



Better Science Through Leadership Development

Considering Professional Development for Lab Environments

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ncpre

NCPRE Creates Tools, Resources, and Programs To Advance Institutional Integrity



Leadership development programs and tools including AUDiT



Programs and tools for labs, their leaders and members



Assessment: SOURCE, CARES: environment information, benchmarking



Cultures of Excellence

Our premise is that excellence is more than *what* work is done, it also encompasses *how* work is done:

with rigor, reproducibility, inclusion, and integrity.

A photograph of two men sitting at a table in a meeting, each with a laptop. The image is overlaid with a blue tint. The man on the left is wearing a dark sweater, and the man on the right is wearing a light-colored shirt. They are both looking at their laptops. A white mug is on the table in front of the man on the left. The background is a bright, modern office space.

**People and structures interact to create
and maintain cultures of excellence.**



*Today's complex problems
require effective collaboration.*



**Diversity in working groups leads to
greater creativity and
productivity...**

*especially for new, complicated, or
difficult problems.*



Cultures that feature ethical professional conduct require attention to **everyday behaviors and interactions** *and* learning about responsible conduct of research.

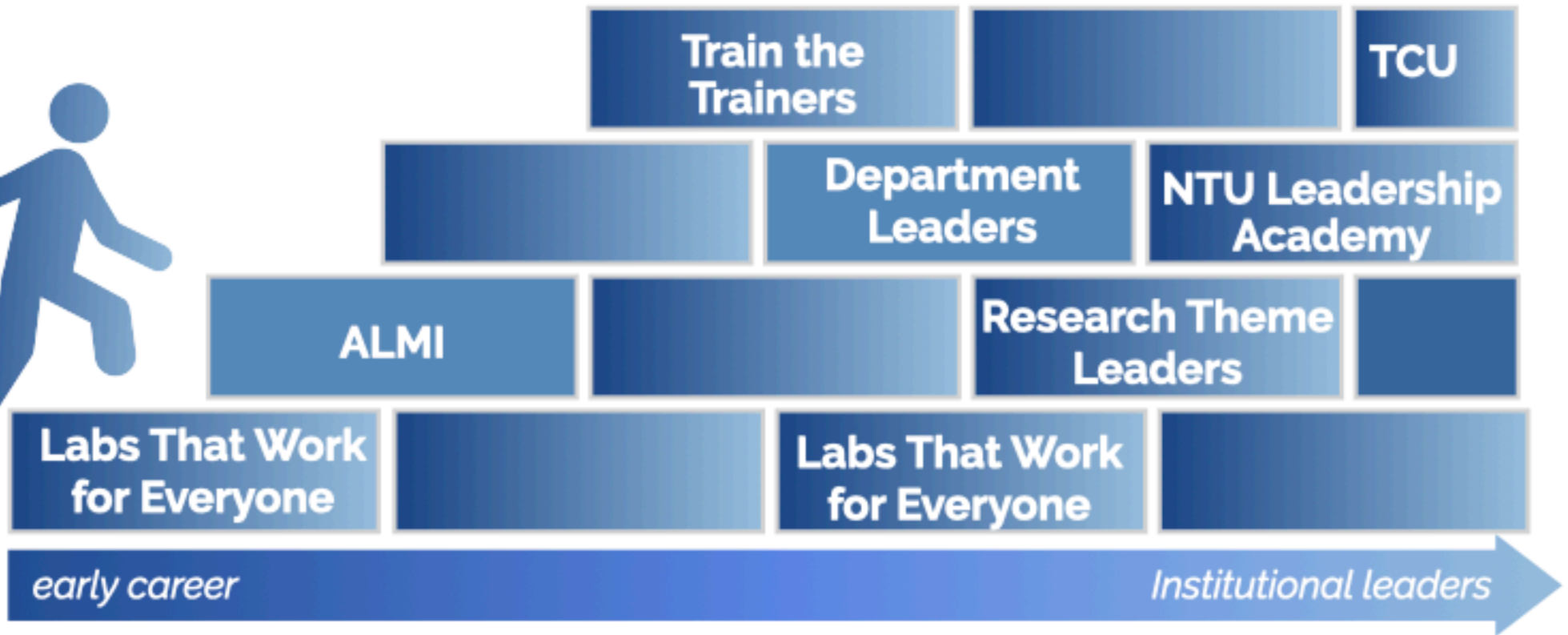
Principle: Meet Audiences Where They Are

*interviews, focus groups,
literature reviews,*

*tailor examples, exercises, and
activities to participants*



Principled Academic Leadership Range



Principled Academic Leadership Foundations



Articulate Values & Goals

Use them to guide actions, set mission-guided priorities



Manage Yourself

Know yours strengths, weaknesses, reflect, build skills



Norms, Expectations

Create a culture of excellence, model what you expect of others



Leadership & Legitimacy

Understand your role; set, respect boundaries; maintain credibility



Data and Transparency

Promote objective measures of success, be accountable

PAL: Concepts and Tools



communication

listening, asking questions

influence and persuasion

negotiation

giving and receiving feedback

dealing with conflict

what does success look like?

mentoring & power

becoming/being an authority figure

Lab Leader Responsibilities



**Student, Trainee, Staff
Professional Development**
Professional development of
lab members, career
foundations and learning



Scholarship
Research creativity, integrity,
data management,
productivity, dissemination



Management
Ethical, legal, respectful
fiscally responsible

Labs That Work
FOR EVERYONE

Case Study

BETTER SCIENCE *via* LEADERSHIP DEVELOPMENT

Practical tools for teamwork in lab settings rooted in personal values, to deal with colleagues directly, constructively, fairly, and inclusively.

Labs That Work FOR EVERYONE



BETTER SCIENCE *via* LEADERSHIP DEVELOPMENT

Build trusting
relationships

Make effective and
ethical decisions

Have difficult conversations
to solve problems

Grow from mistakes
or missteps

LTW: Two (Three) Audiences



Lab Leaders

Enhance leadership skills, including, communication, motivation, and problem-solving

*Lab Together,
as a Unit*

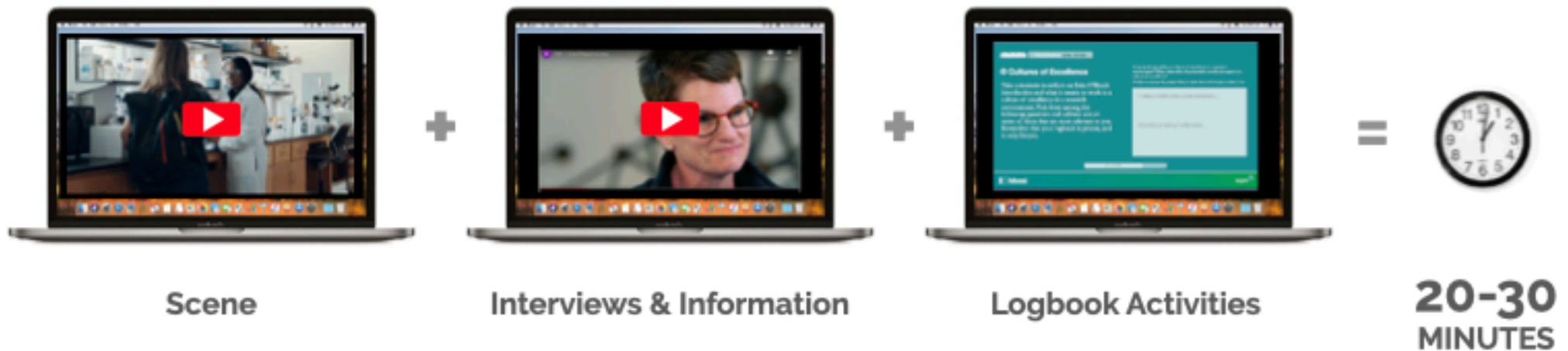


Lab Members

Enhance professional interactions; understand how to transition into a leadership role; why effective leadership matters for their own and others' success

Labs That Work Module One: Cultures of Excellence

Online Program Structure



100 minute feature film divided into 3 Acts presented through 27 Episodes + 3 Act-based Integration Segments

LTW Module One: Cultures of Excellence

Applying scientific skills of observation and analysis to interpersonal interactions.



THEMES

Know and Manage Yourself

Focus on values, recognize pitfalls & blind spots, adopt habits of leadership, contribute to a culture of excellence



TOOLS

Develop Communication & Conflict Resolution Skills

Practice respectful collaboration: assess before reacting, prepare, practice personal scripts, bystander interventions, effective questions, active listening



PRODUCTS

Align Your Values and Actions

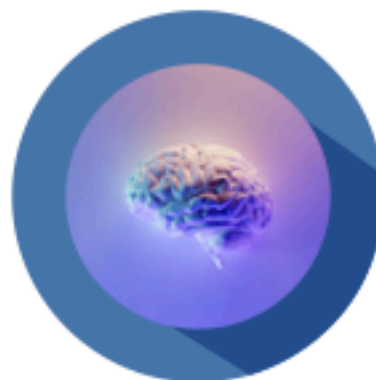
Develop values-rooted approaches, an individual development plan, and lab policies that promote creativity and productivity

Evidence-Based Approach

Set in real lab environments, showing nuanced situations.



Relatable lab-based
content **supports**
intrinsic motivation



Engaging story rooted in
real-world scenarios
increases retention



Concepts, then reflection, and
opportunities for practice
encourage transformative change

Lab Culture Factoid: Research Culture and Concerns for Reproducibility

Wellcome Trust survey of over 4,000 researchers in 2020 found

61%

Felt pressured by
their supervisor
to produce a
particular result

13%

would not feel comfortable
approaching their
supervisor if they couldn't
reproduce lab results

LTW Pilot Responses



“I would say that this is the best program for accomplishing those kind of [communication/interpersonal] goals.”

“LTW had the most tangible strategies that could be implemented in a practical sense.”

LTW Pilot: Favorite Quotes



“I felt seen.”

Lab Member

“I was viewing this as an opportunity for me to become a better PI.”

Lab Leader

Conflicting Messages, Priorities

"Our lab is special; we cannot use a one-size fits all program. I need more choices."

"There are too many choices."

"We have very specific needs, so need materials tailored to our uses."

"Just give me a one-pager that tells me what to do."

"Our lab needed to customize this and you should provide more resources to support that."

"There are too many resources to figure out. I want clear and short directions."

PAL Unit Leader Program Reactions

"This program really helped me feel good about the leadership positions I am in. It reminded me how important the good leaders I have and have had in my life have been to me personally and has re-energized me to try and be a strong, trustworthy, reliable leader. This course has made me stand up straighter."

"You provided us with so many tools that I hadn't really considered to be tools. It seemed like a common theme in many of the lessons was to always come back to finding common ground – why are we here?... That was a huge realization for me."



Challenges



Getting buy-in for an intentionally lengthy and in-depth course



Consistent investment from leaders



Commitment for assessment

Lessons Learned



This is a human endeavor; skills are needed



Time is always an issue



Without assessment, funding wins



Generational issues are real

Lessons Learned, *Continued*



**Practical Tools,
Approaches**



**Relevant, Relatable,
Tailored, Real**



**Normalizing Topics
for Discussion**



**Talking with
Each Other: Improved
Relationships**



*If we care about it, we should assess it
...and use that evidence to shape actions, policies.*



ORGANIZATIONAL CLIMATE

Observable (therefore reportable) aspects of organizational life – policies, practices, procedures, norms

ORGANIZATIONAL CULTURE

Deeper foundation of beliefs and values of the organization (more subterranean, less observable)

Our Surveys: SOURCE & CARES

Work Unit Climate Surveys



These are not
engagement or **employee
satisfaction** surveys



They measure **perspectives** and
perceptions of **key reportable
dimensions** of the
organizational environment

Work Unit Climate Surveys

SOURCE





Research Integrity Climates

-  RCR Resources
-  Regulatory Quality
-  Integrity Socialization
-  Integrity Norms
-  Advisor-Advisee Relations
-  Lack of integrity Inhibitors
-  Department Expectations

Developed by Carol Thrush and Brian Martinson

CARES

Work Unit Interpersonal Climates

-  Psychological Safety
-  Hostility / Abuse of Power
-  Conflict Resolution
-  Sexual Harassment

Supported by the Sloan Foundation, with American Geophysical Union

NCPRE Results Analysis Engine DATA

SOURCE: 26  in database

Faculty
researchers
and scientists

13K

Graduate
students in
research

8.7K

Postdoctoral
researchers

1.9K

Staff
researchers

5.2K

Undergraduate
or non-research
grad students

3.2K

CARES: 5 

1.5K

900

175

500

25



**Benchmarking power comes from
competitive instincts of human beings.
We can harness that to improve.**

BESSI: Behavioral, Emotional, and Social Skills Inventory



Anger management



Capacity for optimism



Capacity for social warmth



Cultural competence



Capacity for trust



Leadership skill



Perspective-taking skill



Teamwork skill



Responsibility management

BESSI and CARES Correlations

BESSI Facet	Outcome Variable	Correlation Coefficient	N	Lower CI	Upper CI
BESSI Anger Management Mean	Sexual Harassment Mean	0.09	83	-0.03	0.20
	Conflict Resolution Mean	0.34	108	0.06	0.62
	Civility Mean	0.36	156	0.00	0.48
	Hostility Mean	-0.28	135	-0.41	-0.09
BESSI Capacity for Optimism Mean	Sexual Harassment Mean	0.40	83	0.01	0.67
	Conflict Resolution Mean	0.49	108	0.23	0.65
	Civility Mean	0.57	156	0.45	0.67
	Hostility Mean	-0.49	135	-0.61	-0.25

BESSI Perspective Taking Skill Mean	Sexual Harassment Mean	0.42	83	0.22	0.58
	Conflict Resolution Mean	0.59	108	0.45	0.70
	Civility Mean	0.61	156	0.51	0.70
	Hostility Mean	-0.60	135	-0.70	-0.48

BESSI Leadership Skill Mean	Hostility Mean	-0.48	135	-0.61	-0.34
	Sexual Harassment Mean	0.28	83	0.07	0.47
	Conflict Resolution Mean	0.54	108	0.38	0.64
	Civility Mean	0.48	156	0.28	0.54
BESSI Perspective Taking Skill Mean	Hostility Mean	-0.43	135	-0.58	-0.28
	Sexual Harassment Mean	0.47	83	0.01	0.67
	Conflict Resolution Mean	0.58	108	0.40	0.70
	Civility Mean	0.61	156	0.51	0.70
BESSI Responsibility Management Mean	Hostility Mean	-0.50	135	-0.61	-0.48
	Sexual Harassment Mean	0.23	83	0.01	0.43
	Conflict Resolution Mean	0.28	108	0.09	0.44
	Civility Mean	0.44	156	0.20	0.58

BESSI and CARES Correlations

BESSI Facet	Outcome Variable	Correlation Coefficient	N	Lower CI	Upper CI
BESSI Anger Management Mean	Sexual Harassment Mean	0.19	83	-0.03	0.39
	Conflict Resolution Mean	0.34	108	0.06	0.52
	Civility Mean	0.36	156	0.20	0.48
	Hostility Mean	-0.28	135	-0.44	-0.09
BESSI Capacity for Optimism Mean	Sexual Harassment Mean	0.41	83	0.25	0.57
	Conflict Resolution Mean	0.49	108	0.43	0.55
	Civility Mean	0.57	156	0.46	0.67
	Hostility Mean	-0.49	135	-0.61	-0.35

BESSI Cultural Competence Mean	Sexual Harassment Mean	0.39	83	0.19	0.56
	Conflict Resolution Mean	0.45	108	0.28	0.59
	Civility Mean	0.51	156	0.38	0.62
	Hostility Mean	-0.48	135	-0.60	-0.34

BESSI Leadership Skill Mean	Hostility Mean	-0.48	135	-0.60	-0.34
	Sexual Harassment Mean	0.28	83	0.07	0.47
	Conflict Resolution Mean	0.54	108	0.38	0.54
	Civility Mean	0.42	156	0.28	0.54
BESSI Perspective Taking Skill Mean	Hostility Mean	-0.42	135	-0.58	-0.25
	Sexual Harassment Mean	0.47	83	0.30	0.58
	Conflict Resolution Mean	0.58	108	0.46	0.70
	Civility Mean	0.61	156	0.51	0.70
BESSI Responsibility Management Mean	Hostility Mean	-0.59	135	-0.70	-0.48
	Sexual Harassment Mean	0.23	83	0.04	0.43
	Conflict Resolution Mean	0.28	108	0.09	0.44
	Civility Mean	0.44	156	0.30	0.58

Using the CARES and BESSI to find Intervention Points

The CARES Survey

Interpersonal Accountability Climate
(scored in reverse)



Quality Climate



Conflict Resolution



Behavioral, Emotional, and Social Skills Inventory

Anger Management



Capacity for Optimism



Capacity for Trust



Capacity for Social Warmth



Capacity for Cultural Competence



Leadership Skills



Perspective Taking Skills



Responsibility Management



Teamwork Skills



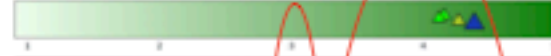
Using the CARES and BESSI to find Intervention Points

The CARES Survey

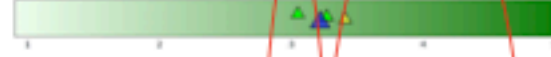
Interpersonal Accountability Climate
(scored in reverse)



Quality Climate

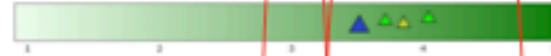


Conflict Resolution



Behavioral, Emotional, and Social Skills Inventory

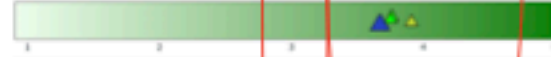
Anger Management



Capacity for Optimism



Capacity for Trust



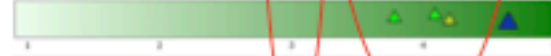
Capacity for Social Warmth



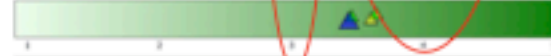
Capacity for Cultural Competence



Leadership Skills



Perspective Taking Skills



Responsibility Management



Teamwork Skills



Identifying Intervention Points

The CARES Survey

[Interpersonal Accountability Climate](#)
(scored in reverse)



[Civility Climate](#)



[Conflict Resolution](#)

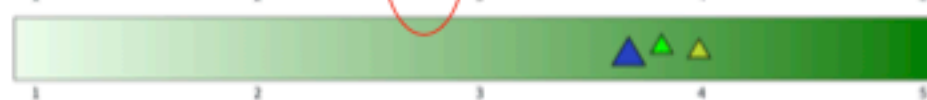


Behavioral, Emotional, and Social Skills Inventory

[Anger Management](#)



[Capacity for Optimism](#)



Experimental Adjective Study

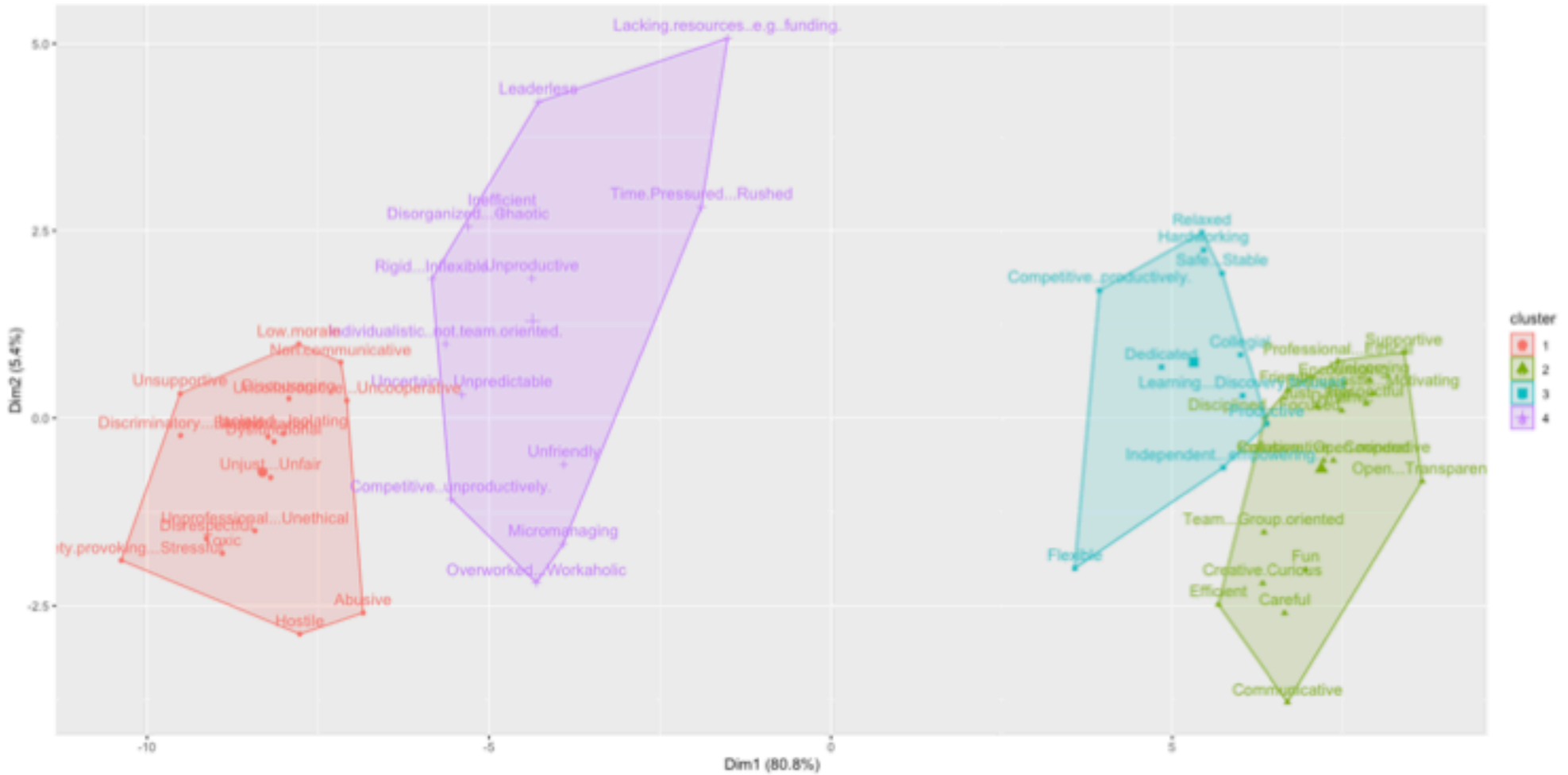
Abusive
 Anxiety provoking / Stressful
 Authoritarian
 Competitive (unproductively)
 Creative/Curious
 Dedicated
 Disciplined / Focused
 Discouraging
 Careful
 Collaborative / Cooperative
 Collegial
 Communicative
 Competitive (productively)
 Competitive (unproductively)
 Creative/Curious
 Dedicated
 Disciplined / Focused
 Discouraging

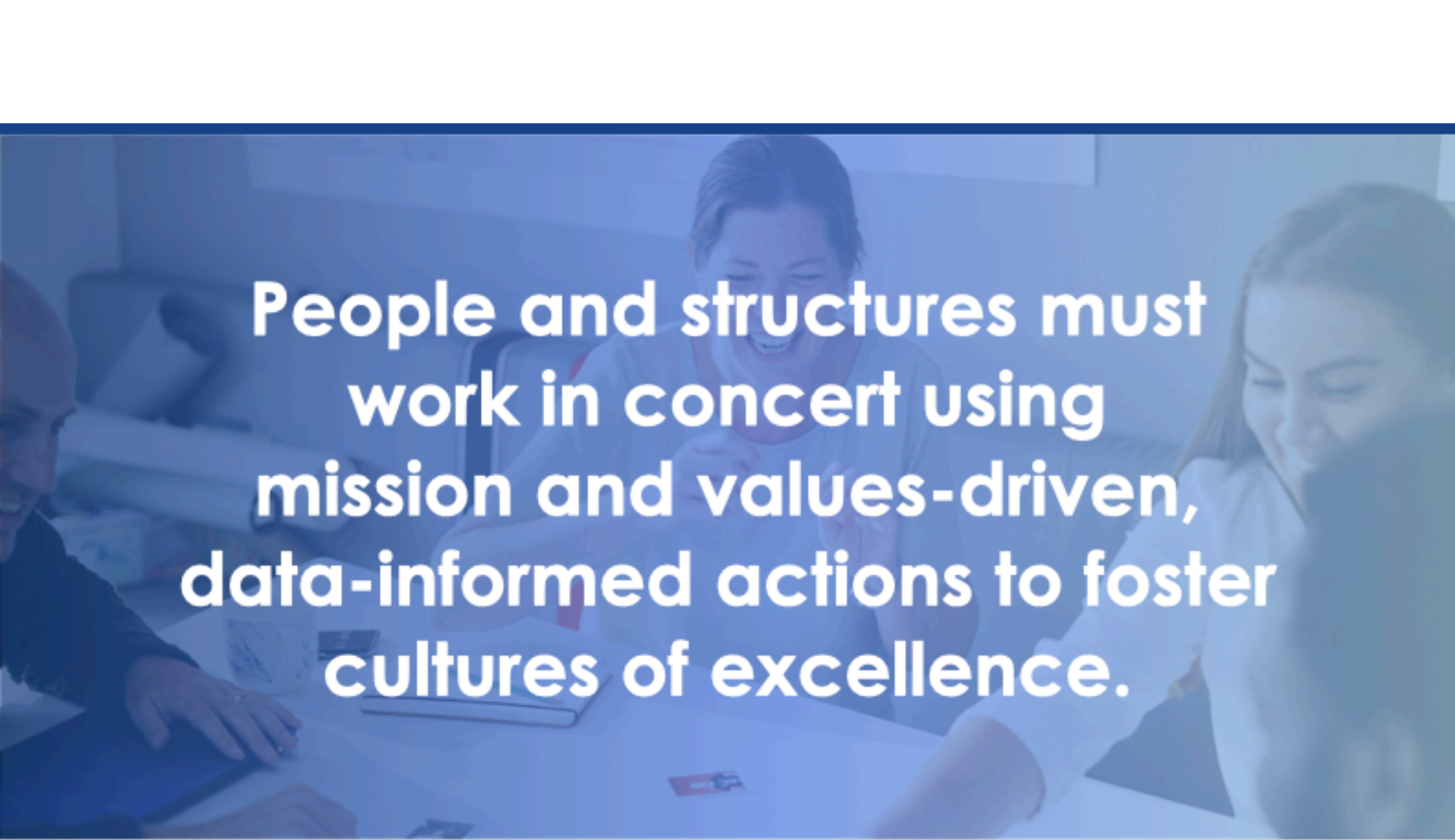
Discriminatory / Biased
 Disorganized / Chaotic
 Disrespectful
 Dynamic
 Dysfunctional
 Efficient
 Encouraging
 Enthusiastic / Motivating
 Flexible
 Friendly
 Fun
 Hardworking
 Hostile
 Inclusive / Open-minded
 Independent (empowering)
 Individualistic (not team-oriented)

Inefficient
 Isolated / Isolating
 Just / Fair
 Lacking resources (e.g. funding)
 Leaderless
 Learning / Discovery focused
 Low morale
 Micromanaging
 Non-communicative
 Open / Transparent
 Overworked / Workaholic
 Productive
 Professional / Ethical
 Relaxed
 Respectful
 Rigid / Inflexible

Just Safe / Stable
 Supportive
 Team / Group-oriented
 Time-Pressured / Rushed
 Toxic
 Uncertain / Unpredictable
 Uncollaborative / Uncooperative
 Unfriendly
 Unjust / Unfair
 Unproductive
 Unprofessional / Unethical
 Unsupportive
 Welcoming

PMI-cos kmeans 4 cluster





People and structures must work in concert using mission and values-driven, data-informed actions to foster cultures of excellence.

“Action expresses priorities”

