

Biomarkers in Cancer Immunotherapy

Current Regulatory Landscape

National Academies Workshop
“Addressing Resistance in the Development of Cancer Immune Modulator Therapeutics”
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Reena Philip, Ph.D.
Associate Director, Biomarkers and Precision Oncology
Oncology Center of Excellence (OCE), FDA

Outline

- Current Immuno-oncology (IO) biomarkers
- IO Companion and Complementary Diagnostics
- IO biomarker challenges
- Tissue Agnostic drug development
- ctDNA for IO based therapies

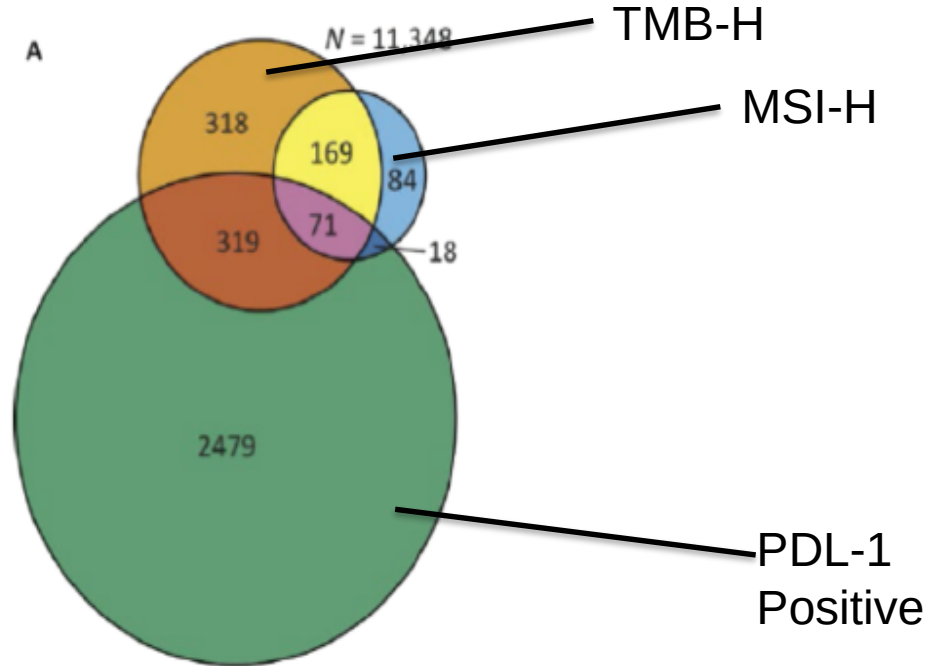
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Selecting Responders to cancer immunotherapy

- **PD-L1 Expression** (Immunohistochemistry): Many therapeutic indications approved for this biomarker.
- **Microsatellite Instability-high (MSI-H) or mismatch repair deficient (dMMR)** : This was the **first tumor agnostic indication**: indication for a treatment was defined based on a biomarker and not the cancer type. Two drugs approved.
- **Tumor Mutational Burden**: Novel biomarker approved **for tumor agnostic**: to select patients with solid tumors who may benefit from immunotherapy (pembrolizumab), based on a biomarker, which reflects the number of mutations per megabase (mut/Mb) of the genome.

Relationship Between PD-L1, TMB-H, and MSI-H



Vanderwalde et al., *Cancer Medicine* 2018; 7(3):746–756

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Companion Dx and Complementary Dx

- Companion Diagnostics (CDx): Tests that provide information that is **essential for the safe and effective** use of a corresponding therapeutic product.
- Complementary Diagnostics: (Draft definition): Tests that identify a biomarker-defined subset of patients that respond particularly well to a drug and **aid risk / benefit assessments** for individual patients, but **are not pre-requisites** for receiving the drug (i.e., are not companion diagnostics).
- Companion vs Complementary: decision based on both the **design and outcomes** of clinical trials.
- List of cleared/approved CDx: www.fda.gov/companiondiagnostics

Companion Dx and Complementary Dx

Indications for use

- Companion vs Complementary: Device Indications are different.
- Companion Diagnostics (CDx): (Mostly “**selection**” claim)
 - Example: PD-L1 IHC 22C3 pharmDx is indicated **as an aid in identifying NSCLC patients for treatment** with KEYTRUDA (pembrolizumab).
- Complementary Diagnostics: (**All come trials**)
 - Example: PD-L1 expression in 50% TC or 10% IC as detected by Ventana PD-L1 (sp142) assay in NSCLC may be **associated with enhanced overall survival** from TECENTRIQ (atezolizumab).

PD-L1 *Invitro Diagnostic* (IVD) Assays Approvals

- PD-L1 IHC [22-C3](#) pharmDx : Agilent/ Dako: 7 CDx
- PD-L1 IHC [28-8](#) pharmDx: Agilent/ Dako: 1 CDx (NSCLC) and 3 Complementary Dx (nsNSCLC, SCCHN, UC)
- PD-L1 ([SP263](#)): Ventana/ Roche Diagnostics: 1 CDx (NSCLC)
- PD-L1 ([SP142](#)): Ventana/ Roche Diagnostics: 3 CDx (Urothelial, TNBC, NSCLC)

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PD-L1 IHC 22C3 pharmDx – Intended Use – example (Different scoring and cut-offs)

Tumor Indication	PD-L1 Expression Level	Therapy
NSCLC	TPS $\geq$ 1%	KEYTRUDA®*
Gastric or GEJ Adenocarcinoma	CPS $\geq$ 1	
ESCC	CPS $\geq$ 10	
Cervical Cancer	CPS $\geq$ 1	
Urothelial Carcinoma	CPS $\geq$ 10	
HNSCC	CPS $\geq$ 1	
TNBC	CPS $\geq$ 10	
NSCLC	TPS $\geq$ 50%	LIBTAYO®**

*See the KEYTRUDA® product label for specific clinical circumstances guiding PD-L1 testing.

**See the LIBTAYO® product label for specific clinical circumstances guiding PD-L1 testing.

https://www.accessdata.fda.gov/cdrh_docs/pdf15/P150013S020B.pdf

PD-L1 assays (Different scoring)

- **Tumor cell staining only** - **TPS** (Tumor proportion score)
NSCLC – **Dako 22 C3, Dako 28-8 and Ventana SP 263**
- **Tumor cell staining and immune cell** (lymphocytes, macrophages) staining - **CPS (combined positive score)**: Gastric or GEJ adenocarcinoma, ESCC, cervical cancer, urothelial carcinoma, HNSCC, TNBC – **Dako 22C3**
- **Immune cell staining only** (Lymphocytes, macrophages, dendritic cells, and granulocytes) (**IC**)– TNBC, urothelial – **SP142**



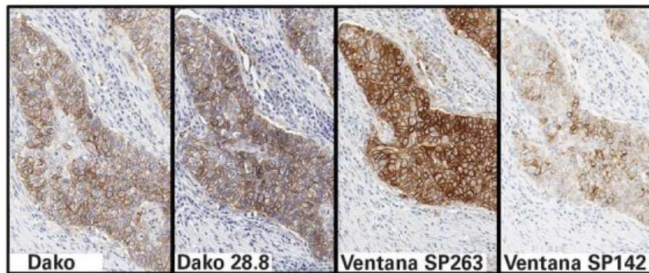
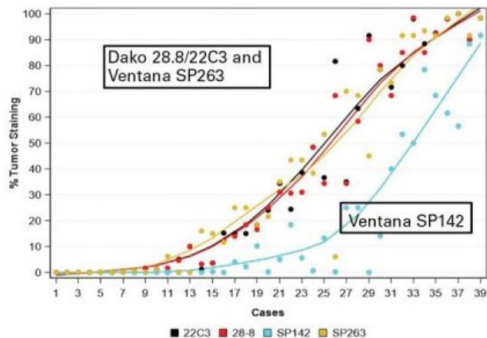
March 2015 FDA-AACR-ASCO Public Workshop

Complexities in Personalized Medicine:
Harmonizing Companion Diagnostics Across a
Class of Targeted Therapies

March 24, 2015

Blueprint PD-L1 IHC Comparability Project

A multi stakeholder harmonization effort for PD-L1 CDx comparability to align performance across different antibodies, staining platforms and clinical cutoffs.



Conclusion: 1: Three assays showed similar staining characteristics for PD-L1 staining on tumor cells, but Ventana SP142 comparatively showed fewer tumor cells stained.

Hirsch et al, J Thorac Oncol 2017; 12(2):208-222

Tsao et al, J Thorac Oncol 2018; 13(9) 1302-1311

Continuing challenges with PD-L1 assays

- Lack of standardization – Different PD-L1 assays have variable definition of “PD-L1 expression”
- Various cut-offs for “PD-L1 positive/high” making it hard to compare the drug efficacy of the different therapeutics
- Different scores applied (tumor cells, immune cells, composite score, etc.)
- PD-L1 not predictive in all tumor types, lines of therapy
- New information about value of biomarker emerges between phase 2 and phase 3 trials.

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MSI-High/dMMR in Immuno-oncology

- Immunotherapy shown to be effective in treating patients whose tumors have defective MMR (Le DT et al. N Engl J Med. 2015;372(26):2509-20).
 - May 23, 2017: **Keytruda was granted an accelerated approval** for the treatment of patients who have unresectable or metastatic, microsatellite instability-high (MSI-H) or mismatch repair deficient (dMMR) **solid tumors** that have progressed after prior treatment and who have no satisfactory alternative treatment options.
 - **This was a novel approval: a disease was defined based on a biomarker and not the cancer type**
 - Development of a companion diagnostic was part of a postmarket commitment. F1CDx received CDx approval on Feb 18, 2022 (P170019/S029)
- August 17, 2021: Accelerated approval granted to Jemperli for dMMR advanced solid tumors: **P210001 VENTANA MMR RxDx Panel co-approval**

Tumor Mutational Burden (TMB) in Immuno-oncology

- Novel biomarker
- June 16, 2020: Keytruda was granted **an accelerated approval** for the treatment of adult and pediatric patients with unresectable or metastatic **tumor mutational burden-high (TMB-H) [≥ 10 mutations/megabase (mut/Mb)] solid tumors**, as determined by an FDA-approved test, that have progressed following prior treatment and who have no satisfactory alternative treatment options.
 - FDA also approved the FoundationOneCDx assay (**P170019/S016**) as a companion diagnostic
 - TMB is measured by counting **all synonymous and non-synonymous substitution and indel variants present at 5% allele frequency or greater** and **filtering out potential germline variants according to published databases**. The resulting mutation number is then divided by the coding region.

Sources of Variability in TMB measurement

- TMB measures [can have significant variability](#), based on:
 - Pre-analytic variables: Extraction/tumor purity
 - Analytic: How many kb of sequence captured, WES vs. targeted panel affects the accuracy of the panel
 - Bioinformatics: Impact of different algorithms

- To address the variability in measurement of TMB, efforts by the Friends of Cancer Research to help [harmonize TMB measurement](#) among device manufacturers. (Vega et al ., [Annals of Oncology, Volume 32, Issue 12](#), December 2021, Pages 1626-1636).

TMB continuing challenges

- Tissue TMB
 - Alternative cutoff?
 - Should certain tumors have different cutoff?
- Blood TMB
 - Is blood TMB really reflective of tissue TMB levels or is it another analyte? (some evidence in the literature indicating that blood TMB does not correlate with tissue TMB).
 - Comparator method to determine analytical accuracy (No currently accepted method for measuring blood TMB accuracy)
 - Limit of detection metric (computational Tumor Purity was used for tissue)

Tissue Agnostic drug development Challenges

- Logistical challenges
- Incidence of biomarker across tumor types
- Enrollment challenges
- Peds population/formulations
- Companion Diagnostic validation
- Tissue Agnostic vs. Specific Tumor Type development

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ctDNA for IO based therapies

- Another promising promising biomarker to guide treatment decision-making for IO
- ctDNA as a marker of MRD: Ongoing trials to determine whether ctDNA positive patients will benefit from (neo)adjuvant anti-PD(L)1-based therapy
- Changes in ctDNA levels after initiation of immunotherapy may predict treatment response ([FOCR ctMonitor project](#) analyzing ctDNA from lung cancer immune checkpoint inhibitor clinical trials)
- Could be used to evaluate mechanisms of treatment resistance, for deciding when to switch therapies
- May be useful to differentiate between pseudoprogression versus true progression
- May also be used to assess for genetic determinants of response to IO

ctDNA assays: Challenges

- Different methods used for MRD detection including tumor-informed methods, tumor-naïve methods, or a smaller panel of candidate genes
- Need for standardized protocol for collection, storage, handling ((temperature, and specified number of freeze/thaw cycles), shipment for consistent ctDNA measurement
- Need for standardized protocol for the schedule of measurement of ctDNA, and the different scheduled assessments in clinical benefit endpoints
- Need for harmonization of “Units of measurement for assays” that may vary across assays including outputs such as variant allele frequency (VAF) and mean tumor molecules per milliliter (mtm/mL)



Thank you

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