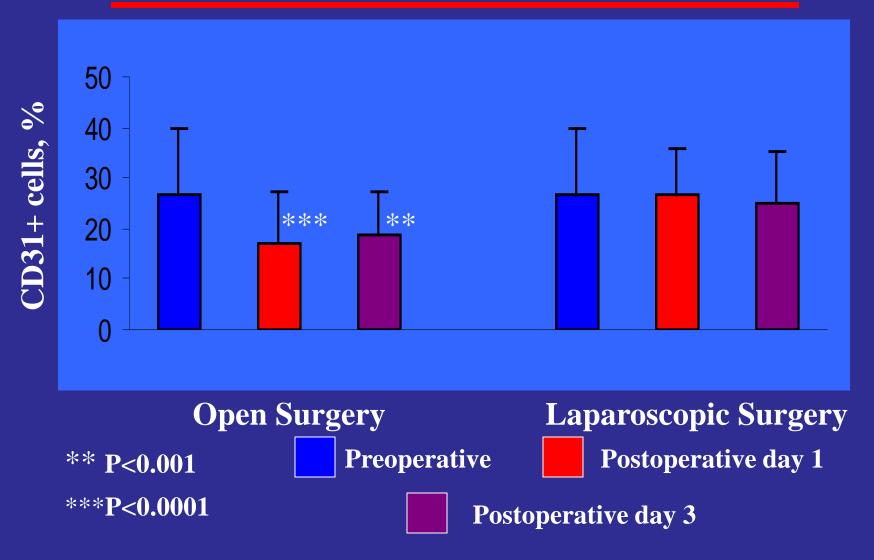


\* MC-2 MMC tumor cells injected into flank day of surgery \*\* Allendorf et al. Surg Endosc 1998;12(8)1035-1038

### Tumor Mass on POD 12: Nude Mice

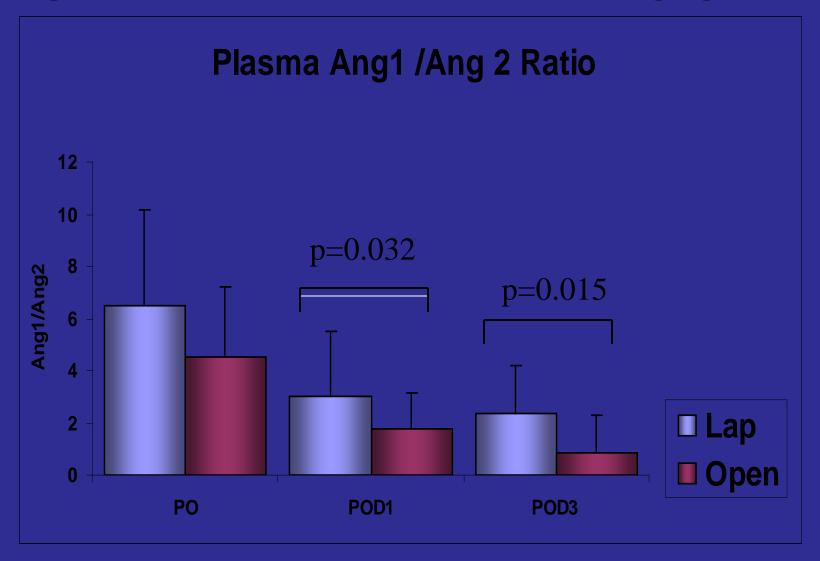


### Decrease in the percentage of CD31+ T Cells after Colon Resection\*



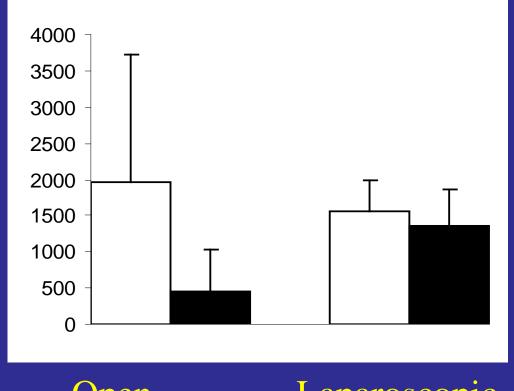
<sup>\*</sup> Kirman et al. Surg Endosc 2003;DOI: 10.1007/s00464-002-8942-3.

#### Ang 1 & 2 Modulate VEGF Mediated Angiogenesis



### Levels of Intact IGFBP-3 on POD2\* \*\* (Insulin-like Binding Protein-3)

Intact IGFBP-3 ng/ml



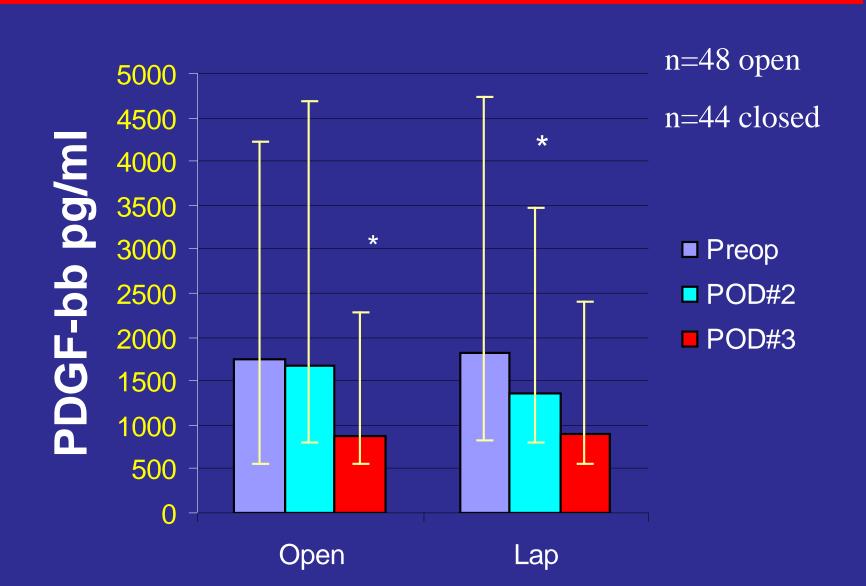
Open

Laparoscopic

<sup>\*</sup> Tumor growth inhibitor

<sup>\*\*</sup> Kirman et al. Surg Endosc 2003;19:55-59.

### PDGF-bb levels Open vs. Laparoscopic Colectomy for Cancer



### Surgical Outcome: Anergic vs Immunocompetent Patients

- Significantly higher rate of postop sepsis and mortality
- Significantly lower resectability rates and higher recurrence rates in cancer patients

### Importance of Immune Function: Impact of Blood Transfusions

• Transfusions in cancer patients associated with higher recurrence rates and a worse survival.

## Surgery in Immunocompromised Patients

- Population:
  - Transplant patients
  - Pts. on immunosuppresive drugs
  - Disease-related immunosuppression
- Higher complication rates
- Higher mortality

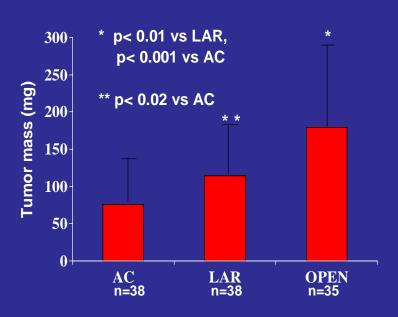
### Impact of T cells on Tumor Growth After Laparotomy or CO2 Pneumo\*\*\*

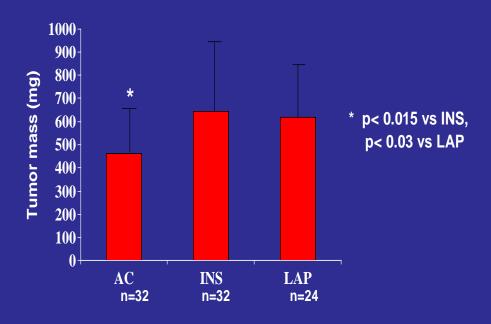
- Surgery stimulates tumor growth
- Nude mice (no T cells) vs immunocompetent mice
- Laparotomy vs. C02 pneumo
- High dose tumor injections postop
- POD 12 tumors excised & weighed

<sup>\*</sup> Allendorf et al. Archives Surg 1995;130(6):649-53

<sup>\*\*</sup> Allendorf et al. Surgical Endoscopy 1999;13:233-235

### Tumor Growth After Surgery in Immunocompetent Vs Nude Mice





Immunocompetent mice

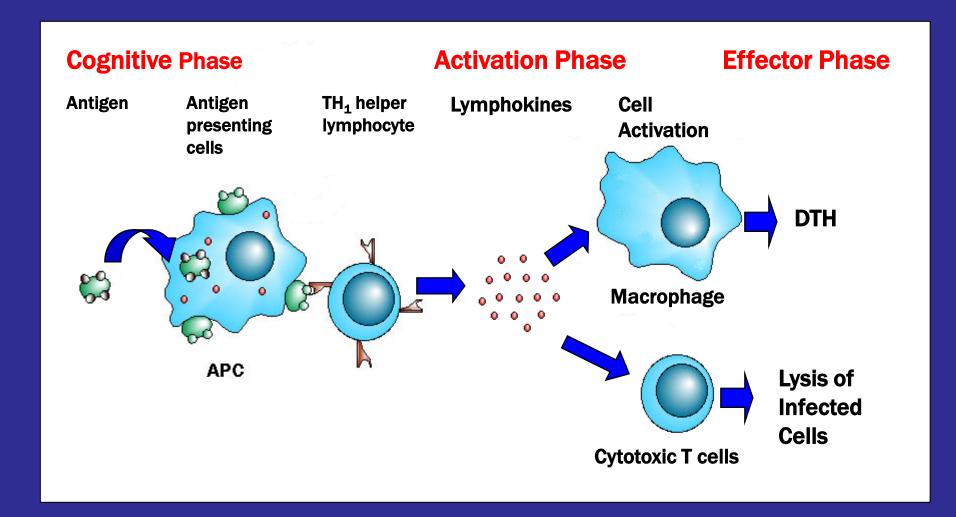
T-cell deficient mice

# How is immune function altered after laparoscopic and open procedures?

## Delayed-type Hypersensitivity Testing (DTH)

- Assesses cell-mediated immune function
- Most often used to establish anergy or immune competence
- Tests for prior exposure to specific infectious agent (ex. TB)
- Presence or absence of DTH response defines the anergic patients

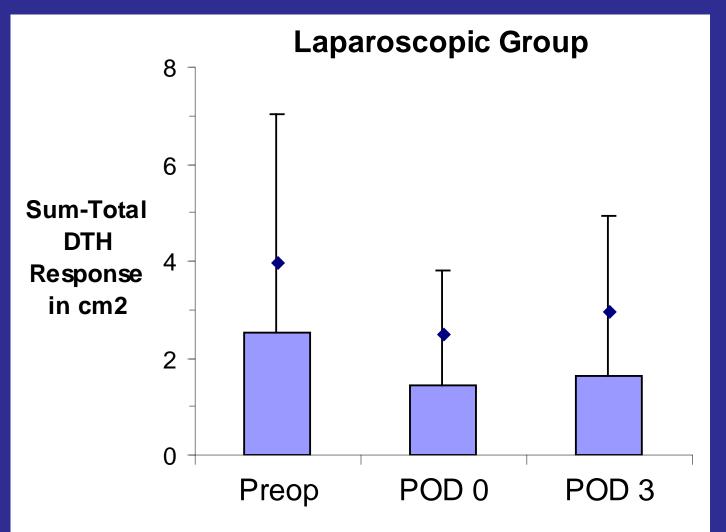
#### DTH Response



#### Serial DTH Testing Assesses Cell-Mediated Immune Function Over Time\*

- Baseline DTH response determined preop
- Several postop challenges with same antigen
- Size of postop responses compared to baseline value for each animal
- Effect of surgery on DTH response thus measured

### DTH Response After Laparoscopic Colorectal Resection



n=23 patients

### Lymphocyte Microarray Studies

- Affymetrix oligonucleotide microarrays
- 22,000 unique genes assessed
- Murine study
- Laparotomy, C02 pneumo, & anesthesia alone
- ½ animals sacrificed at 12 and 24 hours
- Splenic T cells isolated & mRNA extracted

#### Where the Same Genes Effected?\*

Group	# Genes 12 hours	# Genes 24 hours
Altered expression in both groups	60 (13%)	77 (39%)
Increased expression in <i>Open Group</i> only	338	80
Increased expression in <i>CO2 Group</i> only	59	41

<sup>\*</sup> Threshold difference between groups > 2 X expression

### Clinical Significance of Immune Function Differences is Uncertain

- Further studies needed
- Need to document clinical benefits
- There is no conclusive evidence, however, ...
- There is some suggestive evidence

#### Cancer Recurrence & Survival

Trial No. pts.

COST 863

COLOR 1248

Meta-Analysis

Lacy et al 208

Oncologic Results

No Difference

No Difference

No Difference

Lap. Benefit

# Cochrane Evidence Based Review: Short Term Benefits of Lap. vs Traditional Colectomy (All indications)\* \*\*

#### Main findings regarding laparoscopic methods

- Lower blood loss
- Lower intensity of pain
- Shorter postoperative ileus
- Pulmonary function improved
- -Incidence post-op complications significantly lower (18.2%) vs open (23%) P=0.02

<sup>\*</sup>Schwenk et al. *Cochrane Database of Systematic Reviews* 2006 Issue 3 ISSN 1464-780X

<sup>\*\* 25</sup> Randomized control trials reviewed.

### Meta-Analysis of 17 Randomized Colectomy Trials (4013 Operations)\*

- No difference in leak rate or overall morbidity found
- Significantly lower rate of wound complications noted in laparoscopic patients (odds ratio 0.65; p=0.01)
- Could better preserved immune function contribute to these findings?

<sup>\*</sup> Tjandra JJ et al. Colorectal Dis 2006;8:375-388.

#### Does Immune Function Impact Short Term Outcome Parameters ??

- Less pain ?
- Better pulmonary function?
- Quicker resolution of ileus?

### What About Non-oncologic Long Term Outcome measures ??

- Fewer SBO admissions?
- Fewer Reops for SBO?

#### Microarray Results: Conclusions

- Open surgery has † effect on gene expression
- Clinical importance unclear
- Human microarray study in progress (Cleveland Clinic, Ferguson Clinic, U Vermont, Columbia)
- May elucidate mechanism by which surgical trauma induces immunopsuppression
- May lead to novel pharmocologic strategies to limit the deleterious immunologic side effects of surgery

### What Can We Study?

- Blood
  - Plasma or serum
  - Harvested cells (lymphocytes, PMN's, PBMC's, etc)
- In vitro studies (assess harvested cells or the impact of plasma on growth of cell cultures)
- In vivo studies (rodent)

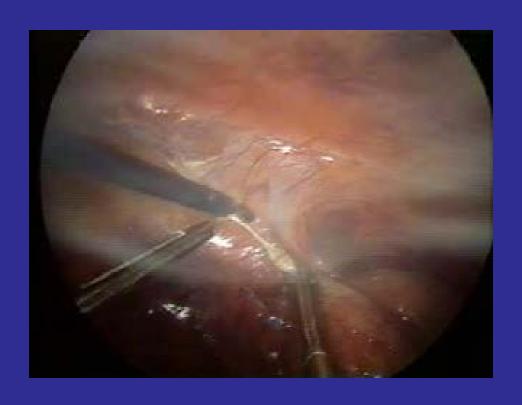
### Problems with Murine Studies vs Human Setting

- In mice, laparotomy alone or with cecetomy associated with higher tumor growth & establishment rates
- In humans, this does not seem to be the case
- The difference is the extent and magnitude of the intrabdominal trauma
- Intrabdominal trauma in major human cases is likely greater than access related trauma

### Human Situation Regarding Abdominal Surgery

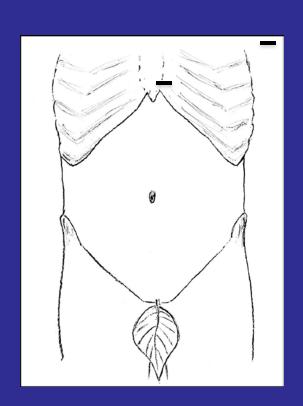
- Open and Closed methods are more alike than they are different in regards to cancer
- Intrabdominal trauma is similar
- Both methods are associated with increased rates of tumor growth after resection
- Will not cure cancer by using MIS methods alone

### Laparoscopic Surgery



### Human Situation Regarding Abdominal Surgery

- No differences in cancer outcome in randomized trials
- Open and Closed methods are more alike than they are different in regards to cancer
- Intrabdominal trauma is similar
- Both methods are associated with increased rates of tumor growth after resection
- Will not cure cancer by using MIS methods alone



### Abdominal Surgery Methods Before 1990

- Only 1 way into abdomen
- Via sizable incision ("open" method)
  - Direct exposure of organ in question
  - Allows manual palpation & dissection
- Upside: simple, direct
- Downside:
  - Painful (cut skin, fascia & muscle)→Pain meds
  - Short term morbidity (wound infections, dehiscence)
  - Long term morbidity (hernia, adhesions, SBO)

### Laparoscopic Vs Open Colectomy: Post Hospitalization Costs\*

- Straight forward benign pathology cases
- Hospital and outpatient health care utilization costs x 90 days determined
- Open methods cost: 1.26 X MIS cost
- Open patients return to work 2.78 days later
- At 1 year: Open methods associated with 1.16 x higher costs

<sup>\*</sup> Cranshaw et al. JAMA Surg 2015;150(5):410-5.

#### ROLAR Results (Randomized study)\*

- Laparoscopic vs Robotic laparoscopic methods for rectal cancer resection
- Preliminary results released
- No difference in pathologic assessment
- No difference in LOS, complications
- Trend: lower conversion rate in obese males
- Overall, showed the 2 methods yielded similar results
- No real benefit demonstrated

<sup>\*</sup> Presentation, 2015 ASCRS Meeting, Boston, MA